P2Y12 Polymorphisms and the Risk of Adverse Clinical Events in Patients Treated with Clopidogrel: A Meta-Analysis

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ABSTRACT

Background and study aim Some studies have reported an association between P2Y12 gene polymorphisms and clopidogrel adverse outcomes with inconsistent results. We aimed to explore the relationship between P2Y12 polymorphisms and the risk of adverse clinical events in patients treated with clopidogrel through a meta-analysis.

Methods A systematic search of PubMed, Web of Science and the Cochrane Library was conducted. Retrieved articles were comprehensively reviewed and eligible studies were included, and the relevant data was extracted for this meta-analysis. All statistical tests were performed by the Review Manager 5.3 software.

Results A total of 14 studies involving 8,698 patients were included. In the Han Chinese population, ischemic events were associated with P2Y12 T744C polymorphism in the CC vs TT + CT genetic model (OR = 3.32, 95 %CI = 1.62-6.82, P = 0.001), and the events were associated with P2Y12 C34T polymorphism in the TT + TC vs CC genetic model (OR = 1.70, 95 %CI = 1.22-2.36, P = 0.002). However, ischemic events were not related to P2Y12 G52T polymorphism (TT + TG vs GG: OR = 1.13, 95 %CI = 0.76-1.68, P = 0.56; TT vs GG + TG: OR = 2.02, 95 %CI = 0.65-6.28, P = 0.22). The associations between the P2Y12 polymorphism and ischemic events were not significant in T744C, G52T and C34T genotype for another subgroup of the Caucasian population (P > 0.05). Only two studies referring to bleeding events were included in this analysis of C34T polymorphism, and no significant association was found (TT + TC vs CC: OR = 1.07, 95 %CI = 0.37-3.15, P = 0.90).

Conclusions In the Caucasian population, P2Y12 gene polymorphisms are not associated with clinical events. However, in the Chinese Han population, P2Y12 T744C and C34T polymorphisms are significantly associated with adverse clinical events.

* Kun Zhao and Ming Yang contributed equally to this work and should be considered as co-first authors.

Introduction

Clopidogrel is an important antiplatelet drug, inhibiting the adenosine diphosphate (ADP)-induced platelet aggregation. Aspirin plus clopidogrel are recommended for the treatment and prevention of cerebrovascular and cardiovascular diseases[1]. Clopidogrel has been widely used for ischemic stroke (IS) and acute coronary syndrome (ACS), particularly in patients undergoing percutaneous coronary intervention (PCI)[2]. However, some patients still have adverse clinical outcomes with clopidogrel treatment, including composite ischemic events [transient ischemic attack (TIA), myocardial infarction (MI), target vessel revascularization (TVR), stent thrombosis (ST), vascular-related mortality, etc.] and bleeding events[3–5].

Genetic polymorphisms play a vital role in the clopidogrel response variability[6]. Clopidogrel inhibits the platelet P2Y₁₂ (purinergic receptor P2Y, G-protein coupled, 12) receptor, and the receptor gene polymorphisms may be an important factor in the drug response. T744C (rs2046934), G52T (rs6809699) and C34T (rs6785930) polymorphisms have been reported frequently for the association of the P2Y₁₂ receptor gene and adverse clinical events. Haplotype (H1/H2) could be generated by the C139T, T744C, rs801A and G52T polymorphisms, as the four single nucleotide polymorphisms (SNPs) are in complete linkage disequilibrium. The C34T polymorphism is not linked to the four SNPs[7].

A number of studies have reported the association of P2Y₁₂ gene polymorphisms with clopidogrel adverse clinical events. For T744C, Li XQ considered that the T allele was associated with an increased risk of major adverse cardiac events[8]. While Sun B showed that the impact of T744C polymorphism on the recurrence of ischemic events was not significant[9]. Studies of other mutation alleles had similarly inconsistent results. This led us to conduct a meta-analysis of the published studies, and we aimed to systematically identify the association of P2Y₁₂ gene polymorphisms with the risk of adverse clinical events in clopidogrel treated patients.

Materials and Methods

Literature search

The electronic databases of PubMed, Web of Science and the Cochrane Library were searched. Search terms were “P2Y₁₂ OR P2Y₁₂” AND “clopidogrel OR antiplatelet OR platelet” AND “single nucleotide polymorphisms OR SNP OR polymorphism OR variant OR variation”. All eligible studies were retrieved by full texts on March 23, 2018. The bibliographies of the included articles were searched to identify other pertinent articles.

Inclusion and exclusion criteria

The inclusion criteria were: (1) published in English, (2) case-control studies, (3) patients with cardiovascular or cerebrovascular diseases, (4) patients receiving a maintenance dose 75 mg/day of clopidogrel and (5) with complete data about P2Y₁₂ genotyping and adverse clinical events. Animal trials, case reports, reviews, studies with incomplete data about P2Y₁₂ gene and adverse clinical events, conference papers, and studies with a follow-up < 3 months were excluded.

Data extraction

Two reviewers independently screened all retrieved studies by titles and abstracts, and then by the full texts. Eligible studies were obtained by using the inclusion and exclusion criteria. Any disagreements were resolved by discussion. Standardized forms were used for data extraction, including the first author of a study, publication year, country, race, studied population, treatment protocol, sample size, P2Y₁₂ genotype, follow-up time, and all adverse clinical outcomes. The Newcastle-Ottawa Scale (NOS) was used for assessing the quality of the included studies[10].

Statistical analysis

All statistical tests for this meta-analysis were performed by the Review Manager 5.3 (The Cochrane Collaboration, Oxford, UK). The odds ratio (OR) with 95 % confidence interval (CI) was used to assess the strength of the association between P2Y₁₂ polymorphisms and adverse clinical events in clopidogrel-treated patients. The test for heterogeneity was performed for each meta-analysis. The fixed-effects model (FE) was adopted if there was no statistical difference ($P > 0.1$, $I^2 < 50\%$), otherwise the random-effects model (RE) was chosen[11]. Sensitivity analyses were conducted by an individual exclusion of each study to assess its effect on the pooled outcome OR[12]. The publication bias was assessed by the funnel plot. $P < 0.05$ indicates a significant difference among different genotypes[13].

Results

Study characteristics

A total of 668 articles were identified through database searching, of which 210 duplicate articles were excluded. Additionally, 434 articles (238 irrelevant studies, 119 reviews, 8 conference papers, 59 studies without adverse events, 4 animal trials, 4 case reports, and 2 non-English-language study) were excluded by title and abstract screening. Then, six studies[14–18] with incomplete data, one study[19] with incorrect data, one study[20] with a follow-up < 3 months and one study[21] without full-text were excluded respectively. Fifteen studies[8, 9, 22–34] met the inclusion criteria. However, one study[26] was not included, because the genotypes of all recruited population were of homozygous wild-type (GG). A total of 14 studies were included in this meta-analysis (**Supporting Material 1**), including 8,698 patients. All patients received aspirin in these studies with pre-specified ischemic or bleeding events. The NOS value of each article is greater than 5. The baseline characteristics (race, patient population, treatment protocol, sample size, genetic locus, follow-up period, outcomes, NOS score etc.) of the studies are presented in the **Supporting Material 2**.

Meta-analysis results

Association of the P2Y₁₂ T744C polymorphism with ischemic events.

Eight of the fourteen included studies reported an association between the P2Y₁₂ T744C polymorphism and adverse clinical events in clopidogrel-treated patients. In the CC + CT vs TT genetic model, a total of 4,348 patients were enrolled: 435 had ischemic events and 1,329 were carriers of the C allele. In another genetic model (CC vs

► **Fig. 1** Meta-analysis of the P2Y12 T744C polymorphism and ischemic events under two genotype genetic models. **a:** CC + CT vs TT model; **b:** CC vs TT + CT model.

TT + CT), seven studies including 4,012 patients were analyzed. The heterogeneity test was performed in two different genotype genetic models (CC + CT vs TT: $P = 0.03$, $I^2 = 54\%$ and CC vs TT + CT: $P = 0.42$, $I^2 = 1\%$), and the random-effects model and the fixed-effects model was respectively used for this analysis (► **Fig. 1**). In the analysis of CC + CT vs TT genetic model, no significant association was found between the P2Y12 T744C polymorphism and ischemic events either in the Han Chinese population (OR = 1.59, 95%CI = 0.88-2.84,

$P = 0.12$) or in the Caucasian population (OR = 0.99, 95%CI = 0.75-1.31, $P = 0.95$). However, in another analysis of CC vs TT + CT genetic model, the correlation between the P2Y12 T744C polymorphism and ischemic events was significant in the Han Chinese population (OR = 3.32, 95%CI = 1.62-6.82, $P = 0.001$), but it was not significant in the Caucasian population (OR = 1.42, 95%CI = 0.71-2.83, $P = 0.32$).

► **Fig. 2** Meta-analysis of the P2Y12 G52T polymorphism and ischemic events under two genotype genetic models. **a:** TT + TG vs GG model; **b:** TT vs GG + TG model.

Association of the P2Y12 G52T polymorphism with ischemic events.

Five studies reported an association of the P2Y12 G52T polymorphism with adverse clinical outcomes. Only one study was conducted in the Caucasian population, and the other four studies were in the Han Chinese population. Of the 3327 subjects, 443 had ischemic events, and 865 were carriers of the T allele in the TT + TG vs GG genetic model. However, four studies with complete data were enrolled in another genetic model, including a total of 2,829 subjects. The fixed-effects model was performed because a lower heterogeneity was identified ($I^2 = 42\%$ and $I^2 = 0\%$) in different genotype ge-

netic models (► **Fig. 2**). The analysis indicated that ischemic events were not associated with the P2Y12 G52T polymorphism (TT + TG vs GG: OR = 1.13, 95%CI = 0.76–1.68, $P = 0.56$; TT vs GG + TG: OR = 2.02, 95%CI = 0.65–6.28, $P = 0.22$) in the Han Chinese population.

Association of the P2Y12 C34T polymorphism with ischemic events

Seven studies reporting an association of the P2Y12 C34T polymorphism with ischemic events were included. A total of 4,176 patients were recruited in the TT + TC vs CC genetic model, while only four studies provided complete information in the TT vs CC + TC genet-

► **Fig. 3** Meta-analysis of the P2Y12 C34T polymorphism and ischemic events under two genotype genetic models. **a:** TT + TC vs CC model; **b:** TT vs CC + TC model.

ic model. The fixed-effects model and the random-effects model were adopted respectively after heterogeneity testing (► **Fig. 3**). In the Han Chinese population, a significant association between the P2Y12 C34T polymorphism and ischemic events was found under the TT + TC vs CC genetic model (OR = 1.70, 95 %CI = 1.22-2.36, $P = 0.002$). However, the association was not found in the Caucasian population (OR = 0.92, 95 %CI = 0.73-1.16, $P = 0.46$). Meanwhile, the P2Y12 C34T polymorphism was unrelated to ischemic events under the TT vs CC + TC genetic model in both populations.

Association of the P2Y12 C34T polymorphism with bleeding events

Two studies referring to bleeding events were included in the analysis of the C34T polymorphism. The association of the P2Y12 C34T polymorphism with bleeding events was analyzed under the TT + TC vs CC genetic model. The random-effects model was chosen on the basis of a higher heterogeneity ($I^2 = 82\%$, ► **Fig. 4**). No significant association was found in this analysis (OR = 1.07, 95 %CI = 0.37-3.15, $P = 0.90$).

► **Fig. 4** Meta-analysis of the P2Y12 C34T polymorphism and bleeding events under the TT+TC vs CC model.

► **Table 1** Sensitivity analysis of the P2Y12 T744C polymorphism and ischemic events in the Han Chinese population.

CC+ CT vs TT		CC vs TT+CT	
Study Exclusion	RE (P value)	Study Exclusion	FE (P value)
Li XQ 2016	0.31	Li XQ 2016	0.008
Ou W 2016	0.008	Ou W 2016	0.003
Sun B 2015	0.08	Sun B 2015	0.0003
Wang XD 2016	0.38	/	/
Yang HH 2016	0.32	Yang HH 2016	0.07
FE: fixed-effects model, RE: random-effects model.			

Sensitivity analysis

Sensitivity analysis was performed by the exclusion of a single study in turn. In this analysis of the Han Chinese population on the P2Y12 T744C polymorphism, the pooled P-values changed respectively when Ou W[33] was excluded under the CC + CT vs TT genetic model and when Yang HH[32] was excluded under the CC vs TT + CT genetic model. However, no other single study could influence the pooled P-values in the remaining exclusion analyses (► **Table 1**).

Publication bias

The publication bias was evaluated by funnel plots, and the shapes were symmetrical, suggesting no publication bias among these analyses (**Supporting Material 3**). The funnel plot of bleeding events was not performed because only two studies were included.

Discussion

Clopidogrel is a thienopyridine derivative inhibiting ADP-mediated platelet aggregation[35]. The active metabolite binds irreversibly and specifically to the P2Y12 platelet receptor. An individual variability is observed in patients receiving clopidogrel treatment, and some patients can experience adverse clinical events[4]. Clopidogrel poor response is likely caused by multiple factors, including genetics, increasing age, greater body mass index (BMI), higher triglyceride levels and lower levels of high-density lipoprotein cholesterol[36, 37].

The P2Y12 gene is located in the q25.1 region of chromosome 3, and it belongs to the G-protein coupled receptor family. The coupling of the P2Y12 protein with the inhibitory G alpha protein subunit results in the inhibition of cyclic adenosine monophosphate (cAMP) production, and therefore a subsequent reduction in the

intracellular cAMP content. Furthermore, P2Y12 receptor induces a platelet aggregation through a weak activation of glycoprotein IIb/IIIa integrin via the phosphoinositide kinase-3 pathway [7]. Platelet activation by ADP plays a crucial role in hemostasis and thrombosis. Numerous studies have established the key role of this receptor in the ADP-dependent amplification of platelet aggregation induced by other agonists, such as thromboxane A2 and thrombin [38, 39]. The antithrombotic effect of clopidogrel and the ADP-induced platelet response are considerably variable. Therefore, the P2Y12 gene is screened for possible variants.

Many studies investigated the relationship of the P2Y12 gene polymorphism and adverse clinical events, but these studies[8, 9, 22–34] had divergent results. It is therefore necessary to perform a meta-analysis exploring this association. A total of 14 articles were included, and the NOS value of each article is greater than 5. Three genotypes (T744C, G52T and C34T) of the P2Y12 gene were analyzed in our study.

T744C is located at the 744 nucleotide after the 5' intron start site and consists of a T-to-C transition [7]. Both C34T and G52T are located in the coding region of the P2Y12 gene (cds-synon). Fontana P et al. examined ADP-induced platelet aggregation responses in healthy volunteers, and sequence variations in the P2Y12 gene were investigated. They identified two phenotypic groups (haplotypes H1 and H2) of subjects with high and low responsiveness to 2 micromol/L ADP [7]. Four frequent polymorphisms (i-C139T (rs10935838), i-T744C (rs2046934), i-ins801A (rs5853517), G52T (rs6809699)) were in total linkage disequilibrium, determining haplotypes H1 and H2, with respective allelic frequencies of 0.86 and 0.14. The presence of the minor haplotype H2 was associated with an enhanced ADP-induced platelet aggregation in healthy volunteers. The molecular mechanism by which the H2 haplotype increases ADP-induced platelet aggregation remains unclear. Based on the investigated sequence, an amino acid substitution affecting the protein structure or splice variants could be ruled out. Thus, an increase in the number of platelet surface receptors is most likely the explanation [7]. C34T is not part of the haplotype and no function research was found until now.

Based on the analysis of subgroups, significant associations between the P2Y12 T744C/C34T polymorphism and ischemic events were found ($P < 0.05$), but the association of the P2Y12 G52T polymorphism and ischemic events is not observed ($P > 0.05$) in the Han Chinese population. Ischemic events are related to the P2Y12 T744C polymorphism under the CC vs TT + CT genetic model ($P = 0.001$), therefore the T744C genotype may predict the risk of

recurrent ischemic events in the Han Chinese patients. Patients with a mutant homozygote (CC) of the T744C genotype had a higher risk of recurrent ischemic events within one year ($OR > 1$). A total of 7.8 % of all enrolled patients were mutant homozygote, and 31.4 % of them had recurrent ischemic events. As for the sensitivity analysis, the pooled P values changed when the Ou W[33] study was excluded in the CC + CT vs TT genetic model ($P = 0.008$), and when Yang HH[32] was excluded in the CC vs TT + CT genetic model ($P = 0.07$). Ou W suggested that T744C variants were not associated with a response to clopidogrel (weight 11.0 %), and the incidence of ischemic events was not different between the mutant C allele carriers and non-carriers. Yang HH found that the T744C polymorphism was associated with the efficacy of clopidogrel treatment (i. e. reduction of major adverse cardiac events), and patients carrying the CC genotype were more likely to have ischemic events than other genotypes. Second, ischemic events and the P2Y12 C34T polymorphism are related under the TT + TC vs CC genetic model in the Han Chinese population. P2Y12 C34T polymorphisms may predict the risk of recurrent ischemic events, and carriers of the mutant T allele have a higher risk of composite ischemic events after taking clopidogrel. A total of 38.1 % of patients were carriers of the mutant T allele, and 12.6 % of them had recurrent ischemic events compared to 8.9 % of the non-carriers. According to the sensitivity analysis, the pooled P-values are not significantly affected when each single study is excluded respectively. The results were similar in sensitivity analyses for studies between G52T polymorphism and clinical events. Sionova M et al. investigated the association of P2Y12 polymorphisms (C34T and T744C) with increased risk of peri-procedural bleeding in patients undergoing coronary angiography/PCI. No significant association of the SNPs of P2Y12 C32T and T744C with an increased risk of peri-procedural bleeding was found in their patients[40]. Since the study had a short-term follow-up (30 days), it was excluded from the meta-analysis.

In addition, studies on the P2Y12 gene polymorphism and the incidence of ischemic events in the Caucasian population failed to establish an association. The pooled P-value was not influenced when excluding any single study, revealing that a single study could not change the result of the Caucasian population analysis.

After data on the Han Chinese and the Caucasian populations were merged, there was no significant correlation between the three locus variants and clinical events (ischemia and hemorrhage) after drug administration. However, the subgroup analysis found clinical events were associated with genetic variants (T744C and C34T) in the Han Chinese population but not in Caucasians. We speculate the reasons are as follows: 1) the studies included in this analysis are mostly based on the data within the Chinese population, and there are few reports on the Caucasian population. The smaller sample size of the Caucasian population may lead to biased results; 2) there is a large difference in the frequency of gene mutations between races. The mutation rate of T744C in Chinese population is 16.0 %-30.6 %, versus 8.7 %-19.9 % in the Caucasian population. The mutation frequency of C34T in the Chinese population is 20.5 %-21.2 %, versus around 43.3 % in the Caucasian population. The differences in sample size and the different mutation frequencies between races may lead to inconsistencies in study results.

Several limitations in this meta-analysis should be noted: 1) the sample size in these included studies was relatively small, which

may reduce the statistical power, 2) lack of original data in published studies limited further analysis, 3) the majority of the included studies were conducted in Han Chinese patients and Caucasian patients, and further studies are needed from different ethnic groups, and 4) due to inadequate data, certain ischemic events were not predicted, such as TIA, MI, TVR, ST, and other fatal vascular-related events.

Conclusions

The P2Y12 T744C and C34T polymorphisms are found to be associated with ischemic events in Han Chinese patients treated with clopidogrel, and the P2Y12 G52T polymorphism has no significant impact on ischemic events. Patients with the CC homozygote of the T744C genotype have a higher risk of recurrent ischemic events, and the carriers of the mutant T allele of the C34T genotype are more likely than non-carriers to have ischemic events. However, the risk of clinical ischemic events is not related to P2Y12 T744C, G52T and C34T polymorphisms in the Caucasian population. Bleeding events are not significantly associated with the P2Y12 C34T polymorphism in the Han Chinese population. Further research on the relationship of other genetic factors of P2Y12 and the risks of bleeding events in patients treated with clopidogrel is needed.

Authors' contribution

X Li and Z Zhao conceived and designed the study. K Zhao, Ming Yang and S Sun performed the analysis and wrote the manuscript. Y Lu, W Li and Z Zhao supervised the quality of the study. All authors read and approved the final manuscript.

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Conflict of Interest

No conflict of interest has been declared by the author(s).

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