Sonographic Venous Velocity Index Identifies Patients with Chronic Kidney Disease and Severe Diastolic Dysfunction

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ABSTRACT

Objective Diagnosing cardiorenal syndrome (CRS) in patients with chronic kidney disease (CKD) continues to remain challenging in outpatient practice. In this study, we investigate whether a newly developed venous velocity ultrasound index (VVI) can differentiate between patients with CRS and patients with CKD of other cause or normal renal function (NRF).

Methods Patients with CRS (n = 30), CKD (n = 30), and NRF (n = 30) were included in the study. For each patient, duplex ultrasound scans of intrarenal segmental veins were retrospectively analyzed. The VVI was calculated from the renal venous doppler curve as the ratio of the maximal positive venous velocity to the maximal negative venous velocity. Patients with CRS were compared to age-matched controls with NRF and to GFR-matched controls with CKD.

Results: The GFRs of patients with CRS and those with CKD were comparable (26.4 ± 5 and 25.6 ± 7 ml/min/m²), as was the age in patients with CRS and NRF (6 ± 12 years and 68 ± 16 years, respectively). There was no significant difference in ejection fraction between patients with CRS and those with CKD ($44.2 \pm 6.2\%$ vs. $47.4 \pm 7.2\%$), but there was a significant decrease compared to those with NRF (52.6 ± 5.1 , $p < 0.01$). The VVI was significantly higher in the CRS group (0.81 ± 0.18) compared to the CKD group (0.18 ± 0.17 , $p < 0.01$) or NRF group (0.22 ± 0.20 , $p < 0.01$). The positive predictability of CRS was 96.4% in patients with VVI values of > 0.6 .

Conclusion The newly developed VVI was useful in successfully predicting severe diastolic dysfunction (CRS) in patients with severe kidney injury in outpatient care.

Introduction

The association between cardiovascular diseases and chronic kidney disease (CKD) was first described by Ronco et al. in 2008 [1]. They defined cardiorenal syndrome (CRS) as a disorder characterized by the acute or chronic dysfunction of either of these systems as a result of a dysfunction of the other [1]. Recent reviews have

shown that despite the recognition of this association between the two conditions, there still remains a lack of clarity regarding the pathogenesis and management of CRS [2, 3].

CRS has been classified into five types, and type 4 CRS marks a state of CKD that compromises cardiac function, leads to cardiac hypertrophy, and increases the risk for cardiovascular events [1].

Although CRS has been studied extensively, there are no gold standard criteria for the diagnosis of CRS, since CRS describes only

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an interdependence between kidney and cardiac dysfunction. Therefore, the diagnosis of CRS is usually established if the estimated glomerular filtration rate (eGFR) declines in the clinical course of patients without an underlying renal disease but simultaneous worsening of cardiac function detected by echocardiography. (1-3). However, this distinction may be challenging in patients with CKD and additional suspected CRS (3).

The pathogenic changes associated with stage four CKD are characterized by post-translation modification of proteins, hyperphosphatemia, as well as vascular abnormalities such as atherosclerosis and arteriosclerosis [4]. End-stage renal disease causes a state of chronic inflammation, which leads to a phenomenon known as malnutrition-inflammation-atherosclerosis syndrome [5]. Considering this pathological background, renal congestion resulting from changes in the renal vasculature can be considered a characteristic feature in patients with CRS.

On the other hand, congestive heart failure (CHF) is characterized by volume overload and increased central venous pressure [6]. Thus, patients with severe HF typically present with hepatic and renal congestion leading to deterioration of renal blood flow that contributes to the development of CRS [7].

These alterations can be studied by Doppler ultrasonography and may be reflected as changes in the ultrasound spectrum of intrarenal veins. Therefore, we thought that it may be possible to detect CRS early in patients on the basis of the Doppler ultrasonography findings of their renal veins.

With this in mind, we formulated a new parameter, the venous velocity ultrasound index (VVI) based on the velocity of blood flow in renal veins. In this study, we aimed to investigate whether this new index can help differentiate between patients with CRS and those with CKD or normal renal function (NRF).

Patients and Methods

We conducted a retrospective analysis of 90 patients treated at a nephrology outpatient center in Germany. The first group of patients were those with CRS (n = 30; CRS group), who were assigned to a nephrologist by general physicians or cardiologists for the diagnosis and co-treatment of CKD, which implied that these patients had concomitant cardiovascular and renal dysfunction. Diagnosis of CRS in these patients was made according to Ronco et al. 2017 [8]. Briefly, only patients with chronic kidney disease at stage 4 (eGFR 15 to 30 ml/min/1.73 m²) without proteinuria and concurrent severe diastolic dysfunction (grade II or III) and normal (HFpEF) or mid-range reduced (HFmrEF) ejection fraction were included in the CRS group. Kidney function of all patients in the CRS group worsened within the last 12 months, which could not be explained by inherited or acquired chronic renal disease. Additionally, a second group comprised of 30 patients with chronic kidney disease (CKD) secondary to causes other than CRS was enrolled. This group served as the matched control group (CKD group) in terms of the glomerular filtration rate (GFR). Another group of the same size (n = 30) was also included in this study to serve as the age-matched control group. This group consisted of living kidney donors who had normal function of the remnant kidney and cardiovascular system (NRF group). These subjects had donated their kidneys be-

tween 2007 and 2014 at the transplant center at the University of Lübeck.

Patients in the CRS and CKD groups had undergone kidney ultrasound examination performed in the nephrology outpatient center by three experienced investigators and echocardiographic examination at the cardiology department of the Hospital of Reinbek, St. Adolf Stift between 2014 and 2017. The NRF group had undergone both ultrasound and echocardiographic examination at the Medical Department of the University of Lübeck between 2007 and 2014, as described previously [9, 10]. The renal ultrasound examinations of these patients had been performed by the same three experienced investigators (MM; MN, WJ) who performed the investigations of the CKD and CRS group in the same standardized protocol (described below) a few years later. Thus, we were able to minimize intra- and inter-observer variability.

Data for the CRS and CKD groups were collected from the medical records maintained at our center, while the data for the NRF group were obtained from the prospectively maintained registry of patients at the transplant Center of Lübeck and the Nephrology outpatient Center of Reinbek.

This study was conducted in keeping with the Helsinki Declaration of 1975 and the study protocol was approved by the local ethics committee at our university.

Kidney ultrasound examination

Data regarding both routine grayscale and duplex ultrasound scans were obtained for all enrolled patients. The standard ultrasound examination protocol applied for the patients included in the current study has been outlined previously [9, 10] and is as follows: Ultrasound examination was performed in the supine position during the morning hours by one of the three authors using the same transducers (multifrequency probes, curved array, C4-1, C9-3 or C6-2, Zonare Medical Systems, Mountain View, Ca, USA) and devices (Z.one and ZS3, Zonare Medical Systems, Mountain View, CA, USA). The following parameters were measured and recorded during each ultrasound scan: the cortex-pelvis proportion (CPP), resistive index (RI), pulsatility index (PI), and venous velocity (VV). The definitions for these parameters have been described previously [10]. This protocol was established at the transplant center in 2006 and adopted by our outpatient clinic five years later.

The velocity of the renal veins was measured for at least three different renal segments. The average of the three sets of measurements was used for further analysis. As a cautionary measure, the patients were asked to hold their breath in deep inspiration during the determination of VVI since breathing could influence the VVI measurement. The VVI was measured using the maximal positive and maximal negative venous velocity determined from the biphasic pulse-wave Doppler flow curve on the basis of the following formula incorporated into the built-in ultrasound software.

Venous velocity index (VVI) = maximal positive velocity/maximal negative velocity

In cases where the segmental venous flow was found to be negative and monophasic, the maximal positive velocity was considered to be "0," which meant that the VVI would also be "0."

In the CKD and CRS group, all indices were measured for both kidneys and the average of the two values was taken as the meas-

urement for a given patient. This did not apply to the NRF patients, since they had only one remnant kidney.

Echocardiographic examination

All patients underwent transthoracic echocardiography, performed in accordance with the national and international standards. The echocardiography scans were performed by experienced technicians after allowing a resting period of 20 minutes for stabilization [11]. The devices used in all patients were either Vivid 7 or Vivid 9 (GE Healthcare, Frankfurt, Germany), and all measured parameters were determined offline using the EchoPac software (GE Healthcare, Frankfurt, Germany).

Left ventricular (LV) systolic function was determined from the LV ejection fraction using the modified Simpson's rule, with biplane LV volume analysis [12]. LV diastolic function was determined on the basis of the values of maximal early-diastolic velocity ($E_{\max}$) and maximal late-diastolic ($A_{\max}$) velocity of the mitral valve inflow obtained from pulsed-wave Doppler measurement made in the apical long-axis view. The measurements were performed at a distance of 1 to 2 cm superior to the mitral ring, at the junction of the mitral leaflets to the chord strands; improper Doppler angles for measurement were avoided. Peak mitral E and A wave velocities were measured to calculate the E/A-ratio. Right ventricular (RV) function was then assessed on the basis of tricuspid annular plane systolic excursion (TAPSE) determined in the M-mode. Furthermore, RV-focused images in the apical four-chamber view were also acquired for further analysis.

Results

Baseline parameters

The baseline characteristics of the patients in the three groups are provided in ► **Table 1**. The groups CRS and CKD were similar in terms of the estimated GFR (26.4 ± 5 and 25.6 ± 7 ml/min/m², respectively). On the other hand, the CRS group was comparable to the NRF group in terms of age (68 ± 12 years and 68 ± 16 years, respectively). With respect to the other parameters, the frequency of CKD-associated comorbidities such as hypertension, hypertensive nephropathy, and diabetic nephropathy was the highest in the CKD, as expected (► **Table 1**).

Echocardiography measurements

With respect to the echocardiography parameters, the systolic ejection fractions in the CRS and CKD groups were similar in the two remaining groups, but significantly lower than in the NRF group ($p < 0.01$, ANOVA on ranks) (► **Table 2**).

The most important features noted in CRS patients were tricuspid insufficiency of a grade greater than or equal to II and vena cava dilatation (of more than 20 mm), which were observed in all and almost all (80 %) of the patients in this group. Furthermore, grade III diastolic ventricular dysfunction was noted only (60 %) and most often (40 %) in the CRS patients (► **Table 2**).

Ultrasound examination

The RI and PI showed slightly higher values in the CKD group compared to the NRF group, but the difference between these values

► **Table 1** Patient characteristics.

Criterion	CRS (n = 30)	NRF (age-matched) (n = 30)	CKD (GFR-matched) (n = 30)
Age (years)	68 ± 12	68 ± 16	62 ± 28
Sex (w/m)	12/18	16/14	17/13
BMI (kg/m ²)	23.3 ± 4.2	25.2 ± 3.6	26.5 ± 4.8
eGFR (CKD-Epi) (ml/min/1.73 m ²)	26.4 ± 5	$81.1 \pm 9^*$	25.6 ± 7
Albuminuria (mg/g creatinine)	68 ± 134	$15 \pm 11^*$	156 ± 231
Blood pressure (mmHg)			
Systolic	104 ± 14	118 ± 14	122 ± 16
Diastolic	72 ± 12	78 ± 12	76 ± 12
Heart rate (bpm)	67 ± 9	74 ± 11	68 ± 8
History of hypertension	21	8	22
Hypertensive nephropathy	2	0	11
Diabetes mellitus	12	2	8
Diabetic nephropathy	0	0	8
ADPKD	0	0	5
Glomerulonephritis	0	0	4
Interstitial nephritis	0	0	1
Cyclosporine toxicity	0	0	1
Cardiorenal syndrome type 2	30 (100 %)	0 (0 %)	0 (0 %)

BMI: body mass index, eGFR: estimated glomerular filtration rate, ADPKD: autosomal dominant polycystic kidney disease; *: parameter with p-value < 0.05 compared to CRS and CKD (ANOVA on ranks).

► **Table 2** Echocardiographic parameters.

Parameter	CRS n = 30	NRF (age-matched) n = 30	CKD (GFR-matched) n = 30
Systolic left ventricular function			
(Ejection fraction, Teichholz) %	44.2 ± 6.2	52.6 ± 5.1 *	47.4 ± 7.2
Diastolic ventricular dysfunction			
None	0 (0%) [#]	22 (73.3%)	20 (66.6%)
Grade I	0 (0%) [#]	8 (26.6%)	18 (60%)
Grade II	12 (40%) [#]	0 (0%)	2 (6%)
Grade III	18 (60%) [#]	0 (0%)	0 (0%)
Tricuspid insufficiency ≥ II °	30 (100%) [#]	0 (0%)	3 (10%)
TAPSE	16.4 ± 1.8 [#]	24.9 ± 1.9	23.5 ± 1.8
RVEDV (mm)	31.8 ± 2.8	30.2 ± 3.2	30.8 ± 2.7
Aortic valve stenosis ≥ II °	0 (0%)	0 (0%)	2 (6.6%)
Mitral valve insufficiency ≥ II °	12 (40%)	0 (0%)	8 (26.6%)
Pericardial effusion	0 (0%)	0 (0%)	1 (3.3%)
Vena cava dilated (> 20 mm)	24 (80%) [#]	0 (0%)	6 (20%)
Pleural effusion	5 (16.6%)	0 (0%)	2 (6.6%)

TAPSE: tricuspid annular plane systolic excursion, RVEDV: right ventricular end diastolic volume; * parameters with p-value <0.05 compared to CRS (ANOVA on ranks); #parameter with p-value <0.05 compared to NRF and CKD (Fisher's exact test)

► **Table 3** Renal ultrasound parameters.

Parameter	CRS	NRF	CKD
Patients	n = 30	n = 30	n = 30
Longitudinal diameter (mm)	109.4 ± 8.5	118 ± 4.8 *	116.8 ± 68.6
Cross-sectional diameter (mm)	48.5 ± 3.9	51.6 ± 6.1	47.5 ± 11.2
Parenchymal widths (mm)			
d1	12.5 ± 0.9	14.6 ± 1.2	10.8 ± 0.8
d2	12.1 ± 1.2	15.5 ± 1.5	10.2 ± 0.9
Pelvis diameter (mm)	23.4 ± 3.7	21.2 ± 3.5	26.5 ± 6.4
Cortex-pelvis proportion (CPP)	1.05 ± 0.8	1.49 ± 0.7 [§]	0.82 ± 0.32
Duplex indices of segmental arteries			
Resistive index	0.75 ± 0.07	0.71 ± 0.05	0.79 ± 0.11
Pulsatility index	1.23 ± 0.08	1.24 ± 0.11	1.34 ± 0.12
Duplex parameters of segmental veins			
Biphasic flow pattern present	30 (100%) [#]	3 (10%)	4 (13.3%)
Maximal positive venous velocity (cm/s)	25 ± 16 [#]	6 ± 4	4 ± 3
Maximal negative venous velocity (cm/s)	23 ± 14	24 ± 14	22 ± 12
Venous velocity index (VVI)	0.81 ± 0.18[#]	0.22 ± 0.20	0.18 ± 0.17
Biphasic flow pattern hepatic veins	30 (100%) [#]	0 (0%)	8 (26.6%)

* parameters with p-value <0.05 compared to CRS (ANOVA on ranks); §parameters with p-value <0.05 compared to CKD and CRS (ANOVA on ranks); #parameters with p-value <0.05 compared to NRF and CKD (ANOVA on ranks or Fisher's exact test)

when comparing the CRS and CKD patients was only minimal (► **Table 3**). On the other hand, the VVI was significantly higher in the CRS group (0.81 ± 0.18) than in the CKD group (0.18 ± 0.17 , $p < 0.01$, ANOVA on ranks) and the NRF group (0.22 ± 0.20 , $p < 0.01$, ANOVA on ranks) (► **Table 3**). Thus, the new index was much more

effective in predicting CRS. The area under the receiver-operating characteristic curve (ROC) to predict diagnosis of CRS was 0.95 for VVI, 0.73 for RI and 0.43 for PI (► **Fig. 1**). In fact, the positive predictability for CRS using VVI values of > 0.6 was high, at 96.4% in patients with VVI. Moreover, correlation analysis shows significant association between the VVI and the degree of diastolic dysfunction ($p < 0.001$, $r = 0.93$, Spearman's rank-order correlation). In contrast, the standard duplex ultrasound parameters RI and PI did not correlate with the severity of diastolic dysfunction ($p = 0.26$, $r = 0.38$ and $p = 0.32$, $r = 0.29$, respectively, Spearman's rank-order correlation).

The biphasic flow pattern in the renal segmental veins was noted in all patients with CRS, while the frequency of this flow pattern in the remaining two groups was similar and only about one-tenth (► **Fig. 2–4**). Furthermore, CRS patients showed the biphasic flow pattern in the case of the hepatic veins.

Discussion

Although CRS has been defined and classified into different types, these developments have been fairly recent, occurring only within the last decade [13]. There still remains a significant amount of ambiguity among clinicians regarding the pathogenesis and best treatment options for this complex syndrome [2, 3]. Renal dysfunction has been known to compromise cardiac function. In fact, even a small elevation in urinary albumin has been known to cause a two- to four-fold increase in the risk of cardiovascular disease [3]. However, the condition remains difficult to diagnose, which makes it difficult to ensure timely intervention.

Use of Doppler ultrasound parameters in the detection of the cardiorenal syndrome [14, 15] has been advocated by several investigators. Doppler ultrasound is particularly suitable for patients with renal dysfunction because it is noninvasive and does not carry the risk of renal complications, unlike other investigative modalities.

► **Fig. 1** Diagnosis of cardiorenal syndrome with the duplex ultrasound parameters venous velocity index (VVI), resistive index (RI) and pulsatility index (PI). The area under the receiver-operating characteristic curve (AUC) to predict diagnosis of CRS was 0.95 for VVI, 0.73 for RI and 0.43 for PI. VVI values of 0.61 (arrow) and RI values of 0.715 (arrow) had the highest accuracy. The PI has a lower probability to detect CRS as randomly selected controls.

► **Fig. 2** Exemplary kidney duplex ultrasound investigations of patients with cardiorenal syndrome (CRS) and grade III (a) and grade II (b) diastolic dysfunction. Venous Doppler spectrum of both patients demonstrates a biphasic flow pattern. Calculation of the venous duplex index (VVI) in patient A: $VVI = V1/V2 = 1.6$; patient B: $VVI = V1/V2 = 0.77$.

► **Fig. 3** Exemplary kidney duplex ultrasound investigations of a patient with CKD. Venous Doppler spectrum of a patient with ADPKD (a) shows a monophasic negative flow pattern, whereas a patient with nephrosclerosis shows a biphasic flow pattern (b). Calculation of the venous duplex index (VVI) in patient A (no positive flow): $VVI=0$; patient B: $VVI=V1/V2=0.16$.

► **Fig. 4** Exemplary kidney ultrasound investigations of living kidney donors with normal remnant kidney and cardiac function. Venous Doppler spectrum of a 65-year-old patient (a) shows a monophasic negative flow pattern, whereas a 58-year-old patient (b) shows a biphasic flow pattern (b). Calculation of the venous duplex index (VVI) in patient A (no positive flow): $VVI=0$; patient B: $VVI=V1/V2=0.32$.

ties such as contrast-enhanced computed tomography and digital subtraction angiography.

Among the various Doppler ultrasound parameters, RI has been gaining ground as a useful factor in the prediction of cardiorenal syndrome [14, 15]. A renal RI of ≥ 0.70 is generally considered indicative of abnormal renal function and poor prognosis [16, 17]. However, contrary to this, we found these levels of RI in our patients with NRF. This finding may be attributed to the fact that our patients with NRF had only a single kidney since they were living donors. However, it is unclear whether and how this factor would contribute to this discrepancy in our study and previous ones.

Furthermore, we found that the VVI had greater value in predicting CRS compared to the RI and PI. This finding is consistent with those reported by Iida et al. [18] and Nijst et al. [19]. They analyzed the intrarenal venous flow patterns in patients with heart failure [18, 19] and found that, compared to the RI, the venous flow patterns were independent predictors of a compromised heart [18] and correlate with intravascular volume expansion [19]. They attributed this to the close association between the renal venous flow patterns and the renal congestion associated with cardiac dysfunction.

In addition, Iida et al. [18] found three patterns of intrarenal venous flow, namely, the monophasic, biphasic, and continuous wave

forms. They noted that the prognosis of the patients was the worst for the monophasic and most favorable for the continuous form [18]. Consistent with their findings, all patients in the CRS group in our study showed the biphasic venous flow pattern, not only in the renal segmental veins but also in the hepatic veins. These changes could also be associated with the cardiac dysfunction in CRS patients.

In this study, we included both age-matched and GFR-matched controls for comparison with the CKD patients with CRS in order. This group selection was made in order to assess the specificity of the newly developed ultrasound index. The fact that the positive predictability of the VVI in CRS patients was very high (96.4%) indicates that our index is highly specific.

Our finding that the VVI correlates with diastolic dysfunction in patients with CRS indicates that diastolic heart failure might be the predominant factor leading to renal venous congestion. Even if a gold standard for the diagnosis of CRS is pending, the VVI might be integrated into a diagnostic algorithm in order to simplify the diagnosis of cardio renal disease.

Our study does have some limitations, including a small sample population and retrospective design. We intend to further validate our findings in more large-scale, multi-center trials across various ethnicities in order to justify the widespread application of this new index.

Recent studies revealed that nearly 30% of patients admitted with decompensated heart failure had an elevated creatinine concentration or a history of renal insufficiency (20). Renal dysfunction is one of the most important independent risk factors for poor outcomes and all-cause mortality in patients with heart failure (21). Thus, there is an unmet medical need for new diagnostic approaches to detect patients with CRS as early as possible.

Clinically, our findings in this study imply that it may be possible to identify almost all patients with CRS by means of a simple Doppler ultrasound examination during routine follow-up investigations in outpatient clinics.

Early detection of CRS in this manner would allow for the implementation of early interdisciplinary intervention strategies. This would, in turn, reduce the socioeconomic burden of CRS patients and improve their clinical outcome.

Conflict of Interest

The authors state that there is no conflict of interest.

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Notice

This article was changed according to the erratum on 04.04.2022.

Erratum

In the abovementioned article, the name of a co-author was indicated incorrectly. Correct is: Wolfram Johannes Jabs. This was corrected in the online version on 04.04.2022.