Bilateral Total Knee Arthroplasty Guidelines: Are We There Yet?

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Abstract

The proportion of bilateral total knee replacements (BTKR) to unilateral total knee replacement (UTKR) in the United States is increasing. From 1990 to 2004, the use of BTKRs more than doubled for the entire civilian population and almost tripled among the female population. BTKRs can be performed in a single-stage or a staged procedure. Supporters of single-stage BTKR point out its low complication rates, high patient satisfaction, and cost-effectiveness. Others strongly believe that BTKR performed during the same anesthetic session is associated with increased morbidity and mortality. Single-stage BTKR surgery aims at reducing the exposure to repeated anesthesia, total hospitalization and recovery time, and cost, while maintaining patient safety and reducing the negative clinical and functional outcomes observed in patients undergoing UTKR or staged BTKR. This article presents the current concepts and controversies around BTKR surgery based on the authors' body of research and a review of the literature. We also present our institutional guidelines for candidates for single-stage BTKR.

Keywords

- bilateral knee replacement
- bilateral TKA
- bilateral TKA guidelines
- single-stage bilateral TKA

Approximately 20% of patients undergoing primary unilateral total knee replacements (UTKR) complain of severe pain in the contralateral knee,¹ and 10% of patients who have had a primary total knee replacements (TKR) would undergo contralateral TKR surgery within 1 year.²

The proportion of bilateral total knee replacements (BTKR) to UTKR in the United States is increasing. We estimated the rate of BTKR / UTKR + BTKR to be 4% between 1990 and 1994 and 6.5% in the period between 1998 and 2006.³ From 1990 to 2004, 20.2% of BTKRs were performed between 1990 and 1994, 28.7% between 1995 and 1999, and 51.1% between 2000 and 2004. From 1990 to 2004, the use of BTKRs more than doubled for the entire civilian population and almost tripled among the female population.⁴

These trends may be driven by the expansion of indications for TKR to younger, healthier, more active patients and the epidemic of obesity and its consequences in the progression of osteoarthritis,^{3,5–8} all these factors resulting in a higher demand for the procedure. Advances in anesthesia, surgery, and perioperative care may further contribute to the increase in the use of BTKR.⁴

The supporters of single-stage BTKR point out its low complication rates, high patient satisfaction, and cost-effectiveness.^{8–15} Others strongly believe that BTKR performed during the same anesthetic session is associated with increased morbidity and mortality.^{14–21}

The objective of single-stage BTKR surgery is to reduce the exposure to repeated anesthesia, total hospitalization and

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recovery time, and cost, while maintaining patient safety, and to reduce the negative clinical and functional outcomes observed in patients undergoing UTKR or staged BTKR.^{10,11,22–36} However, in light of the divided opinions on the use of single-stage BTKR surgery, thorough patient selection seems to be the safest clinical approach.^{8,16,37–39}

The aim of this article is to present the current concepts and controversies around BTKR surgery based on the authors' body of research and a review of the literature.

Advantages of Single-Stage Bilateral Total Knee Replacement The Use of One Anesthetic Session

Despite the low rate of major complications associated with anesthesia, the risks of potential procedures (i.e., endotracheal intubation in the case of general anesthetic, neuraxial complications with a regional technique), and those associated with the administration of drugs and insertions of invasive lines cannot be discounted completely. Hence, from an anesthetic procedural aspect, the avoidance of a second exposure is beneficial.

Shorter Surgical Time

Surgical time varies with the type of BTKR performed. Liu et al.⁴⁰ reported that the mean operative and tourniquet time for patients undergoing sequential procedures was 19 minutes and 26 minutes shorter than for those undergoing staged BTKR, respectively. This reflects in lower operating room costs and in the reduction of potential risks associated with tourniquet application, such as nerve palsy, vascular injury, muscle damage, and postoperative swelling and stiffness.^{41–47} Less frequent complications have also been observed with tourniquet use, such as, intraoperative cardiac arrest at the time of deflation, reactive hyperemia, early infection and wound healing disorders due to perioperative hypoxia, and reduced postoperative tissue perfusion.^{48–53}

Diminished Use of Pain Medication

Some authors have challenged the belief that patients undergoing single-stage BTKR experience worse pain and have a higher requirement for narcotics than those undergoing UTKR.^{17,54,55} Powell et al observed that narcotic requirements were not significantly different for the simultaneous BTKR group compared with a UTKR group during the first 72 hours after surgery.⁵⁴ Shetty et al prospectively studied 50 patients undergoing sequential BTKR and 50 patients undergoing UTKR.⁵⁵ The mean difference in postoperative VAS scores was significant only on the first postoperative day.

Lower Cost

Several authors^{9,23,26,30} showed that single-stage BTKR results in overall savings in the range of 18 to 58% compared with the cost of staged procedures. The economic benefit of single-stage BTKR surgery arises from shorter hospital stay,^{25,56} lower charges for laboratory tests, medical consultations, operating room costs, anesthesia and surgical fees, recovery room time, antibiotics, and physical therapy.¹⁴ One

study⁵⁶ reported that the proportion of patients being discharged to a rehabilitation center was higher in the simultaneous BTKR group (55%) than in the UTKR group (33%). Nonetheless, the proportion of patients readmitted within 12 months of surgery for condition related to the knee surgery was higher in the UTKR group (12%) than in the BTKR group (5%).

Excellent Clinical Results and Patient Satisfaction

Five studies^{12,14,17,56,57} reporting on the clinical results of BTKR patients argue that single-stage BTKR is very successful. BTKR patients demonstrated similar or better results in terms of range of motion,¹⁷ Oxford Knee Score,¹⁷ Knee Society Score (KSS),⁵⁷ survivorship at 7 years¹⁴ and 10 years,¹² and patient-driven outcome tools like the Western Ontario and McMaster Universities Arthritis Index,⁵⁶ and the 36- and 12-Item Short Form Health Survey (SF-36⁵⁶ and SF-12⁵⁷). Patient satisfaction and health perception are clear advantages of single-stage BTKR, with 95% of patients who would opt for the same procedure again.¹⁴ Other authors observed that patients undergoing simultaneous BTKR have improved physical and social function, less pain, and better general and mental health than patients undergoing UTKR.⁵⁶

A large survival analysis¹⁰ on 2,050 simultaneous BTKR patients, 152 staged BTKR patients (performed within 1 year), and 1,796 UTKR patients at 5, 10, and 15 years postoperatively concluded that patients who had undergone UTKR had significantly lower KSS compared with patients with simultaneous BTKR.

Concerns with Single-Stage Bilateral Total Knee Replacement

We reviewed a total of 33 comparative studies on BTKR surgery.⁵⁸ Seven studies reported significant differences in *local complication* rates: Three studies observed higher complication rates in the UTKR group with respect to either single-stage or staged BTKR groups^{10,25,59}; four studies observed higher local complication rates in the single-stage BTKR groups than in the UTKR group^{3,10,60,61}; and two studies reported higher local complication rates in the staged BTKR group versus the UTKR group.^{3,60} Finally, one study observed higher local complication rates in the staged BTKR group compared with the single-stage BTKR group.⁶²

In terms of systemic complications, 17 studies observed significant differences between the study groups. Twelve studies observed higher systemic complication rates in the single-stage BTKR group compared with the UTKR group^{3,10,15,16,18,25,56,60,61,63–65}; one study reported higher systemic complication rates in the UTKR patients than in the staged BTKR patients⁵⁹; and three studies reported higher systemic complication rates in the staged BTKR group versus the single-stage group.^{3,34,62} Furthermore, one study observed that nosocomial infections were significantly lower in the 3-month staged BTKR group with respect to single-stage BTKR and 6-week/6-month/12-month staged BTKR groups.⁶² Finally, one study reported higher systemic complication rates in the single-stage BTKR group than in staged

BTKR patients,⁶⁶ and one study observed increased systemic complication rates in BTKR patients (all groups) with respect to UTKR patients.⁶⁷

Regarding mortality rate, four studies recorded significant differences among groups. Specifically, one study observed increased mortality rates in the single-stage BTKR groups versus both UTKR and staged BTKR recipients only if older than 75 years of age⁶⁸; one study reported higher mortality rates in the single-stage group compared with the staged procedures groups⁶⁰; and two studies observed increased mortality rates in either single-stage or staged BTKR groups compared with UTKR group.^{3,19}

Increased Risk of Cardiac Complications

Several authors have reported an increased risk of cardiac complications in patients undergoing single-stage BTKR.^{10,11,33,63,64} Indeed, myocardial ischemia was found to be 4 to 6 times more frequent in single-stage BTKR patients than in UTKR patients.^{16,63,64} Our institutional experience^{37,65} showed that single-stage BTKR is associated with a significantly higher risk of cardiovascular complications especially in patients with medical comorbidities such as pulmonary hypertension, congestive heart failure, chronic pulmonary disease, and renal disease. Patients with reduced end-organ reserve, and thus decreased ability to compensate for these insults, may be at high risk.³

Increased Risk of Pulmonary Complications including Thromboembolism

Fat and pulmonary emboli are more frequent in patients undergoing single-stage BTKR than in those undergoing UTKR. Some authors suggested using a fluted intramedullary rod, slow rod insertion technique, and overdrilling the entry point for the guide rod^{28,69,70} to reduce such risk. However, others have discredited this theory.¹⁸

The increased risk of thromboembolism seems to be related with the prolonged operating time of single-stage BTKR, the cementing of the components, the surgical intervention at both lower extremities, and a prolonged duration of relative immobility,⁷¹ all of which contribute to trigger the Virchow triad.⁷² Our study of in-hospital complications of TKR surgery⁵ demonstrated a 50% increased risk of pulmonary embolism (PE) in patients undergoing BTKR as opposed to UTKR. Similarly, other authors reported an 80% higher adjusted risk of PE in the BTKR group⁷³ and an increased risk of PE in single-stage BTKR patients.⁷⁴ Although most studies warn of a higher risk of PE in patients undergoing single-stage BTKR, one investigation reported a similar rate of asymptomatic deep venous thrombosis (41%) in single-stage BTKR and UTKR patients not receiving thromboprophylaxis.⁷⁵

Given the fact that most studies have observed a higher risk of pulmonary complications including thromboembolism, it seems prudent to screen patients who are suspected of having increased pulmonary pressure or right heart dysfunction, including patients with sleep apnea and those with a history of PE, and to consider them at a high risk. These conditions may be associated with higher impedance to venous return due to increases in right heart pressures,

especially after additional increases in pulmonary vascular resistance brought on by embolizing debris after bilateral procedures.^{76–79}

Increased Perioperative Mortality

One study⁶² reviewing 62,730 BTKRs reported a 30-day mortality rate of 0.33% for staged BTKR as opposed to 0.99% for simultaneous BTKR ($p < 0.05$). Parvizi et al¹⁹ reviewed 19,861 UTKRs and 2,679 single-stage BTKRs performed at their institution, reporting a 30-day mortality rate of 0.17% and 0.49%, respectively ($p < 0.05$). However, other authors¹² reported that the 90-day mortality rate of 2,385 sequential BTKR patients (0.3%) and 719 UTKR patients (0.7%) was comparable.

In our analysis of the National Hospital Discharge Survey,⁵ we found that the in-hospital mortality rate of BTKR patients (0.5%) was higher than that of patients undergoing UTKR (0.3%). The discrepancy in mortality between BTKR and UTKR was confirmed in our most recent analysis of the Nationwide Inpatient Sample.³

Increased Risk of Postoperative Confusion

Postoperative confusion rate after single-stage BTKR, compared with UTKR, is higher.^{5,18,80,81} The cause for this complication seems to be strongly related to the higher degree of systemic inflammation and higher rates of fat/debris embolization.^{18,82} Some researchers have conducted intraoperative hemodynamic monitoring, electroencephalography, and direct ultrasound imaging of the carotid artery in patients undergoing bilateral lower limb replacements, observing debris embolization into the arterial circulation especially on tourniquet deflation immediately after the second of two sequential arthroplasty procedures.^{82,83}

Potential Increase in Wound Infection Rate

The literature is divided with respect to wound infection rates following single-stage BTKR and UTKR.^{25,61,66} Authors who have observed a higher infection rate in single-stage BTKR surgery^{25,61} blame the longer operating times, increased number of medical personnel in the operating room, and no rescrubbing, no redraping, and no instrument change for the second knee arthroplasty.

We studied deep and superficial wound infection rates 1 year after single-stage bilateral total *hip* arthroplasties performed with one or two sets of sterile instruments.⁸⁴ The rate of infection was similar.

Some authors suggested that BTKR surgery has a lower risk of perioperative infection compared with UTKR. A large retrospective cohort study observed a significantly lower rate of superficial infection risk for BTKR (0.3%) compared with UTKRs (0.8%).¹⁰ Likewise, our study on in-hospital complications⁵ showed that despite the higher rate of obesity in patients undergoing BTKR (8.3% vs. 6.3% in UTKR patients), those undergoing UTKR had higher rates of procedure-related in-hospital infection (0.1% vs. 0.2% in the UTKR group). The cause may lie in the fact that the UTKR group had an increased prevalence of other comorbidities, some of which responsible for increased risk of infection, that is, diabetes.⁸⁵

Increased Use of Allogenic Blood Transfusions

Utilizing nationally representative data, we found the incidence of postoperative anemia to be around 28.6% in BTKR and 15.3% in UTKR patients.³ The increased risk of allogenic blood transfusions after single-stage BTKR has been reported to be as high as 17-fold.^{5,15,18,37,86} To limit the need for allogenic blood transfusion, some authors recommended the use of a cell saver.⁸⁷

Increased Discharges to Rehabilitation Centers

With respect to UTKR surgery, an increased proportion of patients undergoing single-stage BTKR surgery are discharged to a rehabilitation facility.^{5,9,17,18,54,63} In our previously mentioned study,⁵ we observed that 37.2% of BTKR patients in comparison to 19.6% of UTKR patients was discharged to short- or long-term facilities. The reason for this seems to lie not solely in the slower postoperative mobilization of patients undergoing BTKR, but also in surgeon, physical therapist, and social worker expectation that dedicated rehabilitation after the hospital stay would be more frequently necessary in BTKR compared with UTKR patients.

Optimum Time between Surgeries

The literature provides evidence in support of BTKR surgery performed under a single anesthetic providing that certain patient selection and safety measures are met. We observed that among patients undergoing BTKR during the same hospitalization, 74.8% were performed under the same anesthetic, whereas the remainder was performed on separate days of the hospital admission.³ Procedure-related complications were significantly less frequent in single-stage BTKR patients. However, venous thrombosis and PE occurred significantly more frequently among single-stage procedure recipients (1.48% vs. 1.22% and 0.89% vs. 0.77%, respectively). No statistical difference in the rates of inhospital mortality was seen between groups.

Ritter et al investigated on the ideal timing between surgeries for BTKR candidates.⁶² Their data on over 63,000 BTKRs performed at different times apart suggested that none of the bilateral groups performed categorically better than the others, although 3-month staged BTKR was associated with the most favorable profile. This data are especially important in light of findings by other authors, reporting that patients with equally severe bilateral knee osteoarthritis have a 75% probability of having both knees replaced within 1 year of each other.⁸⁸

Hospital for Special Surgery Guidelines

In light of the presented facts, thorough patient selection for BTKR surgery is crucial. In one study to identify risk factors for morbidity and mortality following BTKR surgery,⁷⁷ we observed that 9.5% of patients developed major complications or mortality during their hospitalization. In accordance with other authors,^{19,67} increasing age was found to be an independent risk factor for major morbidity and mortality. Male gender was associated with increased risk of adverse outcome.

Our institutional experience and critical review of the literature has led to the creation of guidelines for the selection of appropriate candidates for single-stage BTKR, in a desire to reconcile benefits and concerns for safety. Although conclusive evidence is unavailable, the following points should be considered when contemplating single-stage BTKR:

1. *The age of the patient.* Several studies^{10,19,63–65,67,89–92} support that single-stage BTKR surgery in patients of extreme age should be avoided.
2. *The patient's American Society of Anesthesiologists (ASA) classification.* The findings of several authors^{37,65,66,77,89,93} support the exclusion of patients with significant end organ dysfunction (i.e., ASA $\geq$ 3).
3. *Patients' comorbidities.* Patients at risk for occult derangements of pulmonary hemodynamics and right heart dysfunction (i.e., morbidly obese, sleep apnea, chronic obstructive pulmonary disease, previous PE) should undergo cardiopulmonary evaluation with echocardiography to rule out significant preexisting increases in pulmonary artery pressures. Besides pulmonary hypertension, congestive heart failure, and chronic lung disease, other comorbidities should be used as exclusion criteria, including coronary artery disease, renal failure, neurological disease, hepatic dysfunction, and coagulopathies.

Conclusions

Single-stage BTKR is a valuable option for the treatment of debilitating bilateral knee osteoarthritis in the carefully selected patient. Benefits of this procedure include excellent clinical results, the use of a single anesthetic, a shorter overall surgical time, similar or less pain with respect to UTKR, and lower overall use of narcotics. Also, shorter overall recovery time accelerates return to everyday life and work. Patient satisfaction is qualitatively and quantitatively at least equivalent to that of UTKR, with the overwhelming majority of patients who have experienced single-stage BTKR declaring they would opt for the same procedure again. Finally, cost-effectiveness of single-stage BTKR represents a major advantage of the procedure, with overall savings reaching up to 58% compared with the cost of staged procedures.

However, the disadvantages of single-stage BTKR should not be disregarded when evaluating a potential candidate. The higher mortality and life-threatening cardiopulmonary complication rates in single-stage BTKR compared with UTKR patients must be weighed against the previously mentioned advantages when recommending this surgical approach.

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