

# Anticoagulant Effects of Dabigatran in Paediatric Patients Compared with Adults: Combined Data from Three Paediatric Clinical Trials

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## Abstract

**Background** Physiological age-related changes in the haemostatic and coagulation systems result in differing anticoagulant assay responses to standard anticoagulants. Therefore, we investigated the response of anticoagulant assays to dabigatran etexilate (DE) in children compared with adults.

**Objective** This article assesses the relationship between plasma dabigatran concentration and coagulation assay results across age groups in children and adults.

**Patients and Methods** Data from three clinical trials in which children received DE following standard of care for venous thromboembolism were compared with data from adult clinical trials. The effects of dabigatran concentration on diluted thrombin time (dTT), ecarin clotting time (ECT) and activated partial thromboplastin time (aPTT) were analysed graphically and with modelling.

**Results** The concentration–dTT relationships were consistent in children across all ages and adults in the graphical analysis. For ECT and aPTT, relationships based on ratios over baseline were similar across all ages; absolute clotting times showed that the same exposure resulted in longer clotting times in some of the children aged < 1 year versus adults. Modelling showed concentration–clotting time relationships for all three assays were largely comparable between adults and children, except in those aged < 2 months, in whom there was a slight upward shift in ECT and aPTT relative to adults.

**Conclusion** Results suggest that developmental haemostatic changes will have little impact on response to DE. However, further paediatric clinical trials assessing the relationship between coagulation assay responses and clinical outcomes will be needed to confirm this finding.

## Keywords

- ▶ anticoagulants
- ▶ dabigatran
- ▶ direct thrombin inhibitor
- ▶ paediatrics
- ▶ venous thromboembolism

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## Introduction

The overall annual incidence of venous thromboembolism (VTE) in the paediatric population is approximately 0.07 to 0.14 events per 10,000 children, but this number is increasing.<sup>1–3</sup> The current standard of care (SOC) in paediatric VTE is unfractionated heparin (UFH) or low molecular weight heparin (LMWH) followed by UFH, LMWH, or vitamin K antagonists (VKAs).<sup>4–6</sup> However, all of these agents have limitations, such as parenteral administration in the case of heparins and drug–drug and drug–food interactions, and the need for coagulation monitoring in the case of VKAs.<sup>4,6,7</sup> Dabigatran, a direct thrombin inhibitor, which is administered as the orally available pro-drug dabigatran etexilate (DE), has demonstrated efficacy and safety for use in the prevention and treatment of VTE in adults and may overcome some of the limitations associated with current SOC in children.<sup>8–10</sup>

Throughout childhood, physiological age-related changes occur in the haemostatic and coagulation systems that alter coagulation assay responses to standard anticoagulant treatments such as standard heparin, LMWH and VKA.<sup>11,12</sup> Most pro- and anticoagulation factors, for example, are decreased in children, with differences most pronounced in those aged < 12 months when compared with older children and adults.<sup>5,6,13,14</sup> In addition, most cases of VTE in children are secondary to severe underlying disorders, which can result in acquired abnormalities in the haemostatic system.<sup>1</sup> Therefore, studies to assess the relationship between anticoagulant concentration and effect on coagulation assay clotting times in the paediatric population are necessary, and have been used to demonstrate the differences between children and adults for anticoagulants, including UFH, LMWH and VKA.<sup>15–17</sup>

The anticoagulant effect of dabigatran across paediatric age groups was assessed in an *in vitro* study that used pooled plasma samples from healthy children. When corrected for baseline, the clotting time responses to dabigatran in children were comparable between all age groups. They were also similar to those in adults, either for the untransformed clotting times or after correction as a ratio to baseline values, depending on the assay used.<sup>18</sup> As in adults with VTE, different assays respond differently to dabigatran when used in children: a linear concentration–response relationship was observed for ecarin clotting time (ECT) and diluted thrombin time (dTT), whereas a non-linear relationship was seen for activated partial thromboplastin time (aPTT), in both the previously mentioned *in vitro* study and in clinical studies in paediatric patients with VTE.<sup>18–20</sup>

The current post hoc analysis was conducted to compare the relationship between plasma dabigatran concentration and clotting times, as measured with dTT, ECT and aPTT assays *ex vivo* in paediatric patients being treated for VTE in three phase II studies and to evaluate the effect of age on the relationship between plasma dabigatran concentration and coagulation assay results, specifically in those under 2 years of age. For comparison, data observed in adult volunteers and adults with VTE are reported.

## Materials and Methods

The current analysis used data from three clinical trials of dabigatran conducted in children with VTE and four clinical trials of dabigatran conducted in adults, either adults with VTE or healthy adult volunteers. These trials were selected because they included the pharmacodynamic (PD) endpoints dTT, ECT and aPTT. The dTT assays were performed to provide an estimate of the concentration of dabigatran.

The three paediatric trials were: study 1160.88 (NCT00844415; patients aged 12 to < 18 years), study 1160.89 (NCT01083732; patients aged 1 to < 12 years) and study 1160.105 (NCT02223260; patients aged < 1 year), details of which have previously been reported.<sup>20–22</sup> Study 1160.88 was a phase IIa, open-label, multi-centre, single-arm trial to evaluate the safety and tolerability and assess preliminary pharmacokinetic (PK) and PD parameters of dabigatran in adolescent patients, aged between 12 and < 18 years. After successfully completing treatment with LMWH or oral anticoagulation for primary VTE, patients received weight-adjusted doses of DE administered as capsules twice daily for 3 days. Initially, patients received 1.71 ( $\pm 10\%$ ) mg/kg (80% of the adult dose of 150 mg/70 kg twice daily, adjusted for weight). Subsequently, patients received 2.14 ( $\pm 10\%$ ) mg/kg (100% of the adult dose adjusted per patient weight).<sup>20</sup> Study 1160.89 was a phase IIa, open-label, multi-centre, single-arm trial to evaluate the safety, tolerability, PK and PD of an oral liquid formulation of DE in patients aged 1 to < 12 years. Having completed planned treatment with LMWH or oral anticoagulants for VTE, patients received a single weight and age-adjusted dose of DE (equivalent to 150 mg twice daily in adults). Originally, all children were to receive weight-adjusted doses, twice daily for 3 days. However, this was replaced with a single age- and weight-adjusted dose during the course of the study.<sup>21</sup> Study 1160.105 was a phase IIa, open-label, multi-centre, single-arm trial to assess the PK/PD relationship, safety and tolerability of DE in infants aged < 1 year, who had completed standard anticoagulant treatment for VTE. Infants received a single age- and weight-adjusted dose of DE, administered as an oral liquid formulation.<sup>22</sup> The algorithms used to determine the age-/weight-adjusted dosing in the three paediatric studies differed, due to modifications over time.

Data from two adult populations were compared with those of the paediatric groups. A summary of population characteristics is provided in ►Table 1.

One population, referred to as “adult volunteers,” comprised healthy subjects who took part in the phase I study, 1321.1 (NCT01688830)<sup>23</sup> and healthy subjects or those with mild or moderate renal impairment who took part in the phase I study, 1321.2 (NCT01955720).<sup>24</sup> Study 1321.1 was a randomized, placebo-controlled, double-blind, proof-of-concept trial to assess the safety, tolerability and efficacy of idarucizumab for the reversal of the anticoagulant effects of dabigatran. Healthy adult volunteers all received DE 220 mg twice daily for 3 days and a final dose on day 4, followed by idarucizumab or placebo.<sup>23</sup> Study 1321.2 was a randomized, double-blind, crossover study in which middle-aged, elderly and renally impaired subjects received DE 220

**Table 1** Variables from the adult studies

	Adult volunteer		Adult patient	
	Study 1321.1 (NCT01688830) <sup>a</sup>	Study 1321.2 (NCT01955720) <sup>a</sup>	Study 1160.53 (RE-COVER; NCT00291330)	Study 1160.64 (RE-NOVATE II; NCT00657150)
Number of patients in the PK set	51	46	1,179	702
Age mean, y (range)	31 (20–45)	64 (45–76)	55 (18–93)	61 (23–87)
Dosing regimen (s)	220 mg bid	150 mg bid <sup>b</sup> , 220 mg bid	150 mg bid	220 mg qd
Dabigatran concentration <sup>c</sup> IQR, ng/mL	67.4–181	66.4–218	37.3–102	17.2–91.9
dTT				
Number of observations	739	793	– <sup>d</sup>	1,940
Baseline mean, s (range)	32.1 (29.4–38.1)	32.1 (29.7–38.1)	–	32.1 (11.4–82.6)
ECT				
Number of observations	739	793	2,285	– <sup>e</sup>
Baseline mean, s (range)	37.4 (33.6–42.5)	34.6 (30.3–38.9)	– <sup>f</sup>	–
aPTT				
Number of observations	737	792	2,278	1,933
Baseline mean, s (range)	33.2 (23.5–40.0)	29.7 (22.1–38.4)	– <sup>f</sup>	32.6 (19.6–156)

Abbreviations: aPTT, activated partial thromboplastin time; bid, twice daily; dTT, diluted thrombin time; ECT, ecarin clotting time; IQR, interquartile range; PK, pharmacokinetic; qd, once daily.

Note: Summary of dabigatran concentration and clotting assay variables for the adult volunteer and adult patient studies. The following measurements were excluded due to implausibly high values: study 1160.53, aPTT measurements at visit 4 in two patients and at visit 9 in one patient; study 1321.1, aPTT measurement at visit 2 for one patient; study 1321.2, aPTT, ECT and dTT measurements for one patient at visit 3 and aPTT measurement for a second patient at visit 3.

<sup>a</sup>Only placebo and dabigatran treatment periods were used for the current analysis.

<sup>b</sup>Subjects with mild or moderate renal impairment received 150 mg bid.

<sup>c</sup>Based on plasma concentration samples across all sampling times, including samples measured at times other than trough (pre-dose) and samples taken outside of the sampling window.

<sup>d</sup>No dTT was measured in study 1160.53.

<sup>e</sup>No ECT was measured in study 1160.64.

<sup>f</sup>No baseline measurements were taken in study 1160.53.

or 150 mg twice daily for 4 days, followed by idarucizumab or placebo.<sup>24</sup> Only data obtained during the placebo and dabigatran-only treatment periods of study 1321.1 and study 1321.2 were used in the current analysis.

The second adult population, referred to as “adult patients,” comprised patients from the double-blind, randomized, multi-centre RE-COVER study (study 1160.53; NCT00291330), which compared the efficacy and safety of 6 months of treatment with DE 150 mg twice daily with dose-adjusted warfarin for acute symptomatic VTE.<sup>8</sup> Because no dTT or baseline measurements were taken in this study, data from patients of a similar age who were treated in the randomized, double-blind RE-NOVATE II trial (study 1160.64; NCT00657150)<sup>25</sup> were used when necessary. RE-NOVATE II compared the efficacy and safety of DE 220 mg once daily with enoxaparin 40 mg once daily for VTE prevention following elective hip replacement surgery.<sup>25</sup> Descriptions of these adult volunteer and adult patient studies have previously been reported in detail.<sup>8,23–25</sup>

Demographics and clotting assay variables for the adult and paediatric studies are shown in ► **Tables 1** and **2**, respectively.

Clotting time measurements from samples taken from all paediatric, healthy volunteers and adult patients were obtained from one central laboratory (menal GmbH, Emmendingen, Germany), which minimized variability between assay methods and laboratories.

### Assays

The following assays were each performed using 10-channel coagulometers (MERLIN medical MC10PLUS).

**Diluted Thrombin Time** The plasma sample (50 µL) was diluted with 0.9% sodium chloride (350 µL). A total of 50 µL of the diluted sample was then combined with 100 µL of normal pooled human plasma and incubated for 2 minutes at 37°C. Clotting was initiated with the addition of 100 µL of human calcium thrombin. The time between the addition of the thrombin to clot formation was recorded as the coagulation time.

**Ecarin Clotting Time** The plasma sample (75 µL) was combined with 75 µL of imidazole/veronal buffer and incubated for 2 minutes at 37°C. The clotting measurement was initiated with the addition of 75 µL of ecarin solution; the time taken for clot formation was recorded as the ECT.

**Table 2** Variables from the paediatric studies

	Study 1160.88 Ages 12 to < 18 y (NCT00844415)	Study 1160.89 Ages 1 to < 12 y (NCT01083732)	Study 1160.105 Ages < 1 y (NCT0223260)	From study 1160.105 and subset from study 1160.89 Ages < 2 y
Number of patients in the PK set	9	18 <sup>a</sup>	8	14
Age <sup>b</sup> mean (range)	16.2 (13.8–18.0) y	4.8 (1.2–11.8) y	2.4 (1–5) mo	8.8 (1–23) mo
Dabigatran concentration <sup>c</sup> IQR, ng/mL	38.2–75.7	42.5–107	60.1–128	54.9–121
dTT				
Number of observations	42	56	24	42
Baseline mean, s (range)	30.4 (27.3–35.8)	34.0 (29.8–40.2)	30.6 (27.8–32.7)	31.2 (27.8–33.7)
ECT				
Number of observations	42	38	24	41
Baseline mean, s (range)	33.8 (30.1–39.2)	36.9 (31.7–43.4)	40.7 (35.7–47.3)	39.1 (33.4–47.3)
aPTT				
Number of observations	41	42	24	41
Baseline mean, s (range)	34.7 (27.5–53.4)	33.7 (19.8–44.8)	42.1 (36.0–51.2)	37.9 (19.8–51.2)

Abbreviations: aPTT, activated partial thromboplastin time; dTT, diluted thrombin time; ECT, ecarin clotting time; IQR, interquartile range; PK, pharmacokinetic.

Note: Summary of dabigatran concentration and clotting assay variables for the paediatric studies (the subset of patients aged < 2 years is combined from studies 1160.89 and 1160.105). The following measurements were excluded for being outliers: study 1160.88, aPTT at 2 hours for one patient; study 1160.89, aPTT and ECT at 10 hours for two patients, baseline aPTT and ECT for a third patient and baseline aPTT for a fourth patient.

<sup>a</sup>Three receiving multiple-dose treatment, 15 receiving single-dose treatment.

<sup>b</sup>Age at time of (first) dose administration, rounded to 1 decimal.

<sup>c</sup>Based on plasma samples across all sampling times, including samples measured at times other than trough (pre-dose) and samples taken outside of the sampling window.

**Activated Partial Thromboplastin Time** The plasma sample (75 µL) was combined with 75 µL of kaolin–cephalin reagent and incubated for 3 minutes at 37°C with continuous stirring, prior to the addition of 75 µL of 0.025 M calcium chloride, which initiated clotting. The time from the addition of calcium chloride to the formation of a fibrin clot was measured as aPTT.

### Graphical and Modelling Analyses

For each of the three paediatric studies, graphs showing plasma dabigatran concentrations against dTT, ECT and aPTT coagulation times were superimposed on to those from adult volunteers and adult patients. Dabigatran concentration–clotting time observations were expressed untransformed and in terms of their ratio over baseline, if baseline data were available.

The relationships between dabigatran concentrations and clotting times in paediatric and adult datasets were subsequently characterized using modelling. The purpose of this was twofold: (1) the model-based characterization allowed a closer evaluation of the effect of age on the expected range of clotting parameters in the paediatric population; and (2) it allowed adult ranges to be calculated for concentration–clotting time relationship parameters, which could serve as a reference for the comparison with patients in the paediatric dataset.

To achieve these objectives, the modelling approach had to provide predictions on an individual subject-level. Therefore, ‘mixed-effects’ or ‘population’ models<sup>26</sup> were developed

that describe the concentration–clotting time relationship both on a population mean level and in terms of inter-individual variability.

Linear relationships were fitted to subjects’ concentration–dTT and concentration–ECT profiles. To describe inter-individual variability, intercept and slope parameters were considered random variables with variability terms proportional to the parameters’ typical values. The relationship between concentration and aPTT was modelled similarly, except a non-linear ‘Emax’ relationship was assumed rather than a linear relationship.<sup>26,27</sup> The ‘Emax’ model describes aPTT in terms of a baseline effect (‘E0’) and a dabigatran effect, which is a hyperbolic function of drug concentration. The dabigatran effect is described by a maximum effect parameter (‘Emax’) and a potency parameter (‘EC50’), which represents the dabigatran concentration at the half-maximal effect. In order for all parameters in the model to remain estimable, inter-individual variability terms were incorporated on the ‘E0’ and ‘Emax’ parameters only.

The linear and non-linear concentration–clotting time models were applied to the complete paediatric data and to the adult volunteer dataset. The data in these sets were expected to be sufficiently informative to allow estimation of parameters, including inter-individual variability.

Modelling outputs included subject-specific estimates of intercept and slope parameters (for the linear dTT and ECT models) and baseline and maximum effect (for the non-linear

aPTT model). The estimates obtained for the adult population were summarized into reference ranges (minimum, maximum values), whereas those for paediatric patients were regressed non-parametrically against age. Regressions were performed separately for paediatric patients aged < 2 years and those aged  $\geq 2$  years.

Model development was guided by numerical criteria and basic graphical goodness-of-fit diagnostics. Numerical criteria included objective function value, condition number, relative standard errors and shrinkage estimates. Graphical diagnostics included residual plots and plots of observed versus predicted clotting times.

## Software

Mixed-effects modelling was performed using NONMEM version 7.3 (Icon Development Solutions, Ellicott City, Maryland, United States). Data preparation, graphical summaries and non-parametric regressions of dabigatran concentration-clotting time parameters against age were performed

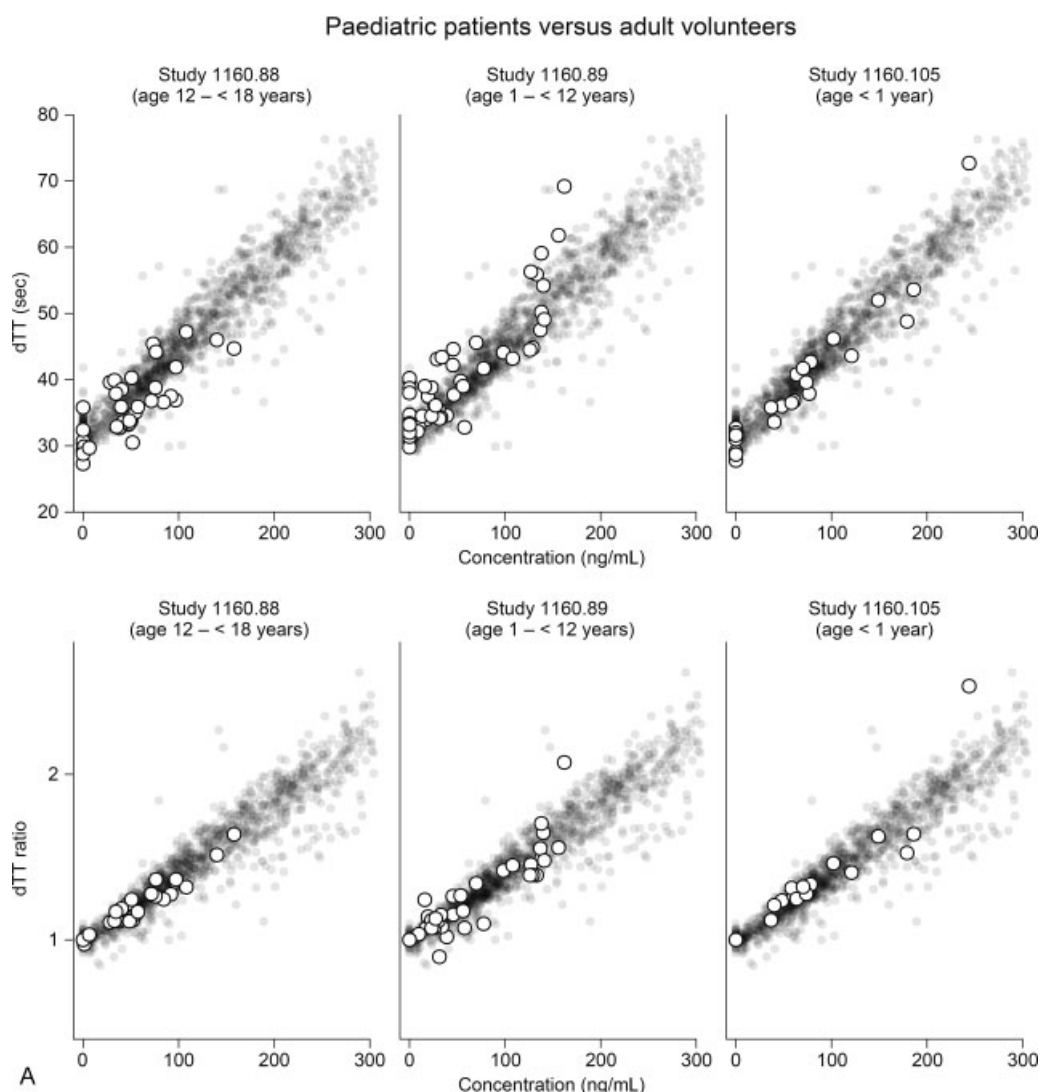
using the R statistical environment version 3.0.3 (R Foundation for Statistical Computing, Vienna, Austria).

## Results

### Graphical Analysis

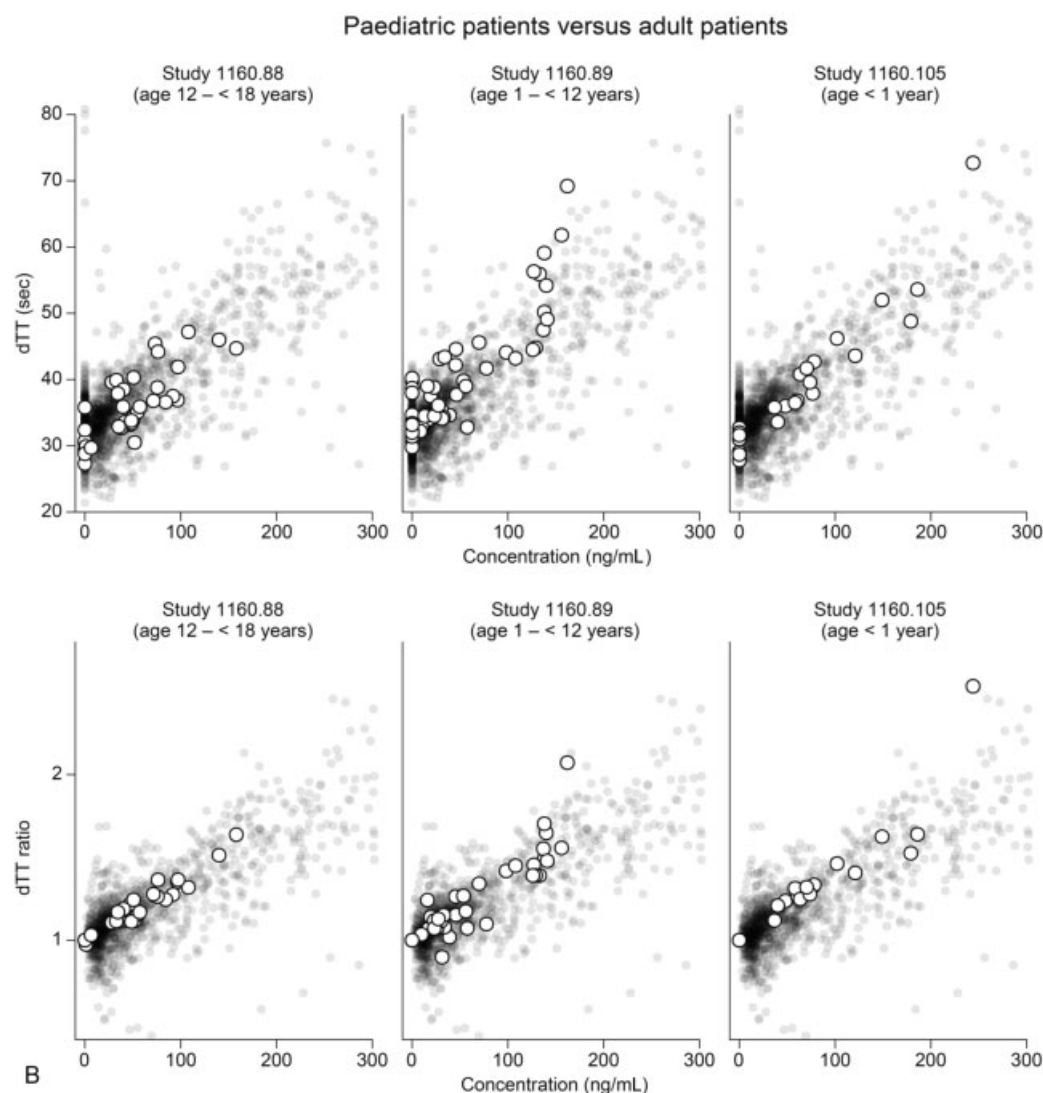
Diluted Thrombin Time Superimposing data from each paediatric study on to results from adult volunteers ( $\rightarrow$  Fig. 1A, top row: untransformed dTT, bottom row: dTT ratio over baseline) and adult patients ( $\rightarrow$  Fig. 1B, top row: untransformed dTT, bottom row: dTT ratio over baseline) showed that paediatric concentration-dTT profiles were consistent with those observed in both adult populations. Note that because dTT data were unavailable from study 1160.53 (treatment of acute VTE), adult patient data were all taken from study 1160.64 (primary VTE prevention in patients undergoing total hip replacement surgery).

Ecarin Clotting Time For ECT, dabigatran concentration-clotting time relationships based on ratios over baseline were similar across all age groups (bottom row of  $\rightarrow$  Fig. 2A). Note



**Fig. 1** Concentration-diluted thrombin time (dTT) relationships. Concentration-dTT relationships for paediatric patients (white circles) overlaid on those of (A) adult volunteers (grey circles) or (B) adult patients (grey circles), for each of the three paediatric studies. Top rows of (A) and (B) show untransformed dTT values; bottom rows of (A) and (B) show dTT expressed as a ratio over baseline. In (B), adult patient data are taken from study 1160.64.





**Fig. 1** (Continued)

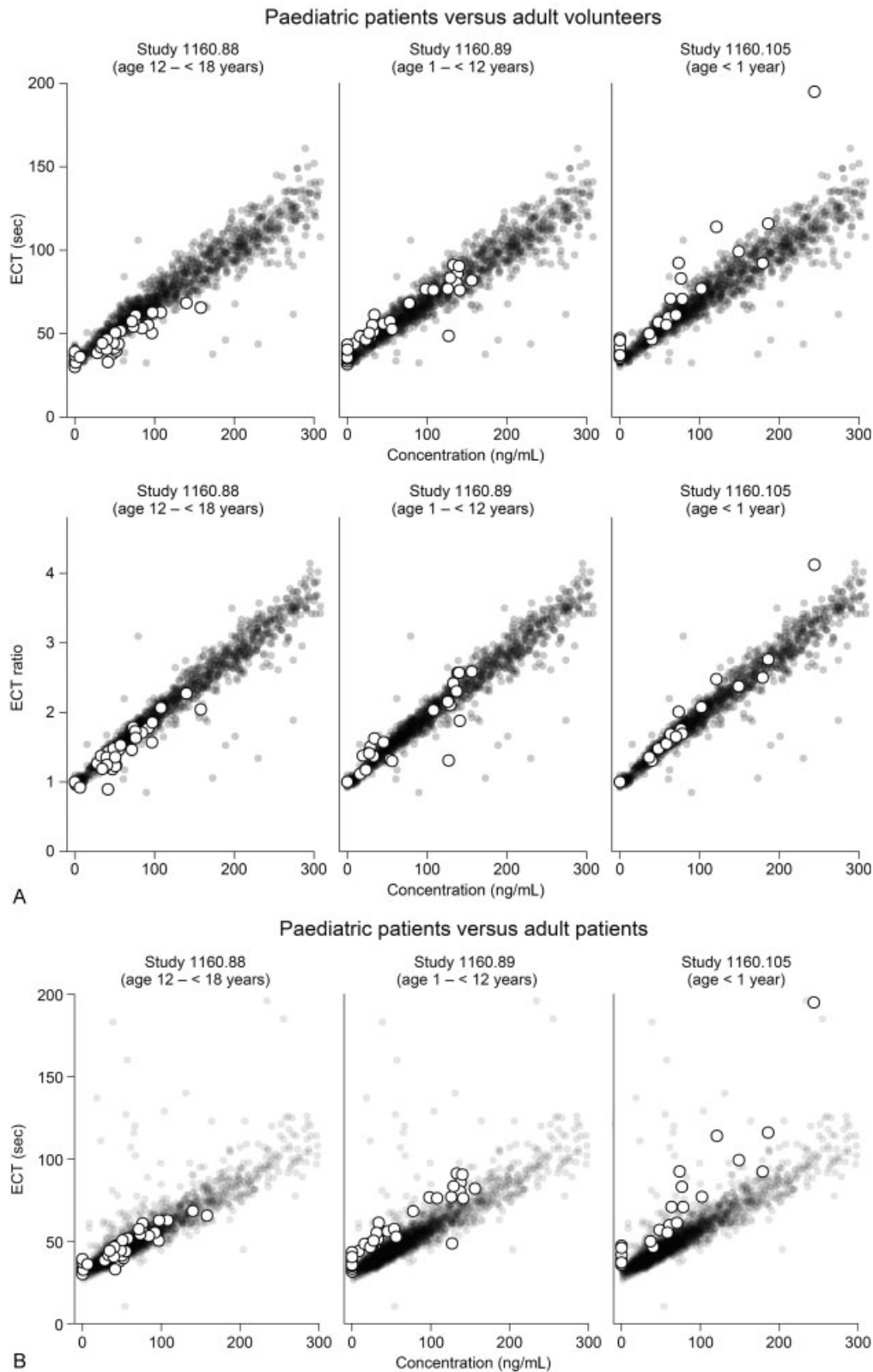
that ECT ratios were not derived for adult patients as baseline data were not available. Data based on absolute clotting times (**Fig. 2B** and top row of **Fig. 2A**), showed concentration–ECT profiles were shifted upwards for patients aged < 1 year compared with the adult groups, which means that the same exposure resulted in longer clotting times. The concentration–clotting time relationship was also shifted upwards in those aged 1 to < 12 years compared with adult patients, but not adult volunteers. This observation implies that concentration–ECT profiles differed between the two comparator adult groups (adult volunteers [**Fig. 2A**] and adult patients [**Fig. 2B**]).

Activated Partial Thromboplastin Time For aPTT, dabigatran concentration–clotting time relationships based on ratios over baseline were also similar across all age groups (bottom rows of **Figs. 3A** and **B**). Study 1160.64, rather than study 1160.53, was used to provide ratios over baseline due to the unavailability of baseline data. As for ECT, data based on absolute clotting times (top rows of **Figs. 3A** and **B**), showed concentration–aPTT profiles were shifted upwards for patients aged < 1 year compared with the adult groups.

### Modelling Analysis

Diluted Thrombin Time **Supplementary Table S1** summarizes parameter estimates for the modelled concentration–dTT relationships in the two populations (paediatric patients and adult volunteers). When the individual-patient parameter estimates were plotted against age (**Supplementary Fig. S1A**, available in the online version), they were approximately constant across the age range for patients aged < 2 years and their means fell within the adult ranges. For paediatric patients aged > 2 years, parameters were lower in adolescents than in those aged 2 to < 12 years; mean predicted parameters fell within adult ranges, except for the mean of the intercept parameter (corresponding to baseline clotting time) in those aged 15 to < 18 years, which was close to or below the adult lower value.

Ecarin Clotting Time **Supplementary Table S2** summarizes parameter estimates for the modelled concentration–ECT relationships in the two populations (paediatric patients and adult volunteers). When the individual-patient parameter estimates were plotted against age (**Supplementary Fig. S1B**, available in the online version), they were within adult ranges



**Fig. 2** Concentration–ecarin clotting time (ECT) relationships. Concentration–ECT relationships for paediatric patients (white circles) overlaid on those of (A) adult volunteers (grey circles) and (B) adult patients (grey circles), for each of the three paediatric studies. Top row of (A) shows untransformed ECT values; bottom row of (A) shows ECT expressed as a ratio over baseline. In (B), only untransformed ECT values are shown; adult patient data are from study 1160.53.

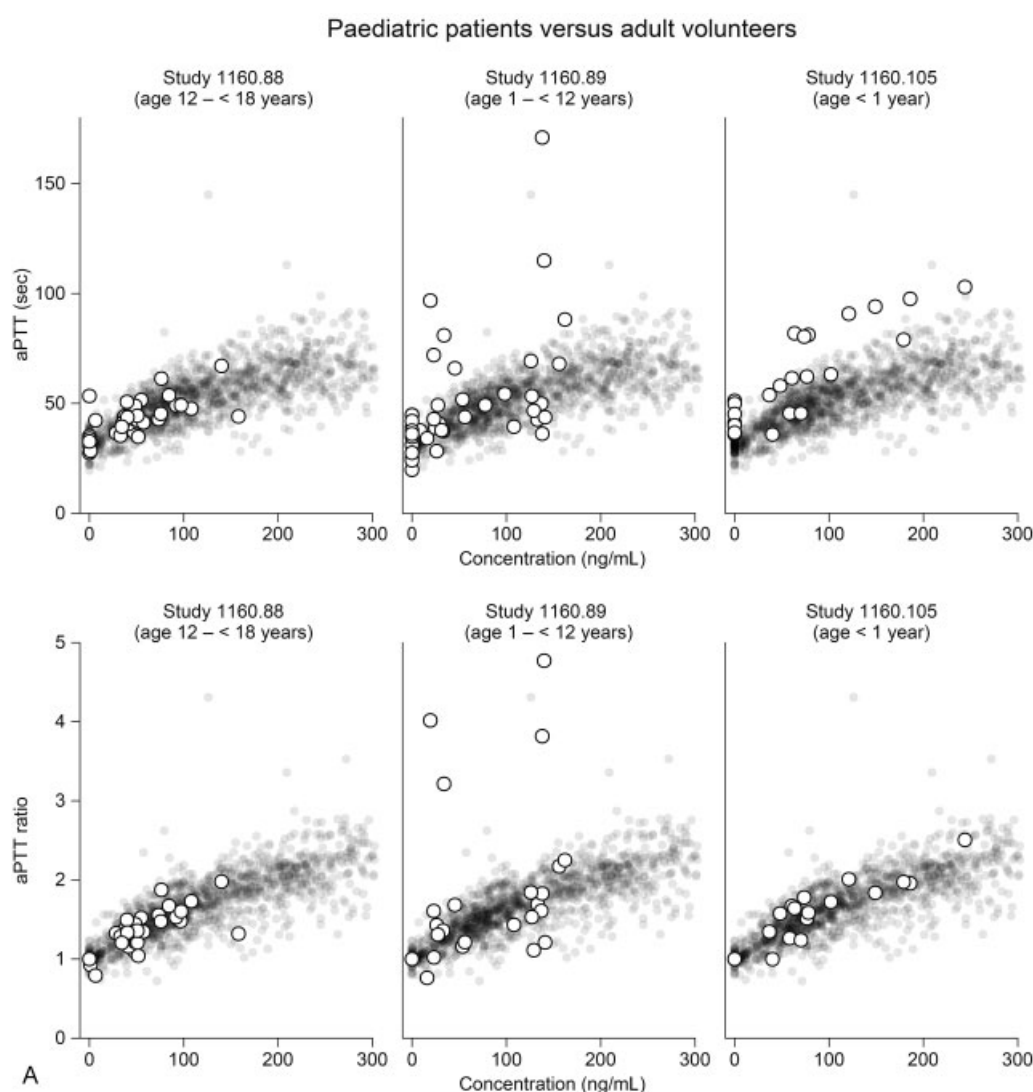
for paediatric patients aged < 2 years, except in those aged < 2 months ( $n = 4$  subjects overall), for whom they were higher (in these patients ECT values were increased by ~10–15% for dabigatran concentrations of 0–250 ng/mL, respectively, compared with the most sensitive concentration–ECT profile predicted in adult volunteers). In paediatric patients aged > 2 years, parameters were slightly lower in adolescent patients than in those aged 2 to < 12 years. However, mean predicted parameters were within the adult ranges, except for the slope parameter (corresponding to drug effect on clotting time) for patients aged 15 to < 18 years, which fell below the adult lower limit (► **Supplementary Fig. S1B**, available in the online version).

Activated Partial Thromboplastin Time ► **Supplementary Table S3** summarizes the parameter estimates for the modelled concentration–aPTT relationships in the two populations (paediatric patients and adult volunteers). When the individual-patient parameter estimates were plotted against age

(► **Supplementary Fig. S1C**, available in the online version), they were within the adult ranges for patients aged < 2 years, with the exception of those aged < 2 months ( $n = 4$ ) for whom they were higher (in these patients aPTT values were increased by ~10–20% for dabigatran concentrations of 0–250 ng/mL, respectively, compared with the most sensitive concentration–aPTT profile predicted in adult volunteers). In paediatric patients aged > 2 years, parameter estimates varied and were on average in the higher end of the adult range (► **Supplementary Fig. S1C**, available in the online version).

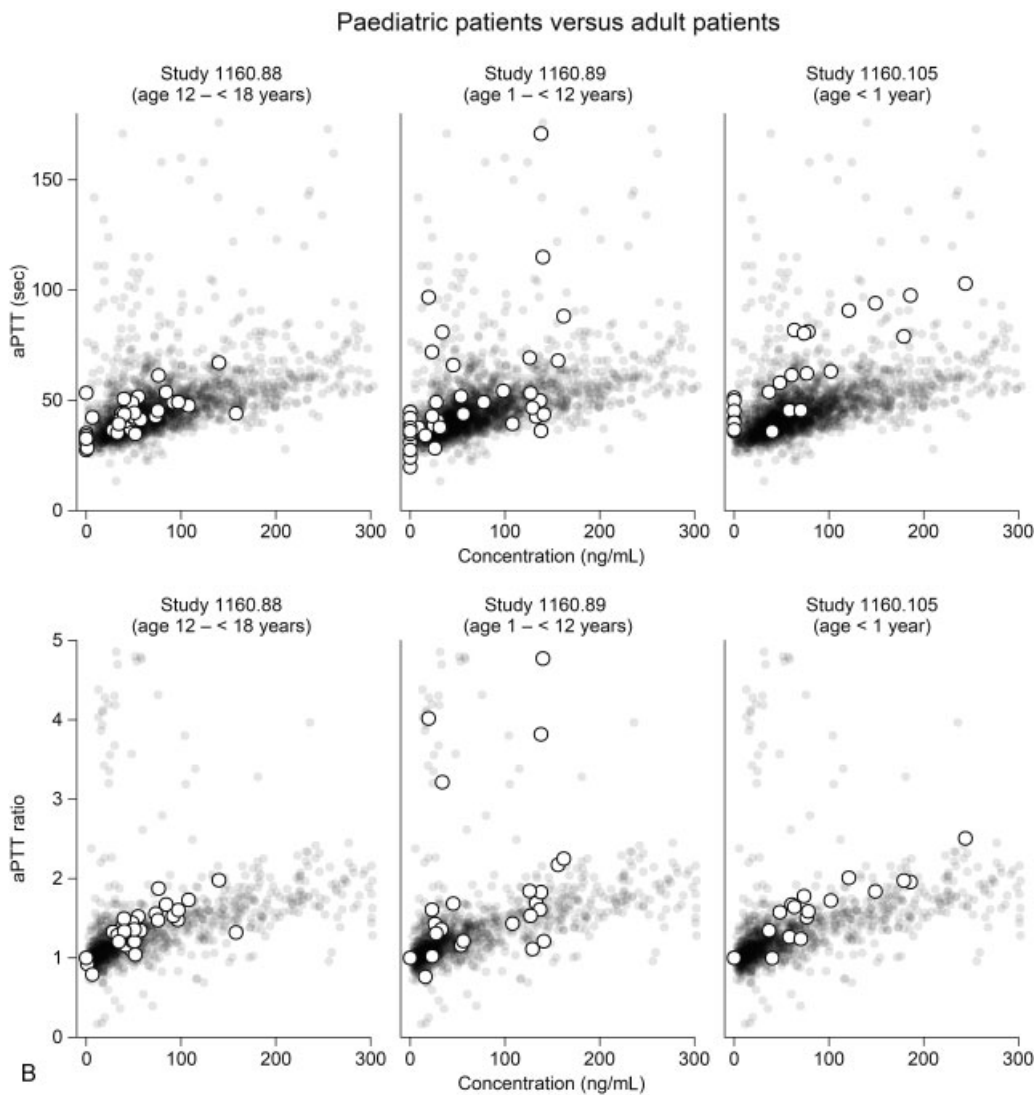
## Discussion

The purpose of the current study was to assess the effect of age on the relationship between plasma dabigatran concentration and standard coagulation assay results, which may help to determine the most appropriate assay for paediatric use. Children aged < 2 years were of particular interest, and



**Fig. 3** Concentration–activated partial thromboplastin time (aPTT) relationships. Concentration–aPTT relationships for paediatric patients (white circles) overlaid on those of (A) adult volunteers (grey circles) and (B) adult patients (grey circles), for each of the three paediatric studies. Top rows of (A) and (B) show untransformed aPTT values; bottom rows of (A) and (B) show aPTT expressed as a ratio over baseline. In (B), adult patient data for untransformed coagulation times were taken from study 1160.53; for ratios over baseline, adult patient data were taken from study 1160.64.





**Fig. 3** (Continued)

assessed separately in the modelling analysis, as age-related differences in the haemostatic and coagulation system are most pronounced in very young children and therefore may warrant special attention.<sup>14</sup> Findings from the graphical and modelling analyses indicated that concentration–dTT relationships were similar across age groups. Compared with adults, aPTT and ECT slightly increased in children aged < 1 year according to the results of the graphical analysis (based on absolute clotting time), and in those aged < 2 months based on the modelling analysis.

The shapes of the plots of individual-patient parameter estimates versus dabigatran concentrations (→ **Supplementary Fig. S1**, available in the online version) reflect how baseline clotting time and drug effect on clotting time vary across different age groups. For example, the variability of parameters is higher in children < 2 years, and there is a downward trend by age for all three assays (even though all parameters are mostly within adult reference limits). In addition, while mean estimates are increased compared with adults for ECT and aPTT in the youngest infants and aPTT in toddlers and school children, they are below adult ranges in adolescents. These variations may be

due to normal age-related physiological changes or other factors that may differ according to age, such as co-morbidities and co-medications. As each study recruited patients from a different age range, the shape of the curves reflects, to some extent, between-study variability.

The prolonged ECT and aPTT absolute clotting times seen in children aged < 1 year relative to adult volunteers and adult patients (in the graphical analysis) were already present at baseline and were driven by the prolonged clotting times of those aged < 2 months (as demonstrated in the modelling analysis). Differences in baseline aPTT values may be expected as aPTT is known to be prolonged in healthy children aged < 6 months compared with older children and adults, potentially due to decreased concentrations of coagulation factors, such as factor IX, factor XI and factor XII.<sup>14</sup> The dTT assay employs a dilution of the paediatric plasma sample with normal adult plasma (1:8) prior to measuring dTT; this ameliorates any variation in haemostatic protein levels in the test plasma sample and, therefore, any prolongation of clotting time in the dTT would be directly correlated with the concentration of dabigatran in the plasma. This

methodology was considered appropriate given that the purpose of the dTT assay was to provide an estimate of the concentration of dabigatran, rather than to determine the effect of age on the anticoagulant effect of dabigatran.

Results of the modelling analysis indicated that subjects with higher baseline aPTT and ECT values tended to show increased sensitivity to dabigatran exposure, which would suggest that concentration–clotting time relationships shift proportionally to a given increase or decrease in baseline value. This may explain why concentration–clotting time relationships were similar across all ages when clotting times were transformed to ratios over baseline in the graphical analysis.

The anticoagulants most commonly used in children are LMWHs, UFH and VKAs.<sup>4–6</sup> For anticoagulation monitoring, aPTT or anti-factor (FXa) assays are usually used to measure the anticoagulant effects of UFH, anti-FXa assays are used for LMWH and the international normalized ratio (INR) is used for warfarin.<sup>6</sup> Coagulation responses to these agents as measured by standard assays have been shown to differ according to age.<sup>15–17,28,29</sup> For example, age-related differences in the effect and concentration of UFH, assessed using anti-FXa, anti-FIIa and protamine titration assays, were demonstrated using blood samples collected from children who had received a single bolus of UFH.<sup>29</sup> Age-based differences in coagulation responses to UFH, LMWH and warfarin have also been demonstrated using thrombin generation assays.<sup>16,17</sup> No such differences were observed for LMWH using thrombin clotting time and anti-FXa assays.<sup>30</sup> The suitability of using coagulation assays designed for adults in the paediatric population must be carefully considered to avoid inappropriate clinical use.

Dietrich et al performed an in vitro study to evaluate five coagulation assays (pro-thrombin time [PT], thrombin time [TT], aPTT, dTT and ECT) for dabigatran in the paediatric population, using pooled plasma samples from healthy children.<sup>18</sup> As seen in adults, PT (which was represented as INR) showed a linear relationship to dabigatran concentration but was relatively insensitive. aPTT was moderately sensitive but showed a non-linear concentration–response relationship, whereas TT and ECT showed linear response curves, but were overly sensitive. As in our study, aPTT and ECT were prolonged at baseline in paediatric patients compared with adults. However, no difference was seen once baseline was corrected for by calculating as a ratio over baseline. Dietrich et al found dTT to be linearly correlated with dose and the relationship was consistent across the paediatric age groups and in adults.<sup>18</sup>

Consistent with adult and in vitro paediatric data, findings from our study suggest that dTT may be the most appropriate assay for dabigatran. However, due to the relatively small number of patients, results from further paediatric clinical trials assessing dTT response with clinical outcomes will be needed to confirm this finding.

Additional clinical studies of DE in paediatric patients are underway and will provide further data regarding PK–PD relationships. The efficacy and safety of DE is being assessed in DIVERSITY (1160.106; NCT01895777), an on-going phase IIb/III, open-label, randomized, parallel-group study in patients aged 0 to < 18 years with VTE. Study 1160.108 (NCT02197416)

is an on-going phase III, open-label, single-arm trial that will assess the safety and PK–PD of DE for the secondary prevention of VTE in children aged 0 to < 18 years.

## Limitations of the Study

The panel of clotting assays used in the paediatric studies for the current analysis was limited to dTT, ECT and aPTT. Experience with these assays was gained in the adult VTE development program. An evaluation of the similarity of concentration–effect (or PK/PD) relationships in adult versus paediatric populations is recommended as part of the paediatric drug development strategy.<sup>31</sup> The adult comparator studies used in this analysis differ from the paediatric studies both in terms of underlying conditions of the populations and in terms of study designs. However, the selected comparator studies were deemed useful in determining the extent of similarity between paediatric and adult concentration–effect relationships.

The studies in adult volunteers were well-controlled early clinical phase studies that employed dense sampling strategies for all three selected clotting assays, allowing for precise characterization of model parameters (–**Supplementary Tables S1–S3**, available in the online version), including baseline values for the assays. The low level of variability between subjects allowed conservative lower and upper boundaries for adult reference clotting parameters to be determined, which could be compared against paediatric parameter values (–**Supplementary Fig. S1**, available in the online version). Of note, healthy adult data have been used previously to demonstrate similarity of adult versus paediatric concentration–aPTT relationships.<sup>27</sup>

RE-COVER was selected as the main adult patient comparator study because it enrolled patients with objectively diagnosed VTE, as in the paediatric studies. For the graphical analyses, adult patient data from the RE-NOVATE II study were needed to supplement that from RE-COVER, due to the unavailability of dTT and baseline measurements; therefore, random between-study variability may be at least in part responsible for differences in baseline and effect parameters between age groups.

It was not assessed whether the correlation between baseline clotting time (intercept) and sensitivity of the assays (slope) differs between paediatric age groups. Finally, the differences in concentration–clotting time relationship observed between adults and those aged < 2 months is based on very few paediatric patients ( $n = 4$ ), limiting the extent to which data can be interpreted in this age group.

## Conclusion

The effects of DE on dTT were similar across age groups in both the graphical and modelling analyses. The relationship between concentration and aPTT or ECT clotting times was similar in children and adults when based on ratios over baseline in the graphical analysis. However, when absolute clotting times were used, aPTT and ECT values were slightly increased in those aged < 1 year; modelling showed an increase in aPTT and ECT clotting times by 10 to 20% and 10 to 15%, respectively, in those aged < 2 months compared

with adult populations. The similarity of relationships observed across paediatric age groups implies that developmental changes in the haemostatic system may have little effect on response to dabigatran, with the possible exception of children aged < 1 year. Potentially other clinical factors currently not yet identified may introduce further variability on the response to dabigatran. The comparable relationship seen in children and adults may suggest that a similar clinical response can be expected in these two populations. However, further studies on a larger number of subjects, including neonates, are needed to confirm these findings.

### What is known about this topic?

- Throughout childhood, physiological age-related changes occur in the haemostatic and coagulation systems that alter coagulation assay responses to standard anticoagulant treatments such as heparins.
- The anticoagulant effect of dabigatran across paediatric age groups had been assessed in an in vitro study that used pooled plasma samples from healthy children. When corrected for baseline, the clotting time responses to dabigatran in children were comparable between all age groups and were also similar to those in adults. There is only scarce information available from pediatric patients being treated for venous thromboembolism regarding the relationship between plasma dabigatran concentration and coagulation assay results. The current post hoc analysis was conducted to evaluate the effect of age on the relationship between dabigatran concentration and clotting time responses.

### What does this paper add?

- The concentration-dTT relationships were consistent in children across all ages and adults in the graphical analysis. For ECT and aPTT, relationships based on ratios over baseline were similar across all ages; absolute clotting times showed that the same exposure resulted in longer clotting times in some of the children aged < 1 year versus adults. Modelling showed concentration-clotting time relationships for all three assays were largely comparable between adults and children, except in those aged < 2 months, in whom there was a slight upward shift in ECT and aPTT relative to adults.
- The similarity of pharmacokinetic-pharmacodynamic relationships observed across paediatric age groups implies that developmental changes in the haemostatic system may have little effect on response to dabigatran.

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### Conflict of Interest

Hugo Maas, Savion Gropper, Fenglei Huang, Joachim Stangier, Igor Tartakovsky and Martina Brueckmann are employees of Boehringer Ingelheim. Jacqueline M. L. Halton is a member of a Pediatric Expert Working Group for Boehringer Ingelheim. Lesley G. Mitchell is a consultant for Boehringer Ingelheim, Pfizer and Bristol-Myers Squibb.

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