Clinical Analysis of Neonates Born to Mothers with or without COVID-19: A Retrospective Analysis of 48 Cases from Two Neonatal Intensive Care Units in Hubei Province

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Abstract

Objective The perinatal consequences of neonates born to severe acute respiratory syndrome-associated coronavirus-2 (SARS-CoV-2) infected mothers are uncertain. This study aimed to compare the differences in clinical manifestation, laboratory results, and outcomes of neonates born to mothers with or without coronavirus disease 2019 (COVID-19).

Study Design A total of 48 neonates were admitted to Tongji Hospital and Huangshi Maternal and Child Healthcare Hospital from January 17 to March 4, 2020. The neonates were divided into three groups according to the mothers' conditions: neonates born to mothers with confirmed COVID-19, neonates born to mothers with clinically diagnosed COVID-19, and neonates born to mothers without COVID-19. The clinical data of mothers and infants in the three groups were collected, compared, and analyzed.

Results The deliveries occurred in a negative pressure isolation room, and the neonates were separated from their mothers immediately after birth for further observation and treatment. None of the neonates showed any signs of fever, cough, dyspnea, or diarrhea. SARS-CoV-2 reverse transcriptase-polymerase chain reaction of the throat swab and feces samples from the neonates in all three groups was negative. No differences were detected in the whole blood cell, lymphocytes, platelet, and liver and renal function among the three groups. All mothers and their infants showed satisfactory outcomes, including a 28-week preterm infant.

Conclusion The clinical manifestations, radiological, and biochemical results did not show any difference between the three groups. No evidence of vertical transmission was found in this study whether the pregnant women developed coronavirus infection in the third (14 cases) or second trimester (1 case).

Keywords

- ▶ coronavirus disease 2019
- ▶ vertical transmission
- ▶ maternal–infant infection
- ▶ newborn

* These authors contributed equally to this work and shall be considered co-first authors.

Key points

- Characteristics of neonates born to mothers with and without COVID-19 have been compared.
- All the 48 cases presented in the study had good outcomes.
- A 28-week preterm born to COVID-19 mother presented to be clear of SARS-CoV-2 infection.

In the past few decades, newly evolved coronaviruses, such as severe acute respiratory syndrome coronavirus (SARS-CoV) and Middle East respiratory syndrome coronavirus (MERS-CoV), have become a global threat to public health.¹ In December 2019, a new coronavirus causing a pneumonia epidemic worldwide, different from SARS-CoV and MERS-CoV, was identified and named as coronavirus disease 2019 (COVID-19) by the World Health Organization.² The pathogen, labeled SARS-CoV-2, belongs to the β -type coronavirus. As of June 19, 2020, over 844,000 COVID-19 cases had been confirmed worldwide, causing at least 45,000 deaths. For the diagnosis of COVID-19, the SARS-CoV-2 antigen should test positive in respiratory specimens in addition to typical respiratory symptoms and lung images; also, specific antibodies of the virus from plasma play a crucial role for the diagnosis of the disease.^{3,4} Pregnant women have been found infected with COVID-19 in their late pregnancy, and their newborns' perinatal consequences have been reported; no vertical transmission of SARS-CoV-2 was observed and no perinatal complications were detected.⁵⁻⁷ Currently, we still lack information about whether the newborn's perinatal consequences are different between pregnant women with COVID-19 and those without the infection.⁸

In the present study, the clinical data of neonates born to mothers with confirmed COVID-19, neonates born to mothers with clinically diagnosed COVID-19, and neonates born to mothers without COVID-19 were compared and analyzed. To the best of our knowledge, this is the first report to compare the differences in clinical characteristics of neonates born to mothers with or without COVID-19. One preterm infant born to COVID-19 mother and delivered in the second trimester was also reported in this study.

Materials and Methods

Patients and Grouping

Neonates born to suspected COVID-19 mothers, admitted to Tongji Hospital and Huangshi Maternal and Child Healthcare Hospital from January 17 to March 4, 2020, were included in this study. Tongji hospital is located in central Wuhan, and Huangshi Maternal and Child Healthcare Hospital is located in Huangshi, 80 km away from Wuhan. This study was approved by the ethics commission of Tongji Hospital, Huazhong University of Science and Technology. Informed consent was obtained from the guardians of all the included patients.

At the peak of the COVID-19 outbreak in China, all pregnant women from Hubei province were considered to have marked epidemiological links, and hence, they were required to screen for SARS-CoV-2 infection before delivery during that time. The screening tests included nasopharynx swab (at least twice) and chest computed tomography (CT) scan. The screening test

results could not be obtained for some emergency admissions shortly after the neonates were born. Hence, those infants were isolated to neonatal intensive care unit (NICU) until the mother was confirmed to be clear of infection. When receiving the test results from the mothers, the infants were divided into three groups: (1) infants born to confirmed COVID-19 cases: defined as the mother with respiratory specimens that tested positive for the SARS-CoV-2; (2) infants born to clinically diagnosed COVID-19 cases: defined as a typical clinical symptoms and chest CT with COVID-19 pneumonia, whereas the viral nucleic acid test for SARS-CoV-2 was reported negative and ruled out other virus infection; and (3) infants born to control cases: defined as mothers with or without respiratory symptoms and the origin of infection was confirmed as influenza A or B.^{7,9,10}

Samples from amniotic fluid, placental swab, gastric lavage fluid of the neonates right after birth, neonatal serum, throat swab, and feces were collected to test SARS-CoV-2 by polymerase chain reaction (PCR).

Data Collection

The maternal and neonatal information included the following: clinical symptoms of the mothers, clinical manifestation, laboratory, and radiological results of the neonates.

Statistical Analysis

The statistical software SPSS for Windows version 19 was used for data analyses. Continuous distribution variables were expressed as mean $\pm$ standard deviation. Categorical variables were summarized as numbers and percentages. Analysis of variance (ANOVA) was used to analyze for homogeneity ANOVA, followed by post hoc testing. If the continuous variables were normalized distribution, Tamhane's T2 were used as the pos hoc test for nonhomogeneous ANOVA result; if the continuous variables are not normalized distribution, nonparameter test was used. A p -value <0.05 was considered significant difference.

Results

Comparison of Clinical Characteristics of Mothers in the Three Groups

The three groups consisted of 15, 17, and 16 neonates, respectively, as described above. All deliveries occurred in a negative pressure isolation room, and the neonates were immediately separated from the mothers according to the protocol.⁷ All pregnancies were uneventful until this admission. All the mothers from the three groups reside in the epidemic area of Hubei Province.

The most common onset symptoms were fever (10/15, 67%) and cough (6/15, 40%) in the mothers with confirmed COVID-19, which is significantly higher than those in the

Table 1 Clinical characteristic of pregnant women

	Confirmed (n = 15)	Suspected (n = 17)	Control (n = 16)
Age, y Mean ± SD	32 ± 2.67	29.59 ± 3.14	30.88 ± 3.27
Gestational age, wk Median (IQR)	37.41 ± 1.80	38.78 ± 0.89	38.33 ± 1.49
Parity			
Nulliparous, n (%)	5 (33.3)	7 (41.2)	6 (37.5)
Multiparous, n (%)	10 (66.7)	10 (58.8)	10 (62.5)
Delivery mode			
Cesarean, n (%)	14 (93.3)	15 (88.2)	13 (81.2)
Vaginal delivery, n (%)	1 (6.7)	2 (11.8)	3 (18.8)
Pregnancy complications			
Hypertensive disorders, n (%)	2 (13.3)	1 (6.7)	1 (6.7)
Gestational diabetes mellitus, n (%)	2 (13.3)	3 (20)	2 (13.3)
Chorioamnionitis, n (%)	2 (13.3)	1 (6.7)	1 (6.7)
Delivery detail			
Turbid amniotic fluid, n (%)	3 (20)	4 (23.5)	0 (0)
Cord around neck, n (%)	0 (0)	2 (11.8)	3 (18.8)
Postpartum hemorrhage, n (%)	1 (6.7)	0 (0)	3 (18.8)
Maternal clinical symptoms and treatment			
Fever, n (%)	10 (66.7)	8 (47.1)	2 (12.5)
Cough, n (%)	6 (40)	2 (11.8)	1 (6.3)
Diarrhea, n (%)	1 (6.7)	1 (5.9)	0
Prenatal antiviral treatment, n (%)	10 (66.7)	1 (5.9)	0
Prenatal steroid, n (%)	1 (6.7)	2 (11.8)	0

Abbreviations: IQR, interquartile range; SD, standard deviation.

Table 2 Laboratory results of pregnant women

	Confirmed (n = 15)	Suspected (n = 17)	Control (n = 16)	p-Value
White blood cell ($\times 10^9$ cells/L) Mean ± SD	8.04 ± 2.28	8.95 ± 1.74	9.71 ± 2.11	0.236
Lymphocytes ($\times 10^9$ cells/L) Mean ± SD	1 ± 0.27	1.36 ± 0.26	1.46 ± 0.29	0.008 ^a 0.001 ^b 0.403 ^c
Neutrophil ($\times 10^9$ cells/L) Mean ± SD	6.5 ± 2.1	7.09 ± 1.76	7.61 ± 1.98	0.474
Platelets ($\times 10^9$ cells/L) Mean ± SD	178.67 ± 48.8	209.59 ± 52.41	215.63 ± 56.16	0.348
C-reactive protein (g/L) Mean ± SD	13.37 ± 11.81	3.71 ± 4.08	3.24 ± 3.97	0.098
Alanine transaminase (IU/L) Mean ± SD	21.53 ± 18.85	11.88 ± 3.4	10.75 ± 3.38	0.801
Aspartate transaminase (IU/L) Mean ± SD	35.93 ± 28.41	18.77 ± 3.87	17.38 ± 3.89	0.260
Urea (μ mol/L) Mean ± SD	2.44 ± 0.78	3.01 ± 0.75	3.49 ± 0.76	0.104 ^a 0.004 ^b 0.165 ^c
Creatinine (μ mol/L) Mean ± SD	49.7 ± 5.75	44.72 ± 6.76	53.02 ± 5.39	0.097 ^a 0.202 ^b 0.006 ^c

Abbreviations: IQR, interquartile range; SD, standard deviation.

^aConfirmed group with suspected group.

^bConfirmed group with control group.

^cSuspected group with control group.

Fig. 1 Chest computed tomography scans of the mothers.

clinical diagnosis and control groups. Most of the mothers received antiviral treatment (10/15, 67%) in the confirmed group, while only one patient received the treatment in the clinically diagnosed group, and none received the same treatment in the control group ([▶Table 1](#)).

Furthermore, no differences were detected in the laboratory results except that lymphocytes decreased significantly in the confirmed group ($1 \pm 0.27 \times 10^9$ cells/L) as compared with the other two groups ($1.36 \pm 0.26 \times 10^9$ cells/L and $1.46 \pm 0.29 \times 10^9$ cells/L, respectively; $p < 0.01$). The C-reactive protein increased significantly in the confirmed group (8.43 g/L) as compared with the other two groups (1.12 and 0.5 g/L, respectively; $p < 0.05$) ([▶Table 2](#)).

Chest CT scan in the COVID-19 confirmed ([▶Fig. 1A](#)) and clinically diagnosed groups ([▶Fig. 1B](#)) showed changes in the typical viral pneumonia, such as decreased diffuse and bilateral ground-glass opacities, patchy lung consolidation, blurred borders, and lesions merged into strips,⁷ while chest CT scan in the control group was normal ([▶Fig. 1C](#)).

Comparison of Clinical Characteristics of Neonates in the Three Groups

All the neonates were isolated in NICU for at least 14 days. None of the neonates from the three groups showed any signs of fever, cough, dyspnea, or diarrhea.

The gestational age of the neonates born to confirmed mothers was 37.41 ± 1.80 weeks, which was about 1 week earlier than the other two groups (38.78 ± 0.89 and 38.33 ± 1.49 weeks, respectively). The birthweight in the neonates born to confirmed mothers was $3,001 \pm 321$ g, which was decreased as compared with the other groups ($3,452 \pm 339$ and $3,048 \pm 378$ g, respectively). The laboratory results of the neonates of 24 hours of age are summarized below. No differences were detected in the whole blood cell, lymphocytes, platelet, and liver and renal function among

the three groups ($p > 0.05$). Also, the C-reactive protein and markers of myocardial injury (protein B-type natriuretic peptide [NT-proBNP] and cardiac troponin I) did not differ among the three groups ([▶Table 3](#)), and the chest X-ray of the neonates was normal in the three groups ([▶Fig. 2](#)).

None of the neonates developed any clinical, radiological, hematological, or biochemical evidence of COVID-19. Samples from amniotic fluid, placental swab, gastric lavage fluid of the neonates right after birth, neonatal serum, throat swab, and feces were negative for SARS-CoV-2 by PCR.

A 28^{1/7} weeks of gestational age of male preterm neonate (birthweight 1,530 g) was born to a COVID-19 mother, who received dexamethasone (6 mg, every 12 hours, twice) and did not finish the course before delivery. The neonate showed respiratory distress shortly after birth, and bilateral lung ground glass with air bronchogram could be seen in the chest X-ray, which was consistent with neonatal respiratory distress syndrome ([▶Fig. 3A](#)). After two doses of surfactant administered through the endotracheal tube, the respiratory condition of the infant improved ([▶Fig. 3B](#)). He was on continuous positive airway pressure for 18 days and nasal cannula for another 2 weeks, and was discharged home at 34^{5/7} weeks of corrected gestational age and weight 1,980 g ([▶Fig. 3C](#)). The infant was on oral caffeine for another 2 weeks after discharge. He showed grade I intraventricular hemorrhage and mild bronchopulmonary dysplasia and without retinopathy of prematurity. SARS-CoV-2 reverse transcription PCR in the throat swab sample from this preterm neonate and specific SARS-CoV-2 antibodies tested in the baby's plasma were negative.

Discussion

This is the first study to compare the differences in the clinical features and outcomes of neonates born to mothers with or

Table 3 Clinical characteristics and laboratory results of the neonates

	Confirmed (n = 15)	Suspected (n = 17)	Control (n = 16)	p-Value
Gender (male/female)	9/6	9/8	6/10	
Apgar's score at 5 min median (IQR)	9 (8–9)	9 (8–9)	9 (8–10)	
SGA, n (%)	0 (0)	0 (0)	0 (0)	
Birthweight, g Mean ± SD	3,001 ± 321	3,452 ± 339	3,048 ± 378	0.009 ^a 0.78 ^b 0.016 ^c
White blood cell ($\times 10^9$ cells/L) Mean ± SD	14.11 ± 4.34	17.97 ± 7.18	16.33 ± 7.32	0.254
Lymphocytes ($\times 10^9$ cells/L) Mean ± SD	4.01 ± 1.19	3.72 ± 1.27	3.94 ± 1.22	0.860
Neutrophil ($\times 10^9$ cells/L) Mean ± SD	8.39 ± 3.04	12.40 ± 4.43	10.58 ± 4.99	0.121
Hemoglobin (g/dL) Mean ± SD	174.47 ± 19.42	179.53 ± 32.09	177.69 ± 15.81	0.829
Platelets ($\times 10^9$ cells/L) Mean ± SD	233.47 ± 62.44	285.71 ± 40.5	260.44 ± 42.13	0.062
C-reactive protein (g/L) Mean ± SD	0.97 ± 0.88	1.92 ± 2.25	2.98 ± 4.18	0.952
Alanine transaminase (IU/L) Mean ± SD	13 ± 6.53	11.94 ± 6.51	12 ± 4.75	0.937
Aspartate transaminase (IU/L) Mean ± SD	47.8 ± 22.27	77.12 ± 61.37	52 ± 19.75	0.659
Urea (μ mol/L) Mean ± SD	2.91 ± 0.78	3.58 ± 0.78	3.06 ± 1.00	0.182
Creatinine (μ mol/L) Mean ± SD	59.93 ± 6.88	65.71 ± 14.43	57.88 ± 11.78	0.399
Cystatin C (mg/L) Mean ± SD	1.89 ± 0.14	1.74 ± 0.23	1.81 ± 0.15	0.716
Urinary $\beta 2$ microglobulin (mg/L) Mean ± SD	3.20 ± 1.03	3.45 ± 1.71	3.62 ± 1.10	0.942
NT-proBNP (pg/mL) Mean ± SD	2,253 ± 1317.7	5,278.9 ± 4186.8	3,062.8 ± 1591.3	0.459
Cardiac troponin I (ug/L) Mean ± SD	12.83 ± 6.42	33.41 ± 28.49	46.46 ± 21.65	0.176

Abbreviations: NT-proBNP, N-terminal pro-brain natriuretic peptide; IQR, interquartile range; SD, standard deviation.

^aConfirmed group with suspected group.

^bConfirmed group with control group.

^cSuspected group with control group.

Fig. 2 Chest X-ray of the neonates from the three groups.

Fig. 3 Chest X-ray of the 28 weeks of preterm neonate.

without COVID-19. Together, 48 mothers and their infants were presented in this study showed satisfactory outcomes.

The SARS-CoV-2 pathogen belongs to the β -type coronavirus.¹¹ The case fatality rate of COVID-19 is <3% in the whole population, which implies that SARS-CoV-2 does not seem to cause the high-fatality rates previously observed for SARS and MERS-CoV, 10 and 37%, respectively.^{12–14} Biopsy of a patient who suffered from COVID-19 showed overactivation of T cells, manifested by an increase of Th17 and high cytotoxicity of CD8 T cells, which partially accounted for the severe immune injury in this patient.¹⁵ The current results showed that lymphocytes decreased significantly in COVID-19 confirmed mothers as compared with the other two groups, which is probably due to the consumption of the lymphocytes. Assiri et al reported five cases of pregnant women infected with MERS-CoV from Saudi Arabia; of these, two died during the pregnancy.^{16,17} In the present study, all pregnant women survived with no complications, which indicated that SARS-CoV-2 does not have a high-fatality rate as that of MERS-CoV.

Reportedly, SARS could lead to severe intrauterine growth retardation, which could be due to the prolonged usage of a systemic high dose of corticosteroids or antiviral medicine.¹⁸ Herein, the average birthweight in the neonates born to confirmed COVID-19 mothers was significantly decreased as compared with the other groups. Except for one 28-week preterm delivery, all the other cases delivered infants at term or near term, and the birthweight of all the babies was appropriate for gestational age. The majority of the mothers received antiviral treatment (Chinese herbs) in the confirmed group (10/15, 67%), and one of the mothers received prenatal steroids due to preterm delivery at 28 weeks. Both prenatal antiviral drugs and prenatal steroids did not exert any effect on the offspring.

Several studies have reported that no perinatal consequences were evident when pregnant women develop COVID-19 in the third trimester.^{5–7} Recent data indicated that angiotensin-converting enzyme-2 receptors have very low expression in the placenta, which makes the chance of SARS-CoV-2 vertical transmission almost impossible.^{7,19} In the current study, a 28-week preterm infant born to confirmed COVID-19 mother

was clear of SARS-CoV-2 infection, implying that no perinatal consequences are evident when pregnant women develop COVID-19 in the second trimester. To the best of our knowledge, this is the first report, wherein a neonate born to mother with confirmed COVID-19 was delivered in the second trimester. This information must be considered with caution as this conclusion is only based on one case.

Nonetheless, the present study has some limitations. First, it is a very small observational cohort study, and additional evidence is needed to verify the potential vertical transmission. Second, according to Zeng et al,²⁰ 3/33 (9%) infants presented early-onset SARS-CoV-2 infection confirmed by transient nasopharyngeal and anal swab samples positive for SARS-CoV-2 on days 2 and 4 of life. A similar finding reported by Yu et al showed that transient throat swab PCR was positive at 36 hours of age.²¹ However, whether this phenomenon is a true vertical transmission or contamination with maternal secretion is yet controversial.^{22,23} Herein, we only tested the nasopharyngeal swab samples for SARS-CoV-2 at 0 hours, 24 hours, and 7 days of age, and were found to be negative, which might due to the missed window. Third, some recently published studies showed that IgM, which is not usually transferred from mother to fetus because of its larger macromolecular structure, was elevated in 2 infants. Although SARS-CoV-2 was not detected in the serum or throat swab samples in these infants, it needed further evaluation to exclude vertical transmission.^{24–26} In the current study, we did not test the plasma for IgG and IgM antibodies of SARS-CoV-2 in neonates routinely because the detection kit for SARS-CoV-2 antibodies has just been developed and there is lack of a normal range for newborns. Although the positive and negative cutoff values for screening are also debatable, it gives us the insight to investigate the novel virus. However, additional samples and prolonged follow-up are needed to confirm the presence of vertical transmission.

Conclusion

The clinical manifestation, radiological, and biochemical results did not show any difference among the neonates

born to mothers with and without COVID-19. In the present study, there was no evidence of vertical transmission irrespective of the pregnant women developed coronavirus infection in the third trimester (14 cases) or the second trimester (1 case). Because this is a study with a relatively small population, additional evidence is essential to verify the potential vertical transmission.

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None.

Conflict of Interest

None declared.

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