Hyperprogression after Immunotherapy: Nivolumab. Analysis of Imaging Findings Associated with Hyperprogression and Tumor Growth Kinetics

Rishu Singla¹ Ayushi Gupta² Ullas Batra³ Arvind Chaturvedi¹ Avinash Rao¹ Ankush Jajodia¹

¹Department of Radiology, Rajiv Gandhi Cancer Institute and Research Centre, Delhi, India

²Data Scientist, Circle of Life Health Care, Delhi, India

³Department of Medical Oncology, Rajiv Gandhi Cancer Institute and Research Centre, Delhi, India

Address for correspondence Dr. Ankush Jajodia, Department of Radiology, Rajiv Gandhi Cancer Institute and Research Centre, Rohini, Sector 5, Delhi, India (e-mail: ankushjaj@gmail.com).

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Abstract

Introduction The increased use of new checkpoint inhibitors in cancer therapy has led to the discovery of new unconventional responses, like pseudoprogression and hyperprogression disease (HPD). The study documents imaging findings of HPD and analyzes the growth kinetics in advanced metastatic cancers patients treated with immunotherapy.

Methods We retrospectively reviewed patients treated with anti-PD-1 (anti-progressive disease-1) antibody therapy (nivolumab) between January 2017 and December 2017 at our institute. The patients who exhibited early and rapid progression rates after initiation of immunotherapy were further analyzed for tumor growth kinetics (TGKs) and imaging findings. All prebaseline, baseline, and post nivolumab imaging were retrospectively reviewed to assess the TGKs, time to treatment failure (TTF), and rate of progression according to Response Evaluation Criteria in Solid Tumors (RECIST) 1.1.

Results Four patients with HPD had peculiar imaging appearance of unilateral circumferential nodular pleural thickening along with conglomerate pleural masses and effusions. Both primary and secondary sites progressed along with the appearance of new lesions in all these patients. The mean progression-free survival (PFS) was 32 days using Kaplan Meier analysis.

Conclusion The unique and recurring imaging pattern of disease progression in patients with HPD as reported in our case series in addition to TGK ratio, and TTF may prove to be of additional help in early identification of this unique and ghastly outcome.

Keywords

- advanced metastatic lung cancer
- hyperprogression
- immunotherapy
- tumor growth kinetics

Background

Nivolumab, an anti-PD-1 (anti-progressive disease-1) checkpoint inhibitor, has demonstrated efficacy in patients with

advanced or metastatic non-small cell lung cancer (NSCLC), melanoma, small cell lung cancer, renal cell cancer, urothelial and head and neck cancers after failure to standard chemotherapeutic agents. Unlike treatment response after

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traditional cytotoxic chemotherapy, treatment response after immunotherapy can be associated with an initial delay in response to treatment, including the appearance of new or enlarging tumors immediately after treatment that eventually resolve or decrease in size over time, without further treatment (pseudoprogression). However, there is another kind of novel response doing the rounds across oncology society these days, “hyperprogression.” Hyperprogressive disease (HPD) after immunotherapy treatment is a known clinical entity in the present era. A recent multicenter, retrospective analysis of 242 patients with advanced NSCLC found that 16% of patients developed hyperprogression during anti-PD-1/PD-L1 treatment.¹ The present case series is to analyze the growth kinetics and imaging findings of HPD in patients with advanced metastatic lung and renal cancers who received immunotherapy.

Definition of Hyperprogression

Champrat et al² described HPD as a Response Evaluation Criteria in Solid Tumors (RECIST) progression at the first evaluation and a twofold or greater increase in tumor growth rate from baseline (before treatment with immunotherapy).² Similarly, Saâda Bouzid et al³ defined hyperprogression as tumor growth kinetics (TGKs) ratio equal to or greater than 2 in patients with head and neck cancer on immunotherapy. Kato et al used a three-point definition of hyperprogression⁴:

1. Time-to-treatment failure (TTF) of less than 2 months.
2. Greater than 50% increase in tumor burden compared with preimmunotherapy imaging.
3. Greater than or equal to a twofold increase in progression pace.

Methods

This is a retrospective study and was approved by the local ethics committee of Rajiv Gandhi Cancer Institute & Research Centre. Owing to the retrospective nature of the study, informed consent from patients was not required. We describe four patients with stage IV lung and renal cancer who received nivolumab immunotherapy and showed hyperprogression (►Table 1). All but one patient was advanced metastatic lung cancer who progressed on previous multiple lines of chemotherapy.

Patients treated with monotherapy by anti-PD-1/PD-L1 antibodies, i.e., nivolumab between January 2016 and December 2017, were examined. Amongst them, ones who exhibited early and rapid progression rates after the initiation of nivolumab were studied and analyzed. All the CT scans were independently reviewed by two senior radiologists. Patients with prebaseline CT scans were included to assess TGR before starting nivolumab. All prebaseline, baseline, and post nivolumab imaging were retrospectively reviewed to assess the TGKs, TTF, and increase in progression pace according to RECIST 1.1.

The following data were also recorded: age, gender, PD-L1 analysis, patterns of hyperprogression, date of start of

nivolumab, and date of last follow-up or adverse event such as death.

T_{PRE} , T_0 , and T_{POST} stand for the time of prebaseline, baseline, and first imaging, respectively. S_{PRE} , S_0 , S_{POST} stand for the sum of the largest diameter of target lesions at prebaseline, baseline, and first imaging, respectively. TGK_{PRE} was defined as the difference of the sum of the largest diameters of the target lesions (according to RECIST) per unit of time between prebaseline and baseline imaging: $(S_0 - S_{PRE}) / (T_0 - T_{PRE})$. Similarly, TGK_{POST} was defined as: $(S_{POST} - S_0) / (T_{POST} - T_0)$.

The TGK ratio (TGK_R) was defined as the ratio of TGK_{POST} to TGK_{PRE} . $TGK_R > 1$ indicated tumor growth acceleration, whereas $0 < TGK_R < 1$ and $TGK_R < 0$ indicate tumor deceleration and tumor shrinkage, respectively. Hyperprogression was defined as $TGK_R \geq 2$.

Statistical Analysis

Descriptive statistics is presented with line graphs. TGK_R was calculated using the formula given in the assessment section. Kaplan Meier analysis was performed for progression-free survival (PFS). Median PFS with a 95% confidence interval was reported.

Results

There were four patients with advanced metastatic lung/renal cancer treated with monotherapy by anti-PD-1/PD-L1 antibodies in this case-series. ►Table 1 shows the demographic and clinical details of these four patients. ►Fig. 1 represents diameter growth according to RECIST 1.1 criteria. The sum of the diameter shows an exponential increase after treatment with nivolumab (►Figs. 1 and 2).

Three out of four (75%) patients showed accelerated growth measured through TGKs (Cases# 1, 2 and 4 $TGK > 2$) (►Figs 3–6). Case#3 also developed rapid worsening of symptoms after two cycles of nivolumab monotherapy. The X-ray chest showed marked increase in air space opacities and pleural effusion. The patient also developed anterior wall myocardial infarction, and hence cross-sectional imaging could not be performed at the time of onset of symptoms due to his poor general condition. Hence TGK recorded is less than 2 for case 3. However, the increase in tumor burden was >50% as compared with prebaseline imaging, and TTF was less than 2 months.

Median progression-free (95% CI) survival was 32 (21.2–42.8) days (►Fig. 3).

Contrast-enhanced computed tomography chest findings were notably similar in all of them. There was a development of unilateral circumferential pleural nodularity with conglomerate pleural masses and associated pleural effusion. The laterality corresponded to the side of primary baseline disease.

Discussion

In our series, all but one patient experienced hyperprogression as defined by $TGK_R > 2$ after anti-PD-1/PD-L1 therapy. We

Table 1 Clinical characteristics of patients

	Case 1	Case 2	Case 3	Case 4
Age	62 y	56 y	46 y	62 y
Gender	Male	Male	Male	Male
Primary diagnosis	mRCC	mNSCLC	mNSCLC	mNSCLC
Date of detection	May 2016	July 2016	April 2013	July 2017
EGFR/ALK mutation status	Not done	Negative	EGFR mutant	Negative
Anti PD-1, PD-L1 status	Not done	<1%	<1%	<1%
Treatment history	Surgery for both primary and metastatic site followed by	CT/RT till November 16. PD in May 17. Palliative CT.	Multiple lines of CT till June 2015-PD, Gefitinib/.	Three cycles of chemotherapy. PD
	Sutant	Six cycles till September 17. PD in October 2017.	Erlotinib till September 16-PD.	
Duration of treatment before progression	6 mo	1 y 3 mo	3 y 5 mo	3 mo
CT findings (Baseline)	Bilateral lung lesions, mediastinal lymphadenopathy, bilateral pleural effusions, pericardial effusion, bone lesions.	Left hilar mass, right lung nodules, moderate left pleural effusion.	Left lung upper lobe mass, bilateral lung nodules, mediastinal, and left lower cervical lymph nodes.	Right lung mass with mediastinal lymph nodes and left adrenal metastasis.
Date of start of nivolumab duration of immunotherapy CT findings (Post nivolumab).	January 18, 2017	October 12, 2017	September 24, 2016	October 12, 2017
	Two cycles	One cycle	Four cycles	One cycle
	>twofold increase in the size of lung lesions, new circumferential left-sided nodular pleural thickening with a 150% increase in the size of mediastinal lymph nodes.	Progressive left lung mass with new left-sided nodular pleural thickening and minimal right pleural effusion.	Progressive left lung mass and bilateral lung nodules, progressive mediastinal lymph nodes, new circumferential left pleural deposits, new brain, liver, adrenal, and bone lesions.	Progressive right hilar lung mass, new bilateral pleural effusion, diffuse nodular circumferential right pleural thickening and nodular deposits, progressive mediastinal lymph nodes.
Last follow-up	LFU	LFU	LFU	Died of cardiac arrest in November 2017

Abbreviations: ALK, anaplastic lymphoma kinase; CT, computed tomography; EGFR, epidermal growth factor receptor; LFU, lost to follow-up; NSCLC, non-small cell lung cancer; PD, progressive disease.

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Fig. 1 Diameter growth before and after nivolumab. **Fig. 2** TGKs before and after. TGK, tumor growth kinetics.

Fig. 3 CT images obtained pre (a and b) and post (c and d) nivolumab therapy in Case 1: Lung lesions show an increase in size. Bilateral pleural effusion/nodular lesions and pericardial effusion are new developments. CT, computed tomography.

Fig. 4 (a and b) Labeled contrast CT axial obtained pre and post nivolumab therapy in Case 2: nodular pleural lesions show an increase in size (arrows), supporting the hypothesis of increase pleural nodular pattern of hyperprogression on nivolumab therapy. CT, computed tomography.

Fig. 5 Labeled PET-CT fusion images in reconstructed formats obtained pre (a and b) and post (c and d) nivolumab therapy in Case 3: left lung mass and lung nodules show an increase in extent and metabolic activity. PET-CT, positron emission tomography-computed tomography.

Fig. 6 Labeled contrast CT axial obtained pre (a) and post (b) nivolumab therapy in Case 4: nodular pleural lesions show an increase in size (arrows), supporting the hypothesis of increase pleural nodular pattern of hyperprogression on nivolumab therapy. CT, computed tomography.

could not perform CT chest imaging of one patient when he developed worsening of symptoms leading to discontinuation of treatment. This led to an increase in the time duration between two assessment scans, i.e., $T_{\text{post}} - T_0$ and a decrease in TKG_R to less than 2. However, TTF was <2 months in all four patients in accord with the definition given by Kato et al.⁴ Also, there was >50% increase in tumor burden at the time of next imaging compared with preimmunotherapy imaging fulfilling one of the criteria of HPD. But it is important to note here that the patient was not under any kind of anti-cancer treatment during this period making this observation suboptimal.

Hyperprogression was associated with poor prognosis in our study, in accord with other published reports.^{1,2} HPD was associated with short PFS (32 days) in our series. We could not calculate overall survival as three out of four patients lost to follow-up.

Kato et al⁴ investigated potential genomic markers associated with hyperprogression after immunotherapy. They reported TTF <2 months in six of 155 patients and $\text{TKG} > 2$ and an increase in tumor burden of >50% in four out of 155 patients. They also suggested MDM 2/MDM 4 and epidermal growth factor receptor (EGFR) alterations correlated with TTF <2 months. We could not establish any correlation between EGFR aberrations in our case series and hyperprogressors. Two out of four patients were EGFR/anaplastic lymphoma kinase (ALK)-negative. One patient was EGFR mutant and EGFR/ALK analysis was not performed in the patient of metastatic renal cell cancer.

Champrat et al² demonstrated that 9% of patients (12/131) showed a >twofold increase in tumor growth in their study. They observed that HPD was associated with older age and with worse overall survival. They observed no correlation between hyperprogression and baseline tumor burden and the number of previous lines of chemotherapies. Twenty-seven percent of their patients underwent PDL-1 testing and they did not find any difference of PD-L1 status between HPD and other patients.

All our patients underwent anti-PD-L1 testing and were negative. The role of PD-L1 expression/amplification is known in predicting response to immunotherapy⁵ but no correlation has been established between HPD and anti-PDL-1 status till date.

We also describe the pattern of hyperprogression in our case series, which has not been described in any previous study. The imaging pattern observed was independent of primary tumor type and we recorded similar findings in all the four cases such as unilateral diffuse pleural nodularity with loss of ipsilateral hemithoracic volume. The pleural nodular thickening was circumferential and extensive forming conglomerate large-sized pleural masses. None of these patients had pleural disease at the beginning of treatment. Unilateral circumferential nodular pleural thickening was a key finding and was seen on the side of primary lung mass. This finding was unique with immunotherapy as these patients also previously received multiple lines of chemotherapy and showed no such imaging features. These patients also developed either new or increase in pleural effusion after immunotherapy. All

patients showed progression at both primary and secondary sites. The imaging findings correlated well with the severity of patient's symptoms.

Our series is unique as no previous study has described these imaging findings.

Immunotherapy can also lead to unconventional responses such as pseudoprogression. Pseudoprogression is radiological progression followed by a response on subsequent imaging on sustained immunotherapy. It is important to differentiate between the two as hyperprogression calls for immediate discontinuation of treatment, whereas treatment is continued for pseudoprogression exhibiting clinical response. As mentioned earlier, hyperprogression can be measured by assessing TGK_R , tumor burden, and TTF. The chief factor before discontinuing treatment is clinical status. The HPD patients show significant clinical deterioration, whereas pseudoprogression is considered when a patient is showing a clinical benefit.

Our study has several limitations. Its biggest limitation is the small size. The second limitation is that we used RECIST 1.1 criteria which are now replaced by iRECIST criteria for response evaluation after immunotherapy. These criteria are different from RECIST1.1 in that radiologically progressive disease needs to be confirmed by a follow-up examination in case of clinical response.^{6,7} All our patients showed severe clinical worsening, and hence no confirmatory scans were performed.

Also, in contrast to RECIST 1.1, the summed diameters of new lesions appearing on follow-up examinations are recorded separately (maximum two per organ, five overall) for iRECIST and not included in the sum of the tumor burden at baseline or time of nadir. Using RECIST 1.1 we did not record the diameter of new lesions that appeared at time point T_0 and hence could not assess their contribution to tumor burden at time point T^{post} .

However, it is also noteworthy to mention that most of the definitions of HPD suggested by various authors used RECIST 1.1 criteria.^{2,8} Presently, iRECIST criteria do not have provisions to recognize hyperprogression or differentiate hyperprogression from pseudoprogression. In view of this limitation, the early identification of HPD becomes even more important as it warrants cessation of treatment in contrast to immune unconfirmed progressive disease, which ensues treatment beyond progression.

Conclusion

The unique and recurring imaging pattern of disease progression in patients with HPD as reported in our case series in addition to TGK_R and TTF may prove to be of additional help in early identification of this unique and mostly lethal treatment outcome. The radiologist may have a key role in alerting medical oncologists to potential risks of these new treatments. Further large patient cohort studies are needed to explore the imaging patterns of hyperprogression and to recognize genomic alterations and imaging predictors associated with HPD.

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None.

Conflict of Interest

None declared.

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