2021 Focused Update Consensus Guidelines of the Asia Pacific Heart Rhythm Society on Stroke Prevention in Atrial Fibrillation: Executive Summary*

Tze-Fan Chao^{1,2} Boyoung Joung³ Yoshihide Takahashi⁴ Toon Wei Lim⁵ Eue-Keun Choi⁶
Yi-Hsin Chan^{7,8,9} Yutao Guo¹⁰ Charn Sriratanasathavorn¹¹ Seil Oh⁶ Ken Okumura¹²
Gregory Y. H. Lip^{13,14}

¹ Division of Cardiology, Department of Medicine, Taipei Veterans General Hospital, Taipei, Taiwan

² Institute of Clinical Medicine and Cardiovascular Research Center, National Yang Ming Chiao Tung University, Taipei, Taiwan

³ Division of Cardiology, Department of Internal Medicine, Yonsei University College of Medicine, Seoul, Republic of Korea

⁴ The Department of Advanced Arrhythmia Research, Tokyo Medical and Dental University, Tokyo, Japan

⁵ National University Heart Centre, National University Hospital, Singapore

⁶ Department of Internal Medicine, Seoul National University Hospital, Seoul, Republic of Korea

⁷ Microscopy Core Laboratory, Chang Gung Memorial Hospital, Linkou, Taoyuan, Taiwan

⁸ College of Medicine, Chang Gung University, Taoyuan, Taiwan

Address for correspondence Tze-Fan Chao, MD, PhD, Division of Cardiology, Department of Medicine, Taipei Veterans General Hospital, No. 201, Sec. 2, Shih-Pai Road, Taipei 112, Taiwan (e-mail: eyckeyck@gmail.com).

⁹ Microscopy Core Laboratory, Chang Gung Memorial Hospital, Linkou, Taoyuan, Taiwan

¹⁰ Pulmonary Vessel and Thrombotic Disease, Chinese PLA General Hospital, Beijing, China

¹¹ Her Majesty Cardiac Center, Siriraj, Thailand

¹² Division of Cardiology, Saiseikai Kumamoto Hospital, Kumamoto, Japan

¹³ Liverpool Centre for Cardiovascular Science, University of Liverpool & Liverpool Heart and Chest Hospital, Liverpool, United Kingdom

¹⁴ Aalborg Thrombosis Research Unit, Department of Clinical Medicine, Aalborg University, Aalborg, Denmark

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Abstract

The consensus of the Asia Pacific Heart Rhythm Society (APHRS) on stroke prevention in atrial fibrillation (AF) has been published in 2017 which provided useful clinical guidance for cardiologists, neurologists, geriatricians, and general practitioners in the Asia-Pacific region. In these years, many important new data regarding stroke prevention in AF were reported. The practice guidelines subcommittee members comprehensively reviewed updated information on stroke prevention in AF, and summarized them in this 2021 focused update of the 2017 consensus guidelines of the APHRS on stroke prevention in AF. We highlighted and focused on several issues, including the importance of the AF Better Care pathway, the advantages of non-vitamin K antagonist oral anticoagulants (NOACs) for Asians, the considerations of use of NOACs for Asian AF patients with single one stroke risk factor beyond gender, the role of lifestyle factors on stroke risk, the use of oral anticoagulants during the “coronavirus disease 2019” pandemic, etc. We fully realize that there are gaps, unaddressed questions, and many areas of uncertainty and debate in the current knowledge of AF, and the physician’s decision remains the most important factor in the management of AF.

Keywords

- ▶ APHRS
- ▶ atrial fibrillation
- ▶ stroke prevention
- ▶ consensus guidelines
- ▶ executive summary

* This is an executive summary of the full consensus guideline, which is published in *Journal of Arrhythmia* by Chao et al.⁶

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Fig. 1 Projected prevalence of AF in Taiwan and South Korea. Data used in the figure were adapted from Chao et al and Kim et al.^{2,3} AF, atrial fibrillation.

Epidemiology of AF and Risk of AF-Related Stroke

Atrial fibrillation (AF) is a global problem, with an increasing incidence and prevalence with an aging population.¹ Similar to Western countries, the prevalence rates of AF will continuously increase in the following decades, which are projected to be 4.0% in Taiwan and 5.4% in South Korea in year 2050 (► Fig. 1).^{2,3}

For Asian AF patients, the annual risk of ischemic stroke is around 3.0% (1.60–4.95%) based on the pooled analysis of eight studies.⁴ Importantly, the 1-year risk of ischemic stroke after newly diagnosed AF was similar from year 2000 (4.45%) to 2010 (3.95%),² and gradually decreasing in the era of non-vitamin K antagonist (non-VKA) oral anticoagulants (NOACs).⁵ The observed reduction in stroke risk may be contributed to the increasing initiation rates of oral anticoagulants (OACs) in newly diagnosed AF patients, which significantly increased from 13.6 to 35.6%, contemporaneous with the introduction of NOACs (► Fig. 2).⁵

This is an executive summary of the 2021 focused update of the 2017 consensus guidelines of the Asia-Pacific Heart Rhythm Society (APHRS) on stroke prevention in AF. The full document has been published in *Journal of Arrhythmia*⁶ and includes further details of the evidence/data pertaining to the recommendations made in these guidelines.

The Importance of Integrated or Holistic Care in Managing Patients with AF: Impact on Stroke Risk Reduction and Adverse Outcomes in AF

Since AF patients usually had multiple comorbidities, a more holistic and integrated approach to AF management has been proposed to improve clinical outcomes in patients with AF.⁷

This integrated approach is directed at stroke prevention, better symptom management, and to tackle other cardiovascular risk factors/comorbidities (e.g., hypertension) aimed to reduce AF-related mortality, morbidity, and hospitalizations.

This can streamline decision-making for a holistic approach to AF management in an integrated manner, proposed as the ABC (Atrial fibrillation Better Care) pathway (► Fig. 3)⁷:

- “A”: *Avoid stroke* with anticoagulation, i.e., well-managed warfarin (time in therapeutic range [TTR] > 65–70%) or NOACs. NOACs are recommended in preference to warfarin for NOAC-eligible AF patients.
- “B”: *Better symptom management* with patient-centered symptom-directed decisions for rate or rhythm control.
- “C”: *Cardiovascular risk and comorbidity management* (blood pressure [BP] control, heart failure, cardiac ischemia, sleep apnea, etc.) as well as lifestyle changes (obesity reduction, regular exercise, reducing alcohol/stimulants, psychological morbidity, etc.).

With the focus on patient-centered management, explanation using the simple ABC concept can also lead to improved understanding and disease awareness amongst patients, better knowledge about their condition, and the priorities of management. Different health care professionals managing the AF patient can also discuss the management based on the A, B, and C pillars of the ABC pathway.

A systematic review and meta-analysis showed a lower risk of all-cause death (odds ratio [OR]: 0.42, 95% confidence interval [CI]: 0.31–0.56), cardiovascular death (OR: 0.37, 95% CI: 0.23–0.58), stroke (OR: 0.55, 95% CI: 0.37–0.82), and major bleeding (OR: 0.69, 95% CI: 0.51–0.94), with management adherent to the ABC pathway compared with noncompliance (► Fig. 4).⁸

The integrated care AF pathway approach (“simple as ABC...”) has been adopted and promoted in the Primary Care Clinical Pathway for AF Detection and Management (<https://bit.ly/2FhrwXQ>). The key feedback from multidisciplinary colleagues is the reassurance felt that a holistic approach to management can be streamlined across primary–secondary care, not being regarded as complex but is “simple as ABC...” The ABC pathway is now included within guidelines from American College of Chest Physicians,⁹ the Korean national AF guidelines,¹⁰ and the 2020 European AF guidelines,¹¹ and is therefore recommended in this guideline as part of the holistic approach to AF management. In this APHRS consensus document, we will particularly focus on the “A” domain and update data for stroke prevention in AF, but would highlight the importance of full compliance with the ABC pathway to improve outcomes in AF patients.

Recommendation

An integrated care or holistic management approach, based on the ABC pathway is recommended to improve outcome in the Asian AF population:

- “A”: *Avoid stroke* with anticoagulation, i.e., well-managed warfarin (TTR > 65–70%) or NOAC.
- “B”: *Better symptom management* with patient-centered symptom-directed decisions for rate or rhythm control.
- “C”: *Cardiovascular risk and comorbidity management* (BP control, heart failure, cardiac ischemia, sleep

Fig. 2 Temporal trend of prescriptions of OACs and risks of clinical events in newly diagnosed AF patients. The figure was redrawn and data were adapted from Chao et al.⁵ AF, atrial fibrillation; OACs, oral anticoagulants.

Fig. 3 The ABC pathway of integrated care management. The figure was redrawn and modified from Lip et al.⁷ ABC, Atrial fibrillation Better Care; NOAC, non-vitamin K antagonist oral anticoagulant; OAC, oral anticoagulant; TTR, time in therapeutic range; VKA, vitamin K antagonist.

Fig. 4 Impacts of adherence to the ABC pathway on clinical outcomes in AF patients. The figure was redrawn and modified from Romiti et al.⁸ ABC, Atrial fibrillation Better Care; CI, confidence interval; OR, odds ratio.

Cumulative incidence (%) of increment of CHA₂DS₂-VASc score to ≥ 1 for males and ≥ 2 for females among initially low-risk incident AF patients (score 0 for males and 1 for females)

Fig. 5 Cumulative incidences of increment of CHA₂DS₂-VASc score to ≥ 1 (males) or ≥ 2 (females). The figure was redrawn and data were adapted from Chang et al and Chao et al.^{23,25} AF, atrial fibrillation.

apnea, etc.) as well as lifestyle changes (obesity reduction, regular exercise, reducing alcohol/stimulants, psychological morbidity, etc.).

Stroke Risk Assessment (and Re-assessment)

In our 2017 consensus document, we recommended the use of the CHA₂DS₂-VASc score for stroke risk assessment for Asian AF patients.¹²

In this focused update, we still recommend the use of the CHA₂DS₂-VASc score as the stroke risk prediction scheme since it has been well validated in the Asian AF population.^{13–18} We should acknowledge that all clinical risk stratification scores are simplifications to aid decision-making, and to recognize the limitations of such scores. For example, there are many stroke risk factors¹⁹ and only the more common and validated ones have been included into risk scores, such as the CHA₂DS₂-VASc score.

The impact of individual stroke risk factors is not uniform, and for a single CHA₂DS₂-VASc risk factor in those aged <65 , and assuming an ischemic stroke risk treatment threshold of $\geq 1\%$ per year with NOACs, the tipping point with heart failure as a single risk was age 35 years, while for patients with hypertension, diabetes mellitus, and vascular diseases, the age thresholds for treatment were 50 years, 50 years, and 55 years, respectively.^{20,21} Not all CHA₂DS₂-VASc risk factors

carry equal weight, as event rates would be dependent on the population studied (e.g., hospitalized vs. community), study type (trial vs. real world), ethnicity, and study methodology.²²

Also, stroke risk is not static, given that aging and incident comorbidities would increase risk and the dynamic nature of stroke risk in AF would result in increments of their CHA₂DS₂-VASc scores.²³ For example, in a study from Taiwan which enrolled 31,039 AF patients without comorbidities of the CHA₂DS₂-VASc score except for age and sex at baseline, the mean CHA₂DS₂-VASc scores increased from 1.29 to 2.31 during a follow-up of 171,956 person-years (**Fig. 5**).^{23–25} Similar observations were reported in the Korean nationwide AF registry.²⁶

Both the follow-up CHA₂DS₂-VASc score and change in stroke risk (“delta-CHA₂DS₂-VASc” score, i.e., the difference between the baseline and follow-up scores) had better predictive value for ischemic stroke compared with the baseline CHA₂DS₂-VASc score.^{24,27} For initially low-risk (CHA₂DS₂-VASc score 0 for males or 1 for females) non-anticoagulated AF patients, the use of OACs once their CHA₂DS₂-VASc scores increased was associated with a lower risk of clinical events.²⁸

In summary, regular reassessment of stroke risk of AF patients and the timely prescriptions of OACs once the stroke risk of patients increased is important, given the increase in stroke risks with age and new comorbidities.

Frequency of Stroke Risk Reassessment

Data regarding the reasonable timing interval at which the stroke risk of AF patients should be reassessed are limited. In the study by Chao et al, which studied 14,606 AF patients with a baseline CHA₂DS₂-VASc score of 0 (males) or 1 (females), 6,188 patients acquired new risk factors with the acquisition of one or more new comorbidities approximately 4 to 5 months after their initial AF diagnosis; the most common incident comorbidity was hypertension, followed by heart failure, diabetes mellitus, and vascular disease; indeed, the onset of new comorbidities would depend on the type of comorbidity. Importantly, 596 of these original patients experienced ischemic stroke and the duration from the acquirement of incident comorbidities to the occurrence of ischemic stroke was an average of 4.4 months for 90% of the patients.²⁸ Based on these data, 4 months may be a reasonable timing interval at which the stroke risk of AF patients should be reassessed.

Recommendations

- The CHA₂DS₂-VASc score is recommended for stroke risk assessment for Asian AF patients.
- The stroke risk of AF patients is not static and should be reassessed regularly (at least annually and every 4 months if possible).
- In patients with AF initially at low risk of stroke (CHA₂DS₂-VASc = 0 in men, or 1 in women), a reassessment of stroke risk should ideally be made at 4 months after the index evaluation and OACs should be prescribed in a timely manner once their CHA₂DS₂-VASc scores increase.

Bleeding Risk Assessment and Reassessment

As with the 2017 consensus document, the HAS-BLED score is recommended for bleeding risk assessment for Asian AF

patients in this focused update. In a PCORI systematic review and evidence appraisal, the HAS-BLED score was found to be the best score for bleeding risk prediction.²⁹ In a recent analysis of ESC-EHRA EORP-AF General Long-Term Registry, the HAS-BLED score still performed better than the ORBIT score in the contemporary cohort of AF patients treated with NOACs.³⁰

The HAS-BLED score has been well validated in Asian cohorts, outperforming other bleeding risk scores (e.g., ATRIA, ORBIT, HEMORRH2AGES) and an approach simply focused only on modifiable bleeding risks.³¹ The HAS-BLED score performs well even in contemporary AF patients taking NOACs.³⁰ Bleeding risk is also not static and may change among AF patients initially having a low HAS-BLED score (≤ 2).³² In a previous study from Taiwan, the accuracy of the follow-up or delta HAS-BLED score in the prediction of major bleeding was significantly higher than that of the baseline HAS-BLED score; importantly, the bleeding risk is higher within several months after the increment of the HAS-BLED score.³² The HAS-BLED score has also been validated in AF patients who are taking no antithrombotic therapy (e.g., when first diagnosed), antiplatelet therapy (e.g., when AF develops in patients on aspirin for vascular disease), and on anticoagulation (whether warfarin or NOACs). Thus, the HAS-BLED score would be applicable at all steps of the patient-management pathway.

Appropriate use of the HAS-BLED score has been tested in the mAFA-II trial,³³ which was a prospective cluster-randomized trial that compared an mHealth integrated care approach against usual care. The intervention arm used the HAS-BLED to identify and mitigate modifiable bleeding risks, and schedule high bleeding risk patients for regular review and follow-up; this led to lower major bleeding rates at 1 year and an increase in OAC use.³⁴ In contrast, the usual care arm has higher major bleeding and a decline in OAC use (► Fig. 6).

Fig. 6 Use of OACs and risk of bleeding among patients who received integrated care approach and usual care. The figure was redrawn and modified from Guo et al.³⁴ AF, atrial fibrillation; NOAC, non-vitamin K antagonist oral anticoagulant; OACs, oral anticoagulants.

Fig. 7 Stroke and bleeding risk assessment in AF. The figure was redrawn and modified from Chang et al.²³ AF, atrial fibrillation; BP, blood pressure; INR, international normalized ratio; NOAC, non-vitamin K antagonist oral anticoagulant; NSAIDs, nonsteroidal anti-inflammatory drugs; OACs, oral anticoagulants; TTR, time in therapeutic range.

A recent study from Taiwan further demonstrated that for anticoagulated AF patients with a baseline HAS-BLED score of 0 to 2 which increased to ≥ 3 , the continuation of OACs was associated with better clinical outcomes.³⁵ A high HAS-BLED score is not a reason to withhold OACs even among AF patients with one non-sex risk factor (CHA₂DS₂-VASc score 1 for males and 2 for females) but a high bleeding risk (HAS-BLED score ≥ 3) as the use of OACs was still associated with a lower risk of composite adverse events of ischemic stroke, intracranial hemorrhage (ICH), or mortality (adjusted hazard ratio [aHR]: 0.781) in this population.³⁶

In summary, bleeding risk reassessment is important for anticoagulated AF patients, and the appropriate and responsible use of bleeding risk scores such as the HAS-BLED score is to identify and mitigate modifiable bleeding risk factors, and to identify high bleeding risk patients for early review and follow-up.

Recommendations

- For bleeding risk assessment, a formal structured risk-score-based bleeding risk assessment with the HAS-BLED score is recommended to help identify nonmodifiable and address modifiable bleeding risk factors, and to identify patients potentially at high bleeding risk for early and more frequent clinical review and follow-up.

- The bleeding risk of AF patients is not static, which should be reassessed regularly, and the identified modifiable bleeding risk factors should be corrected.
- An increased HAS-BLED score in anticoagulated AF patients should not be the only reason to withhold OACs, but reminds physicians to correct modifiable bleeding risk factors and follow up patients more closely.

In this focused update, we emphasize the dynamic natures of CHA₂DS₂-VASc and HAS-BLED scores and highly emphasize the clinical importance of risk reassessment. The recommended clinical practice about stroke and bleeding risk assessments is summarized in **Fig. 7**.

Approach to Stroke Prevention in Asian AF Patients

Given the limitations of all stroke risk scores in predicting high stroke risk in AF patients and the dynamic nature of stroke risk, the artificial categorization into low, moderate, and high risk strata is discouraged. Thus, stroke prevention (which is oral anticoagulation) should be the default strategy, unless patients are at low risk (defined as CHA₂DS₂-VASc score 0 in males or 1 in females). **Fig. 8** shows our recommendations, which were consistent to other guidelines.⁹

Patients with AF and significant valvular heart disease (previously referred to as “valvular AF”), defined as

Rationale:

Step 1: CHA₂DS₂-VASc score can identify low risk patients more reliably than other stroke prediction schemes

Step 2: Not all risk factors were equal regarding the risk of stroke. Bleeding risk is dynamic, and high bleeding scores should be used simply to identify potential modifiable factors and patients who may need more frequent follow-up.

Step 3: We recommended NOACs as first line therapy for OAC. If VKA therapy is being considered, the SAME-TT₂R₂ score can be used to identify patients who are good candidates for this approach.

Fig. 8 Three-step approach for the use of OACs for stroke prevention in AF. The flowchart was redrawn and modified from Lip et al.⁹ AF, atrial fibrillation; INR, international normalized ratio; NOAC, non-vitamin K antagonist oral anticoagulant; OACs, oral anticoagulants; VKA, vitamin K antagonist.

prosthetic mechanical heart valves or moderate–severe mitral stenosis, should be offered warfarin, when oral anticoagulation is recommended.³⁷ Indeed, NOACs are contraindicated in such patients.

In other patients without significant valvular heart disease (so-called “nonvalvular AF” [NVAf]), the first step (Step 1) is to identify low-risk patients (CHA₂DS₂-VASc score 0 in males or 1 in females) where no antithrombotic therapy is recommended. The next step (Step 2) is to offer stroke prevention (i.e., oral anticoagulation) to patients with ≥1 non-sex stroke risk factors (i.e., CHA₂DS₂-VASc score ≥1 in males or ≥2 in females). Most of the randomized trials included patients with ≥2 non-sex stroke risk factors, but some clinical trials with warfarin (ACTIVE-W), dabigatran, and apixaban (RE-LY, ARISTOTLE, AVERROES) included patients with a single non-sex stroke risk factor.^{38–41}

Since the risk of stroke of each CHA₂DS₂-VASc risk component was not the same and age is an important driver, patients' ages and the comorbidities which contribute to the score 1 for males or 2 for females could be considered when making management decisions about the use of OACs or not^{20,42–46} as summarized in ►Fig. 9. As OAC is being started, bleeding risk assessment is recommended, using the HAS-BLED score to identify and mitigate modifiable bleeding

risks, and to identify high bleeding risk patients for early review and follow-up.

Step 3 is to make the choice of OAC. We recommend the use of NOACs in preference to warfarin for stroke prevention. If NOACs are used, the recommended label dosing is important, given that the best outcomes are with label-adherent prescribing.^{47–52} Apart from guideline-directed anticoagulation prescribing, adherence and persistence with therapy are important.^{53–55}

If warfarin is considered, we recommend a target international normalized ratio (INR) of 2.0 to 3.0 with an average TTR ≥65% (ideally ≥70%). We do not recommend low-intensity anticoagulation or lower target INRs, given the higher risk of thromboembolism although bleeding risk is lower.⁵⁶ Of note, a “one off” INR reading gives no indication of the quality of anticoagulation control, and many serious bleeds occur when the INR is between 2.0 and 3.0.⁵⁷ A high TTR is associated with low rates of stroke and bleeding,⁵⁸ but many factors influence the quality of anticoagulation control. The more common and validated factors associated with poor labile INRs have been used to formulate clinical risk scores such as the SAME-TT₂R₂ scores. A high SAME-TT₂R₂ score (>2) is associated with a likelihood of poor TTR, and such patients should be flagged up for more attention to ensure good-quality anticoagulation

Fig. 9 Considerations about the use of NOACs for Asian AF patients with a CHA₂DS₂-VASc score of 1 (males) or 2 (females). AF, atrial fibrillation; NOAC, non-vitamin K antagonist oral anticoagulant.

(e.g., education and counseling, more frequent INR checks) or to reconsider the decision to prescribe NOACs (if suitable).^{59–63} One ongoing prospective randomized trial, TREAT-AF, is examining the impact of an educational intervention versus usual care on anticoagulation therapy control based on a SAME-TT₂R₂ score-guided management strategy amongst anticoagulant-naïve Thai patients with AF.⁶⁴

Recommendations

- In AF patients with mechanical heart valves or moderate-to-severe mitral stenosis, warfarin is recommended.
- For stroke prevention in AF patients without significant valvular heart disease (i.e., mechanical heart valves or moderate-to-severe mitral stenosis; so-called “valvular AF”) who are eligible for OAC, NOACs are recommended in preference to VKAs.
- Clinical pattern of AF (i.e., whether first detected, paroxysmal, persistent, long-standing persistent, permanent) should not condition the indication to thromboprophylaxis, if stroke risk factors are present.
- For stroke risk assessment, a risk-factor-based approach is recommended, using the CHA₂DS₂-VASc stroke risk score to initially identify patients at “low stroke risk” (CHA₂DS₂-VASc=0 in men, or 1 in women) who should not be offered antithrombotic therapy.
- In AF patients with CHA₂DS₂-VASc score ≥ 2 in men or ≥ 3 in women, OAC is recommended for stroke prevention.
- In AF patients with a CHA₂DS₂-VASc score of 1 in men or 2 in women, OAC should be considered for stroke prevention. Different age thresholds for different comorbidities may help guide NOAC use (e.g., age 35 years for heart failure, 50 years for hypertension or diabetes mellitus, and 55 for vascular diseases).
- If a VKA is used, a target INR of 2.0 to 3.0 is recommended, with individual TTR $\geq 65\%$ (ideally $\geq 70\%$).
 - A high SAME-TT₂R₂ score (>2) is associated with a likelihood of poor TTR, and such patients have more attention to ensure good-quality anticoagulation (e.g., education and counseling, more frequent INR checks) or to reconsider the decision to prescribe NOACs (if suitable).
- In patients on VKAs with low time in INR therapeutic range (e.g., TTR $< 70\%$), recommended options are:
 - Switching to a NOAC but ensuring good adherence and persistence with therapy.
 - Efforts to improve TTR (e.g., education/counseling and more frequent INR checks).
- Antiplatelet therapy alone (monotherapy or aspirin in combination with clopidogrel) is not recommended for stroke prevention in AF patients.
- Estimated bleeding risk, in the absence of absolute contraindications to OAC, or patients at high risk of falls, should not in itself guide treatment decisions to use OAC for stroke prevention.

Review Update Data Regarding Warfarin (Including INR Range) in Asia

When OAC is being considered, NOACs are the preferred option for stroke prevention in AF, because the benefits of NOAC on efficacy and safety compared with the VKAs are more profound in Asian than non-Asian population.⁶⁵ In some settings, the use of VKA is still needed because of the high cost of NOACs or in patients with specific indications including moderate to severe mitral stenosis and mechanical heart valves. Maintenance of a high TTR has been shown to reduce the risk of ischemic and bleeding events and should be the primary goal in the treatment of these patients independent of the type of management approach. Conversely, a change in the approach to these patients needs to be considered if a low TTR is consistently observed.

Several observational studies suggested that low-intensity warfarin therapy can reduce hemorrhage without increasing thromboembolism for East-Asian patients with NVAf receiving warfarin therapy, but the evidence is weak and no focus on quality of anticoagulation control, as reflected by TTR.^{66,67} In a systematic review and evidence appraisal, low-intensity anticoagulation or lower target INRs are associated with a higher risk of thromboembolism although bleeding risk may be lower.⁵⁶ Of note, a “one off” INR reading does not reflect the quality of anticoagulation control, especially since many serious bleeds actually occur when the INR is between 2.0 and 3.0.⁵⁷ Hence, we strongly recommend evidence-based management, with the strongest data currently for INR 2.0 to 3.0 and TTR ideally $\geq 65\%$ (or even 70%) in Asian patients.⁶⁸ We should ensure TTR is $\geq 65\%$ (optimal $\geq 70\%$), with appropriate education and counseling, or more frequent INR checks. Efforts to improve OAC uptake, adherence, and persistence with therapy are also crucial, as are efforts to improve service provisions.^{69–71}

Recommendations

- The use of VKA is recommended in patients with moderate to severe mitral stenosis and mechanical heart valve.
- For the optimal management of VKA therapy, INR of 2.0 to 3.0 is recommended in Asian AF patients, with attention to ensure TTR is $\geq 65\%$.

Updates of the subanalyses of trials in Asia:

The results of the four pivotal phase III NOAC trials showed that all NOACs were at least noninferior to warfarin in prevention of stroke/thromboembolism, and NOACs were associated with lower rates of intracranial bleeding than was warfarin. In the meta-analysis of four NOACs,⁷² NOACs significantly reduced stroke or systemic embolic events by 19% compared with warfarin (relative risk [RR]: 0.81, 95% CI: 0.73–0.91; $p < 0.0001$), mainly driven by a reduction in hemorrhagic stroke (RR: 0.49, 95% CI: 0.38–0.64; $p < 0.0001$). NOACs also significantly reduced all-cause mortality (RR: 0.90, 95% CI: 0.85–0.95; $p = 0.0003$) and ICH (RR: 0.48, 95% CI: 0.39–0.59; $p < 0.0001$), but increased gastrointestinal (GI) bleeding (RR: 1.25, 95% CI: 1.01–1.55; $p = 0.04$).

There was a greater RR reduction in major bleeding with NOACs when the TTR was less than 66% than when it was 66% or more (RR: 0.69, 95% CI: 0.59–0.81 vs. RR: 0.93, 95% CI: 0.76–1.13; p for interaction = 0.022).

The efficacy and safety of NOACs was more profound in the Asian population than in non-Asian population.⁶⁵ Comparing with VKAs, standard-dose NOACs reduced stroke or systemic embolism (SE; OR = 0.65 vs. 0.85, p interaction = 0.045) more in Asians than in non-Asians and were safer in Asians than in non-Asians for major bleeding (OR = 0.57 vs. 0.89, p interaction = 0.004) and hemorrhagic stroke (OR = 0.32 vs. 0.56, p interaction = 0.046). There was no excess of GI bleeds in Asians, whereas GI bleeding was significantly increased in non-Asians (OR = 0.79 vs. 1.44, p interaction = 0.041). Generally, reduced-dose NOACs were safer than VKAs without heterogeneity in efficacy and safety between Asians and non-Asians, except for ischemic stroke, major bleeding, and GI bleeding.⁶⁵ In the recent subanalysis of ENGAGE AF-TIMI 48 trial comparing patients of Asian and non-Asian races, Asians treated with warfarin had a higher adjusted risk of ICH (aHR: 1.71, $p = 0.03$) compared with non-Asians.⁷³ Compared with warfarin, higher dose edoxaban significantly reduced ICH while preserving the efficacy of stroke prevention in both Asians and non-Asians. Two of three net clinical outcomes appeared to be more favorably reduced with edoxaban in Asians compared with non-Asians ($p_{\text{int}} = 0.063$ for primary, 0.037 for secondary, and 0.032 for third net clinical outcomes, respectively).

Real-World Data about NOACs in Asia

In a systematic review and meta-analysis of real-world comparisons of NOACs for stroke prevention in Asian patients with AF,⁷⁴ the NOACs were associated with lower risks of thromboembolism (HR: 0.70; [95% CI: 0.63–0.78]), acute myocardial infarction (0.67; [0.57–0.79]), all-cause mortality (0.62; [0.56–0.69]), major bleeding (0.59; [0.50–0.69]), ICH (0.50; [0.40–0.62]), GI bleeding (0.66; [0.46–0.95]), and any bleeding (0.82; [0.73–0.92]) than warfarin. While real-world data are no substitute for randomized trials, this meta-analysis shows that the NOACs had greater effectiveness and safety compared with warfarin in real-world practice for stroke prevention, among Asian patients with NVAf.⁷⁴

NOACs also showed better effectiveness and safety than warfarin in “high risk” real-world Asian AF populations including the very elderly, those with low body weight or liver disease.^{45,75–80}

The Importance of On-Label Dosing of NOACs in Asians

Varying degrees of renal function require recommendations for reduced dosing regimens of NOACs; however, different cut-off values for age, body weight, or interacting drugs also require consideration for appropriate dose selection. In routine clinical practice in Asia, prescribed NOAC doses are often inconsistent with drug labeling.^{47–50,52} These

prescribing patterns may be associated with worse safety profiles with no benefit in effectiveness in patients with severe kidney disease and worse effectiveness with no benefit in safety in apixaban-treated patients with normal or mildly impaired renal function.^{81,82}

In meta-analysis of four NOAC trials, low-dose NOAC regimens showed similar overall reductions in stroke or systemic embolic events to warfarin (RR: 1.03, 95% CI: 0.84–1.27; $p=0.74$), and a more favorable bleeding profile (RR: 0.65, 95% CI: 0.43–1.00; $p=0.05$), but significantly more ischemic strokes (RR: 1.28, 95% CI: 1.02–1.60; $p=0.045$).⁷²

In patients eligible for reduced-dose NOACs, effects of reduced-dose NOACs compared with warfarin on stroke or SE (RR 0.84, 95% CI 0.69–1.03) and on major bleeding (RR: 0.70, 95% CI: 0.50–0.97) were consistent with those of full-dose NOACs relative to warfarin (RR: 0.86, 95% CI: 0.77–0.96 for stroke or SE and RR: 0.87, 95% CI: 0.70–1.08 for major bleeding; interaction p -values of 0.89 and 0.26, respectively). In addition, NOACs were associated with reduced risks of hemorrhagic stroke, ICH, fatal bleeding, and death regardless of patients' eligibilities for NOAC dose reduction (interaction $p > 0.05$ for each).⁸³

When checking the eligibility and determining the dosages of NOACs, it should be emphasized that the creatinine clearance (CCr) of AF patients should be calculated using the Cockcroft–Gault (CG) equation which was adopted in four pivotal randomized clinical trials.⁸⁴ Compared with the CG formula, modified diet in renal disease (MDRD) or Chronic Kidney Disease Epidemiology Collaboration (CKD–EPI) equations would overestimate the renal functions of AF patients, especially for the elderly (≥ 75 years) and those with a low body weight (< 50 kg).⁸⁵ The overestimations of the estimated glomerular filtration rates (eGFRs) would potentially result in inappropriate dosing of NOACs (mainly overdoing), and may therefore, attenuate the advantages of NOACs compared with warfarin.⁸⁵

A dose reduction of rivaroxaban in Asian patients might be necessary, but lacks the confirmation in large adequately powered prospective randomized clinical trials. Pharmacokinetic modeling data indicated that, at steady state, the distribution of both the maximum concentration and area under the curve (AUC) of rivaroxaban in Japanese patients with AF who received a 15 mg o.d. (once daily) dose of rivaroxaban would be comparable to the C_{max} and AUC 0 to 24, in Caucasian patients with AF who received a 20 mg o.d. dose. Accordingly, instead of the 20 and 15 mg o.d. dose, the 15 and 10 mg o.d. doses of rivaroxaban were selected in Japan. The Korean AF guidelines recommend the use of 15 mg o.d. dose of rivaroxaban in very elderly (≥ 80 years) AF patients.¹⁰ Another recent study from Taiwan which compared the clinical outcomes of AF patients receiving rivaroxaban following ROCKET-AF and J-ROCKET AF dosing regimens demonstrated that the risks of stroke/systemic and major bleeding did not differ significantly between the two groups.⁸⁶ However, a lower risk of major bleeding was observed for J-ROCKET AF dosing among patients with an eGFR < 50 mL/min with a borderline p -value of 0.0445.⁸⁶ Of note, off-label underdosing rivaroxaban (10 mg/d for

patients with an eGFR > 50 mL/min) should be avoided since it was associated with a 2.75-fold higher risk of ischemic stroke.⁴⁹

Recommendations

- Because NOACs are more effective and safer than warfarin in Asian AF patients, NOACs are the recommended choice of oral anticoagulation in Asian AF patients.
- The CG equation should be adopted to calculate CCr to determine the dosing of NOACs.
- On-label or guideline-adherent dosing of NOACs is recommended in Asian patients.

AF Complicating Acute Coronary Syndrome/Percutaneous Coronary Intervention

AF often occurs in patients with coronary artery disease (CAD). It has been reported that 5 to 8% of patients who undergo percutaneous coronary intervention (PCI) have AF.^{87,88} Importantly, patients with CAD and AF are at high risk of stroke.

In the warfarin era, a major concern in Asian patients with AF was the risk of serious bleeding by combining OAC with antiplatelets; however, temporal trends of patients with AF undergoing PCI after introduction of NOAC show increasing use of OAC and combination therapy with antiplatelets, especially in the NOAC era (**►Fig. 10**).⁸⁹

Patients with CAD and AF are not only at risk of stroke, but also at risk of bleeding due to associated comorbidities, and decision making should balance ischemic and bleeding risks when considering the duration, type, and treatment regime especially given the potential sensitivity of Asians to bleeding risks on OAC (**►Fig. 11**).^{90,91}

In the warfarin era, the WOEST study demonstrated a higher bleeding risk of triple therapy compared with double therapy of OACs and clopidogrel.⁹² More recently, the safety and efficacy of NOACs in combination with antiplatelet drugs in patients with CAD and AF have been reported in the PIONEER AF-PCI,⁹³ RE-DUAL PCI,⁹⁴ AUGUSTUS,⁹⁵ and ENTRUST-AF PCI trials.⁹⁶ The summary of those trials is presented in **►Table 1**.

In the PIONEER AF-PCI, RE-DUAL PCI, and ENTRUST-AF PCI trials, dual therapy with a NOAC and a P2Y₁₂ inhibitor was compared with a triple therapy with warfarin plus a dual antiplatelet therapy (DAPT). In the RE-DUAL PCI trial, elderly patients (≥ 80 years; age ≥ 70 years in Japan) were given 110 mg of dabigatran when assigned to the dual therapy group. The PIONEER AF-PCI and RE-DUAL PCI trials demonstrated that dual therapy decreased bleeding and did not increase thrombotic events, as compared with triple therapy. In the ENTRUST-AF PCI trial, dual therapy was noninferior to triple therapy for bleeding. The RE-DUAL PCI trial was also adequately powered to investigate a comparison of the combined dabigatran arms against warfarin for the composite thrombotic outcomes, and no significant difference was seen. The highest ticagrelor use was in RE-DUAL PCI, where 12% of the trial cohort used ticagrelor instead of clopidogrel;

Fig. 10 Increasing use of OACs (especially NOACs) and combination therapy with antiplatelet agents among AF patients undergoing percutaneous coronary intervention. The figure was redrawn and data were adapted from Kwon et al.⁸⁹ AF, atrial fibrillation; DAPT, dual antiplatelet therapy; NOAC, non-vitamin K antagonist oral anticoagulant.

no significant interaction was evident.⁹⁷ Based on these trials, a NOAC-based anticoagulation strategy was safer than a warfarin-based strategy in terms of bleeding.

The role of aspirin was tested in the AUGUSTUS trial using a two-by-two factorial design.⁹⁵ In the AUGUSTUS trial, the use of apixaban reduced bleeding by 31% as compared with VKAs, and the use of aspirin resulted in an increase in bleeding by 47%, i.e., dual therapy with apixaban and a P2Y12 inhibitor was associated with a lower rate of bleeding than triple therapy or dual therapy with warfarin. Furthermore, patients taking apixaban had a lower incidence of death or hospitalization than those taking VKAs, mainly driven by a reduction in the incidence of hospitalizations. The incidence of death or ischemic events did not differ significantly between aspirin and a placebo or between apixaban and VKAs, but was numerically greater in the placebo-treated patients compared with aspirin. The incidence of stroke decreased by 50% in patients with apixaban as compared with VKAs.

In all four trials, randomization was performed after the PCI, and all patients were treated by triple therapy during the periprocedural period, in which stent thromboses were most likely to occur. Thus, this consensus recommends an initial period of triple therapy with OAC plus a DAPT during the PCI and the following 7 to 28 days, depending on the balance between thrombotic and bleeding risks (► **Fig. 12**), as recommended by 2021 European Heart Rhythm Association

(EHRA) Practical Guide on the Use of NOACs in Patients with AF.⁹⁸ Indeed, in patients at very high bleeding risks and acceptable thrombotic risk, aspirin may be dropped much earlier. In contrast, where patients have a high thrombotic risk (e.g., post-acute coronary syndrome [post-ACS]) but acceptable bleeding risks, the period of triple therapy should be continued for at least 4 weeks.

Following the period of triple therapy, patients should be managed with an OAC plus a P2Y12 inhibitor, usually clopidogrel. After 1 year, the patient should be managed with OAC alone. The OAC strategy should be a NOAC (ideally with the potential for less bleeding) or if on warfarin, with good-quality anticoagulation control (TTR $\geq$ 70%)

Beyond 1 year, the evidence suggests that OAC monotherapy is the preferred option, given similar or worse major adverse cardiac events and more bleeding with combining NOAC and antiplatelets.⁹⁹ The AFIRE trial included AF patients who underwent PCI or coronary artery bypass grafting (CABG) more than 1 year earlier or did not require revascularization.¹⁰⁰ The patients were assigned to receive monotherapy with rivaroxaban (10 mg o.d. for patients with an eGFR of 15 to 49 mL/min or 15 mg o.d. for patients with an eGFR $\geq$ 50 mL/min) or a combination of rivaroxaban plus a single antiplatelet drug. The incidence of both cardiovascular and noncardiovascular death was lower in the rivaroxaban monotherapy group. For the primary efficacy endpoint (a composite of stroke, SE, myocardial infarction, unstable

Fig. 11 Factors tipping the balance between ischemic and bleeding risk in AF patients presenting with ACS and/or undergoing PCI. The figure was redrawn and modified from Vitolo et al.⁹⁰ ACS, acute coronary syndrome; AF, atrial fibrillation; BMI, body mass index; BRS, bioresorbable scaffold; CKD, chronic kidney disease; CTO, chronic total occlusion; DAT, dual antithrombotic therapy; DES, drug-eluting stent; ESRD, end-stage renal disease; LAD, left anterior descending artery; MI, myocardial infarction; NSAID, nonsteroidal anti-inflammatory drug; PCI, percutaneous coronary intervention; TAT, triple antithrombotic therapy.

angina requiring revascularization, or death from any cause), monotherapy was noninferior to dual therapy (HR: 0.72, 95% CI: 0.55–0.95). Additionally, monotherapy decreased major bleeding by 41%. Therefore, monotherapy with rivaroxaban is recommended rather than a combination of rivaroxaban with an antiplatelet drug in AF patients with stable CAD at more than 1 year after a PCI or CABG. Although the AFIRE trial only investigated rivaroxaban at the J-ROCKET AF dosing, it suggests that monotherapy with a NOAC at the stroke prevention dosing without a combination of an antiplatelet drug is favored for AF patients with stable CAD.

Recommendations

- In AF patients eligible for NOACs, it is recommended to use a NOAC in preference to a VKA in combination with antiplatelet therapy.
- In patients at high bleeding risk (HAS-BLED ≥ 3), rivaroxaban 15 mg o.d. should be considered in preference to rivaroxaban 20 mg o.d. for the duration of concomitant single or DAPT, to mitigate bleeding risk.
- In patients at high bleeding risk (HAS-BLED ≥ 3), dabigatran 110 mg b.i.d. (twice daily) should be considered in preference to dabigatran 150 mg b.i.d. for the duration of concomitant single or DAPT, to mitigate bleeding risk.

- In AF patients with an indication for a VKA in combination with antiplatelet therapy, the VKA dosing should be carefully regulated with a target INR of 2.0 to 2.5 and TTR $> 70\%$.

Patients with ACS

- In AF patients with ACS undergoing an uncomplicated PCI, early cessation (≤ 1 week) of aspirin and continuation of dual therapy with an OAC and a P2Y₁₂ inhibitor (preferably clopidogrel) for up to 12 months is recommended if the risk of stent thrombosis is low or if concerns about bleeding risk prevail over concerns about risk of stent thrombosis.
- Triple therapy with aspirin, clopidogrel, and an OAC for longer than 1 week after an ACS should be considered when risk of stent thrombosis outweighs the bleeding risk, with the total duration (≤ 1 month) decided according to assessment of these risks.

Elective PCI

- After uncomplicated PCI for stable CAD, early cessation (≤ 1 week) of aspirin and continuation of dual therapy with OAC for up to 6 months and clopidogrel is recommended if the risk of stent thrombosis is low or if concerns

Table 1 Summary of four randomized clinical trials in patients with coronary artery disease and atrial fibrillation⁹³⁻⁹⁶

	PIONEER-PCI	RE-DUAL PCI	AUGUSTUS	ENTRUST-AF PCI
No. of participating patients (Asian patients, %)	2,124 (4.0%)	2,725 (NA)	4,614 (3.1%)	1,506 (11.2%)
Randomization	<ul style="list-style-type: none"> Rivaroxaban 15 mg + a P2Y₁₂ inhibitor (group 1) Rivaroxaban 2.5 mg + DAPT (group 2) VKA + DAPT (group 3) 	<ul style="list-style-type: none"> Dabigatran 110 mg + a P2Y₁₂ inhibitor Dabigatran 150 mg + a P2Y₁₂ inhibitor VKA + DAPT (except United States, dabigatran 110 mg + a P2Y₁₂ inhibitor or VKA + DAPT for elderly patients) 	<ul style="list-style-type: none"> A 2 × 2 factorial design Apixaban 5 mg vs. VKA Aspirin vs. placebo 	<ul style="list-style-type: none"> Edoxaban 60 mg + a P2Y₁₂ inhibitor vs. VKA + DAPT
Duration from the PCI to randomization	Within 72 hours	Within 120 hours	Within 14 days	4 hours to 5 days
Primary endpoint	Major or minor bleeding	Major or minor bleeding	Major or minor bleeding	Major or minor bleeding
Hazard ratio for the primary endpoint	Group 1 vs. group 3: 0.59 (0.47–0.76) Group 2 vs. group 3: 0.63 (0.50–0.80)	Dabigatran 110 mg vs. VKA + DAPT: 0.52 (0.42–0.63) Dabigatran 150 mg vs. VKA + DAPT: 0.72 (0.58–0.88)	Apixaban 5 mg vs. VKA: 0.69 (0.58–0.81) Aspirin vs. placebo: 1.89 (1.59–2.24)	Edoxaban + a P2Y ₁₂ inhibitor vs. VKA + DAPT: 0.83 (0.65–1.05)

Abbreviations: DAPT, dual antiplatelet therapy; PCI, percutaneous coronary intervention; VKA, vitamin K antagonist.

about bleeding risk prevail over concerns about risk of stent thrombosis, irrespective of the type of stent used.

- After uncomplicated PCI for stable CAD, triple therapy with aspirin, clopidogrel, and an OAC for longer than 1 week should be considered when risk of stent thrombosis outweighs the bleeding risk, with the total duration (≤ 1 month) decided according to assessment of these risks.

Stable CAD: In patients with stable CAD, such as more than 1 year after the PCI or CABG, a standard dose of NOAC monotherapy is recommended.

Footnotes

Risk of stent thrombosis encompasses: (1) risk of thrombosis occurring, and (2) risk of death should stent thrombosis occur, both of which relate to anatomical, procedural, and clinical characteristics. Risk factors for stable CAD (chronic coronary syndrome) patients include: stenting of left main stem or last remaining patent artery; suboptimal stent deployment; stent length >60 mm; diabetes mellitus; chronic kidney disease; bifurcation with two stents implanted; treatment of chronic total occlusion; and previous stent thrombosis on adequate antithrombotic therapy.

Management of OACs before, during, and after AF Ablation

Catheter ablation procedures for AF are associated with both prothrombotic and bleeding risks, and appropriate anticoagulation managements before, during, and after the procedure are quite important. Since the COMPARE randomized study demonstrated lower risks of both thromboembolism and bleeding complications under uninterrupted VKA compared with interrupted VKA with heparin bridging,¹⁰¹ it is generally accepted that the procedure should be performed without interrupting VKA, when anticoagulation control is appropriate.¹⁰²

NOACs are currently used in many patients even in those undergoing AF ablation. The Japanese Catheter Ablation Registry of AF (J-CARAF) during 2011 to 2016 showed that of the 9,048 patients with periprocedural oral anticoagulation, 3,231 (35.7%) were treated with VKA, whereas the other 5,817 (64.3%) were managed with NOACs.¹⁰³ A meta-analysis of nonrandomized studies showed that interrupted dabigatran for a minimum period (12–24 hours) before the procedure was associated with similar thromboembolism and bleeding complication rates to uninterrupted VKA.¹⁰⁴

Several randomized, prospective clinical trials on uninterrupted NOACs versus uninterrupted VKA have been conducted (► **Table 2**). These trials include VENTURE-AF study for rivaroxaban versus VKA¹⁰⁵; RE-CIRCUIT study for dabigatran versus VKA¹⁰⁶; AXAFA-AFNET 5 study for apixaban versus VKA¹⁰⁷; and ELIMINATE-AF study for edoxaban versus VKA.¹⁰⁸ In these studies, therapeutic doses of NOACs and VKA with target prothrombin time-INR between 2.0 and 3.0 were generally administered for >3 weeks before ablation, with exception of short-period administration in some

Fig. 12 Anticoagulation therapy after elective PCI or ACS in AF patients. A, aspirin 75–100 mg QD; C, clopidogrel 75 mg QD; Tica, ticagrelor 90 mg BID. The figure was redrawn and modified from the 2021 European Heart Rhythm Association Practical Guide on the use of NOACs in patients with AF by Steffel et al.⁹⁸ ACS, acute coronary syndrome; AF, atrial fibrillation; BID, twice daily; BMS, bare metal stent; DES, drug-eluting stent; LAD, left anterior descending artery; MI, myocardial infarction; NOAC, non-vitamin K antagonist oral anticoagulant; PCI, percutaneous coronary intervention; PPI, proton pump inhibitor; QD, once daily.

cases in which transesophageal or intracardiac echocardiography confirmed the absence of intra-atrial thrombus. After the ablation procedure, the drugs were continued for >30 days. During the procedure, heparin was given to maintain activated clotting time >300 seconds in all studies. The RE-CIRCUIT,¹⁰⁶ AXAFA-AFNET 5,¹⁰⁷ and ELIMINATE-AF¹⁰⁸ studies included patients enrolled from the Asian countries. The incidences of major complications in uninterrupted NOACs versus uninterrupted VKA groups in each study are shown in ►Table 2.

In a meta-analysis of these four trials comparing NOACs versus VKA,¹⁰⁸ the rate of death was 0.1 versus 0.2%; ischemic stroke, 0.2 versus 0.2%; major bleeding, 2.1 versus 4.2%; and the composite outcome, 2.4 versus 4.6%, respectively. Another meta-analysis of six randomized studies¹⁰⁹ on uninterrupted NOACs (dabigatran, rivaroxaban, and apixaban) versus uninterrupted VKA revealed that the incidence of major bleeding was significantly lower in the NOAC group (1.68%) than in the VKA group (3.80%) (OR = 0.45, 95% CI: 0.26–0.81, $p = 0.007$); while the incidence of ischemic stroke or transient ischemic attack (TIA) was low and similar between the NOAC (0.21%) and VKA groups (0.21%). Further, the incidence of silent cerebral thromboembolic events (in

three studies) was similar between NOAC (14.0%) and VKA groups (13.3%). Similar results were reported by another meta-analysis.¹¹⁰

Interrupted NOAC protocols versus uninterrupted regimes have been tested by prospective, randomized studies done in Asian countries.^{111–114} Notwithstanding the small-size study cohorts which may be underpowered for the thromboembolic outcomes, an ablation strategy with minimally interrupted periprocedural NOACs may be an option.

Recommendations

- We recommend a preferential use of NOACs over VKA because of their safety profile relative to VKA in addition to their ease of management before and after ablation.
- NOAC dosing protocols, uninterrupted or minimally interrupted, should be determined in each institution, depending on the volume of AF ablation done, experience of the operator, back-up system in case of life-threatening complications, baseline renal function and thromboembolism and bleeding risks of each patient, time of administration

Table 2 Outlines and major outcomes of four randomized trials on NOACs versus VKA for AF ablations^{105–108}

	VENTURE-AF		RE-CIRCUIT		AXAFA-AFNET		ELIMINATE-AF	
NOAC	Rivaroxaban 20 mg QD (evening)		Dabigatran 150 mg BID		Apixaban 5 mg BID ^a		Edoxaban 60 mg QD ^a (evening)	
Comparator	VKA (INR, 2.0–3.0)		VKA (INR, 2.0–3.0)		VKA (INR, 2.0–3.0)		VKA (INR, 2.0–3.0)	
Study design	Open-label, randomized		Open-label, randomized		Open-label, randomized		Open-label, randomized	
No. of patients (NOAC vs. VKA)	124 vs. 124		317 vs. 318		318 vs. 315		375 vs. 178	
Enrollment from Asian countries	No		Yes		Yes		Yes	
Duration of administration before ablation	>3 wk		4–8 wk		>30 days		3–4 wk	
Follow-up period after ablation	>30 days		8 weeks		>30 days		90 days	
Patient characteristics								
Mean or median age (y)	59.6 ± 10.2		59.1 ± 10.4		64 (58, 70)		60.5 (53–67)	
Percentage of male patients	71.0%		74.8%		67.0%		71.5%	
Percentage of paroxysmal AF	73.4%		67.6%		58.0%		67.6%	
Mean CHA ₂ DS ₂ -VASc score	1.6		2.1		2.4		0/1 in 49.8%	
Primary endpoints	ISTH/GUSTO/TIMI major bleeding		ISTH major bleeding		All-cause death, stroke, or major bleeding		All-cause death, stroke (ischemic, hemorrhagic, or undetermined), or ISTH major bleeding	
Major complication rates	Rivaroxaban	VKA	Dabigatran	VKA	Apixaban	VKA	Edoxaban	VKA
ISTH major bleeding	0%	0.8%	1.6%	6.9%	3.1%	4.4%	2.4%	1.7%
Ischemic stroke	0%	0.8%	0%	0.3%	0.6%	0%	0.3%	0%
Death	0%	0.8%	0%	0%	0.3%	0.3%	0%	0%
Composite	0%	2.4%	1.6%	7.2%	4.0%	4.7%	2.7%	1.7%

Abbreviations: AF, atrial fibrillation; BID, twice daily; INR, international normalized ratio; NOACs, non-vitamin K antagonist oral anticoagulants; QD, once daily; VKA, vitamin K antagonist.

^aDose reduced when dose reduction criteria were met.

of once-daily NOACs (morning or evening), preparation of specific antidotes to NOACs, etc. (►Fig. 13).

- For most patients, an uninterrupted NOAC strategy may be the preferred option.

- When VKA is used, it should be controlled within a therapeutic range and uninterrupted throughout the periprocedural period unless bleeding events preclude its continuous use.
- In general, OAC therapy is continued for 2 months following ablation in all patients. Beyond this time, a decision to continue OAC long term is determined primarily by the presence of CHA₂DS₂-VASc stroke risk factors rather than the rhythm status.

Reversal Agents

The general principles of managements of bleeding are summarized in ►Fig. 14. For severe bleeding or life-threat-

ening bleeding, reversal agents could be considered to reverse the anticoagulant effects of NOACs.

Idarucizumab is a monoclonal antibody fragment and binds dabigatran with an affinity that is 350 times as high as that observed with thrombin.¹¹⁵ In the RE-VERSE AD study, the efficacy and safety of idarucizumab was tested in patients who had serious bleeding or required urgent procedures. In an interim analysis of the first 90 patients, idarucizumab reversed the anticoagulant effect of dabigatran within minutes in 88 to 98% of patients.¹¹⁶ In the whole cohort of 503 patients, the median time to cessation of bleeding was 2.5 hours in those with uncontrolled bleeding who could be assessed.¹¹⁷ For the periprocedural group, the median time to the initiation of the intended procedure was 1.6 hours. Periprocedural hemostasis was assessed as normal in 93.4% of the patients, mildly abnormal in 5.1%, and moderately abnormal in 1.5%. At 90 days, thrombotic events had occurred in 6.3% of the patients in the uncontrolled

Fig. 13 A flow chart about the general recommendation for NOACs in the periprocedural period of catheter ablation. NOACs, non-vitamin K antagonist oral anticoagulants; TEE, transesophageal echocardiography.

Fig. 14 General principles of managements of bleeding for anticoagulated AF patients. AF, atrial fibrillation; FFP, fresh frozen plasma; NOACs, non-vitamin K antagonist oral anticoagulants; OACs, oral anticoagulants.

bleeding group and in 7.4% in the periprocedural group, while the mortality rate was 18.8 and 18.9%, respectively. No serious adverse safety signals were noted. More recently, it was found that although both dabigatran and idarucizumab were renally cleared, impaired renal function did not affect the reversal of anticoagulation.¹¹⁸ The REVERSE-AD study results were consistent and supported by observations from a post-approval global registry (RE-VECTO), which also showed that off-label use was minimal.¹¹⁹ Idarucizumab is approved in many countries for patients treated with dabigatran when reversal of the anticoagulant effects of dabigatran is needed for emergency surgery/urgent procedures and in life-threatening or uncontrolled bleeding.

Andexanet alfa is a recombinant modified human factor Xa decoy protein that is catalytically inactive but which retains the ability to bind factor Xa inhibitors in the active site with high affinity.¹²⁰ In a clinical study of older healthy volunteers, andexanet reversed the anticoagulant activity of apixaban and rivaroxaban within minutes after administration and for the duration of infusion, without clinical evidence of toxic effects.¹²¹ In the multicenter, open label, single-arm ANNEXA-4 trial, 352 patients with acute major bleeding associated with factor Xa inhibitors (mostly on rivaroxaban and apixaban) were given an initial bolus and subsequent 2-hour infusion of andexanet alfa. This substantially reduced anti-factor Xa activity after the bolus (75–92%) and this effect persisted till the end of the infusion. Good or excellent hemostatic efficacy was achieved in 82%, 12 hours after the infusion.¹²² During 30 days of follow-up, 49 patients (14%) died and 34 (10%) experienced a thrombotic event. Similar data are based on an earlier interim analysis of this study,¹²¹ and andexanet alfa was granted accelerated approval by the Food and Drug Administration for the reversal of anticoagulation if needed due to life-threatening or uncontrolled bleeding in patients treated with apixaban or rivaroxaban.

When managing OAC-related bleeding, it is important to survey for occult malignancies that are the cause/origin of the bleeding, e.g., GI tract cancer in patients with GI bleeding.⁸⁴ In a nationwide study from Taiwan, incident GI cancers were diagnosed in 1 of 37 AF patients at 1 year after OAC-related GI bleeding, and were more common among patients treated with NOACs (1/26) compared with warfarin (1/41).¹²³ Interestingly, the risk of mortality after GI tract cancers were diagnosed was lower in patients treated with NOACs than in those treated with warfarin (23.5 vs. 51.8%; aHR: 0.441; $p < .001$), and more patients treated with NOACs (33.8%) underwent surgery than those treated with warfarin (18.9%), suggesting that NOACs may serve as a stronger “screening test” than warfarin and may be able to disclose GI cancers at an earlier stage when operation is possible, therefore leading to a better prognosis.¹²³ Similar findings have been reported for anticoagulated patients presenting with hematuria among whom the possibility of underlying bladder cancers should be kept in mind.¹²⁴

Recommendations

- Idarucizumab is indicated for the reversal of dabigatran in patients with serious bleeding or requiring urgent procedures.

- Andexanet alfa can be useful for reversing anticoagulation in patients treated with factor Xa inhibitors with life-threatening or uncontrolled bleeding.
- The possibility of occult malignancies that are the cause/origin of the bleeding should be kept in mind when managing OAC-related bleeding.

Stroke Prevention in Special Patient Groups

Issues about stroke prevention in special patient groups are discussed in the **Supplementary Material** (available in the online version).

Left Atrial Appendage Occlusion

The efficacy, safety, and procedural aspects, as well as the limitations of current data on left atrial appendage (LAA) occlusion have recently been the subject of a detailed expert consensus statement: EHRA/EAPCI (European Association of Percutaneous Cardiovascular Interventions) on catheter-based LAA occlusion.¹²⁵ More recently, the role of surgical occlusion of the LAA in AF patients undergoing cardiac surgery has gained prominence with publication of the LAAOS III trial.¹²⁶ The latter showed that stroke/SE occurred in 4.8% in the LAA occlusion group and in 7.0% in the no-occlusion group (HR: 0.67; 95% CI: 0.53–0.85; $p = 0.001$). The incidence of perioperative bleeding, heart failure, or death did not differ significantly between the trial groups. Thus, among participants with AF who had undergone cardiac surgery, the risk of ischemic stroke or SE was lower with concomitant LAA occlusion performed during the surgery than without it.

Catheter-Based LAA Occlusion

Efficacy

There are two randomized controlled trials (RCTs) comparing percutaneous LAA occlusion with the Watchman device to warfarin in patients with NVAF and high risk of stroke.^{127,128} Data from these and their associated registries^{129,130} demonstrate noninferiority to warfarin for prevention of ischemic stroke or SE >7 days postprocedure. There were more ischemic strokes in the device group (1.6 vs. 0.9 events/100 patient-years, $p = 0.05$), largely driven by procedure-related strokes, and a significant reduction in hemorrhagic stroke (0.15 vs. 0.96/100 patient-years, $p = 0.004$).¹³⁰ To date, there are limited data comparing LAA occlusion devices with NOACs. Noninferiority to NOACs has been examined in the PRAGUE-17 trial, ClinicalTrials.gov identifier NCT02426944,¹³¹ which showed that in AF patients at high risk for stroke (CHA₂DS₂-VASC: 4.7 ± 1.5) and increased risk of bleeding, LAAO was noninferior to NOACs in preventing major AF-related cardiovascular, neurological, and bleeding events. There were no differences between groups for the components of the composite endpoint: all-stroke/TIA (subdistribution HR [sHR]: 1.00; 95% CI: 0.40–2.51), clinically significant bleeding (sHR: 0.81; 95% CI: 0.44–1.52), and cardiovascular death (sHR: 0.75; 95% CI:

0.34–1.62). Major LAAC-related complications occurred in 9 (4.5%) patients.¹³²

Safety

Safety data are available from the RCTs^{127,128} and several registries,^{129,133–135} including two conducted in the Asia-Pacific region.^{136,137} In modern practice, there is high implantation success of 95 to 98.5%.^{128,133,137}

Procedure- and device-related complications in the first 7 days were high in the earlier PROTECT AF trial¹²⁷ at 8.7% but lower at 4.2% in the subsequent PREVAIL trial.¹²⁸ Similar reduction in complication rate has been seen over time in registries, with early data showing a high complication rate of 8.6%,¹³⁸ reducing to 2.2 to 3% for more contemporaneous registries.^{128,133,137} However, trials and registries have selection bias and real-world data suggest that the complication rate may be significantly higher.¹³⁹

The rates of early device thrombosis in meta-analysis and registry data are 3.7 to 3.9%,^{135,140} and there are no RCTs to guide the duration of anticoagulation and number, and type and duration of antiplatelet agents, although registry data suggest safety of a single antiplatelet agent. Other “real-world” reports of device-related thrombus (DRT) suggest incidence rates as high as 7.2% per year,¹⁴¹ as well as high annual rates of mortality (7.4%), ischemic strokes (4.3%), and major hemorrhages (4.5%).¹⁴² The EURO-C-DRT registry reported that substantial proportion of DRT (18%) was detected >6 months after LAA closure, highlighting the need for imaging follow-up, especially since such patients were at high risk for stroke and mortality (13.8 and 20.0%, respectively).¹⁴³

Although there are registry data on safety of LAA occlusion in patients with a contraindication to anticoagulation,¹³⁵ there are no published RCT data on efficacy and safety of LAA occlusion devices in this cohort, although ongoing studies may address this.^{125,144}

Issues Specific to the Asia-Pacific Region

Asians are significantly underrepresented in clinical trials and registries of LAA occlusion devices with <1% of patients in the PROTECT-AF and PREVAIL trials and associated registries being of Asian ethnicity.¹³⁰ However, evidence for safety and efficacy in Asian patients come from two small registries from the Asia-Pacific region—the WASP registry¹³⁷ performed in South-East Asia and Australia with 106/203 patients being of Asian ethnicity and the SALUTE registry of 54 patients in Japan.¹³⁶ The WASP registry suggested important differences in anatomy and need for larger device sizes in Asian patients.¹³⁶

The lack of comparative data to NOACs may be especially pertinent in the Asia-Pacific region given the more profound benefits of NOACs in Asian populations, especially with respect to reduced incidence of intracranial hemorrhage.⁶⁵

Finally, cost-effectiveness analysis has been performed utilizing health care costs from the United States,^{145,146} which may not be applicable in the Asia-Pacific region,

especially when one considers the diverse health care systems, costs, and funding models across the region.

Recommendations

- LAA occlusion may be considered for stroke prevention in patients with AF and clear contraindications for long-term anticoagulant treatment (e.g., intracranial bleeding without a reversible cause).
- Surgical occlusion or exclusion of the LAA is recommended for stroke prevention in patients with AF undergoing cardiac surgery.

Role of Environmental and Lifestyle Factors in AF

Cardiovascular risk factors, including lifestyle factors and comorbidities, affect the risk and prognosis of AF. Management of these risk factors, unhealthy lifestyle behaviors and practices, and comorbidities is important for stroke prevention and to control the burden of AF and symptoms associated with AF. This strategy constitutes the “C” component of the ABC pathway.¹¹ Lifestyle modifications, including weight loss, physical activity, alcohol abstinence, and risk factor modifications including BP control, have been shown to reduce AF burden.^{147–154}

Unhealthy lifestyle factors tend to cluster together, and increased numbers of unhealthy lifestyle factors (current smoking, heavy drinking [>30 g/day], and lack of regular exercise) have been associated with a higher risk of incident AF.^{155,156} Overall, the promotion of a healthy lifestyle to lower the risk of new-onset AF and AF-related complications is recommended.

Body Weight: Role of Obesity and Low Body Weight

Obesity is an important and potentially modifiable risk factor for AF and can affect the incidence and persistence of AF.^{157,158} Obesity is also associated with other cardiovascular disease risks, including hypertension, sleep apnea, impaired glucose tolerance, and diabetes, which are all associated with incident AF and AF-related complications.

Aggressive weight reduction and risk factor modification have been shown to reduce AF recurrences and arrhythmia burden, as well as AF symptom burden; thus, there is improved maintenance of sinus rhythm and beneficial effects on cardiac remodeling compared with conventional therapy in patients with obesity.^{148,149,159,160} For example, in patients diagnosed with overweight or obesity concomitant with AF, >10% weight reduction was associated with reduction in the AF burden and reversal of AF type and natural progression.^{149,161} Underweight patients are not uncommon in the Asian population, and these patients show an increased risk of AF.¹⁶² Moreover, fluctuations in body weight were associated with an increased risk of AF, particularly amongst those with low body weight.¹⁶³

With regard to clinical outcomes, the risk of the composite outcome of ischemic stroke, thromboembolism, or death is

higher in those with overweight and obesity, even after adjustment for CHA₂DS₂-VAsC scores.¹⁶⁴ However, in a systematic review and meta-analysis, an obesity paradox was observed in patients with AF taking anticoagulation therapy, particularly with regard to all-cause and cardiovascular death in subgroup analyses of randomized trial cohorts.¹⁶⁵ Another study showed that the risk of ischemic stroke, major bleeding, and mortality was lower in Asian patients with AF, who showed a high body mass index and received OACs compared with those with normal weight, whereas underweight patients had an increased risk of mortality and composite outcome compared with those with normal weight.¹⁶⁶ For stroke prevention, NOACs are generally associated with better outcomes than those with warfarin administration in Asians across patients of different body weights, particularly in underweight patients.⁷⁶ Given the observed obesity paradox in AF patients, keeping a normal body weight is recommended.

Alcohol

Excessive alcohol consumption is a well-known risk factor and trigger for AF.¹⁶⁷ Excessive alcohol consumption acts synergistically with other lifestyle risk factors for AF, including hypertension, obesity, obstructive sleep apnea, and cardiomyopathy to magnify their effects. Excessive alcohol consumption is a known clinical risk factor for bleeding during anticoagulation therapy, and is included in the HAS-BLED score.¹⁶⁸ High alcohol consumption is also associated with an increased risk of thromboembolism and death in patients with incident AF.¹⁶⁹ Asian data have shown that high alcohol consumption was associated with a high ischemic stroke risk.¹⁷⁰

One recent randomized trial has reported that alcohol abstinence reduced the risk of recurrent AF in those with heavy alcohol consumption patterns.¹⁷¹ Alcohol abstinence was also associated with a low risk of incident AF in patients with newly diagnosed type 2 diabetes,¹⁷² and alcohol abstinence after a diagnosis of AF was associated with a low risk of ischemic stroke.¹⁷⁰

Smoking

Smoking is associated with an increased risk of incident AF,^{173,174} and smoking cessation seems to lower the risk of AF compared with current smokers.^{173,174} In Asian AF patients with a low CHA₂DS₂-VAsC score, smoking was identified as a risk factor for ischemic stroke.¹⁷⁵ Furthermore, quitting smoke after incident AF was associated with a low risk of ischemic stroke, lower stroke severity, and death from cerebrovascular events.¹⁷⁶

Air pollution

Epidemiological studies have suggested that elevated ambient particulate matter (PM) <2.5µm (PM_{2.5}) or <10µm (PM₁₀) in aerodynamic diameter is consistently associated with adverse cardiac events. In the Asian general population,

long-term exposure of PM_{2.5} is associated with the increased incidence of new-onset AF.^{177,178}

Physical Activity

Moderate-intensity exercise (150 min/week) or vigorous-intensity exercise (75 min/week) recommended by the 2018 Physical Activity Guidelines Advisory Committee is known to improve cardiovascular health. Physical inactivity is associated with an increased risk of incident AF,¹⁷⁹ and regular exercise could reduce AF burden and improve AF-related symptoms and patients' quality of life.^{180–183} However, the risk of AF increased in those who participate in extreme endurance exercise that far exceeds the levels recommended by the Physical Activity Guidelines Advisory Committee report.¹⁸⁴ Cardiorespiratory fitness generally reduces the AF burden and symptom severity in patients with obesity and concomitant AF, which may be attributable to the beneficial effects of weight loss.¹⁴⁷

One recent observational study in Asian patient with incident AF reported that regular exercise was associated with low risks of heart failure, mortality, and dementia in addition to a marginal benefit on ischemic stroke.^{185,186} Regular moderate exercise (170–240 min/week) showed maximal cardiovascular benefits in patients who initiated exercise after diagnosis of AF. Patients who initiated or continued regular exercise after diagnosis of AF were associated with a lower risk of dementia than persistent non-exercisers, with no risk reduction associated with exercise cessation.¹⁸⁶

Recommendations

- The promotion of a healthy lifestyle (smoking cessation, reduced alcohol consumption, regular exercise) is recommended to lower the risk of new-onset AF and AF-related complications.
- Appropriate weight control is an important strategy to improve outcomes in patients with AF.
- Reduced consumption or alcohol abstinence is recommended in AF patients with moderate-to-high levels of alcohol use to minimize AF burden and stroke risk.
- Smoking cessation is recommended in patients with AF to reduce the stroke risk, even in those categorized as low-risk patients based on the CHA₂DS₂-VAsC score.
- Regular exercise based on the recommendations of the 2018 Physical Activity Guidelines Advisory Committee (150 min/week of moderate-intensity exercise or 75 min/week of vigorous-intensity exercise) can improve cardiovascular outcomes in patients with AF (► Fig. 15).

OAC Use in AF Patients during the COVID-19 Pandemic

AF is a common clinical manifestation in hospitalized patients with coronavirus disease 2019 (COVID-19) infection and is associated with a higher risk of mortality and/or requirement for intensive care.^{187–190} The latter is perhaps

Fig. 15 The integration of lifestyle management in patients with AF. AF, atrial fibrillation.

unsurprising given the higher risk of adverse outcomes in COVID-19 with associated cardiovascular comorbidities.¹⁹⁰

During the COVID-19 pandemic, TTR values associated with VKA (e.g., warfarin) treatment may be suboptimal with the lack of INR monitoring, and in appropriate patients, a switch to NOACs may be appropriate.¹⁹¹ Furthermore, the anticoagulated AF patients may not seek medical help even in the case of bleeding.¹⁹² Thus, for the outpatients during the COVID-19 pandemic (during the lockdown phase or discharge after recovery from COVID-19 infection), NOAC therapy in replacement of VKA (except for the absolute contraindications of NOACs like prosthetic mechanical valve or moderate-to-severe mitral stenosis) is recommended to minimize the necessity for frequent clinic/office visits for INR monitoring and contact with health care workers.¹⁹³ Remote anticoagulation management/monitoring for elderly patients with NVAF receiving NOACs during the COVID-19 pandemic was associated with a reduction in bleeding complications and delays in the first outpatient revisit after discharge.¹⁹⁴

COVID-19 is associated with a prothrombotic state, perhaps due to cytokines and immunothrombosis.¹⁹⁵ For patients already treated with NOACs or VKA and infected with COVID-19 and particularly in the case of severe infection requiring hospitalization, patients should ideally continue their anticoagulation rather than discontinue, although outcome data are conflicting.^{196–199}

Conversion from NOAC or VKA into low-molecular-weight heparin (LMWH) during the hospitalization course (especially if severely affected, requiring intensive care unit admission) may be preferable due to less drug interaction with antiviral drugs (e.g., remdesivir) or immunomodulating drugs (e.g., dexamethasone, baricitinib, or tocilizumab),

and a higher risk of clinical deterioration due to severe COVID-19 infection (particularly of coagulation and renal function).^{193,195} It would therefore be reasonable to shift NOACs into alternative LMWH for patients with severe COVID-19 infection as long as antiviral agents are deemed necessary and until discharge. LMWH regimes have been tested in recent clinical trials of hospitalized COVID-19 patients but showed conflicting results.^{200–204} For example, in noncritically ill patients with COVID-19, the ATTACC, ACTIV-4a, and REMAP-CAP investigators found that an initial strategy of therapeutic-dose anticoagulation with heparin increased the probability of survival to hospital discharge with reduced use of cardiovascular or respiratory organ support as compared with usual-care thromboprophylaxis.²⁰¹ However, in patients hospitalized with COVID-19 and elevated D-dimer concentration, in-hospital therapeutic anticoagulation with rivaroxaban or enoxaparin followed by rivaroxaban to day 30 did not improve clinical outcomes and increased bleeding compared with prophylactic anticoagulation in the ACTION trial.²⁰⁴ Besides, these studies did not specifically enroll AF patients, and therefore, data about the optimal dosage of LMWH for hospitalized AF COVID-19 patients were very limited.

COVID-19 vaccines are usually administered by intramuscular injection, and are an important part of our pandemic response.²⁰⁵ An opportunity to screen for AF amongst attendees for vaccination has been promoted.²⁰⁶ In AF patients treated with NOACs, it is advisable to follow the scheme for “minor risk” interventions, and therefore, it is not necessary to withhold any NOAC dosage before and after the injection procedure.²⁰⁷ However, it is recommended to use a fine-gauge needle for injection, and apply firm pressure for 5 to 10 minutes after the injection. If the scheduled NOAC

dosage is close to the injection time before, the scheduled NOAC dosage may be postponed until after the injection if no progression of local hematoma noted.

Recommendations

- For outpatients with AF during the COVID-19 pandemic, NOAC therapy as a replacement of VKA (unless contraindicated) may be considered.
- For AF patients already treated with NOACs or VKA and infected with COVID-19 and particularly in case of severe infection requiring hospitalization or critical care, conversion from NOAC or VKA into LMWH during the hospitalization course of COVID-19 infection may be considered.
- In AF patients taking NOACs and planned to receive COVID-19 vaccine injection, it is advisable to follow the scheme for “minor risk” interventions, and therefore, it is not necessary to withhold any NOAC dosage before and after the injection procedure.

Conflict of Interest

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