

## Arthroscopic fixation of coronoid process fractures through coronoid tunnelling and capsular plication

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### Abstract

**Purpose:** the purpose of this study is to describe a new arthroscopic technique for reduction and fixation of coronoid process fractures (CPFs) and report clinical and functional results in 4 patients after a 24-month follow-up.

**Methods:** four patients underwent arthroscopic reduction and fixation of isolated CPFs (acute or non-unions, type I or type II according to the Regan-Morrey classification) performed using a new technique based on coronoid tunnelling and capsular plication. The patients were evaluated 6, 12 and 24 months after surgery, using the Disability of the Arm, Shoulder and Hand scale (DASH), the Mayo Elbow Performance Index (MEPI), and a visual analog scale (VAS); elbow range of motion (ROM) and joint stability were also evaluated and the rate of complications was reported.

**Results:** all 4 patients completed the follow-up. At 6, 12 and 24 months, respectively, they recorded mean DASH scores of 22, 14 and 7, mean MEPI scores of 74, 82 and 94, and mean VAS scores of 4, 2 and 1. The mean ROM increased in all directions (at 6, 12 and 24 months, respectively: flexion: 112°, 125°, 144°; extension: 3°, 5°, 6°; pronation: 76°, 84°, 91°; supination: 78°, 82°, 86°). No signs of instability were observed and no complications were reported.

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**Conclusions:** the new all-arthroscopic coronoid tunnelling and capsular plication technique here proposed can restore elbow function, ROM and stability and allows anatomical reconstruction of the joint after type I or type II CPFs. If performed by an experienced arthroscopist, it is a valid alternative to open reduction and external fixation.

**Level of evidence:** Level IV, retrospective case series.

**Keywords:** bone tunnelling, coronoid, fracture, capsular plication, elbow arthroscopy.

### Introduction

The coronoid process of the ulna is fundamental for elbow function and stability (1-3) and coronoid process fractures (CPFs) are, indeed, often associated with elbow instability. Surgical management of these fractures through open reduction and internal fixation (4, 5) has increased in popularity in recent years, while approaches such as excision or expectant management have often proved unsatisfactory (6-8). The coronoid process prevents posterior elbow dislocation by counteracting the posteriorly directed forces generated on the ulnohumeral joint by all the major muscles that cross the elbow joint (9). The radial head is the other static stabilizer against posterior dislocation, and this explains why CPFs are more serious when they are combined with radial head fractures (10-12). Loss of 50% of the coronoid process height creates a potentially unstable situation, especially in the absence of the radial head (13-17). Coronoid process height loss can be assessed by drawing a line through the tip of the posterior olecranon, parallel to the ulnar shaft; loss

of height beyond this level can result in instability (18). CPFs can be classified into three types according to their height, as described by Regan and Morrey (19). Instead, O'Driscoll et al. (20) introduced a more extensive classification that is based on computed tomography (CT) scan evaluation and takes into account the site and size of the fracture and the injury mechanism. According to these Authors, tip fractures are caused by a posterolateral rotatory injury mechanism, anteromedial fractures are caused by a *varus* posteromedial injury mechanism and may be associated with joint subluxation, while body fractures involve the base of the coronoid process and are most commonly observed in association with posterior transolecranon fracture-dislocations (20). Surgical treatment in CPFs is recommended in the presence of *varus* posteromedial rotatory instability and valgus posterolateral rotatory instability. Moreover, the size and morphology of the coronoid fracture, the degree of elbow instability, and injuries to associated structures must always be taken into account. Early diagnosis and proper treatment may reduce recovery time and improve outcomes (4, 5). The purpose of this study is to describe a new, entirely arthroscopic technique for arthroscopic reduction and fixation of CPFs and to assess clinical and functional results in 4 patients with a 24-month follow-up.

## Methods

Four consecutive patients (3 men and 1 woman; mean age 37 years) with isolated acute CPF (2) or CPF non-union (2) were retrospectively enrolled. The inclusion criteria were type I or type II CPF according to the Regan-Morrey classification and CT (**Fig. 1**) or magnetic resonance imaging evidence of isolated fragment(s) of the coronoid process. All patients gave their informed consent before being included in the study.

All the patients underwent surgical reduction and fixation performed using an all-arthroscopic technique consisting of coronoid tunnelling and capsular plication.

The right side was affected in 2 patients and the left side in 2 patients. On clinical examination, all the patients complained of elbow instability and severe pain at the posterior and medial aspects of the elbow.



**Fig. 1.** 3D CT scan of a right elbow. The image shows a fracture of the coronoid process of the ulna.

## Surgical technique

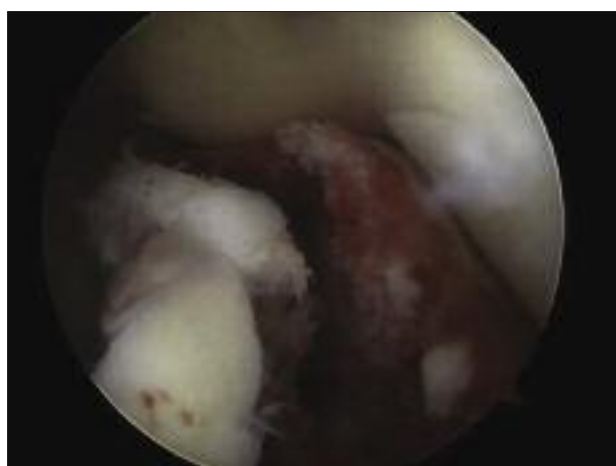
Arthroscopic treatment was performed using an axillary block and mild general anesthesia. A pneumatic tourniquet was positioned high in the axilla and inflated to 250 mmHg after limb exsanguination. The patient was placed in a modified lateral decubitus position with the operative arm positioned in 100° flexion/90° internal rotation at the level of the shoulder and held in place by an arm holder. The elbow was positioned in 90° of flexion, with the forearm hanging free under gravity (**Fig. 2**). Before establishing the portals, the elbow joint was distended by injecting sterile saline solution (30 cc) through an 18-gauge needle inserted via the proximal posterior portal.

The anterior compartment was then addressed. A proximal anteromedial portal was created 2 cm proximal to the medial humeral epicondyle and 1 cm anterior to the intramuscular septum.

Insertion of a 30° scope into this portal allows intra-articular diagnostic evaluation. An anterolateral portal was then created as well and this side was visualized. After diagnostic evaluation of the CPF (**Fig. 3**), the procedure was then performed according to the following protocol: a suture passer is introduced through an accessory proximal anteromedial portal and a poly-



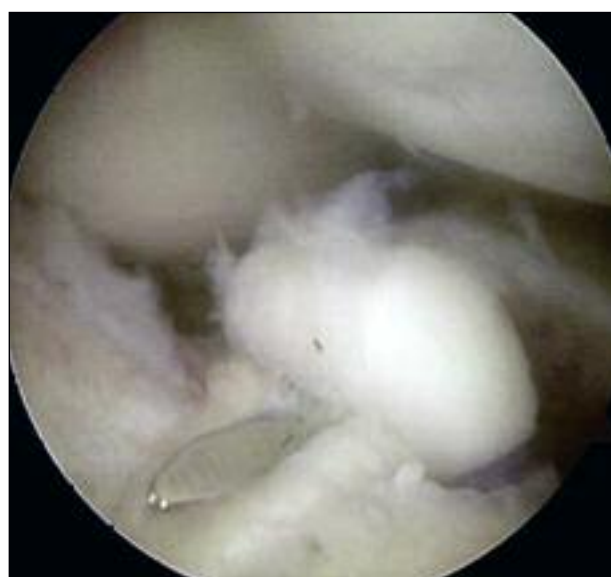
**Fig. 2.** Patient positioning: modified lateral decubitus position with the operative arm positioned in 100° flexion/90° internal rotation at the level of the shoulder and held in place by an arm holder. The elbow is positioned in 90° of flexion, with the forearm hanging free under gravity.



**Fig. 3.** Arthroscopic view through an anterolateral portal obtained using a 30° scope. Right elbow. Diagnostic evaluation of the coronoid fracture is performed.

dioxanone (PDS) suture is delivered through the capsule just anterior to the fragmented coronoid process. This step is usually then repeated a second time. The sutures are then retrieved through the anteromedial portal and a high-resistance, non-resorbable suture is shuttled into the joint to replace the PDS suture. With the C-arm positioned behind the surgeon, a limited posterior skin incision is performed. Carefully checking the position of the Kirschner wire, posterior-to-anterior drilling is performed. Care is taken to progress very slowly and to check with the C-arm the advancement of the Kirschner wire to

prevent it from advancing too far anteriorly. Once the Kirschner wire emerges from the anterior aspect of the unfractured coronoid process, a cannulated drill (4 mm diameter) is advanced over the Kirschner wire (**Fig. 4**). Once all the sutures have been retrieved posteriorly, an arthroscopic button (Endobutton, Smith&Nephew, London, UK) or is used to complete the knot tying (**Fig. 5**).



**Fig. 4.** Arthroscopic view through an anterolateral portal obtained using a 30° scope. Right elbow. The Kirschner wire emerges from the anterior aspect of the unfractured coronoid process.



**Fig. 5.** External view. Once all the sutures have been retrieved posteriorly an Endobutton is used to complete the knot tying.

**Table 1.** Demographic data and functional results at 6, 12 and 24 months.

Patients	Age at surgery	Sex	Side affected	Time (days) between rupture and treatment	DASH score (at 6-12-24 months)	MEPI score (at 6-12-24 months)
1	33	M	R	7	21 - 9 - 5	80 -90 -97
2	37	M	R	14	16 - 12 - 7	75 - 83 - 92
3	44	F	L	15	23 - 16 - 7	73 - 81 - 96
4	34	M	L	12	28 - 19 - 9	68 - 74 - 91
Mean	37	3M 1F	2 R 2L	12	22 - 14 - 7	74 - 82 - 94

to be continued →

## Postoperative care

After surgery, the elbow is immobilized in a brace with the forearm in neutral rotation and the elbow at 30° of extension. A 30° to 60° range of motion (ROM) is allowed after the first 21 days. In the next few weeks, motion is slowly increased until the functional arc of motion is recovered. At 8 weeks, the brace can be removed for activities of daily living. At approximately 12 weeks after surgery, the patient starts strengthening exercises.

## Outcome measurements

The patients were reviewed at 6, 12 and 24 months after surgery. Each follow-up visit included functional outcome assessment, performed using the Disabilities of the Arm, Shoulder and Hand scale (DASH) (21) and the Mayo Elbow Performance Index (MEPI) (22); the follow-up evaluation also included physical examination (elbow ROM, stability), pain rating on a visual analog scale (VAS), and radiographic assessment of bony union with standard anteroposterior and lateral projections. Elbow ROM was assessed using a standard long-arm goniometer to measure flexion, extension, pronation and supination. Measurements were performed as reported by Armstrong et al. (23). Stability was graded according to the MEPI as 1 (stable), 2 (moderate instability), or 3 (gross instability). The patients were also assessed for complications, defined as re-fracture of the coronoid process, dehiscence of the surgical scar, infections or fixation device intolerance.

## Results

All 4 patients were available for all follow-up visits. Their demographic data and functional results are summarized in **Table 1**.

At 6, 12 and 24 months, respectively, they recorded mean DASH scores of  $22.0 \pm 6$ ,  $14 \pm 5$ , and  $7 \pm 2$  and mean MEPI scores of  $74 \pm 6$ ,  $82 \pm 8$ , and  $94 \pm 3$ ; these results corresponding to an excellent functional outcome. They recorded VAS scores was  $4 \pm 2$ ,  $2 \pm 1$  and  $1 \pm 1$  at 6, 12 and 24 months respectively. As regards the mean ROM of the affected elbow, they recorded the following values at 6, 12 and 24 months respectively:  $112^\circ \pm 12^\circ$ ,  $125^\circ \pm 8^\circ$  and  $144^\circ \pm 15^\circ$  of flexion;  $3^\circ \pm 2^\circ$ ,  $5^\circ \pm 3^\circ$  and  $6^\circ \pm 2^\circ$  of extension;  $76^\circ \pm 5^\circ$ ,  $84^\circ \pm 4^\circ$  and  $91^\circ \pm 3^\circ$  of pronation; and  $78^\circ \pm 4^\circ$ ,  $82^\circ \pm 5^\circ$  and  $86^\circ \pm 7^\circ$  of supination. There were no elbows with evidence of clinical instability to varus or valgus stress (**Fig. 6**). No complications were observed. All the patients returned to their daily activities and occupations.

## Discussion

In this paper, we have described an all-arthroscopic coronoid tunnelling and capsular plication technique, together with the clinical and functional outcomes of a retrospective case series in which it was used, reporting DASH scores, MEPI scores, elbow ROM, VAS, stability and rate of complications. The technique proposed can restore elbow function, ROM and stability and allows an anatomical reconstruction of the joint after type I or type II CPFs.

The literature reports several surgical techniques for the treatment of CPFs (24-28). The benefits of an arthroscopic procedure include smaller incisions with less soft tissue dissection, better visualization of the joint, direct evaluation of the fracture pattern and easier anatomical reconstruction.

Minimally invasive techniques allow reconstructions



Flexion (at 6-12-24 months)	Extension (at 6-12-24 months)	Pronation (at 6-12-24 months)	Supination (at 6-12-24 months)	VAS (at 6-12-24 months)
108° - 123° - 142°	2° - 4° - 5°	75° - 83° - 89°	75° - 80° - 85°	4 - 2 - 1
124° - 133° - 159°	5° - 6° - 7°	77° - 85° - 93°	81° - 84° - 87°	2 - 1 - 1
116° - 127° - 146°	4° - 8° - 8°	81° - 88° - 94°	82° - 87° - 93°	4 - 2 - 0
100° - 117° - 129°	1° - 2° - 4°	71° - 80° - 88°	74° - 77° - 79°	6 - 3 - 2
112° - 125° - 144°	3° - 5° - 6°	76° - 84° - 91°	78° - 82° - 86°	4 - 2 - 1

that preserve skin integrity, reducing the risk of scar infection or dehiscence (26, 28).

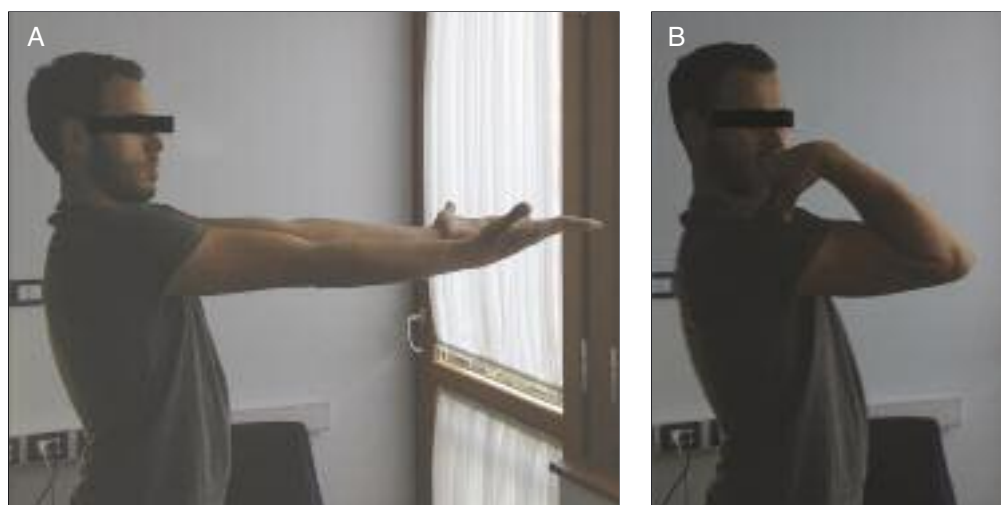
Adams et al. were the first to describe an arthroscopically assisted technique for CPF fixation (29). They treated 6 patients with type II and type III CPFs classified according to the Regan-Morrey classification. Once the fractures had been reduced arthroscopically, a guide wire was inserted into the fragment and fixation was performed with a cannulated screw. One patient required plate fixation with a limited anterior incision. At a mean follow-up time of 31.8 months, 5 patients had an excellent MEPI score. The Authors of this preliminary report suggested that selected CPFs may be treated arthroscopically with satisfactory outcomes.

Hausman et al. (30) evaluated, at a minimum follow-up of one year, 4 patients with small CPFs with instability of the ulnohumeral joint treated with arthroscopically assisted reduction and fixation. All the

patients achieved a functional ROM with an average flexion/extension arc of 2.5° to 140° and full pronation and supination. No patient had recurrent elbow instability. The Authors concluded that arthroscopically assisted management of CPFs can offer excellent joint visualization, enabling anatomical repair without extensive soft tissue dissection.

Recently, Lee et al. (31) reported the clinical characteristics, results and outcomes of 10 cases of Regan-Morrey type I, type II or type III coronoid fractures treated using a novel arthroscopic technique; more than one year after surgery the patients had a mean MEPI score of 98.5. The Authors highlighted that adequate reduction of coronoid fractures using percutaneous Kirschner wires or Ethibond can be achieved arthroscopically. The approach resulted in good clinical and radiological union and excellent functional outcome in the majority of cases.

The retrospective design, the lack of a control group



**Fig. 6.** Follow-up at 12 months, verifying ROM in flexion and extension. The images show that the patient has recovered full functionality in extension (A) and in flexion (B).

and the small number of patients treated are the limitations of the present study, and its data alone are not sufficient to prove the superiority of this surgical approach to the management of CPFs. However, the results obtained suggest that the technique described, if performed by an experienced arthroscopist, could be a valid alternative to open reduction and internal fixation.

In conclusion, the new all-arthroscopic coronoid tunnelling and capsular plication technique proposed in this study can restore elbow function, ROM and stability and allows anatomical reconstruction of the joint after type I or II CPFs. If performed by an experienced arthroscopist, it is a valid alternative to open reduction and external fixation.

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