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# Thieme

### Diagnosis and Therapy of Triple-Negative Breast Cancer (TNBC) – **Recommendations for Daily Routine Practice**

### Diagnostik und Therapie des triple-negativen Mammakarzinoms (TNBC) – Empfehlungen für die tägliche Routine









#### **Authors**

Andreas Schneeweiss <sup>1, 2</sup>, Carsten Denkert <sup>3</sup>, Peter A. Fasching <sup>4</sup>, Carlo Fremd <sup>1</sup>, Oleg Gluz <sup>5, 6</sup>, Cornelia Kolberg-Liedtke <sup>7</sup>, Sibylle Loibl<sup>8,9</sup>, Hans-Joachim Lück<sup>10</sup>

#### **Affiliations**

- 1 Nationales Centrum für Tumorerkrankungen (NCT), Universitätsklinikum, Heidelberg, Germany
- 2 Deutsches Krebsforschungszentrum, Heidelberg, Germany
- 3 Institut für Pathologie, Universitätsklinikum Gießen und Marburg GmbH, Standort Marburg, Philipps-Universität Marburg, Marburg, Germany
- 4 Frauenklinik des Universitätsklinikums Erlangen, Comprehensive Cancer Center Erlangen-EMN, Friedrich-Alexander Universität Erlangen-Nürnberg, Erlangen, Germany
- 5 Brustzentrum Niederrhein, Evangelisches Krankenhaus Bethesda, Mönchengladbach, Germany
- 6 Westdeutsche Studiengruppe, Mönchengladbach,
- 7 Charité Universitätsmedizin Berlin, Campus Mitte, Berlin, Germany
- 8 German Breast Group c/o GBG Forschungs GmbH, Neu-Isenburg, Germany
- 9 Zentrum für Hämatologie und Onkologie Bethanien, Goethe Universität Frankfurt, Frankfurt am Main, Germany
- 10 Gynäkologie Onkologie, Gynäkologisch-onkologische Praxis, Hannover, Germany

#### **Key words**

triple-negative breast cancer, BRCA mutation, subtyping of TNBC, diagnosis and therapy of TNBC

#### Schlüsselwörter

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#### Correspondence

Prof. Andreas Schneeweiss

Sektionsleiter Gynäkologische Onkologie des Universitätsklinikums (UKHD), Fellow des Deutschen Krebsforschungszentrums (DKFZ), Nationales Centrum für Tumorerkrankungen (NCT). Universitätsklinikum Heidelberg Im Neuenheimer Feld 460, 69120 Heidelberg, Germany Andreas.Schneeweiss@med.uni-heidelberg.de



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#### **ABSTRACT**

The rapid increase in knowledge in tumour biology and tumour pathogenesis of triple-negative breast cancer (TNBC) has resulted in new therapeutic approaches and new therapeutic concepts for treatment. For years, TNBC has been considered to be a difficult-to-treat tumour due to its generally aggressive tumour biology and in view of limited therapeutic options. The risk of recurrence and metastasis is higher than in the case of other breast cancer subtypes of the same stage. In addition to surgery and radiation in the curative situation, systemic chemotherapy with anthracyclines and/or taxanes is still the therapy of choice. New therapeutic approaches are based on the knowledge that TNBC is a molecularly very heterogeneous disease. Research groups are working to classify TNBC better and better on a molecular level and use this molecular subtyping as the basis for new therapeutic strategies. The most promising new approaches and considerations regarding the therapy of TNBCs are shown below. In addition, the current therapeutic strategies are discussed using a fictitious case history, taking the current data and the resultant therapeutic consequence into account.



#### **ZUSAMMENFASSUNG**

Durch den raschen Erkenntnisgewinn zu Tumorbiologie und Tumorpathogenese des triple-negativen Mammakarzinoms (TNBC) ergeben sich neue Therapieansätze und neue Therapiekonzepte für die Behandlung. Seit Jahren gilt das TNBC wegen seiner in der Regel aggressiven Tumorbiologie und angesichts limitierter Therapieoptionen als schwierig zu behandelnder Tumor. Das Rezidiv- und Metastasierungsrisiko ist höher als bei anderen Mammakarzinom-Subtypen desselben Stadiums. Neben Operation und Bestrahlung in der kurativen Situation ist die systemische Chemotherapie mit Anthrazyklinen und/oder Taxanen nach wie vor die Therapie der Wahl.

Neue Therapieansätze basieren auf der Erkenntnis, dass das TNBC eine molekular sehr heterogene Erkrankung ist. Forschungsgruppen arbeiten daran, das TNBC immer besser auf molekularer Ebene zu klassifizieren und diese molekulare Subtypisierung als Grundlage für neue therapeutische Strategien zu nutzen. Im Folgenden werden die vielversprechendsten neuen Ansätze und Überlegungen zur Therapie des TNBCs dargestellt. Anhand einer fiktiven Kasuistik werden zudem die derzeitigen Therapiestrategien unter Berücksichtigung der aktuellen Datenlage und den sich daraus ergebenden therapeutischen Konsequenz besprochen.

#### **Abbreviations**

adj. Adjuvant

BCT Breast-conserving therapy

Beva Bevacizumab
BRCA Breast cancer genes
CT Chemotherapy

ECOG Eastern Cooperative Oncology Group

ECOG PS Scale for assessing the overall condition according

to the ECOG criteria

ER- Estrogen receptor negative

Gem Gemcitabine

HER2- Negative HER2 status (human epidermal growth

factor receptor 2)

IC Immune cells

Ki-67 Proliferation index; antigen for the evaluation

of tumour aggressiveness

Low-risk patient

Patient without indication for chemotherapy

MRI Magnetic resonance imaging

Nab-Pac Nab-paclitaxel

NACT Neoadjuvant chemotherapy

Non-pCR No pathological complete remission

OP Operation Pac Paclitaxel

PARPi Poly-(ADP-ribose) polymerase inhibitor pCR Pathological complete remission PD-L1 Programmed cell death ligand 1

PD-L1 IC+ ≥ 1%

PD-L1 expression on the immune cells

PqR- Progesterone receptor negative

PS Performance status

RANKL Receptor Activator of NF-kB Ligand

[protein from the tumour necrosis factor family]

SLN Sentinel lymph nodes

TILs Tumour-infiltrating lymphocytes

TNM system to determine tumour stage

(T = Tumour, N = Node status; M = Metastases)

VRB Vinorelbin

1L First line

2L Second line

3L Third line

#### Introduction

Depending on the tumour mass and tumour biology, breast cancer patients have highly varied prognoses [1,2]. In about 15% of breast cancers, there is neither expression of the estrogen (ER) and progesterone receptor (PgR) ( $\leq$  1%) nor an overexpression or amplification of the human epidermal growth factor receptor (HER) 2 [3]. These triple-negative breast cancers (TNBC: ER-, PgR-, HER2-) generally have an aggressive tumour biology which is associated with a high risk of recurrence and metastasis. Most TNBCs not only metastasise early on in the course of the disease but tend to develop prognostically unfavourable visceral and CNS metastases [4–6].

The therapeutic options for patients with TNBC are limited. Anthracycline- and/or taxane-based chemotherapy are still the systemic therapy of choice for neoadjuvant and adjuvant treatment as well as in the metastatic situation [3,7,8]. New perspectives could arise from the rapidly increasing knowledge on the pathogenesis and tumour biology of breast cancer overall and of TNBC in particular. Even the pathogenesis provides information about the heterogeneous development. In addition to BRCA1, several other germ line variants have been discovered which favour the development of a TNBC [9–11]. TNBC itself is also a very heterogeneous disease on a molecular level. Research groups are working to identify clinically relevant molecular subgroups of TNBC. The objective is to develop therapies matched to the molecular subtype [12–16].

#### Molecular subtyping of TNBC

Molecular subtyping of the biologically very heterogeneous TNBC was performed based on new molecular findings. The classification of Lehmann et al. (known as the Vanderbilt classification or Lehmann classification) [13] differentiates four molecular subtypes using gene expression analyses – two basal-like subtypes (BL1, BL2), a subtype characterised by tumour-infiltrating lymphocytes and tumour-associated mesenchymal cells (M) as well as a luminal type likely controlled via the androgen receptors (LAR). At approximately 35%, BL1 is the most common molecular TNBC subtype followed by the M subtype, at 25%, the BL2 subtype, at 22%, and the LAR subtype, at 16%. While TNBC generally occurs comparably frequently in premenopausal women, patients

with LAR-TNBC at initial diagnosis are significantly older, on average [12, 13].

The molecular TNBC subtypes differ not only with regard to their histopathology and gene expression, but also with regard to their tumour biology and prognosis as well as the response to current therapies. The BL1-TNBCs respond to identical neoadjuvant chemotherapy with anthracyclines and taxanes with the highest rate of pathological complete remissions (pCR) of up to 50%. The pCR rate of the other subtypes is to some extent far lower [12, 13]. This is significant because the pCR rate is a surrogate marker for a longer survival time [17 – 19]. The authors assume that in the medium term, gene expression analyses and multigene testing will become important in everyday clinical practice in order to better classify the heterogeneous group of TNBCs on a molecular level pretherapeutically and then orient the therapy strategy accordingly. Controlled clinical studies are needed to validate this for routine clinical practice.

### Therapeutic Perspectives

Based on the molecular subtyping of TNBC, attempts are made to medically block specific target structures and signalling pathways which are responsible for cell proliferation, invasion, angiogenesis and thus for the survival of the cells [12, 13, 15, 16]:

- In up to 25% of TNBC, there is a hyperactivation of the PI3K/AKT/mTOR signalling pathway as the result of an oncogenic alteration. Activating PIK3CA alterations [20], which occur in particular in the case of the mesenchymal (M) and the LAR subtype, are the most common [12,13]. AKT inhibitors have shown good efficacy signals in patients with an AKT/PTEN-disrupted signalling pathway [21,22].
- Preclinical investigations indicate specific alterations in the NOTCH signalling pathway which may play a role in TNBC. Affected tumours appear to be particularly sensitive towards gamma secretase inhibitors. To date there have only been preclinical data. There is still a lack of meaningful clinical data [23, 24].
- The inhibition of the JAK2/STAT3 signalling pathway is a possible therapeutic option for patients with a TNBC of the M or LAR subtype. The increased activity rate of this signalling pathway was observed following neoadjuvant chemotherapy and this suggests that recurrent patients with TNBC and JAK2 amplification could benefit from the blockade of this signalling pathway [25].
- Overexpressed growth factor receptors can be considered as specific targets in the case of TNBC. Older data have already shown that the blockade of the epidermal (EGF) and the vascular endothelial (VEGF) growth factor receptors achieve only limited activity in non-selected patients with TNBC, however [26–28]. The blockade of the fibroblast growth factor receptor (FGFR) appeared to be an interesting approach in the case of detection of an FGFR amplification, since the signals mediated via the FGFR stimulate cell growth and thus promote the survival, migration and differentiation of tumour cells [29, 30]. However, this approach also could not be confirmed to date. By contrast, so-called "multitargeted kinase inhibitors",

- which are currently undergoing clinical development in all breast cancer subtypes, are promising.
- Androgen receptors (AR) are expressed in about 10–15% of TNBCs [31]. AR expression is typical for the LAR subtype [12, 13]. For this subtype, antiandrogen therapy could be a useful approach [32]. There are encouraging results for the non-steroidal androgen synthesis inhibitor TAK-700 [33] and currently with the antiandrogen enzalutamide [32].
- A promising target is also the cell surface protein Trop-2 which is overexpressed in various epithelial tumours, however not in normal tissue [34]. The overexpression of the membrane-associated Trop-2 is associated with an unfavourable prognosis in breast cancer [35]. An antibody drug conjugate which consists of a monoclonal antibody against Trop-2 and an active metabolite of irinotecan and which shows promising results in the case of TNBC is undergoing clinical testing [36]. The substance is currently undergoing clinical phase III study testing in refractory and recurrent metastatic TNBC [37].

# Therapeutic Options with Direct Clinical Relevance

#### Immune checkpoint blockade in focus

A promising new therapeutic principle which already has direct clinical relevance is immuno-oncology which uses the immune system to fight and eliminate tumour cells. So-called immune checkpoints through which the immune system can be modulated with drugs play a key role here. Checkpoint inhibitors which are already the standard of therapy in various tumour diseases have made an impression through long-lasting remissions [38].

According to a classification by Lehmann et al. based primarily on the immunogenicity [12, 13], about 20% of TNBCs can be classified as immunogenic and are significantly enriched with immune cell markers. In view of this – to intervene in a modulating way in the body's own immune system – a differentiation is made between only three groups in the case of TNBC: a luminal subtype with expression of androgen receptors (22%), a TNBC of the "basal-like" type with only a minor immune response and a micromilieu which tends to be unfavourable (high proportion of M2-like macrophages; 45%) as well as a "basal-like" TNBC with a marked immune response and a favourable micromilieu (low proportion of M2 macrophages; 33%) [16]. The three groups could appear together within a TNBC [39].

#### Tumour-infiltrating lymphocytes in TNBC

Tumour-infiltrating lymphocytes (TILs) are detected more frequently in TNBC than in other breast cancer subgroups. The TILs in the TNBC tissue are associated with certain gene expression profiles and characteristics, such as the expression of programmed cell death (PD)-1 receptors and their ligands PD-ligand (L) 1+2, which suggest a targeted cellular immune response. A precondition for a targeted cellular immune response is a tumour-specific antigen which is identified by the immune system as "foreign" [16]. In TNBC, TILs have not only a prognostic but also a predictive significance [40–43].



The higher the proportion of TILs in the tumour tissue and the higher the immunogenicity of the tumour, the better the tumours respond to chemotherapy [44,45]. On neoadjuvant chemotherapy in early breast cancer, pCR rates of 50% are achieved, for example in the case of high TIL levels ( $\geq$  60%) [46]. This correlation is particularly clear on platinum-based chemotherapy [47].

#### Initial clinical data on immunotherapy in TNBC

The German Breast Group (GBG) and AGO Mamma (Consortium for Gynaecological Oncology, Breast Study Group) conducted the randomised phase II study GeparNuevo on patients with early TNBC in which the PD-L1 inhibitor durvalumab was combined neoadjuvantly with an anthracycline/taxane-based chemotherapy [48]. The patients received nab-paclitaxel 125 mg/m<sup>2</sup> per week for twelve weeks ± durvalumab followed by four cycles of epirubicin/cyclophosphamide (EC) 90/600 mg/m<sup>2</sup> every 14 days, ± durvalumab. The patients mostly had an unfavourable tumour biology: More than 80% had a poorly differentiated carcinoma and the mean Ki-67 value was 49%. The initial results show a higher pCR rate (ypT0 ypN0) of 53.4% in the durvalumab arm versus 44.2% in the placebo arm (adjusted OR 1.53; p = 0.182). The pCR rates with durvalumab were particularly high in the case of the young patients (< 40 years: pCR 69.2 vs. 42.9%), starting from tumour stage IIA (pCR: 55.8 vs. 38.6%) as well as in the case of administration of durvalumab beforehand as induction monotherapy over 14 days (so-called window cohort: pCR 61.0 vs. 41.4%). However, there was no association with the extent of TILs in the tumour tissue. Further studies to evaluate this combination are planned.

The combination of atezolizumab plus nab-paclitaxel already has direct clinical relevance in metastatic TNBC which achieved significant advantages with regard to efficacy in the first-line situation in the randomised phase III study IMpassion 130 [49, 50]. The initial results were presented at the European Cancer Conference of the European Society of Medical Oncology (ESMO) 2018 [49] as well as at the San Antonio Breast Cancer Symposium (SABCS) 2018 [50]. Following a median follow-up observation period of 12.9 months, the combination of nab-paclitaxel plus atezolizumab achieved a significantly longer median PFS for the intention-to-treat (ITT) population, as compared to monotherapy with nab-paclitaxel (7.2 vs. 5.5 months; HR 0.80; p = 0.0025). However, the effect was triggered exclusively by patients with a PD-L1 expression on the immune cells infiltrating the tumour tissue (IC; PD-L1 IC+ ≥ 1%). The patients with PD-L1 IC+ remained free of progression a median of 2.5 months longer (median PFS: 7.5 vs. 5.0 months; HR 0.62; p < 0.001). The assessment of overall survival which has not yet been finalized additionally shows a clinically significant survival advantage of a median of 9.5 months for this group with metastatic PD-L1 IC+ TNBC (25.0 vs. 15.5 months; HR 0.62). In the USA, the FDA (Food and Drug Administration) approved the combination of nab-paclitaxel plus atezolizumab at the start of the year as first-line therapy in metastatic PD-L1 IC+ TNBC. In Europe, approval is expected at the end of 2019.

### Significance of the breast cancer gene (BRCA) mutation status

In the case of TNBC, there is an increased incidence of a *BRCA* mutation, particularly in the case of younger patients. In 15–20% of unselected TNBC, there is a *BRCA* germ line mutation (*gBRCA*-mt) [51–53]. A significant proportion of TNBCs without *gBRCA* mutation have somatic mutations in the homologous recombination (HR) signalling pathway which generate a phenotype, known as *BRCA*ness, which is very similar to the *BRCA*-mutated TNBC [54]. Both phenotypes are associated with an increased sensitivity to cytotoxic substances [51,53,55,56].

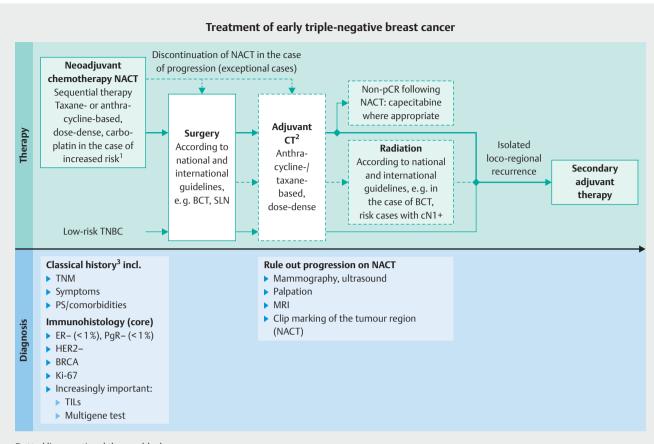
In everyday clinical practice, the detection of a *BRCA* mutation currently does not have any predictive value for the primary therapy of early TNBC, however it is of prognostic significance. A study from England [57] shows that patients with TNBC and a *BRCA* mutation have a better prognosis than *BRCA* wild type (wt) patients. The authors explain this with the higher immunogenicity of these tumours and the associated better response to the chemotherapy. Therapeutically, this currently has no consequences in the case of early TNBC: Independent of the *BRCA* status, the patients receive standard chemotherapy with anthracyclines and taxanes. In the neoadjuvant situation, the addition of carboplatin increases the pCR rate [51, 53]. The use of carboplatin is considered to be an option here, independent of *BRCA* status, when there is an additionally increased risk, such as in the case of lymph node involvement [3].

In the metastatic situation, study data show that patients with a gBRCA mutation on carboplatin-based treatment have a higher likelihood of response than on docetaxel [58]. In addition, in the metastatic setting, poly(ADP-ribose) polymerase (PARP) inhibitors are an option, validated in two randomised studies, for patients with dysfunction of the BRCA1/2 proteins and an associated HR deficiency [59–61]. This leads to the activation of alternative DNA repair pathways which are regulated via the PARP enzymes. This can be prevented by PARP inhibitors. The tumour cells go into apoptosis. However, there are still no positive study data available for primary therapy. The combination with veliparib, the PARP inhibitor with the lowest PARP-trapping activity, did not achieve a higher pCR rate than the combination with a platinum salt [62]. After approval of the first PARP inhibitor testing for a BRCA mutation has therapeutic relevance.

# TNBC: Procedure in Routine Clinical Practice

#### Diagnostic clarification and staging

The estrogen (ER) and progesterone (PgR) receptor status as well as the HER2 status are determined during primary diagnostic measures – preferably on the core biopsy. In addition, the proliferation marker Ki-67 should be determined immunohistochemically. A high Ki-67 value stands for high proliferation activity. It is in this respect a predictor of chemosensitivity and a rather good response to neoadjuvant chemotherapy and *does not primarily* indicate a poor prognosis [63]. In addition, the Ki-67 value can also provide useful information on the aggressiveness of the disease



Dotted lines: optional therapy blocks.

- <sup>1</sup> Poor response to previous therapy, node status.
- <sup>2</sup> No NACT, only adjuvant CT against the recommendation of the AGO Mamma, no detection of chemoresistance.
- <sup>3</sup> Classic past history incl. family history, age, menopausal status, mammography, ultrasound, minimally invasive biopsy, CT, one scintigram, MRI or PET-CT (other methods in the case of uncertain diagnosis).

▶ Fig. 1 Possible treatment regimen for the treatment of early TNBC (mod. acc. to: [3, 7]).

and on the underlying risk in the case of TNBC. Since the Ki-67 determination is still not sufficiently standardised and thus only inadequately reproducible, the S3 guideline calls for certain requirements for the Ki-67 determination [7].

Other predictors of a response to neoadjuvant chemotherapy are the detection of TILs and, in the case of TNBC, the detection of a gBRCA mutation [6, 12, 16, 44, 46]. At present, there is fundamentally an indication for chemotherapy starting at a T1b/c carcinoma or in the case of lymph node involvement. The indication is less strong in case of an older and more comorbid patient and/or a non-aggressive TNBC subtype..

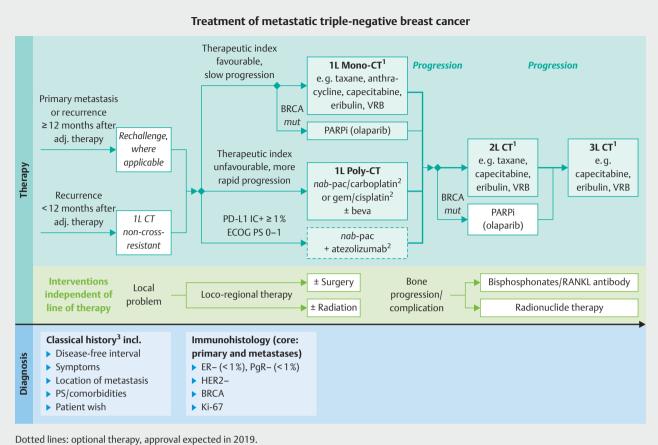
Because of the increased risk of metastasis early on, staging by means of a chest/abdomen CT and skeletal scintigraphy is recommended within the scope of the initial diagnosis of TNBC – independent of whether or not there are clinical symptoms [7].

## Diagnostic measures in the further course of the disease

If a local or loco-regional recurrence is suspected, a histological clarification and staging with a contrast-enhanced CT of the chest/abdomen/pelvis as well as a bone scintigram must be performed [7]. At the metastatic stage, the hormone receptor and HER2 status as well as the Ki-67, if applicable, should be determined once again by means of a biopsy of metastatic tissue. Here as well, the staging includes a CT of the chest/abdomen/pelvis as well as a skeletal scintigraphy [3] (see also > Fig. 1). If the gBRCA status is not known, the BRCA status of the tumour should be determined, since the first PARP inhibitor is now available for the metastatic situation [60].

#### TNBC: Therapeutic options after initial diagnosis

The treatment of breast cancer patients in Germany follows the S3 guideline [7] as well as the annually updated therapeutic recommendations of the AGO Mamma committee [3] (**> Figs. 1** and **2**). Thereafter the standard chemotherapy in early TNBC without dis-



- <sup>1</sup> Indication depending on previous therapy and comorbidity/adverse effects.
- <sup>2</sup> No approved indication.
- <sup>3</sup> Classic past history incl. family history, age, menopausal status, mammography, ultrasound, minimally invasive biopsy, CT, bone scintigram, MRI or PET-CT (other methods in the case of uncertain diagnosis).

▶ Fig. 2 Possible treatment regimen for the treatment of metastatic TNBC (mod. acc. to: [3,7]).

tant metastases is currently anthracycline-/taxane-based and is preferably administered neoadjuvantly (AGO recommendation: 1a A ++) [3]. It should be administered over 18-24 weeks. The established anthracycline-/taxane-based regimens are currently mentioned in the current AGO recommendation and this also includes the option for dose-dense administration (every 14 days) for patients with lymph node involvement [64, 65]. In the case of TNBC, paclitaxel should be administered weekly [66, 67].

The recommendation for dose-dense/dose-intensified administration of anthracyline-/taxane-based chemotherapy in the case of an elevated risk is based on a meta-analysis of the "Early Breast Cancer Trialists' Collaborative Group" (EBCTCG) [64] as well as on the 10-year data of the ETC study [65]. The latter demonstrated a median survival advantage in favour of adjuvant dose-dense/ dose-intensified anthracycline-/taxane-based chemotherapy in patients with more than three affected axillary lymph nodes in comparison to standard therapy with epirubicin/cyclophosphamide-(EC-)paclitaxel which was administered only every three weeks [65].

#### Addition of carboplatin?

Based on the data from the GeparSixto [68] and the CALGB 40603 study [69], the addition of carboplatin to anthracycline-/taxanebased neoadjuvant therapy is also an option in the case of TNBC, according to the AGO Mamma [3]. In both studies, the addition of carboplatin to anthracycline-/taxane-based chemotherapy increased the pCR rate in breast and axilla significantly (GeparSixto [ypT0 ypN0]: 53.2 vs. 36.9%; p = 0.005. CALGB 40603 [ypT0/is vpN0]: 54 vs. 41%; p = 0.0029). However, the higher pCR rate translated only in the GeparSixto study into a significantly higher disease-free survival rate (DFS) after three years for the TNBC patients (86.1 vs. 75.8%; HR 0.56; p = 0.0244) [68].

Whether the addition of platinum in the scope of a dosedense/dose-intensified regimen also offers prognostic advantages for the TNBC patients is currently unclear. In the neoadjuvant GeparOcto study [70], TNBC patients were treated either with a dose-dense platinum-based therapy in combination with anthracyclines and taxanes or with the dose-dense/dose-intensified ETC regimen. For the TNBC patients, no higher pCR was seen for the platinum-based regimen and there was an overall more favourable adverse effect spectrum on the platinum-free treatment.

#### Neoadjuvant concept to be preferred

An important advantage of the neoadjuvant therapeutic approach is the possibility of in vivo chemosensitivity testing, which enables individualisation of the therapy following the first interim response or even in the post-neoadjuvant setting [3,71–73]. If there is a poor response (no pCR) on neoadjuvant chemotherapy, a switch can be made in the adjuvant (postneoadjuvant) situation to a non-cross-resistant regimen in view of the CREATE X study [74,75], after surgery. This option does not exist in the case of primary surgery with subsequent adjuvant chemotherapy. Progression on neoadjuvant chemotherapy is extremely rare. In the GeparSepto study, the rate was < 2% [76]. In this case, the neoadjuvant chemotherapy is generally discontinued and surgery is performed immediately. Postoperatively, adjuvant chemotherapy with a non-cross-resistant regimen should be discussed [3].

# Course of Therapy Using the Example of a Patient with TNBC

The course of therapy and the therapeutic options which are currently possible in the case of TNBC are shown and discussed using a fictional patient as an example: The premenopausal patient, aged 42 at initial diagnosis, has histologically confirmed, poorly differentiated, invasive triple-negative breast cancer without evidence of distant metastases (cT2 [2.5 cm] cN0 cM0, ER-, PgR-HER2-, G3, Ki-67 60%). In view of the current recommendations [3,7], she is receiving neoadjuvant chemotherapy. The young age of the patient and the diagnosis of TNBC plus G3 tumour and high Ki-67 value (> 20%) stand for an increased risk, and for this reason, the patient is receiving a dose-dense, anthracycline-based therapy initially with four cycles of epirubicin/cyclophosphamide (EC) every 14 days.

Since the young age and the diagnosis of "TNBC" suggest a possible gBRCA mutation, there is also an indication for genetic counselling and testing, according to the criteria of the "German Consortium for Familial Breast and Ovarian Cancer" [3,7]. This is performed in parallel to the neoadjuvant chemotherapy (fast track procedure). In this case, the testing reveals a gBRCA1 mutation. In addition, after four therapy cycles, the tumour demonstrates inadequate response (< PR following RECIST v1.1) to the anthracycline-based neoadjuvant chemotherapy at the first ultrasound follow-up.

#### A neoadjuvant concept is standard

In consultation with the patient, the neoadjuvant therapy regimen is intensified. The pCR rate in TNBC can be significantly increased through the additional use of carboplatin to taxane [62, 67,68,77,78]. This is currently shown for example by the randomised phase III study Brightness in patients with TNBC [62]. Here the neoadjuvant addition of carboplatin to paclitaxel followed by four cycles of doxorubicin/cyclophosphamide (AC) statistically significantly increased the pCR rate versus paclitaxel mono-

therapy followed by AC (pCR: 58 vs. 31%; p < 0.0001). The additional neoadjuvant treatment with the PARP inhibitor veliparib did not provide any additional advantage.

#### Significance of the BRCA status

The therapeutic significance of the *BRCA* status is currently unclear. In the GeparSixto study [51,77], patients without a *BRCA* mutation on carboplatin benefited in particular from a higher pCR rate (pCR: ypT0/is ypN0). Patients with a *BRCA* mutation had no advantage, likely due to the overall increased chemosensitivity, however also no disadvantage from carboplatin [77]. The higher pCR rate translated into a longer disease-free survival (DFS) for all of the TNBC patients – independent of *BRCA1/2* status (p<0.001) [51]. Moreover, the additional use of bevacizumab was also investigated in the GeparSixto study. Angiogenesis inhibition is also discussed as a therapeutic option in the event a *BRCA* mutation is detected [53]. It is still not clearly defined which patients with TNBC have an advantage through the use of platinum since the positive correlation between pCR and DFS in other studies was not able to be demonstrated [69].

However, it is undisputed that pCR is a prognostically favourable factor. Particularly in the case of an increased risk, the neoadjuvant use of platinum – independent of the *BRCA* status – should therefore be considered. Nonetheless, the prognostic role of the *BRCA* status in the neoadjuvant setting must be further validated: While a study from Erlangen [79] corroborates the results of the GeparSixto study [51], according to which the pCR rate, independent of the *BRCA* status, is the most important predictor for long disease-free and overall survival, this could not be clearly demonstrated in other investigations. Despite a high degree of chemosensitivity and increased pCR rate in comparison to the *BRCA* wild type patients, this was not reflected in a prognostic benefit in the case of the pCR patients with *BRCA*1/2 mutation [53, 80].

## Importance of nab-paclitaxel in the neoadjuvant setting

The albumin-bound nab-paclitaxel also shows a high degree of efficacy in the neoadjuvant setting. In the GeparSepto study [18, 76], the neoadjuvant use of four cycles of nab-paclitaxel was compared to four cycles of conventional paclitaxel, followed in each case by four cycles of epirubicin/cyclophosphamide (EC). Nab-paclitaxel statistically significantly increased the pCR rate of TNBC patients (p > 0.001). After four years, 10% more patients were still disease-free in absolute terms in the nab-paclitaxel arm (78.7 vs. 68.6%; HR 0.66, p = 0.0694) [76].

The randomised phase II study ADAPT-TN of the WSG (West German Study Group) [81] shows that nab-paclitaxel can be combined well with carboplatin neoadjuvantly. In the study, a total of 336 patients with early TNBC (ER/PgR < 1%; HER2-; cT1c-4c, cN0/+) were randomised and treated for only twelve weeks neoadjuvantly with nab-paclitaxel/carboplatin versus nab-paclitaxel/gemcitabine. In the arm containing carboplatin, the pCR rate after twelve weeks was significantly higher than in the comparative arm (ypT0/is ypN0: 45.9 vs. 28.7%; p = 0.002; OR 2.11; tpCR [ypT0/ypN0]: 54.2 vs. 25.8%; p < 0.001) [81]. In addition, the pCR rate was confirmed as a prognostic factor in TNBC. The data indicate that the only 12-week neoadjuvant treatment with



nab-paclitaxel/carboplatin is a possible concept for deescalating the neoadjuvant chemotherapy. After a median observation period of 36 months, the predicted 3-year survival rate was 92.2% in the nab-paclitaxel/carboplatin arm versus 84.7% in the comparison arm (p = 0.08) [82].

In view of this, the AGO Mamma also considers the neoadjuvant use of nab-paclitaxel to be a therapeutic option in TNBC – despite a lack of approval [3]. In the present case, the patient is receiving neoadjuvant carboplatin in combination with conventional paclitaxel. The use of nab-paclitaxel would be possible and warranted, if accordingly justified.

#### Procedure following neoadjuvant chemotherapy

The surgical procedure following neoadjuvant chemotherapy as well as postoperative radiation and (post-neo-)adjuvant systemic therapy are performed in each case according to the national and international guidelines and recommendations [3,7,83–85]. Whenever possible, the patient should undergo breast-conserving surgery and a sentinel lymph node dissection following NACT. The indication for a mastectomy and axillary lymphadenectomy is found in the guideline [3]. There is no differentiation for patients with TNBC or with no TNBC. Postoperative chemotherapy is an option in the case of an increased risk of recurrence, for example, an invasive residual tumour in the breast and/or axilla after NACT [3, 7]. Where applicable, the adjuvant further treatment with noncross-resistant substances is an option in the case of TNBC, such as capecitabine, according to the CREATE-X study [74,75].

In the case of our fictitious patient, an ipsilateral ablation plus sentinel node dissection (SND) plus a contralateral prophylactic mastectomy is performed due to the existing gBRCA1 mutation and following appropriate patient information and consent – in accordance with the S3 guideline [7] and AGO recommendation [3]. The sentinel lymph node is unremarkable. However, an invasive residual tumour measuring 3 mm is seen in the breast following neoadjuvant chemotherapy. The histological examination confirms a TNBC. There is no indication for adjuvant radiation since there is no lymph node involvement, the patient underwent mastectomy (R0) and there are no prognostically relevant additional risk factors. Within the scope of systemic adjuvant treatment, the patient now receives six cycles of capecitabine – corresponding to the AGO recommendation [3] and based on the CREATE X study [74].

If a patient is not treated neoadjuvantly, contrary to the favoured recommendation of the AGO Mamma committee, but rather undergoes primary surgery, there is an indication postoperatively for adjuvant anthracycline/taxane-based chemotherapy which in the present case could be given in a dose-dense manner, in view of the risk constellation (young age, TNBC) [3,7]. It is stressed again at this point that in the case of primary surgery plus adjuvant chemotherapy, the option of "in vivo" chemosensitivity testing is eliminated. In the present case, the patient would have possibly received suboptimal adjuvant systemic therapy according to the current state of knowledge.

#### Isolated loco-regional recurrence

If the patient develops an isolated loco-regional recurrence, there is still a curative chance. She is therefore treated with curative in-

tent, analogously to an initial disease – but taking the previous therapy into account. If there is a good response to the previous anthracycline-/taxane-based systemic therapy and a disease-free interval (DFI) of more than a year (DFI > 1 year), taxane-based chemotherapy is once again indicated. The use of free anthracyclines should be avoided due to the risk of long-term cardiac damage. In the case of a short DFI (< 1 year), a regimen that is not cross-resistant to the previous therapy is recommended [3].

The recommendation for a further chemotherapy is based among others on the results of the CALOR study [86] which showed after a median follow-up of ten years that patients with HR-negative breast cancer have an advantage with regard to overall survival (HR 0.48) and breast-cancer-specific survival (HR 0.29; interaction p value = 0.034) if they receive chemotherapy in addition to surgery in the event of an isolated loco-regional recurrence. There is currently no indication for the use of a PARP inhibitor or a PD(L)1 inhibitor in the situation of an isolated loco-regional recurrence. Both options are subject to the metastatic situation.

#### Metastatic TNBC in focus

At present, in the metastatic situation, a cure is generally no longer possible. In addition to oncological systemic therapy directed against the tumour, attention should be paid to additional interventions (> Fig. 2). These include supportive measures, the use of bisphosphonates or a receptor activator of NF-kB (RANK) ligand in the case of bone metastases or bone complications as well as local surgical or radiation therapy measures in the event of marked symptoms or impending local complications [3, 7]. What is important is that loco-regional therapy is indicated only in the case of a local problem. As long as single metastases are not directly threatening for the patient, the local treatment of individual metastases does not substantially change the course of the disease [87]. Currently there ist no precise definition of when there is still so-called limited metastasis for which a more aggressive therapeutic approach could be justified. The indication for an aggressive, multimodal approach in the case of limited metastasis requires interdisciplinary discussion in the tumour board with inclusion of the informed patient.

Metastases should undergo core biopsy once again in order to detect molecular changes from the primary tumour and take them into account in the treatment [3,7]. The biopsy of the metastasis is necessary to determine the established factors (HR status, HER2 status, Ki-67) which are relevant for the therapeutic decision. In addition, the (neo-)adjuvant previous therapy, duration of response to the previous treatment, metastasis localisation, symptoms and overall condition as well as the patient's preference should be incorporated in further decisions regarding therapy. If there is still no gBRCA mutation testing, this should be performed definitely in the case of metastatic TNBC, since PARP inhibitors represent an effective new therapeutic option for patients with gBRCA-mutated TNBC [3].

#### First-line therapy in the metastatic stage

The standard in the metastatic situation is cytostatic monotherapy. Combinations with bevacizumab or a second cytostatic substance are primarily indicated when rapid remission is highly necessary or in the case of a short disease-free interval (DFI < 12 months) following neoadjuvant prior therapy. A high need for rapid remission is defined by the ESMO as a "visceral crisis" [85]. The AGO Mamma committee describes "imminent organ function" [3].

Established monotherapies for the first-line treatment of patients with metastatic TNBC are anthracyclines and taxanes, according to the AGO recommendation [3]. A precondition is that the patient has not yet received any anthracycline and/or taxane within the scope of previous therapy or, given acceptable tolerability, was without therapy at least one year [3]. If there is an indication for a taxane-based first-line therapy, the use of nab-paclitaxel is a preferred option. In TNBC, nab-paclitaxel achieves good response rates which are higher than on conventional paclitaxel [76]. If there is a high need for rapid remission, nab-paclitaxel can be combined with bevacizumab and/or carboplatin [88 – 91]. In the tnAcity study [91], the first-line treatment with nab-paclitaxel/carboplatin prolonged the median PFS of patients with metastatic TNBC significantly versus gemcitabine/carboplatin (8.3 vs. 6.0 months; HR 0.58; p = 0.02) and also versus nab-paclitaxel/gemcitabine (8.3 vs. 5.5 months; HR 0.59; p = 0.02). Nabpaclitaxel is currently approved but only as monotherapy in pretreated metastatic breast cancer [92].

#### Promising new first-line option

A promising new first-line option is the combination of nab-paclitaxel plus atezolizumab which is currently not yet approved, however. With overall good tolerability, the PD-L1 inhibitor increases the efficacy of nab-paclitaxel. In the randomised phase III study IMpassion130 [49], the median PFS in patients with evidence of expression of PD-L1 on the tumour-infiltrating lymphocytes (PD-L1 IC+ ≥ 1%) could be prolonged by 2.5 months (HR 0.62; p < 0.001) and the median overall survival prolonged by 9.5 months (HR 0.62) through the additional administration of atezolizumab. In the combination of nab-paclitaxel plus atezolizumab, the authors see an effective first-line therapy and new standard therapy option in PD-L1-IC+ metastatic TNBC [49]. It is important that PD-L1 in the case of TNBC is expressed almost exclusively on the immune cells and not on the tumour cells. Thus just under 10% of the tumour cells demonstrate PD-L1 expression. Since these tumours generally also demonstrate PD-L1 expression on the immune cells, the PD-L1 testing on the tumour cells currently has no clinical relevance in the case of TNBC [50].

The subgroup analyses confirm the PD-L1 expression on the immune cells (PD-L1 IC+: PD-L1 expression  $\geq 1\%$  on the immune cells) as a predictive marker for an advantage with regard to PFS and overall survival on nab-paclitaxel plus atezolizumab versus chemotherapy alone [50]. Facing other biomarkers, such as CD8 expression (CD8+), the detection of TILs in the stroma or the *BRCA* status, the PD-L1 expression on the immune cells proved to be a superior predictor. In the case of patients with PD-L1 IC+ metastatic TNBC, the *BRCA* status has no relevance with regard to the indication for therapy with nab-paclitaxel plus atezolizumab [50]. For patients with newly diagnosed metastatic or inoperable locally advanced TNBC, the authors recommend PD-L1 testing on the immune cells routinely for clinical practice to possibly consider the use of nab-paclitaxel plus atezolizumab.

#### Brief response following (neo)adjuvant therapy

In the case of only a brief DFI following (neo)adjuvant therapy – that is, progression within the first twelve months – there is generally also an increased need for rapid remission which justifies the use of combination therapy. If no platinum was given yet, the use of gemcitabine/platinum (cisplatin or carboplatin) is a first-line option. If there is no indication for combination therapy, systemic monotherapy is also possible – optionally with platinum, capecitabine, eribulin or vinorelbin [3]. If no platinum was given yet, a mono- or combination therapy containing carboplatin should be considered, at least in the second-line situation.

In the case of the fictitious patient, more than five liver metastases in terms of a disseminated liver metastasis were diagnosed one year after the last adjuvant capecitabine dose. The liver function is not impaired (transaminase increase, grade 1). The metastasis core biopsies once again confirm TNBC. As the chemosensitivity testing in the neoadjuvant setting has not shown a good response to the dose-dense, anthracycline-based combination therapy, another anthracycline-based first-line therapy is not considered.

The first-line treatment with taxane monotherapy is a valid therapeutic option. Since the patient has already received conventional paclitaxel neoadjuvantly, the use of nab-paclitaxel is an option. In view of the current data from the IMpassion130 study [49], the use of the combination of nab-paclitaxel plus atezolizumab is an interesting alternative to monotherapy with nab-paclitaxel in PD-L1 IC expression in the tumour tissue. In the case of the fictional patient, the TILs express PD-L1 (>5%). In consultation with the informed patient (reference to data and "off-label" use), she will therefore receive first-line treatment with nab-paclitaxel plus atezolizumab. The TNBC initially responds to the treatment. However, after seven months, progression of the liver metastases is seen. In addition, new bone metastases which are associated with a risk of fracture in the region of the lumbar spine are diagnosed.

#### Second-line therapy of metastatic TNBCs

If there is progression on first-line therapy, further cytostatic monotherapies can be considered, according to the S3 guideline and AGO Mamma committee, depending on the need for rapid remission and pretreatment [3,7]. For patients with qBRCA-mutated metastatic TNBC, PARP inhibitors represent a new class of substances which are additionally available and which should become established as the therapy of choice, based on the data available. Olaparib is approved in Europe since april 2019. The OlympiAD [60] and also the EMBRACA study [61] showed that PARP inhibition in this situation is a preferable therapeutic option. The AGO Mamma committee recommends the PARP inhibitor olaparib as monotherapy, following anthracycline and taxane treatment in patients with metastatic HER2-negative breast cancer and gBRCA1/2 mutation (1b B +) [3]. According to AGO Mamma monotherapy with carboplatin is an alternative to olaparib if a gBRCA mutation ist detected [3, 59].



## Course of therapy starting from the second line of therapy

The fictional patient receives bone-protecting and bone-stabilising treatment with a bisphosphonate and continues to be treated with the PARP inhibitor olaparib due to the detected gBRCA mutation in accordance with the recommendation of the AGO Mamma. She responds to monotherapy with olaparib and remains without progression for eight months. The re-biopsy of metastases confirm TNBC.

In the case of new progression, there are further lines of therapy for metastatic TNBC available for this patient, such as eribulin, vinorelbin, gemcitabine, mitomycin-C plus 5-fluorouracil (5-FU) or even metronomic chemotherapy with cyclophosphamide and methotrexate. Whenever possible, patients with TNBC should be treated within a clinical study in order to validate soon new therapeutic options for this disease, which is associated with a very limited prognosis to date [3].

#### Outlook

PARP inhibition and immuno-oncology represent new promising therapeutic options for the treatment of TNBC which are available and which must be further validated. In the IMpassion 132 study [93], for example, patients with advanced/metastatic TNBC and short DFI (< 12 months) – pretreated (neo)adjuvantly with anthracyline and taxane - receive a first-line-treatment with the PD-L1inhibitor atezolizumab in combination with an non-taxane-/anthracycline-based first-line-chemotherapy. Checkpoint inhibitors are also increasingly being investigated in early TNBC within clinical studies. In the phase III study NeoTrip [94], atezolizumab is combined in the neoadjuvant setting with nab-paclitaxel/carboplatin in patients with early TNBC and a high risk of recurrence and compared to chemotherapy alone. In the adjuvant setting all patients receive combination chemotherapy containing anthracyclines (AC, EC or FEC). The study addresses the consideration of increasing the potential of immunotherapy with chemotherapy and improving the tumour response. The phase-III study Gepar-Douze [95] is also testing the additional administration of atezolizumab to standard chemotherapy in the neoadjuvant setting in TNBC.

Additional therapeutic approaches which are emerging from the molecular subtyping in TNBC are validated in clinical studies. This also includes the use of antibody-drug conjugates such as CDX-011 (glembatumumab vedotin) [96] and sacituzumab govitecan-hziy [97,98].

It is evident that the accurate determination of tumour biology is becoming more important in TNBC. To depict the rapid evolution of tumour biology in the course of the disease, tumour or metastasis biopsies should be considered at each progression. However, since the results have no clinical impact yet, the indication for multiple biopsies should be made with caution outside of studies. The so-called "liquid biopsy", in which tumour-related markers are measured in the blood, could be an alternative. This is currently being tested, for example, in the PRAEGNANT study [99, 100]. To tap the potential of molecular tumour characterisation, the "Breast International Group" (BIG) established AURORA, a comprehensive, multinational molecular screening program to

research molecular aberrations in patients with metastatic breast cancer [101]. The options of study participation should be used.

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