**Two-step ESD: an option for en-bloc resection of extensive colorectal laterally spreading tumors**

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**Bibliography**

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**ABSTRACT**

**Background and study aims** Endoscopic submucosal dissection (ESD) is considered feasible and safe for treatment of colorectal laterally spreading tumors (LST). However it remains a challenge in case of extensive lesions even for experts. This study aimed to describe a new method to facilitate ESD of extensive colorectal LSTs. Between July 2010 and January 2018, 140 patients underwent ESD for colorectal LSTs. Four of them were submitted to two-step ESD and were included in this retrospective study. The submucosal dissection of lesions larger than 12 cm started and continued until the medical team decided to pause the procedure and continue it in a second step. The second procedure was performed 2 days after to finish the en-bloc resection. Three patients were male, with mean age of 67.2y (± 2.2). All lesions were located in the rectum, with a mean size of 153.7 mm (± 33.8). En-bloc and curative resection were successfully achieved in all cases. Mean duration of the first step of the procedure was 255 minutes (± 61.8), and mean duration of the second step was 205 minutes (± 205). Overall mean duration of both steps was 460 minutes (± 168). Mean dissected area in the first step of the procedure was approximately 55% of the lesion. No adverse events were observed. In conclusion, our results suggest that performing ESD in two steps could be a feasible and safe option for exceptional cases in which is not possible to finish the procedure in one step, avoiding the morbidity of surgical treatment.

**Case report**

For extensive lesions, ie, those measuring over 10 cm, ESD is associated with technical difficulty, longer procedural time, and higher risk of adverse events [5].

There are only a few case reports of en-bloc resection with ESD of extensive LSTs. Even for experts, under ideal circumstances and with adequate accessories, performing the procedure in these cases remains a challenge [5]. Therefore, the aim of this study was to describe an alternative that enables en-bloc ESD of extensive LSTs: two-step ESD.

**Introduction**

Endoscopic mucosal resection (EMR) is a well-established treatment for colorectal laterally spreading tumor (LTS) [1]. However, for lesions over 20 mm, the probability of piecemeal resection and local recurrence are higher [2].

Endoscopic submucosal dissection (ESD), initially developed for treatment of gastric neoplasms, made possible complete en-bloc resection of colorectal LTS larger than 20 mm [3]. Currently, ESD is feasible and safely performed in Asian countries and in some western centers [4].
Case reports

Between July 2010 and January 2018, 140 patients underwent ESD for colorectal LSTs at Cancer Institute of University of São Paulo. Among them, 21 patients had colorectal LSTs larger than 10 cm and four of them underwent two-step ESD, all of whom had LSTs larger than 12 cm. Data from a prospectively collected database were analyzed retrospectively with respect to en-bloc resection rate, procedural duration, adverse events (AEs), histology, and length of hospital stay.

All patients underwent colonoscopy with magnification and the lesions were classified according to Kudo pit pattern classification to confirm absence of massive submucosal (SM) invasion [6]. Lesions with noninvasive pattern were considered eligible for ESD.

Procedure

Inpatient bowel preparation was performed with 20 mg of bisacodyl 1 day before the procedure, and a 10% mannitol solution on the morning of the procedure at the endoscopy division. In our experience, bowel preparation during the night shift presents unsatisfactory results. Therefore, the ESD procedures were scheduled for the afternoon after adequate fasting.

The procedures were performed by ESD expert endoscopists (FSK, NTM), under general anesthesia. A forward-viewing endoscope (GIF-H180; Olympus) was used with a transparent distal hood attachment (D201-10704; Olympus). Carbon dioxide was used for insufflation. After submucosal injection of a mannitol 10% solution with indigo carmine and appropriate mucosal lifting, precutting of mucosa followed by ESD was performed with a flush knife (DK2618LN; Fujifilm Medical, Tokyo, Japan).

Injection was repeated as needed and ESD of the lesions continued until the medical team decided to pause the procedure and continue it a second time.

Among the reasons considered for pausing the procedure was the logistical issue of the unit, which is an outpatient endoscopy unit, with structure to operate until 7 pm. For cases in which en bloc lesion resection could not be completed before that time, a two-step ESD was planned. Other aspects evaluated were fatigue of the endoscopist and assistant team in addition to prolonged anesthesia time. All cases were paused until 5:30 pm to ensure extubation and patient referral to ward before 7 pm. The dissected part of the lesion was left in situ and the procedure was scheduled to continue 2 days later.

In the morning and afternoon of the day after the first procedure, a clear liquid diet was offered, followed by a night bowel preparation.

During the second step of the procedure, signs of ischemia in the dissected flap were identified with a thick layer of fibrin covering the resection bed, hindering resumption of dissection. No necrosis or greater friability of the muscular layer was identified, which could increase risk of perforation. The lesion limits remained clearly identifiable.

The key point for successful ESD at second procedure was correct identification of the previous submucosal plane. A submucosal injection was used to lift the mucosa of the remaining lesion and clear the fibrin layer that covered the resection bed, revealing the submucosal plane of the dissection. After ade-
In our institution, three of 21 patients with extensive colorectal LSTs had unsuccessful endoscopic resection attempts, of whom two were referred for surgical resection and one for complementary piecemeal EMR resection. All patients in whom ESD failed had lesions larger than 15 cm and a prolonged procedure time.

A single pathologist, experienced in ESD resected specimens, assessed all the lesions.

Four patients with extensive rectal LSTs were treated with two-step ESD. Three were male, with mean age of 67.2 years (±2.2). One lesion was circumferential (Fig. 1), and three lesions involved ≥ 90 % and ≤ 100 % of the circumference of the rectum, with a mean size of 153.7 mm (±33.8). Three lesions were macroscopically classified as granular mixed-type LST and one as granular homogeneous-type LST. Magnification colonoscopy showed a noninvasive pattern in all lesions. The characteristics of the patients and lesions are shown in Table 1.

Mean procedure duration was 255 minutes (±61.8) and 205 minutes (±205) for the first and second step, respectively. The overall mean duration was 460 minutes (±168). The mean dissected area in the first procedure was approximately 55 % of the lesion.

En-bloc resection was successfully achieved in all cases, and there were no cases of early or delayed bleeding or perforation. Two patients developed post-ESD stenosis, both of which were successfully treated, one with balloon dilation sessions and one with digital dilation. Mean length of hospital stay was 4.75 days (4–5 days). Mean time of follow-up was 266.25 days (±255.4). Results are summarized in Table 2. Representative images of the cases are shown in Fig. 1 and Fig. 2.

Regarding histology, three lesions were well-differentiated intramucosal adenocarcinoma in a tubulovillous adenoma and the other was a traditional serrated adenoma with high-grade dysplasia. There was no evidence of lymphovascular invasion in the resected specimens. R0 en-bloc resection, was achieved in all cases (100 %). The pathologist reported limited ischemic necrosis in the area resected during the first step of the procedure in all patients. The necrosis, however, was restricted to a limited area of the resected specimen and did not impact histopathological assessment (Fig. 2).

Discussion

In our institution, three of 21 patients with extensive colorectal LSTs had unsuccessful endoscopic resection attempts, of whom two were referred for surgical resection and one for complementary piecemeal EMR resection. All patients in whom ESD failed had lesions larger than 15 cm and a prolonged procedure time.

It is recognized that a prolonged procedure time leads to stress and fatigue of the surgeon, reducing his/her effectiveness, increasing risk of medical error, and even contributing to failure of surgical procedures [7].

Thus, to minimize failure of endoscopic resection, it was decided to plan ESD in two steps in cases of extensive lesions with a foreseen prolonged procedural time and in cases in

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**Table 1** Clinical characteristics of the patients and lesions.

<table>
<thead>
<tr>
<th>Patient</th>
<th>Age (years)</th>
<th>Sex</th>
<th>CCI</th>
<th>ASA</th>
<th>KARNOFSKY</th>
<th>Site</th>
<th>Lesion size (mm)</th>
<th>Circumferential extension (%)</th>
<th>Extent to dentate line</th>
<th>Macroscopic type</th>
<th>Pit pattern</th>
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<tr>
<td>1</td>
<td>70</td>
<td>M</td>
<td>2</td>
<td>II</td>
<td>100</td>
<td>Rectum</td>
<td>150</td>
<td>90 %&lt;100 %</td>
<td>Yes</td>
<td>LST-GM</td>
<td>Vi</td>
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<tr>
<td>2</td>
<td>68</td>
<td>M</td>
<td>3</td>
<td>II</td>
<td>100</td>
<td>Rectum</td>
<td>125</td>
<td>90 %&lt;100 %</td>
<td>No</td>
<td>LST-GM</td>
<td>Vi</td>
</tr>
<tr>
<td>3</td>
<td>64</td>
<td>F</td>
<td>2</td>
<td>II</td>
<td>100</td>
<td>Rectum</td>
<td>210</td>
<td>100 %</td>
<td>Yes</td>
<td>LST-GH</td>
<td>Vi</td>
</tr>
<tr>
<td>4</td>
<td>67</td>
<td>M</td>
<td>3</td>
<td>III</td>
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<td>Rectum</td>
<td>130</td>
<td>90 %&lt;100 %</td>
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<td>LST-GM</td>
<td>Vi</td>
</tr>
</tbody>
</table>

CCI, Charlson Comorbidity Index (CCI); ASA, American Society of Anesthesiology; LST-GM, lateral spreading tumor – granular nodular mix; LST-GH, lateral spreading tumor – granular homogeneous.

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**Table 2** Results of two-step ESD.

<table>
<thead>
<tr>
<th></th>
<th>1st procedure mean duration (min)</th>
<th>2nd procedure mean duration (min)</th>
<th>Total procedure mean duration (min)</th>
<th>1st procedure mean dissected area (%)</th>
<th>Mean length of hospital stay (days)</th>
<th>Mean follow-up (days)</th>
<th>En-bloc resection rate (%)</th>
<th>Curative resection rate (%)</th>
<th>Immediate bleeding rate (%)</th>
<th>Delayed bleeding rate (%)</th>
<th>Perforation rate (%)</th>
<th>Post-ESD stenosis rate (%)</th>
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<tbody>
<tr>
<td></td>
<td>255 (±61.8)</td>
<td>205 (±115.2)</td>
<td>460 (±168.3)</td>
<td>55 (±17.3)</td>
<td>4.7 (4–5)</td>
<td>266.2 (±255.4)</td>
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<td>En-bloc resection rate (%)</td>
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<td>Curative resection rate (%)</td>
<td>100</td>
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<td>Immediate bleeding rate (%)</td>
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<tr>
<td>Perforation rate (%)</td>
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<tr>
<td>Post-ESD stenosis rate (%)</td>
<td>50</td>
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ESD, endoscopic submucosal dissection

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which the procedure time was prolonged such that they could not be finished before the endoscopic unit closed.

Prediction of procedure time can be challenging. Based on our experience, bulky lesions larger than 15 cm and with extension to dentate line seem to be associated with prolonged procedure time.

In this study, besides large tumor size, intense fibrosis of the submucosal layer, which may lead to poor lifting and has been related with failure of en-bloc resection and perforation, was a factor in two lesions [8]. Moreover, three lesions presented extension to the dentate line, considered a factor predictive of incomplete excision [9]. These factors increase the degree of technical difficulty of ESD, increasing procedural time.

Two-step ESD allowed en-bloc resection of these lesions, with a curative resection rate of 100% (4/4) and no major related AEs. All patients remain under endoscopic surveillance during a mean follow-up time of 266.2 days, without signs of recurrence.

The high incidence of rectal stenosis (50%) was expected, given the extension of the dissection involving more than 90% of the rectal circumference, as previously reported [10]. All patients with stenosis were treated successfully by dilation sessions.

Concerning histology, all lesions were restricted to the mucosal layer. Presence of necrotic tissue and ischemic changes in the flap dissected in the first step of the procedure interfered with but did hinder assessment of the cure criteria on the resected specimen.

Two-step ESD increases the length of hospital stay and adds an additional general anesthesia, with all costs and risks of related AEs. In addition, it may be stressful for patients. However, it allows minimally invasive en-bloc resection in challenging cases, preserving the rectum and avoiding a permanent colostomy. Therefore, for exceptional cases, it might be a useful alternative.

**Conclusion**

In conclusion, our results suggest that performing ESD in two steps could be a feasible and safe option for exceptional cases in which is not possible to finish the procedure in one step, avoiding the morbidity of surgical treatment.

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**Competing interests**

None

**References**


Fig. 2  a Extensive circumferential lateral spreading tumor at rectum. b Magnifying colonoscopy combined with indigo carmine dye. c ESD - end of first procedure. d ESD – second procedure. Resection bed with clear differentiation of dissected areas in the first (yellowish areas with fibrin) and second procedure. e Final aspect after two-step ESD. f En-bloc specimen of circumferential lesion, measuring 210 mm, showing ischemic areas corresponding to the first ESD.
Case report


