

Exercise with Blood Flow Restriction to Improve Quadriceps Function Long After ACL Reconstruction

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ABSTRACT

Quadriceps atrophy and weakness can persist for years after anterior cruciate ligament reconstruction (ACLR). We evaluated the effectiveness of a home-based blood flow restriction (BFR) exercise program to increase quadriceps size and strength several years after ACLR. Nine adults with ACLR (5 ± 2 yrs post-surgery, ≤ 90 % symmetry in quadriceps size and strength) and nine uninjured controls volunteered. ACLR participants exercised at home for 25 min, 5 × /wk for 4 wks (single-leg knee extension, bodyweight half-squats, walking). Blood flow in only the involved leg was restricted using a thigh cuff inflated to 50 % of limb occlusion pressure. Rectus femoris and vastus lateralis thickness and knee extensor strength were measured before and after training. Baseline and post-training symmetry (involved leg/uninvolved leg) indices were compared to uninjured controls. Rectus femoris and vastus lateralis thickness and knee extensor strength in the involved leg increased by 11 ± 5 %, 10 ± 6 %, and 20 ± 14 %, respectively (all $P < 0.01$). Compared to baseline, post-training knee extensor strength symmetry increased from 88 ± 4 to 99 ± 5 % ($P < 0.01$) and did not differ from uninjured controls (99 ± 5 %, $P = 0.95$). Implementation of BFR exercise at home was feasible, safe and effective. Results extend upon early post-operative application of BFR exercise for ACLR recovery and demonstrate that BFR can improve quadriceps function long after ACLR.

Introduction

After anterior cruciate ligament reconstruction (ACLR), many individuals do not completely regain their quadriceps size [1–3] and strength [1, 4–6]. Persistent muscle and strength loss give rise to quadriceps asymmetry, which is associated with altered joint loading and gait mechanics [7, 8], limited physical function [9], and increased risk for re-injury [10]. Moreover, chronic quadriceps asymmetry can lead to early onset of osteoarthritis [11, 12]. While many investigators [13–15] have identified the presence of quadriceps asymmetry years after ACLR, few have focused on strategies to address these persistent impairments. Developing effective interventions to restore quadriceps function long after ACLR is not only warranted but is critical so individuals living with ACLR can maintain active lives.

Performing resistance exercise at 60–80 % of one repetition maximum (1RM), 2–3 × /wk, for 8 wks is sufficient to increase quadriceps size and strength [16]. This exercise mode, however, is not always possible because higher loads are often contraindicated after ACLR. Exercise with blood flow restriction (BFR) is an effective method to build muscle and improve strength in clinical populations with knee pathologies [5, 17, 18]. For this exercise, an inflatable cuff applies compression to the limb to partially occlude blood flow while much lower loads are used (e. g. 20–30 % of 1RM). Takarada and colleagues [19] applied BFR days after ACLR surgery to minimize quadriceps muscle loss. In related work, other groups demonstrated that BFR exercise increased quadriceps muscle size and strength to a greater extent than traditional rehabilitative care

following ACLR [20] and knee arthroscopy [21]. To date, there are no reports of using BFR exercise several years after ACLR.

Following discharge from supervised rehabilitation, patients are encouraged to continue with a home-based program. Continued adherence to this program is crucial, as patients are often permitted to return to limited sport activities with 85–90% quadriceps and/or limb symmetry [8, 9, 22, 23] with the expectation that full symmetry will be achieved over time. However, limited access to exercise equipment, time constraints and costs associated with follow-up treatment can pose barriers. Implementation of BFR exercise at home may circumvent some of these limitations as it can be performed with minimal equipment, facilitates strength gains quickly, and is inexpensive. Successful home-based programs also depend on patient motivation, amount of program education received, and previous experience exercising independently [24]. Thus, the implementation of a home-based BFR exercise program years after ACLR warrants further investigation before it could be used to aid with restoration of quadriceps size and strength.

Our purpose was to evaluate the effectiveness of a 4-wk, home-based BFR exercise program to increase quadriceps size, strength and symmetry several years after ACLR. We envisioned that a home program consisting of bodyweight and walking exercises with BFR would be feasible, safe and inexpensive. We hypothesized that BFR exercise would stimulate greater improvements in rectus femoris and vastus lateralis thickness and knee extensor strength in the involved leg compared to the uninjured leg (i. e. non-BFR leg). We also hypothesized that symmetry in quadriceps size and strength would increase thus resulting in symmetry levels that would be closer to those for uninjured controls.

Materials and Methods

Participants

Nine adults who had previously undergone an ACLR and nine healthy uninjured controls volunteered to participate in this study (demographics reported in ► **Table 1**). Participants were between 18–44 yrs of age and were physically active as they exercised at

► **Table 1** Participant demographics.

Variable	ACLR (n=9)	Uninjured Control (n=9)	P value
Age (yrs)	26 ± 8	26 ± 6	0.81
Height (m)	1.74 ± 0.14	1.73 ± 0.08	0.88
Body mass (kg)	73 ± 16	71 ± 12	0.70
BMI (kg·m ⁻²)	24 ± 2	24 ± 3	0.67
Body fat (%)	29 ± 7	27 ± 5	0.61
Sex			
Male	3	3	
Female	6	6	
Time from ACLR (yrs)	5 ± 2	–	
Graft type			
Hamstring tendon	3	–	
Patellar tendon	6	–	
Values are reported as Mean ± SD. Note that, body fat data are reported on n=7 for the ACLR group and n=8 uninjured control group.			

least 30 min 3–5 × /wk [16]. Individuals in the ACLR group were eligible to participate, if they: 1) had a unilateral ACLR > 2 yrs ago, 2) completed a post-operative rehabilitation program, 3) were cleared to return to physical activity by their orthopedic surgeon, and 4) exhibited > 10% difference in rectus femoris thickness, vastus lateralis thickness, or knee extensor strength between their involved and uninjured leg (i. e. < 90% symmetry). Prior to the study, a physical therapist performed a clinical evaluation to verify that participants in the ACLR group were able to perform the baseline testing and home-based BFR exercise program. Specifically, these participants had to display negative signs and symptoms for knee ligamentous laxity, meniscal involvement, and patellar dysfunction. Participants also needed to demonstrate adequate muscular stability through the involved leg during a single leg squat, bilateral vertical jump, and forward, backward, and lateral lunge matrix. Individuals in the uninjured control group were eligible if they had no prior history of lower-extremity joint surgeries. This study was conducted in accordance with ethical standards in sport and exercise science research [25]. Experimental procedures were approved by our Institutional Review Boards and written informed consent was obtained.

Experimental overview

Baseline measures of rectus femoris and vastus lateralis thickness and single-leg knee extensor strength were assessed in the ACLR and uninjured control groups. Participants in the ACLR group performed BFR exercise at home 5 × /wk for 4wks. After the exercise program, muscle thickness and strength were assessed again. Baseline and post-training symmetry indices for ACLR participants were compared to baseline values for uninjured controls.

Home-based BFR exercise

Participants in the ACLR group visited the laboratory to complete a familiarization session where they were introduced to the BFR exercises. Each training session performed at home consisted of three exercises (single-leg knee extension, bodyweight half-squats, walking) and took ~25 min (► **Fig. 1**). First, participants performed 3 × 30 single-leg knee extensions using their involved leg only with a resistance band. Next, participants performed 3 × 30 double-leg bodyweight half squats. Finally, participants performed 3 × 2-min walking intervals at preferred walking speed. Rest was provided between sets (1 min) and exercises (2 min).

During each exercise, only blood flow in the involved leg was restricted using an 18-cm wide aneroid sphygmomanometer (Briggs, Healthcare, Waukegan, IL, USA). The cuff was wrapped around the thigh and inflated to 50% of the pressure required to occlude blood flow in the femoral artery. Limb occlusion pressure was determined in a seated position using Doppler Ultrasound as previously described [26, 27]. The cuff remained inflated during the 1-min rest between sets but was deflated during the 2-min rest between exercises. During the 1-min rest period between sets, participants returned to a seated position to check the pressure and adjust it if needed. Blood flow was partially occluded for ~18 of the 25-min session. Each week participants performed one supervised training session in the laboratory to ensure proper movement form, adjust the resistance level, if needed, and verify that cuff pressure was correctly set. Finally, before each session participants performed a

bodyweight half-squat to assess perceived muscle soreness in their legs using a visual analog scale (0 cm - no soreness, 10 cm - very severe soreness) [28].

Quadriceps size and strength

Thickness of the rectus femoris and vastus lateralis were measured separately in the involved and uninvolved legs (ACLR group) and non-dominant and dominant legs (uninjured control group) using B-mode ultrasound (Logiq e BT12, GE Healthcare, Chicago, IL, USA). Participants were positioned supine (10° knee angle) while images of the rectus femoris and vastus lateralis were taken at 66% of the distance from the anterior superior iliac spine to the proximal patella. Muscle thickness was measured as the distance between

the superficial and deep aponeurosis [29]. Before and after training, measurements of muscle thickness were taken 5 × /day on two separate days separated by at least 24 hrs. The average of the 10 measurements was used for analysis. Muscle thickness values in each leg were used to calculate symmetry index (described below).

Single-leg knee extensor strength was evaluated on a knee extension strength machine (Cybex, Life Fitness, Rosemont, IL, USA). Following a standardized warm-up, participants performed 10 repetitions at ~80% of their maximum. Subsequently, 2.3–4.5 kg were added and 2 min of rest was provided. Participants were then given one attempt to successfully complete the 10-repetition knee extension task. If successfully completed with proper form, 2.3–4.5 kg were added and the participant attempted another 10 repetitions following a 2-min rest. This was repeated until the participant could no longer perform 10 repetitions with proper form. The investigator monitored the movement to ensure that full range of motion was achieved and that compensatory efforts were minimized. Weight and number of repetitions completed were recorded and 1RM was estimated using Brzycki's equation [30]. Strength values were used to calculate symmetry index (described below).

Symmetry index

For the ACLR group, symmetry index was calculated as: $SI = [(involved\ leg/uninvolved\ leg) * 100]$ [14]. Accordingly, a number less than 100% indicated that the involved leg had a lower value than the uninvolved leg. For the control group, the symmetry index was calculated as: $SI = [(non-dominant\ leg/(dominant\ leg) * 100]$. The dominant leg was self-reported as the limb used to kick a ball [14]. A symmetry index less than 100% indicated that the non-dominant leg had a lower value than the dominant leg.

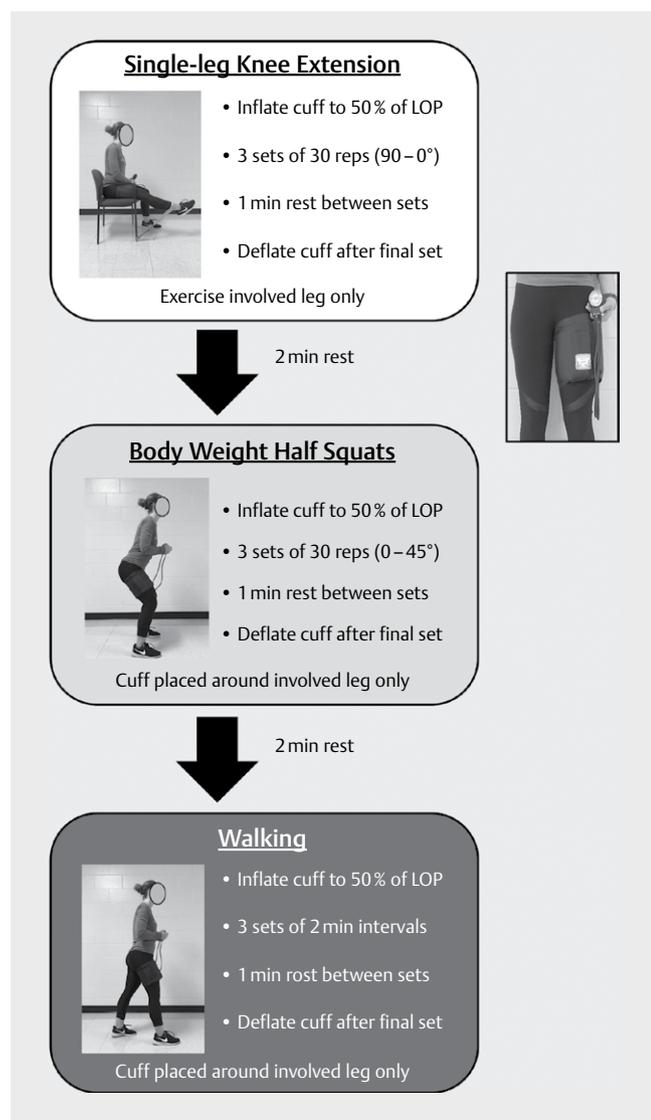
Statistical analysis

Independent t-tests were used to compare differences in demographic characteristics between the ACLR and uninjured control groups. Additionally, independent t-tests were used to assess differences in baseline rectus femoris and vastus lateralis thickness and knee extensor strength symmetry indices between the ACLR and uninjured control groups. A one-way repeated measures analysis of variance (ANOVA) was performed on muscle soreness values. Separate 2 (involved vs. uninvolved leg) × 2 (baseline vs. post-training) repeated measures ANOVA procedures were used to assess changes in rectus femoris and vastus lateralis thickness and knee extensor strength. Follow-up paired t-tests were used to test for simple main effects of leg and time. Paired t-tests were also used to assess differences in baseline and post-training symmetry indices for the ACLR group. Finally, independent t-tests were used to evaluate differences in symmetry indices between ACLR (post-training) and uninjured control groups (baseline). Alpha was set to 0.05 and data were presented as mean ± SD.

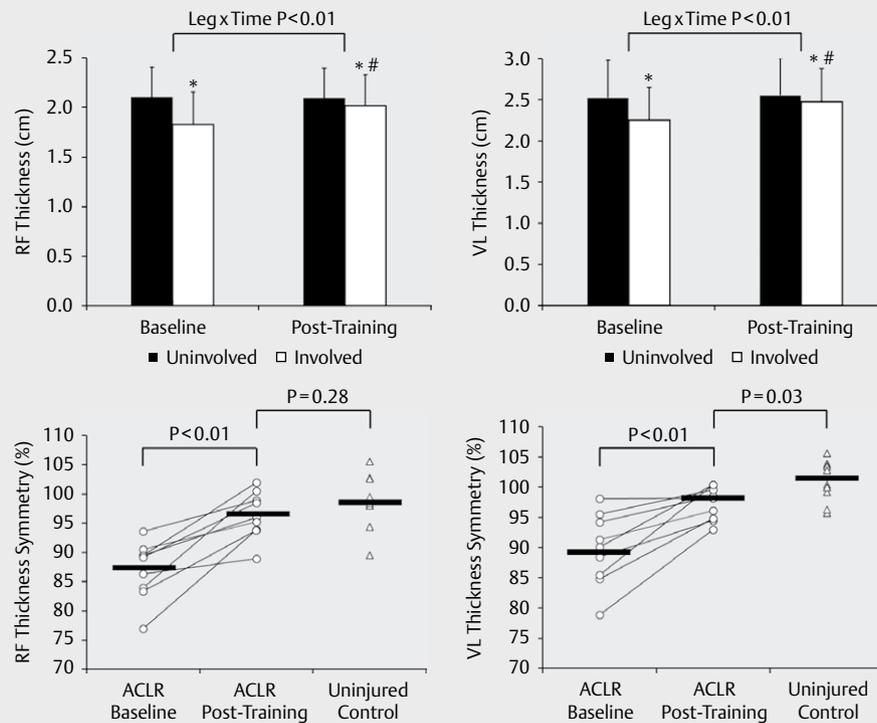
Results

Baseline

Demographic characteristics between the ACLR and uninjured control groups did not differ (all $P > 0.05$; ► **Table 1**). Participants in the ACLR group had surgery 5 ± 2 yrs ago with an autograft of semiten-



► **Fig. 1** Overview a home-based BFR exercise training session. Each training session consisted of a series of three exercises (single-leg knee extension, bodyweight half squats, walking) and took ~25 min to complete. During each exercise, blood flow to the working muscles in the involved leg was restricted using a thigh cuff set to 50% of limb occlusion pressure. Inset image illustrates cuff placement over the involved leg. Participants performed 5 training sessions/wk for 4 wks.



► **Fig. 2** Alterations in rectus femoris (RF) and vastus lateralis (VL) thickness in the involved and uninjured leg for the ACLR group (top panels). Muscle thickness symmetry in the ACLR and uninjured control groups (bottom panels). For the ACLR group, a number < 100% indicated uninjured leg dominance. For the uninjured control group, a number < 100% indicated dominant leg dominance. Thin lines represent individual changes from baseline to post-training for the ACLR group and open triangles represent individual values for the uninjured control group. Horizontal thick lines represent mean values for ACLR and uninjured control groups. Data are reported as mean \pm SD. * $P < 0.05$ vs. uninjured leg. # $P < 0.05$ vs. baseline.

dinosus muscle tendon ($n = 3$) or patellar tendon ($n = 6$). For these participants, rectus femoris and vastus lateralis thickness and knee extensor strength were reduced in the involved leg compared to the uninjured leg (all $P < 0.01$; ► **Figs 2,3**). As expected, symmetry values for rectus femoris ($87 \pm 5\%$) and vastus lateralis ($90 \pm 6\%$) thickness and knee extensor strength ($88 \pm 4\%$) were $\leq 90\%$ and thus were in the moderate asymmetry range (29). Symmetry indices for the ACLR group were less than the uninjured control group (all $P < 0.01$; ► **Figs 2,3**).

BFR exercise

Mean limb occlusion pressure was 182 ± 28 mmHg. Accordingly, mean BFR training pressure was set at 91 ± 14 mmHg. ACLR participants completed 98% of the total exercise sessions and there were no adverse events. Mean muscle soreness with the exercise training was < 1.0 cm and did not differ across training sessions ($P > 0.05$; ► **Fig. 4**).

Post-Training

There were significant leg \times time interactions for rectus femoris and vastus lateralis thickness (both $P < 0.01$) indicating that the involved leg exhibited a greater change in quadriceps muscle thickness compared to the uninjured leg (► **Fig. 2**). After training, rectus femoris and vastus lateralis thickness in the involved leg increased by $11 \pm 5\%$ and $10 \pm 6\%$ (both $P < 0.01$), respectively, but did not change in the uninjured leg ($P = 0.76$, $P = 0.47$). A small but signif-

icant difference was present in rectus femoris and vastus lateralis thickness between the ACLR and uninjured legs after training ($P = 0.03$, $P = 0.02$). Post-training rectus femoris thickness symmetry in the ACLR group increased compared to baseline (87 ± 5 to $96 \pm 4\%$, $P < 0.01$) and did not differ from that for the uninjured control group ($99 \pm 5\%$, $P = 0.28$; ► **Fig. 2**). Post-training symmetry for vastus lateralis thickness in the ACLR group increased compared to baseline (90 ± 6 to $97 \pm 3\%$, $P < 0.01$) but was lower than that for the uninjured control group ($101 \pm 3\%$, $P = 0.03$; ► **Fig. 2**).

There was also a significant leg \times time interaction for knee extensor strength ($P < 0.01$, ► **Fig. 2**). After training, knee extensor strength increased by $20 \pm 14\%$ in involved leg ($P < 0.01$) and did not change in the uninjured leg ($P = 0.09$). Following training, knee extensor strength in the involved and uninjured legs did not differ ($P = 0.71$). Post-training knee extensor strength symmetry in the ACLR group increased compared to baseline (88 ± 4 to $99 \pm 5\%$, $P < 0.01$) and did not differ from the uninjured control group ($99 \pm 5\%$, $P = 0.95$; ► **Fig. 3**).

Discussion

Main findings

Participants in this study had an ACLR on average 5 yrs ago and, despite completing a post-operative rehabilitation program and being physically active, still had persistent quadriceps impairments and

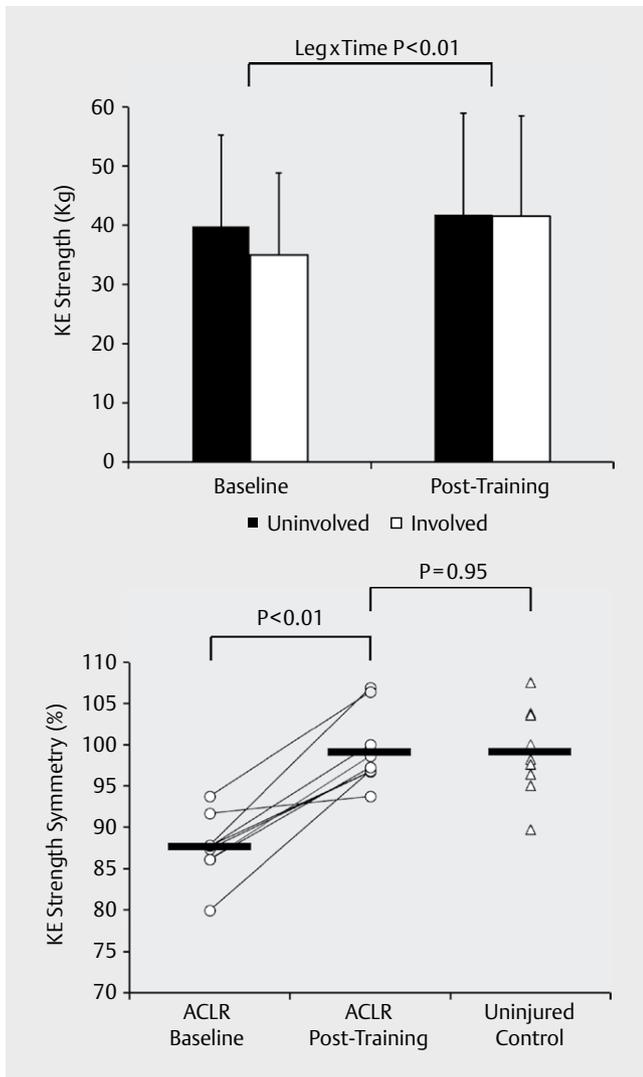


Fig. 3 Changes in knee extensor 1RM strength in the involved and uninjured leg for the ACLR group (top panel). Knee extensor 1RM strength symmetry in the ACLR and uninjured control groups (bottom panel). For the ACLR group, a number < 100% indicated uninjured leg dominance. For the uninjured control group, a number < 100% indicated dominant leg dominance. Thin lines represent individual changes from baseline to post-training for the ACLR group and open triangles represent individual values for the uninjured control group. Horizontal thick lines represent mean values for ACLR and uninjured control groups. Data are reported as mean ± SD. * P < 0.05 vs. uninjured leg. # P < 0.05 vs. baseline.

moderate asymmetry. Accordingly, we implemented a 4-wk, home-based BFR program consisting of bodyweight and walking exercises to increase quadriceps size and strength. As expected, BFR exercise increased rectus femoris and vastus lateralis thickness, as well as knee extensor strength to a greater extent in the involved leg compared to the uninjured leg. Consequently, asymmetry in muscle thickness and knee extensor strength measures was reduced such that symmetry levels (96–99%) were much closer to those of uninjured controls (99–101%). These results support our hypotheses, shed light on the application of BFR exercise at home, and offer a promising approach for restoring quadriceps size and strength long after ACLR.

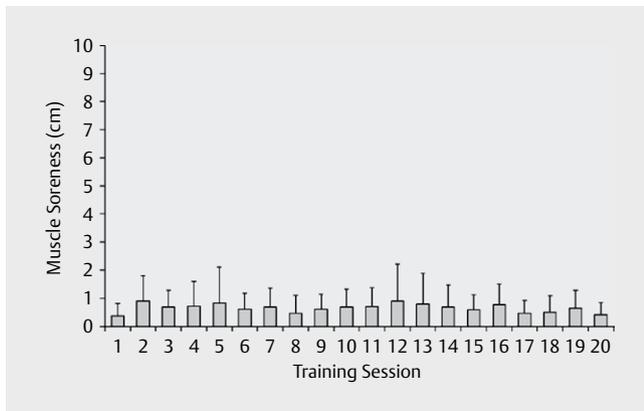
Home-Based exercise with BFR

A unique aspect of this study was the development of a home-based BFR exercise protocol. To minimize the need for expensive exercise equipment, we implemented simple exercises that only required the use of a thigh cuff and a resistance band (~\$20 USD). We also used moderate cuff pressures (~90 mmHg) normalized to individual limb occlusion pressure and educated participants on how to carefully monitor the pressure during a BFR exercise session. Using this approach, participants were able to perform BFR exercise without causing injury and tolerated the training as muscle soreness was very low. Thus, implementation of BFR exercise at home provided a program that was feasible, safe and inexpensive. Finally, it is important to point out that participants did receive specific instruction and careful monitoring from the research team. We also acknowledge that motivation to perform and tolerate BFR exercise could be different for individuals that are not participating in an organized study. Therefore, more work is needed to confirm the potential clinical applications of home-based BFR exercise.

Quadriceps size and strength

The moderate asymmetry present in the ACLR group before training was not surprising as several authors [13–15] have reported persistent quadriceps asymmetry following ACLR. After 4 wks of BFR exercise, rectus femoris and vastus lateralis thickness in the involved leg increased by 11 and 10%, respectively. Increased quadriceps thickness is significant because knee extensor strength is more associated with quadriceps size rather than voluntary activation as individuals recovering from knee surgery pass the 1 yr mark [13, 31, 32]. The home-based BFR exercise program also increased knee extensor strength by 20% (~6.5 kg). Together, these improvements in quadriceps muscle thickness and knee extensor 1RM strength are consistent with those for healthy adults performing BFR resistance [33] and aerobic [34] exercise where quadriceps volume and whole-leg 1RM strength increased by ~6% and ~12%, respectively.

With increased muscle thickness and strength in the involved leg, there was a notable improvement in symmetry for rectus femoris (87–96%) and vastus lateralis (90–97%) thickness and knee extensor strength (88–99%). Indeed, post-training symmetry values were much closer to those of uninjured controls. Most importantly, these participants that had an ACLR procedure were able to overcome presumably years of quadriceps weakness with just one month of targeted exercise training and achieve remarkable levels of knee extensor strength symmetry. These symmetry outcomes extend upon reports documenting the application of BFR exercise early after ACLR. For example, Ohta and colleagues [20] reported that BFR exercise implemented 3–16 weeks post-operatively increased knee extensor strength symmetry (65–77%). Taken together, results from the current study along with previous reports [19, 20, 35] clearly demonstrate that BFR exercise can offer a potent stimulus for improving quadriceps size and strength after ACLR. Moreover, implementation of exercise involving low loads to improve quadriceps size and strength in individuals that have a history of ACLR is noteworthy because high-load resistance exercise can be sometimes be contraindicated.



► **Fig. 4** Perceived muscle soreness associated with the home-based BFR exercise program (0 - no soreness, 10 - severe soreness). Data are reported as mean \pm SD.

Implications

When patients with ACLR are eventually discharged from supervised rehabilitation, clinicians may consider incorporating BFR exercises into home-based maintenance programs. Specifically, this may allow patients to further increase their quadriceps size and strength, symmetry and/or physical function, because low load BFR resistance exercise is more effective than traditional low load resistance exercise [36]. An alternative possibility is that low load BFR resistance exercise may enable individuals to progress sooner towards high load resistance exercise [5], which elicits even greater strength gains. Additionally, individuals with ACLR that have persistent quadriceps impairments and require follow-up medical intervention may find BFR exercise practical, because it can be performed at home, facilitates strength gains quickly, and involves inexpensive equipment.

Summary

Implementation of BFR exercise several years after ACLR increased quadriceps muscle thickness and knee extensor strength and reduced asymmetry. Additionally, the home-based program consisting of bodyweight and walking BFR exercises was feasible, safe and inexpensive. We conclude that, for this ACLR cohort, home-based BFR exercise provided an effective intervention to improve quadriceps function long after ACLR.

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Conflict of Interest

None.

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