Narrow-band imaging versus Lugol chromoendoscopy for esophageal squamous cell cancer screening in normal endoscopic practice: randomized controlled trial

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ABSTRACT
Background Narrow-band imaging (NBI) is as sensitive as Lugol chromoendoscopy to detect esophageal squamous cell carcinoma (SCC) but its specificity, which appears higher than that of Lugol chromoendoscopy in expert centers, remains to be established in general practice. This study aimed to prove the superiority of NBI specificity over Lugol chromoendoscopy in the detection of esophageal SCC and high grade dysplasia (HGD) in current general practice (in-
cluding tertiary care centers, local hospitals, and private clinics).

Methods This prospective randomized multicenter trial included consecutive patients with previous or current SCC of the upper aerodigestive tract who were scheduled for gastroscopy. Patients were randomly allocated to either the Lugol or NBI group. In the Lugol group, examination with white light and Lugol chromoendoscopy were successively performed. In the NBI group, NBI examination was performed after white-light endoscopy. We compared the diagnostic characteristics of NBI and Lugol chromoendoscopy in a per-patient analysis.

Results 334 patients with history of SCC were included and analyzed (intention-to-treat) from 15 French institutions between March 2011 and December 2015. In per-patient analysis, sensitivity, specificity, positive and negative likelihood values were 100%, 66.0%, 21.2%, and 100%, respectively, for Lugol chromoendoscopy vs. 100%, 79.9%, 37.5%, and 100%, respectively, for NBI. Specificity was greater with NBI than with Lugol (P=0.002).

Conclusions As previously demonstrated in expert centers, NBI was more specific than Lugol in current gastroenterology practice for the detection of early SCC, but combined approaches with both NBI and Lugol could improve the detection of squamous neoplasia.

Introduction

Esophageal squamous cell carcinoma (SCC) is a frequent and severe disease that is associated with a high mortality rate [1, 2]. Prognosis is related to disease stage at the time of diagnosis and is considerably better for superficial carcinoma [3]. For example, intramucosal SCCs are curable using endoscopic resection, which avoids the morbidity and mortality associated with esophageal surgery. Thus, early screening of superficial SCC is crucial to improve prognosis. Patients with previous history of upper aerodigestive tract SCC (in particular ear, nose, and throat or esophageal SCC) have the highest risk of both metachronous and synchronous SCC in the whole upper aerodigestive tract [4–8].

In such patients, the European, American, and French gastrointestinal (GI) endoscopy societies recommend upper GI endoscopy screening with esophageal chromoendoscopy [4, 9, 10]. Historically, the reference technique has been Lugol chromoendoscopy [9, 11–13]. Although this technique is highly sensitive, its specificity is low, as demonstrated in several studies [14–16]. Furthermore, Lugol chromoendoscopy leads to esophageal spasms, is sometimes painful, and is time-consuming, resulting in infrequent use of Lugol staining by gastroenterologists for the screening of SCC (approximately 15% of screenings only) [17].

An alternative modality is narrow-band imaging (NBI), which is based on the use of selected wavelengths of light and has demonstrated high sensitivity and specificity to detect superficial SCC when performed by experts [14]. This technique is now recommended alongside Lugol chromoendoscopy for esophageal screening in European Society of Gastroenterology Endoscopy (ESGE) guidelines [10]. The sensitivity of NBI and Lugol chromoendoscopy is almost 100% in both modalities [18, 19], and the noninferiority (or even the superiority) of NBI over Lugol chromoendoscopy in terms of specificity has been reported but only in expert endoscopy centers. Nevertheless, to the best of our knowledge, only one study, reported by Ishihara et al. [20], has compared the diagnostic characteristics of NBI according to the level of expertise; the authors found that results from expert centers might not be reproducible in nonexpert settings.

The present study therefore aimed to compare the two techniques of chromoendoscopy in a nationwide randomized study involving expert and nonexpert centers to screen esophageal SCC in patients with a history or current SCC in the aerodigestive tract. The study aimed to demonstrate the superior specificity of NBI compared with Lugol chromoendoscopy to detect esophageal SCC and high grade dysplasia (HGD) in current practice (including tertiary care centers, local hospitals, and private clinics).

Methods

Study design

This was a multicenter, prospective, randomized, controlled, nonblinded study conducted in 15 French institutions comparing the detection of esophageal SCC using NBI vs. Lugol chromoendoscopy after a conventional white-light examination in both groups. As Lugol dyeing is still considered the gold standard for SCC detection, a Lugol examination was performed after the NBI examination in the NBI group to avoid any disadvantage to patients. Trial design is described in Fig. 1.

Patients

Patients scheduled for upper GI endoscopy were included if they had a history or current SCC and provided signed written consent to participate. Patients were excluded if they were aged less than 18 years, had a contraindication to upper GI endoscopy or to general anesthesia, had a World Health Organization status 3 or 4, were pregnant, or had not provided written informed consent.

Patients were recruited from 15 French institutions including four tertiary care centers and nine local hospitals or private clinics. As the aim was to evaluate real-life NBI specificity, NBI training was not provided as part of the study. Of note, Olympus high definition scopes with the NBI virtual chromoendoscopy function were already used by participating gastroenterologists in their current practice.
Interventions – diagnostic strategies

All patients underwent an upper GI endoscopy, according to the protocols of each participating hospital in terms of patient position for anesthesia.

In both groups, an upper GI H180 series scope was used (Olympus, Tokyo, Japan). In cases of stenosis, an ultrathin GI transnasal scope (GIF N180; Olympus) could be used. The examinations were performed according to allocation.

In the Lugol group, two examinations were performed successively. First white-light imaging (WLI) examination was performed and all lesions detected were biopsied. Second, Lugol chromoendoscopy was performed (2.5% Lugol dye was spread over the entire esophagus using a spray catheter, except near the upper esophageal sphincter owing to the risk of bronchospasm) and any additional lesions detected were reported separately from those detected by WLI and then biopsied for histological confirmation.

In the NBI group, three examinations were performed. First, WLI examination was performed and all lesions detected were described but not biopsied immediately to avoid bleedings that could alter the NBI examination. Second, NBI examination was performed and additional lesions detected were described separately. All lesions detected by both WLI and NBI were then biopsied at the same time, and the modality (WLI or NBI) that first detected each lesion was indicated on the report. Third, Lugol chromoendoscopy was performed; any additional lesions detected were reported separately from those detected by WLI/NBI and biopsied for pathological confirmation.

Diagnosis modality (WLI, NBI, or Lugol), size, topography, and macroscopic shape (Paris classification [21]) of each detected lesion were reported and described.

After the diagnostic procedure, no follow-up endoscopy was scheduled as part of this study.

Outcomes

The primary outcome was the specificity of the diagnostic strategies to detect high-grade squamous cell neoplastic lesions (HGD and/or SCC) in patients with previous or current SCC in the aerodigestive tract in a per-patient analysis. The suspected lesions were defined by a pink color after Lugol staining [22], or by a color change or irregular vascular pattern using NBI. All suspected lesions were biopsied for histological assessment to confirm or eliminate their neoplastic nature. If the lesion was confirmed to be neoplastic, it was a true positive for the detection modality, and if it was confirmed to be non-neoplastic it was defined as a false positive for the technique.

To evaluate the performance of each strategy, all lesions detected by each complete strategy (including in both cases a final chromoendoscopy with Lugol dye, the gold standard for detection, as presented in Fig. 2), which were then confirmed by histological analysis of the biopsies taken, were used as reference.
Secondary outcomes were sensitivity of the NBI strategy, positive predictive value (PPV), and negative predictive value (NPV) of the techniques in a per-patient analysis. A per-lesion analysis could be performed only for NBI as Lugol dyeing combined with histological assessment was considered the reference strategy for detection of esophageal SCC, with an assumed detection rate of 100%.

Sample size
As previously reported, the sensitivity of NBI and Lugol chromoendoscopy are almost 100% [4, 8, 18, 19]. It was hypothesized that the per-patient specificity of NBI would be 12 percentage points greater than that of Lugol chromoendoscopy, which is assumed to be 80% [16, 23]. The sample size required was at least 320 patients for both groups using the Casagrande and Pike formula [24], for a 12 percentage point threshold of superiority and a statistical power of 80% with statistical significance defined as $P < 0.05$ ($\alpha = 0.05$ and $\beta = 0.20$), taking into account an exclusion or dropout rate of 10%.

Randomization
Patients were randomly allocated in a 1:1 ratio to either the Lugol or NBI group. The randomization list was prepared by the independent clinical research associate of the French Society of Digestive Endoscopy (Société Française d’endoscopie digestive [SFED]) and stratified by center by random blocks of 4 to 6. Sealed envelopes containing allocation arm were then sent to each center by the SFED clinical research associate. Patients were recruited by investigators who explained the study and collected the written consent at least 24 hours before the procedure. Each investigator opened the envelope immediately before the endoscopy procedure and the patient was blinded to the diagnostic strategy allocated.

Histological evaluation
All pathology samples were sent to expert digestive pathologists in each center and classified as follows: non-neoplastic tissue, low grade dysplasia (LGD), HGD, or SCC. Histological diagnoses were made according to the Vienna classification [25].

Statistical methods
Data were analyzed according to the intention-to-treat analysis principle with value set at zero (worst possible value) for patients with missing primary outcome data. Neoplastic lesion detection and miss rates were analyzed at the patient and lesion level.

Measures of diagnostic performance such as sensitivity, specificity, PPV, and NPV of the Lugol and NBI techniques to detect SCC and/or HGD were calculated in a per-patient analysis using histologically confirmed lesions identified by Lugol staining as the gold standard (Lugol staining was performed in both study arms). For per-patient analysis, detection of high grade neoplastic lesions was considered positive if at least one high grade neoplastic lesion was found by the diagnostic modality and confirmed histologically. A per-lesion analysis could be performed only for NBI as Lugol dyeing was considered the gold standard strategy for detection of SCC, with an assumed sensitivity for detection of 100%. The 95% confidence intervals (CIs) were calculated for these estimates.

At the patient level, within-center comparisons between groups were performed using Mantel–Haenzel. At the lesion level, a generalized estimating equation approach was used adjusted for center. Sensitivity analysis included a per-protocol analysis to exclude patients with protocol deviations. Qualitative variables were compared using the Fisher’s exact test. Quantitative variables were compared using Student’s t test. P values of $< 0.05$ were considered statistically significant. Statistical analyses were performed using SAS version 9.4 (SAS Institute, Inc., Cary, North Carolina, USA).

Ethical concerns
The study was performed in accordance with the Declaration of Helsinki and was approved by the regional ethics committee (Comité de Protection des Personnes Sud-Est III; number: 2010–045-B) and by the national medicine agency (Agence Française de Sécurité Sanitaire des Produits de Santé; number 2008-A01548-47). The study was registered at ClinicalTrials.gov (NCT04224896).
Results

Patients
From March 2011 to December 2015, 335 patients with previous or current SCC were recruited; 334 were included (1 patient under tutorship was excluded due to erroneous inclusion), randomized to either the Lugol group (n = 167) or the NBI group (n = 167), and analyzed by intention-to-treat.

A total of 19 patients did not receive the allocated interventions including 8 in the Lugol group and 11 in the NBI group for the following reasons: 7 protocol deviations occurred during the endoscopy procedure (patients did not receive final Lugol chromoendoscopy), 6 withdrew their consent, 3 endoscopy procedures failed (orotracheal intubation failure, organizational issue, technical issue), 2 patients died before endoscopy, and 1 patient showed contraindication to general anesthesia before any procedure was performed (Fig. 3).

Baseline data and endoscopic procedure characteristics
In both groups, most patients were male (Lugol group 91.0%, NBI group 86.8%), the mean age was 61 years, and most previous or current SCCs occurred in the ear–nose–throat tract (Lugol group 90.4%, NBI group 88.0%). The majority of patients smoked (Lugol group 89.2%, NBI group 91.6%) and/or consumed alcohol, either currently or in the past (Lugol group 85.6%, NBI group 79.6%) with a mean alcohol consumption of 68.1 g (standard deviation [SD] 61.4) and 69.3 g (SD 78.9) in the Lugol and NBI groups, respectively (see Table 1 in the online-only supplementary material).

According to the endoscopy procedure characteristics (Table 2), most patients in the two groups underwent a procedure under general anesthesia with or without tracheal intubation (Lugol group 67.1%, NBI group 66.5%). One patient had esophageal stenosis requiring the use of an ultrathin Gl scope.

Detection modality
A total of 106 suspected lesions were detected in the Lugol group, 18 (17.0%) of which (14 SCC, 1 HGD, 3 LGD) were confirmed histologically, in 16 patients (Table 1). Among these 18 lesions, 11 lesions (10 SCC and 1 LGD) were detected during WLI examination. Among the 10 SCC detected with WLI, 1 was a T3, 1 was a T2, and 8 were T1 cancers. Lugol chromoendoscopy led to the detection of 7 additional superficial neoplastic lesions (4 T1 SCC, 1 HGD, 2 LGD) including 6 lesions (4 SCC, 1 HGD, 1 LGD) diagnosed in 6 additional patients in whom no synchronous lesion was detected by WLI.

In the NBI group, immediately after NBI examination, there were a total of 61 suspected lesions, 22 (36.1%) of which (22 SCC) were confirmed histologically, in 18 patients. Among these 22 lesions, 19 T1 cancers and 2 T2 cancers were diagnosed by WLI examination. Additionally, one superficial T1 SCC was detected after NBI chromoendoscopy in one patient who did not have any synchronous lesion detected by WLI. There was no statistically significant difference in the number of patients with high grade neoplastic lesions detected between the Lugol and NBI groups (8.4% vs. 10.8%; P = 0.58) (Table 1). In the NBI group, Lugol chromoendoscopy detected 9 additional neoplastic lesions (2 T1 SCC, 2 HGD, 5 LGD) including 6 (2 SCC, 2 HGD, 2 LGD) in patients with synchronous SCC already detected with WLI or NBI, and 3 additional LGD that were diagnosed in 3 patients who had no high grade neoplastic lesion detected by NBI.

Diagnostic performance of Lugol chromoendoscopy and NBI for HGD and SCC
Per-patient analysis
For the detection of SCC and/or HGD, the sensitivity of Lugol was 100% (95% CI 76.8%–100%), specificity was 66.0% (95% CI 57.9%–73.5%), PPV was 21.2% (95% CI 12.1%–33.0%), and NPV was 100% (95% CI 96.4%–100%). The sensitivity of NBI was 100% (95% CI 81.5%–100%), specificity was 79.9% (95% CI 72.5%–86.0%), PPV was 37.5% (95% CI 24.0%–52.6%), and NPV was 100% (95% CI 96.9%–100%). The specificity of NBI was significantly greater than that of Lugol chromoendoscopy (P = 0.002) (Table 2).
For the detection of SCC and/or HGD lesions, the sensitivity of NBI was 84.0% (95% CI 63.9% – 95.5%), specificity was 59.8% (95% CI 49.0% – 69.9%), PPV was 93.2% (95% CI 83.5% – 98.1%), and NPV was 36.2% (95% CI 24.0% – 49.9%).

Characteristics of the lesions detected

The characteristics of the lesions detected in the two groups are presented in Table 3. Across the entire patient cohort, there was no difference in size and extent of SCCs detected with WLI and Lugol chromoendoscopy, with mean length of 4.1 cm and 4.0 cm, respectively (P = 0.93) and mean circumferential extension of 43.6% and 37.5%, respectively (P = 0.64). The only SCC detected with NBI measured 1 cm and was estimated to extend to 8.3% of the circumference.

Adverse events

One patient from the Lugol group experienced severe respiratory distress during anesthesia induction, prior to any endoscopic examination (0.6% morbidity).

Per-protocol analyses

Results of the per-protocol analyses were similar to those of the intention-to-treat analyses (results not presented).

Discussion

The present study confirms previously published data from expert endoscopists reporting that NBI is more specific than Lugol for the diagnosis of SCC and/or HGD [14, 15]. Sensitivity of NBI was 100% in a per-patient analysis although per-lesion evalu-
Low sensitivity is probably related to the low level of endoscopy (NBI group LGD n = 5/5 and HGD n = 2/2). This group LGD n = 0/5 and HGD n = 0/2) compared with Lugol chromoendoscopy in a per-lesion analysis, especially for LGD and HGD (NBI who do not frequently see squamous neoplastic lesions. Color sign, particularly when involving nonexpert endoscopists, demonstrating the subjective character of such a low, despite the use of the pink color sign to define the suspect lesions, showing that magnification is not currently available in most nonexpert settings in France and was not used in this study, where 180 series endoscopes were used. Nevertheless, this study confirms that the specificity of nonmagnified NBI is still higher than that of Lugol chromoendoscopy. Thus, NBI with magnification, already more specific than nonmagnified NBI could be associated with even better results and should be implemented in our general practice. In addition, the specificity of Lugol staining is low, despite the use of the pink color sign to define the suspect lesions, demonstrating that Lugol dyeing, although not very easy to use as a screening strategy, could improve the detection of synchronous SCC particularly when a lesion has already been detected by NBI. The combination of the two techniques could probably improve the results of detection when NBI is used as the initial screening strategy.

The present study has several limitations. First, a single endoscopist performed the different examinations without crossover, precluding blind evaluation of each diagnostic modality. Furthermore, owing to the design of the study, Lugol chromoendoscopy sensitivity was not measurable and was thus considered to be 100%, and Lugol chromoendoscopy specificity calculation was only possible in per-patient analysis and not in a per-lesion analysis. Magnification was not used as this technique was not in widespread use in France during the study period. Finally, endoscopist skills were not evaluated and therefore diagnostic performance of NBI and Lugol chromoendoscopy could not be analyzed according to physicians’ expertise.

In conclusion, this study confirms previous studies conducted in expert centers, or at least by expert endoscopists, showing that NBI could replace Lugol chromoendoscopy to detect SCC and HGD in the esophagus, even in general gastroenterology practice, possibly changing current recommendations. However, when an SCC is detected by a nonexpert during NBI

![Table 2 Diagnostic performance of Lugol and narrow-band imaging for detection of squamous cell carcinoma and high grade dysplasia (per-patient analysis).](https://example.com/table2)

<table>
<thead>
<tr>
<th></th>
<th>Lugol group</th>
<th>NBI group</th>
<th>P value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sensitivity, % (95% CI)</td>
<td>100 (76.8–100)</td>
<td>100 (81.5–100)</td>
<td>NC</td>
</tr>
<tr>
<td>Specificity, % (95% CI)</td>
<td>66.0 (57.9–73.5)</td>
<td>79.9 (72.5–86.0)</td>
<td>0.002</td>
</tr>
<tr>
<td>PPV, % (95% CI)</td>
<td>21.2 (12.1–33.0)</td>
<td>37.5 (24.0–52.6)</td>
<td>0.001</td>
</tr>
<tr>
<td>NPV, % (95% CI)</td>
<td>100 (96.4–100)</td>
<td>100 (96.9–100)</td>
<td>NC</td>
</tr>
</tbody>
</table>

NBI, narrow-band imaging; CI, confidence interval; NC, not calculable; PPV, positive predictive value; NPV, negative predictive value.

* Pearson chi-squared test; NC due to zero false-negative cases in the two study groups.
Table 3  Endoscopic description of the lesions detected in the two groups.

<table>
<thead>
<tr>
<th></th>
<th>Lugol group</th>
<th>NBI group</th>
<th>P value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total neoplastic lesions, n (%)</td>
<td>18</td>
<td>31</td>
<td></td>
</tr>
<tr>
<td>• SCC</td>
<td>14</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>• HGD</td>
<td>1</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>• LGD</td>
<td>3</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>Paris classification description of SCCs¹</td>
<td></td>
<td></td>
<td>0.90</td>
</tr>
<tr>
<td>• Type I</td>
<td>5</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>• Type IIa</td>
<td>2</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>• Type IIb</td>
<td>6</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>• Type IIc</td>
<td>1</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>• Type III</td>
<td>0</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>• Missing data</td>
<td>0</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Mean length of neoplastic lesions (n) detected with WLI, cm</td>
<td>3.4 (11)</td>
<td>4.3 (21)</td>
<td>0.30</td>
</tr>
<tr>
<td>• SCC</td>
<td>3.6 (10)</td>
<td>4.3 (21)</td>
<td>0.45</td>
</tr>
<tr>
<td>• HGD</td>
<td>NA (0)</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>• LGD</td>
<td>1.0 (1)</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>Mean length of additional neoplastic lesions (n) detected with NBI, cm</td>
<td>NA</td>
<td>1.0 (1)</td>
<td>NC</td>
</tr>
<tr>
<td>• SCC</td>
<td>NA</td>
<td>1.0 (1)</td>
<td></td>
</tr>
<tr>
<td>• HGD</td>
<td>NA</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>• LGD</td>
<td>NA</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>Mean length of additional neoplastic lesions (n) detected with Lugol, cm</td>
<td>3.4 (7)</td>
<td>2.4 (9)</td>
<td>0.43</td>
</tr>
<tr>
<td>• SCC</td>
<td>5.3 (4)</td>
<td>1.5 (2)</td>
<td>0.14</td>
</tr>
<tr>
<td>• HGD</td>
<td>1.0 (1)</td>
<td>4.5 (2)</td>
<td>NC</td>
</tr>
<tr>
<td>• LGD</td>
<td>1.0 (2)</td>
<td>3.8 (5)</td>
<td>0.24</td>
</tr>
<tr>
<td>Mean circumferential extension of lesions (n) detected with WLI, %</td>
<td>37.5 (11)</td>
<td>44.8 (21)</td>
<td>0.49</td>
</tr>
<tr>
<td>• SCC</td>
<td>40.8 (10)</td>
<td>44.8 (21)</td>
<td>0.72</td>
</tr>
<tr>
<td>• HGD</td>
<td>NA (0)</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>• LGD</td>
<td>1.0 (1)</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>Mean circumferential extension of lesions (n) detected with NBI, %</td>
<td>NA</td>
<td>8.3 (1)</td>
<td>NC</td>
</tr>
<tr>
<td>• SCC</td>
<td>NA</td>
<td>8.3 (1)</td>
<td>NC</td>
</tr>
<tr>
<td>• HGD</td>
<td>NA</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>• LGD</td>
<td>NA</td>
<td>NA (0)</td>
<td></td>
</tr>
<tr>
<td>Mean circumferential extension of lesions (n) detected with Lugol, %</td>
<td>34.7 (6¹)</td>
<td>45.3 (9)</td>
<td>0.97</td>
</tr>
<tr>
<td>• SCC</td>
<td>47.9 (4)</td>
<td>16.7 (2)</td>
<td>0.33</td>
</tr>
<tr>
<td>• HGD</td>
<td>8.3 (1)</td>
<td>66.6 (2)</td>
<td>NC</td>
</tr>
<tr>
<td>• LGD</td>
<td>8.3 (1¹)</td>
<td>33.4 (5)</td>
<td>NC</td>
</tr>
</tbody>
</table>

NBI, narrow-band imaging; SCC, squamous cell carcinoma; HGD, high grade dysplasia; LGD, low grade dysplasia; WLI, white-light imaging; NA, not applicable; NC, not calculable.

* One additional missing data.
examination, an additional Lugol examination of the whole esophagus could be proposed to improve detection of synchronous dysplastic lesions, which are easily missed by nonexperts.

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Clinical trial

Trial Registration: ClinicalTrials.gov | Registration number (trial ID): NCT04224896 | Type of study: randomized controlled study.

Competing interests

The authors declare that they have no conflicts of interest.

References