Systemic Therapy of Premenopausal Patients with Early Stage Hormone Receptor-Positive, HER2-Negative Breast Cancer – Controversies and Standards in Healthcare

Systemische Therapie von prämenopausalen Patientinnen mit hormonrezeptorpositivem, HER2-negativem Brustkrebs in den Frühstadien – Kontroversen und Standards in der Krankenversorgung

Authors
Volkmar Müller1, Peter A. Fasching2, Naiba Nabieva3, Tanja N. Fehm4, Marc Thill10, Marcus Schmidt16, Thorsten Kühn7, Maggie Banys-Paluchowski8, Erik Belleville9, Ingolf Juhasz-Böss10, Michael Untch11, Hans-Christian Kolberg12, Nadia Harbeck13, Bahriye Aktas14, Elmar Stickeler15, Julia Kreuzeder3, Andreas D. Hartkopf16, Wolfgang Janni16, Nina Ditsch17

Affiliations
1 Department of Gynecology, Hamburg-Eppendorf University Medical Center, Hamburg, Germany
2 Erlangen University Hospital, Department of Gynecology and Obstetrics, Comprehensive Cancer Center Erlangen-EMN, Friedrich-Alexander University Erlangen-Nuremberg, Erlangen, Germany
3 Novartis Pharma GmbH, Nürnberg, Germany
4 Department of Gynecology and Obstetrics, University Hospital Düsseldorf, Düsseldorf, Germany
5 Department of Gynecology and Gynecological Oncology, Agaplesion Markus Krankenhaus, Frankfurt/Main, Germany
6 Universitäts-Frauenklinik Mainz, Mainz, Germany
7 Filder-Klinik, Filderstadt-Bonlanden, Germany
8 Department of Gynecology and Obstetrics, University Hospital Schleswig-Holstein, Campus Lübeck, Lübeck, Germany
9 ClinSol GmbH & Co KG, Würzburg, Germany
10 Universitäts-Frauenklinik Freiburg, Freiburg, Germany
11 Clinic for Gynecology and Obstetrics, Breast Cancer Center, Gynecologic Oncology Center, Helios Klinikum Berlin Buch, Berlin, Germany
12 Department of Gynecology and Obstetrics, Marienhospital Bottrop, Bottrop, Germany
13 Breast Center, Department of Gynecology and Obstetrics and CCC Munich LMU, LMU University Hospital, München, Germany
14 Department of Gynecology, University of Leipzig Medical Center, Leipzig, Germany
15 Department of Obstetrics and Gynecology, Center for Integrated Oncology (CIO Aachen, Bonn, Cologne, Düsseldorf), University Hospital of RWTH Aachen, Aachen, Germany
16 Department of Gynecology and Obstetrics, Ulm University Hospital, Ulm, Germany
17 Department of Gynecology and Obstetrics, University Hospital Augsburg, Augsburg, Germany

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Introduction

Patients who develop breast cancer at a young age often have disease characteristics that are associated with a poorer prognosis. For example, they develop triple-negative (TNBC) or HER2-positive (HER2 pos.) breast cancer more frequently, and the disease takes a more aggressive course. Moreover, their response to systemic therapies is quite different to that of postmenopausal patients [1, 2].

Risk factors for breast cancer in young patients include genetic factors, environmental factors, and reproductive behavior. Patients who develop the disease at a younger age are more likely to have germline mutations in the BRCA1 or BRCA2 genes [3, 4]. Late first pregnancy has been associated with a transiently increased risk of breast cancer [5]. It is interesting to note the different effect of body weight on breast cancer risk in premenopausal and postmenopausal patients: while being overweight is associated with an increased risk of postmenopausal breast cancer, this could not be demonstrated in patients with premenopausal breast cancer [6].

▶ Fig. 1 shows the different mechanisms of estrogen production in premenopausal and postmenopausal patients in the context of the pathogenesis of breast cancer. While in premenopausal patients estrogen is mainly synthesized in the ovaries, in postmenopausal women, fatty tissue is predominantly responsible for estrogen production.

This review summarizes the data on completed studies (e.g., SOFT, TEXT, EBCTCG meta-analyses) and the current multigene testing studies (TailorX, RxPonder, ADAPT), discusses the rationale for current studies (e.g., CLEAR-B), and looks ahead to future questions.

ZUSAMMENFASSUNG


Diese Übersichtsarbeit fasst die Datenlage zu den abgeschlossenen Studien (z. B. SOFT, TEXT, EBCTCG-Metaanalysen), den aktuellen Multigentest-Studien (TailorX, RxPonder, ADAPT) zusammen, diskutiert die Rationale für aktuell durchgeführte Studien (z. B. CLEAR-B) und gibt einen Ausblick auf künftige Fragestellungen.
combination with GnRH analogs, as a reduction in estrogen production via the feedback mechanism would otherwise lead to a secondary increase in estrogen production [8]. With tamoxifen, the addition of a GnRH analog is optional.

**Systemic Therapies in the Premenopausal Patients**

**Chemotherapy**

Young age or premenopausal status are not in themselves an indication for chemotherapy. However, in addition to other unfavorable prognostic factors, young age is an indicator of poorer recurrence-free survival and poorer overall survival. In light of the poorer response to chemotherapy in patients with HR pos./HER2 neg. breast cancer and thus the limited benefit from chemotherapy in HR pos./HER2 neg. tumors [9, 10], in recent years efforts have been made to de-escalate the treatment of patients with this tumor type. In particular, studies that integrated multigene testing into the decision-making process for or against chemotherapy have presented separate evaluations for the benefits of chemotherapy in premenopausal patients (MINDACT, TailorX, RxPONDER, ADAPT). In principle, these studies were designed so that patients with an intermediate risk of recurrence according to a multigene test, i.e., patients for whom the indication for chemotherapy was uncertain, were randomized to treatment arms either with chemotherapy followed by endocrine therapy or with endocrine therapy alone. The study showed that in young patients aged < 50 or in premenopausal patients, chemotherapy leads to an improvement in prognosis. In postmenopausal patients, no benefit could be demonstrated for chemotherapy in addition to endocrine therapy [11, 12, 13]. Thus, chemotherapy is important in the treatment of premenopausal patients at moderate or significantly increased risk of recurrence. However, the ADAPT study showed that for patients aged under 50 with up to three affected lymph nodes, a recurrence score of 12–25, and a drop in Ki-67 to 10% or less after short-term endocrine therapy over three weeks, omitting chemotherapy is an option [14].

**Endocrine therapy options in the premenopausal patients**

While chemotherapy is clearly only indicated for patients at increased risk of recurrence, endocrine therapy should be offered to all patients with HR pos./HER2 neg. breast cancer [15].

**Tamoxifen**

Tamoxifen, a selective estrogen receptor modulator (SERM), was developed in 1963 and approved in the United States in 1977 for the treatment of patients with advanced breast cancer [16].
The effect of tamoxifen in the adjuvant therapy setting was estimated in a meta-analysis from 1998 to confer an absolute 10-year survival difference of over 5% in node-negative and over 10% in node-positive patients. This effect was largely independent of patient and tumor characteristics. Since then, tamoxifen has been one of the standards in the treatment of premenopausal patients with early stage breast cancer.

**SERDs**

Selective estrogen receptor degraders (SERDs) result in degradation and thus elimination of the estrogen receptor. Most of the available data relate to the SERD fulvestrant used in postmenopausal patients [17, 18]. Fulvestrant has not been developed for use in early stage breast cancer, and is therefore not available for the treatment of premenopausal or early postmenopausal patients with early stage breast cancer. An adjuvant study in postmenopausal patients was started but discontinued before completion [19]. In patients with metastases, there have been studies in which treatment success has been achieved with fulvestrant in combination with GnRH analogs in patients with advanced breast cancer [20]. The combination of CDK4/6 inhibitors, fulvestrant, and GnRH analogs has also been described as effective [21].

**Aromatase inhibitors**

Blocking the aromatase enzyme (CYP19A1) serves to suppress the conversion of testosterone to estradiol [22], thus limiting the overall production of estrogen. In patients with postmenopausal breast cancer, three drugs, anastrozole, letrozole, and exemestane, were introduced almost 20 years ago to treat patients with early stage breast cancer [23, 24, 25]. A meta-analysis by the EBCTCG (Early Breast Cancer Trialists Collaborative Group) showed that recurrence was reduced by approximately 30%. Similarly, deaths after 10 years were reduced relatively by approximately 15%, even though most deaths were not related to breast cancer [26].

**GnRH analogs**

Estrogen production in premenopausal women is controlled by the hypothalamus-pituitary-ovarian axis. Estrogen production can be largely suppressed by switching off this signal axis [7]; this mechanism is used not only in the treatment of breast cancer, but also in other hormone-dependent diseases that affect women, such as endometriosis or myoma [27]. The continuous action of GnRH analogs in the body leads to downregulation of the pituitary GnRH receptors, which stops the release of follicle-stimulating hormones (FSH) and luteinizing hormones (LH), and stops estrogen production in the ovaries over a period of 2–3 weeks after the start of therapy. Thus, the GnRH analogs are an effective class of drugs available for OFS [7].

Comparisons between therapies are quite complex. In premenopausal women, a GnRH analog must also be added during therapy with an aromatase inhibitor. Tamoxifen, on the other hand, can be administered with or without ovarian function suppression (OFS) [7]. As a rule, patients at higher risk of recurrence receive OFS as well [15]. Previous chemotherapy also plays a role in this context because it can compromise ovarian function in some patients [7, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40]. The history of studies investigating the treatment of premenopausal patients is set out below.

**Development of Endocrine Therapy Options in Premenopausal Patients**

**Discovery of the correlation between ovarian function and breast cancer**

Over time, the recommendations regarding adjuvant endocrine therapy in premenopausal patients have changed several times in different ways. To understand these trends, it is helpful to take a look at the history of endocrine treatment in premenopausal patients.

In 1896, a surgeon named George Beatson reported on the positive clinical effect of surgical oophorectomy in premenopausal patients with advanced breast cancer [41]. Not only surgical removal but also radiation therapy of the ovaries has been associated with a therapeutic effect on breast cancer in premenopausal breast cancer patients [42].
Introduction of GnRH analogs

Almost a century later, after the introduction of GnRH analogs, the effect of OFS on the prognosis for patients with early stage breast cancer has been systematically researched. In one of the first large-scale EBCTCG studies investigating whether early stage patients benefited from the addition of a GnRH analog in terms of their prognosis, it was concluded that this could improve both recurrence-free survival and overall survival [43].

Initial indications of GnRH analogs having a weaker effect in patients after chemotherapy

However, most patients in this analysis had not received adjuvant chemotherapy, which only became widespread in the early 1980s [44]. This is of particular interest because in a later meta-analysis by the EBCTCG, no benefit from treatment with a GnRH analog could be demonstrated for the group of patients who had previously undergone adjuvant chemotherapy [45]. The most likely explanation for this was the effect of adjuvant chemotherapy on ovarian function. It should also be noted that in the initial studies, a significant proportion of patients had not been treated on the basis of hormone receptor positivity. In most cases, patients with unknown hormone receptor status were also able to participate in the studies. Only in later studies was inclusion strictly limited to hormone receptor-positive patients. Over the years this has led to differing therapy recommendations as a consequence of inconsistent data. Initially, OFS was generally recommended. However, this recommendation for OFS therapy is risk-adapted [46, 47, 48, 49, 50, 51, 52, 53, 54].

One of the studies conducted in this context was the ZEBRA study [55]. In this randomized trial, patients were treated either with the then standard chemotherapy CMF (cyclophosphamide/methotrexate/5-fluorouracil) or with OFS alone using a GnRH analog (goserelin), over a period of two years. During this period, ovarian function was suppressed in almost 100% of patients, while after the end of GnRH analog therapy, the amenorrhea rate in the chemotherapy arm was even higher than in the GnRH analog arm (▶Fig. 3). In the group of ZEBRA patients with positive estrogen receptor status, a comparison of the two study arms showed no difference in terms of recurrence-free survival [55].

Chemotherapy, amenorrhea, and ovarian function

Some observations from previous studies are relevant for the interpretation of current findings [7]:

- Patients who develop amenorrhea after adjuvant chemotherapy have a better prognosis [7, 28, 29].
- The effects of amenorrhea after chemotherapy were observed in patients with hormone receptor-positive breast cancer, but not in patients with hormone receptor-negative breast cancer [7, 30, 31].
- The positive effect of chemotherapy-induced amenorrhea was observed in both patients receiving tamoxifen and those without tamoxifen [7, 32].
- Patients aged under 35 and on adjuvant chemotherapy had a worse prognosis than older premenopausal patients [7, 33, 34, 35].
- Patients aged under 35 were less likely to develop chemotherapy-induced amenorrhea [7, 36].
- Amenorrhea can be reversible. This is more common in younger patients [7, 36, 37].
A summary of the study designs is shown in Fig. 4. The data is further supplemented by the ABCSG-12 and HOBOE studies, which, in addition to a question around bisphosphonate, also included a comparison of tamoxifen vs. aromatase inhibitors in the study design (Fig. 4) [59, 60]. It should be noted that all studies except PERCHE were successfully completed. The PERCHE study had to be discontinued in 2006 due to a lack of recruitment.

Aromatase inhibitors versus tamoxifen with and without ovarian function suppression in the adjuvant therapy setting in premenopausal patients

In an EBCTCG meta-analysis comparing tamoxifen vs. aromatase inhibitors, the difference in efficacy was investigated in detail [61]. This analysis included all patients from studies who were treated with OFS and randomized to treatment arms with either tamoxifen or an aromatase inhibitor [61, 62]. A total of 7030 patients were enrolled in the following studies: ABCSG-12, SOFT, TEXT, HOBOE (see Fig. 4). The median follow-up period for this analysis was 8.0 years. It was shown that the recurrence rate with OFS and aromatase inhibitors was reduced from 17.5% to 14.7% after 10 years, compared to OFS and tamoxifen (RR = 0.79; 95% CI: 0.69–0.90). Although distant metastasis-free survival had a relative risk of 0.83 (95% CI: 0.71–0.97), there was no improvement in terms of overall survival. The death rate at 10 years was 7.2% with tamoxifen plus OFS and 6.8% with aromatase inhibitors plus OFS (RR = 1.01; 95% CI: 0.82–1.24). Interestingly, the effect was only observed in years 2–4 after surgery and in patients with up to 3 affected lymph nodes. The benefit was no longer detectable in patients with more than four affected lymph nodes (RR = 1.03; 95% CI: 0.73–1.46) [61, 62].

Latest data on the addition of GnRH analogs from the SOFT and TEXT studies

The most recent analysis of the SOFT and TEXT studies with regard to the question of additional ovarian function suppression was conducted with a median follow-up period of 12 years (SOFT study) and 13 years (TEXT study) [63]. In this analysis, it was shown that overall survival could be improved by the addition of OFS. In the subgroup analyses, this effect was greatest in the cohort at increased risk of recurrence, e.g., in patients who had undergone (neo)adjuvant chemotherapy, were aged < 35, and had more than 3 affected lymph nodes and a tumor grade of 3 [63]. No differences were shown in patients at! low risk of recurrence. It should be noted that some of these subgroup analyses are based on very small case numbers. In the groups with tamoxifen alone, tamoxifen plus OFS, and exemestane plus OFS, there were only 103, 103, and 126 deaths respectively [63]. Accordingly, the statistical power for comparisons was low.

Side effects of endocrine therapy in premenopausal patients

Most patients report side effects that affect them to varying degrees during endocrine therapy. Musculoskeletal complaints, vasomotor symptoms, sexual dysfunction, fatigue, insomnia, weight gain, and cognitive problems are the most common side effects of tamoxifen and aromatase inhibitors [64]. GnRH analogs also increase vasomotor complaints, sexual dysfunction, vaginal dryness, and insomnia [64]. When comparing patients with and without adjuvant endocrine therapy, these side effects have a measurable impact on the quality of life of patients in follow-up care. A deterioration in quality of life two years after diagnosis is caused for...
the most part by endocrine therapy, and not by adjuvant chemotherapy where this is administered [65]. In the SOFT and TEXT studies, no significant difference in quality of life was found when comparing therapy with aromatase inhibitors + OFS vs. tamoxifen + OFS. The addition of OFS to treatment with tamoxifen showed a deterioration in quality of life, especially in the group of patients without prior chemotherapy [66, 67, 68].

The large-scale adjuvant studies [25, 26, 69] showed that aromatase inhibitors lead to reduced bone density and increased risk of fracture compared to tamoxifen [70]. Aromatase inhibitors also have a significant influence on bone structure in premenopausal patients [71]. Against this background, the ABCSG-12 study (Fig. 4) investigated how the addition of zoledronic acid to an endocrine therapy affected bone health [72]. After three years of treatment, reduced bone density was observed, especially in the group of patients treated with an aromatase inhibitor. Treatment with zoledronic acid was able to prevent this loss of bone density [72].

Even though the benefits of adjuvant endocrine therapy have been consistently described, a significant proportion of patients choose to discontinue the therapy before completion. In addition to patient and tumor characteristics, the occurrence of side effects is one of the most important predictors for early discontinuation of a therapy [73, 74, 75].

Current therapy recommendations

Current therapy recommendations are based on the individual patient’s risk of recurrence (Fig. 5) [46]. Accordingly, patients at low risk of recurrence should be treated with tamoxifen therapy alone, while patients at increased risk of recurrence should be treated with either tamoxifen + OFS or with aromatase inhibitors + OFS. Prognostic assessment is not described in more detail in the guidelines and treatment recommendations [15].

Determining the Prognosis

Assessing the prognosis in HR pos./HER2 neg. premenopausal patients is complex. As shown in Fig. 6, the choice of therapies is determined by the prognostic parameters. These have an influence on ovarian function, which in turn has an influence on prognosis. Against this background, therapy studies that investigate prognosis and choice of therapy are of particular importance, especially for premenopausal patients.

Therapy studies conducted over the past 15 years have been characterized by the attempt to administer adjuvant chemotherapy to as few patients as possible when no clear benefit can be expected. While chemotherapy in TNBC and HER2-positive patients forms an integral part of the adjuvant therapy [15], it must be assumed that overtreatment occurs in a large proportion of HR pos./HER2 neg. patients. An initial evaluation of the neoadjuvant studies showed that pCR can be achieved in less than 10% of cases in HR pos./HER2 neg. patients compared to over 30% in TNBC and over 40% in HER2-positive tumors [76].

New insights regarding premenopausal patients with HR pos./HER2 neg. breast cancer were expected in the context of studies with a design that integrated multigene testing to determine prognosis when deciding for or against chemotherapy [11, 13, 77, 78, 79]. In these studies, adjuvant endocrine therapy was performed as standard in all women. Whether or not they received adjuvant chemotherapy depended on which study arm they were randomized to. While in postmenopausal randomized patients, these studies were able to conclude that chemotherapy can be omitted, in premenopausal randomized patients, there was a significant benefit to the addition of chemotherapy. However, this must be considered in the context of the endocrine therapy used.

The latest analysis of the RXponder study (node-positive population) has been presented, with a median follow-up period of 6.1 years. It confirmed the previously identified benefits of chemotherapy for premenopausal HR pos./HER2 neg. patients. Both recurrence-free survival (HR = 0.64; 95% CI: 0.47–0.87) and distant metastasis-free survival (HR = 0.66; 95% CI: 0.45–0.97) were improved by chemotherapy [80]. In the chemotherapy arm, approximately 75% of women no longer had menstrual bleeding in the first 6 months after randomization (GnRH therapy performed in 3–6% of patients). In the study arm with endocrine therapy alone, the amenorrhea rate was 50% (GnRH therapy performed in 14–16% of patients). Although this aspect was not formally analyzed, there appears to be no difference between patients with endocrine therapy alone vs. treatment with chemotherapy, especially in patients in whom menstrual bleeding continued. In patients whose menstrual bleeding had stopped, there appeared to be a slight benefit in the group of patients receiving chemotherapy (Fig. 7).

The long-term survival analysis of the TailorX study (node-negative population; median follow-up of 11 years) also showed that chemotherapy was beneficial in terms of invasive disease-free survival, especially in patients aged under 50 with a recurrence score of 21–25. The absolute improvement in the 12-year invasive

### Adjuvant endocrine therapy in premenopausal patients (years 1–5)

<table>
<thead>
<tr>
<th>Therapy Type</th>
<th>Oxford</th>
<th>AGO</th>
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<tbody>
<tr>
<td>Low recurrence risk:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Tamoxifen for 5 years</td>
<td>1a</td>
<td>A ++</td>
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<tr>
<td>Increased recurrence risk:</td>
<td></td>
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<tr>
<td>– OFS for 5 years</td>
<td>1a</td>
<td>A ++</td>
</tr>
<tr>
<td>– OFS + Al for 5 years</td>
<td>1a</td>
<td>A ++</td>
</tr>
<tr>
<td>GnRHa monotherapy (If severe contraindications for tamoxifen exist. Compared to no therapy)</td>
<td>1a</td>
<td>B +</td>
</tr>
</tbody>
</table>

OFS: ovarian function suppression;
* As long as tolerated and the patient is clearly premenopausal after chemotherapy if ovarian function resumes within 24 months.

The addition of chemotherapy in the trials served as surrogate for high recurrence risk.

* Al only in combination with OFS in premenopausal patients.
disease-free survival (iDFS) rate was 7.4 percent (improvement from 75% to 82.4%) [82]. This difference was greater if, in addition to a recurrence score of 21–25, there was also a clinically high risk of recurrence (absolute improvement in iDFS of 11.7%). In the patients with a low clinical risk of recurrence and a recurrence score of 21–25, there was still a 5.9% benefit from chemotherapy [82]. The reason for this observation is unclear. However, if this effect is due to the effect of chemotherapy on ovarian function in the form of primary ovarian insufficiency (see ▶ Fig. 6), it is necessary to investigate whether OFS can be performed in premenopausal patients in order to spare them chemotherapy.

How to identify the group of premenopausal patients in whom chemotherapy can be omitted without worsening the prognosis remains a question for future studies. Until then, chemotherapy must be indicated in this group depending on the patient and disease characteristics. In this context it may be helpful to determine the patient’s response to endocrine therapy by carrying out a short preoperative course of this therapy, analogous to the procedure in the ADAPT studies. All future studies should record menopausal status over time and in detail in order to better investigate the effect of chemotherapy on ovarian function and prognosis.

Therapeutic Preferences – Variability in Practice

As already discussed, in terms of adjuvant endocrine therapy there are no clear data regarding the level of recurrence risk at which premenopausal patients should be given a GnRH analog or therapy with aromatase inhibitors (+GnRH analog). In this context, the

▶ Fig. 6 Interrelationship between prognosis, chemotherapy, antihormone therapy, and ovarian function with regard to the prognosis for premenopausal patients with early stage breast cancer.

treatment choices that are made have been the subject of international studies.

**International comparison of therapeutic preferences**

An illuminating example is the monarchE study, which primarily investigated the addition of abemaciclib to a standard endocrine therapy for HR pos./HER2 neg. tumors with a high risk of recurrence. The study included breast cancer patients with more than 3 affected lymph nodes, or with 1–3 affected lymph nodes plus additional risk factors. For cases with 1–3 affected lymph nodes, a tumor grade of 3 or a tumor of at least 5 cm in size was also required [83]. The monarchE study is interesting, in part because the standard endocrine therapy could be freely chosen. The guidelines and therapy recommendations on the use of aromatase inhibitors or the addition of GnRH analogs are based on the risk of recurrence [46, 47]. However, the level of increased risk that would require therapy with GnRH or with aromatase inhibitors is not defined in the guidelines. Thus, in the monarchE study, the frequency with which the different therapies are selected sheds light on how the risk is assessed across different countries (Fig. 8) [84]. While in countries such as China, Italy, the USA, Australia, and Mexico the majority of premenopausal patients are treated with aromatase inhibitors (> 50–90%), in countries such as Germany, France, Taiwan, Korea, Japan, and Denmark, the rate of aromatase inhibitor use, at approximately 20%, is much lower in this patient population at increased risk of recurrence.

**CLEAR-B**

Accordingly, the evidence presented to date for the use of endocrine therapies in premenopausal patients is not sufficient. Gaining a better understanding with regard to the prognostic parameters that should be considered, the indication for chemotherapy, and the choice of antihormone therapy is of great clinical relevance. There are gaps in healthcare research data on which parameters influence the choice of therapy. In addition, it is possible that in different risk constellations (see Fig. 6), various therapy decisions have a different effect on prognosis. There are hardly any data available on this question either. Especially in view of the introduction of CDK 4/6 inhibitors in the adjuvant therapy setting [85, 86], knowing the correct prognosis is particularly important. The CLEAR-B study (https://clear-b.de/; AGO-B-059, Fig. 9) was set up in order to generate extensive data on this question. In this project, the treatment of approximately 3000 premenopausal patients will be documented in 75 certified breast cancer centers in order to investigate their care and its prognostic effects. The results will provide a better understanding of therapeutic practice in Germany.

**Outlook**

In recent years, the introduction of prognostic models, a better understanding of known biomarkers, the use of endocrine induction therapy, and the implementation of multigene testing have made it easier to assess the risk of recurrence in a more differentiated manner and adapt therapies to individual patients. Especially in premenopausal patients, the various therapeutic options (chemotherapy vs. no chemotherapy, aromatase inhibitors vs. tamoxifen, GnRH analog vs. no GnRH analog) have long-term effects on quality of life. Also, the effects of widespread routine use of abemaciclib on long-term quality of life in the adjuvant therapy setting have not yet been assessed. One of the most important tasks in the coming years will be to achieve a better assignment of risk level to the best possible therapy combination. Adjuvant endocrine treatment of hormone receptor-positive patients is associated with high requirements in terms of patient care.
and support. It is especially important to achieve the right balance between side effects and therapeutic benefit, because a significant number of these patients discontinue their therapy before completion. A better knowledge of the treatment situation could help to optimize the support currently available to patients. Current studies such as CLEAR-B will provide useful information in this regard.

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