Clinical Studies with Traditional Chinese Medicine in the Past Decade and Future Research and Development

Miao Jiang¹, Jing Yang¹, Chi Zhang¹, Baoyan Liu¹, Kelvin Chan², Hongxin Cao¹, Aiping Lu¹

¹ Disease and TCM Pattern Correlation Research Department, China Academy of Chinese Medical Sciences, Beijing, People’s Republic of China
² Herbal Medicine Research and Education Center, The University of Sydney, and CompleMED University of Western Sydney, Sydney, NSW, Australia

Abstract

Traditional Chinese medicine (TCM) is currently considered a complementary or alternative medical system in most Western countries and has been increasingly accepted worldwide. More and more clinical trials on TCM have been conducted internationally, and scientists worldwide are becoming increasingly interested in the evaluation of clinical efficacy of TCM based on clinical trials.

This paper reviews the situation of clinical trials on TCM in the past decade, including systematic reviews about clinical trials either focusing on the treatment of disease with TCM approaches or focusing on one herbal product, conduction of clinical trials on TCM either with randomization and controlled methods or general observation.

Some general issues on the conduct of clinical trials on TCM, such as randomization, control, quality of life (QOL), patient reported outcomes (PROs) and biomarkers, quality control, safety evaluation and case studies, are discussed, and accordingly some suggestions are proposed.

Abbreviations

- ADRs: adverse drug reactions
- BCS: best case series
- CAM: complementary and alternative medicine
- CHICTR: Chinese clinical trial registry
- CHM: Chinese herbal medicine
- ChQOL: Chinese medicine-based QOL
- CMM: Chinese materia medica
- DCQD: Da Cheng Qi decoction
- DCT: Ding Chuan Tang
- DJW: Duhuo Jisheng Wan
- EBM: evidence-based medicine
- FDA: US Food and Drug Administration
- GI: gastrointestinal
- HCMV: human cytomegalovirus
- HET: Hochu-ekki-to
- HJT: He Jie Tang
- HRQOL: health-related QOL
- JQH: Jianpi Qingre Huayu recipe
- JYBDG: Jinye Baidu granule
- LCQ: Leicester cough questionnaire
- NCI: National Cancer Institute
- OCCAM: Office of Cancer Complementary and Alternative Medicine
- PMS: premenstrual syndrome
- PROs: patient reported outcomes
- PTSD: post-traumatic stress disorder
- QOL: quality of life
- RCTs: randomized controlled trials
- SAP: severe acute pancreatitis
- SOP: standardized operating procedures
- SRs: systematic reviews
- SWT: Si Wu Tang
- SXL: Shengxueling
- TBIL: total bilirubin
- TCMM: Chinese materia medica
- TCM: traditional Chinese medicine
- TDT: trilogy detoxicating therapy
- TwHF: Tripterygium wilfordii Hook F
- TZYGD: Tiaozhi Yanggan decoction
- YSC: Yishen capsule

Introduction

Traditional Chinese medicine (TCM) is an essential part of the health care system in several Asian countries, and is considered a complementary or alternative medical system in most Western countries. It is a holistic approach to health that attempts to bring the body, mind, and spirit into harmony.

Currently, TCM has been increasingly accepted worldwide. A widespread use of TCM in the treatment of multiple diseases as well as in exploring
the mechanism of Chinese herbal medicines and acupuncture treatment has produced a massive literature in both clinical and experimental fields.

In the past decade, since the first systematic review of TCM clinical research was published in 2002 [1], more clinical trials have also been published internationally, and this has consequently increased the number of systematic reviews (SRs) and meta-analyses of TCM clinical trials.

Fig. 1 shows the number of citations searched from PubMed on TCM clinical trials from 1999 to 2009. The figure reached its peak in 2004, but has declined gradually since 2005 probably due to tightened and more stringent requirements for clinical trials. This, however, appears to increase both the level and the quality of papers published.

Following the principle of evidence-based medicine, concrete evidence is needed to evaluate the efficacy of TCM [2]. Randomized controlled trials (RCTs) are regarded as a golden clinical trial design. Since 2002, more and well-designed RCTs have been conducted worldwide to evaluate the efficacy of TCM.

Fig. 2 shows that the number of papers on the use of RCT on TCM in PubMed has been increasing since 2002. These clinical trials have provided numerous and relatively higher quality data for the evaluation of TCM efficacy. At present, scientists worldwide are becoming increasingly interested in the use of RCTs for TCM, with more projects being initiated to obtain further evidence on the efficacy and safety of TCM.

In China, with the strong support for and strict regulation of TCM clinical trials from governmental authorities, the researchers have paid more attention to the quality. It has been estimated that there are more than 50 TCM clinical trials ongoing that are sponsored by the National Key Technology R & D Program in China [http://www.most.gov.cn/eng/programmes1/200610/t20061009_36224.htm].

This paper is intended to review the clinical trials of TCM in the past decade and to provide references to TCM clinical trials and efficacy evaluation.

**Systematic Reviews of TCM Clinical Trials**

It was common for journals to publish literature reviews in which experts summarized topical information for the readers. Today, systematic reviews (SRs) tend to replace traditional narrative reviews and expert commentaries, as a more reliable and valid way of summarizing research evidence [3]. SRs may or may not include a statistical synthesis called meta-analysis, depending on whether the studies are similar enough so that combining their results is meaningful [4]. With the development of evidence-based medicine, the Cochrane Collaboration was founded in 1993, and has become one of the best-known organizations that provides an international infrastructure for conducting, maintaining, and updating SRs to evaluate the effectiveness of health care interventions. However, because the SRs overseen by the Cochrane Collaboration are not comprehensive, many journals also publish SRs on relevant topics besides narrative literature reviews.

In the era of evidence-based medicine, Chinese scholars began to advocate developing SRs of TCM [5]. Since 1999, there has been an increasing number of SRs/meta-analyses of TCM. And there is an upward trend in reviews produced by Chinese reviewers. The themes of these SRs are often around medicinal products (single herbal medicines or Chinese proprietary medicines) and diseases.

With the increase in the number of SRs, some Western scholars are now concerned about the quality of TCM reviews and trials [6] as not all published SRs were produced with meticulous care; therefore, their conclusions may sometimes be misleading, and interrogating published reports by asking a series of questions can uncover deficiencies [3]. In this review, we are concerned about the object identity, those relating to the disease, the type and number of included trials, the number of patients, methodology of SRs and meta-analyses.

**Systematic reviews focused on diseases**

Many SRs on diseases have been conducted to evaluate the effectiveness of TCM. We applied a rigorous and systematic methodology to evaluate the validity of these SRs, which would, not only reveal problems in applying such methodology to TCM efficacy evaluation, but also serve as an impetus for quality improvement in TCM clinical trials.

**Methods and results:** We searched PubMed and the Cochrane Library to retrieve all SRs of TCM published in Chinese or English from 1999 to 2009. According to the results of the abstracts, we chose MEDLINE to get the full text because it is the National Library of Medicine’s premier bibliographic database. Most of the high quality studies in TCM from many countries are indexed in Medline. The search strategy for the PubMed was an “OR” combination of the terms “traditional Chinese medicine”, “Chinese herbal medicine “, and “Chinese medicine”. All the search results were then
## Table 1  Systematic reviews on diseases treated with TCM in the past 10 years in PubMed.

<table>
<thead>
<tr>
<th>Diseases</th>
<th>Published year (year limited of literatures)</th>
<th>Study no. included</th>
<th>Intervention</th>
<th>Main conclusion</th>
<th>Insufficiency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acute upper respiratory tract infection [7]</td>
<td>2009</td>
<td>13</td>
<td>TCM treatment group vs. control group</td>
<td>Effective without adverse reactions</td>
<td>Lack of placebo-controlled and blank-controlled studies</td>
</tr>
<tr>
<td>Influenza [21]</td>
<td>2006</td>
<td>8</td>
<td>TCM treatment vs. antiviral or antipyretic-analgesic drugs or the combination of them</td>
<td>No summary meta-analysis and no conclusion</td>
<td>Low quality</td>
</tr>
<tr>
<td>Severe acute respiratory syndrome [8, 22]</td>
<td>2005</td>
<td>8</td>
<td>Integrative traditional Chinese and Western medicine</td>
<td>No affirmative conclusion</td>
<td>Low quality</td>
</tr>
<tr>
<td>Type 2 diabetes mellitus [23]</td>
<td>2004 (~2004.4)</td>
<td>66</td>
<td>Chinese herbal medicines vs. placebo, pharmacological or non-pharmacological interventions</td>
<td>Some herbas have hypoglycemic effects</td>
<td>Low methodological quality, small sample size, and limited number of trials</td>
</tr>
<tr>
<td>Rheumatoid arthritis [35]</td>
<td>2009 (2000–2007.6)</td>
<td>20</td>
<td>Herbal medicinal products vs. inert (placebo) or active controls treatments</td>
<td>Neither recommend nor discourage herbal use</td>
<td>Lack of evidence of effectiveness</td>
</tr>
<tr>
<td>Ischemic stroke [24]</td>
<td>2007</td>
<td>191</td>
<td>Chinese patent medicine vs. control medicine</td>
<td>No affirmative conclusion</td>
<td>Poor methodology quality</td>
</tr>
<tr>
<td>Chronic prostatitis [26]</td>
<td>2006 (1994.1–2003.12)</td>
<td>19</td>
<td>Chinese herbs orally treated, Chinese herbs externally treated, Chinese herbs orally and externally treated, and integrated Western with Chinese herbs treated vs. Western medicine</td>
<td>Failed to prove the effectiveness of TCM</td>
<td>Low quality, positive publication bias</td>
</tr>
<tr>
<td>Dysfunctional uterine bleeding [9]</td>
<td>2009</td>
<td>4</td>
<td>CHM vs. no treatment, placebo, conventional Western medicine, or general nonspecific surgical treatment</td>
<td>Comparative effectiveness with CWM</td>
<td>Low quality, few RCTs</td>
</tr>
<tr>
<td>Primary dysmenorrhea [10]</td>
<td>2008 (1950–2007)</td>
<td>39</td>
<td>CHM vs. placebo, no treatment, and other treatment</td>
<td>Effective</td>
<td>Low methodological quality, small sample size</td>
</tr>
<tr>
<td>Schizophrenia [11]</td>
<td>2005 (~2004)</td>
<td>7</td>
<td>CHM used alone or combined with antipsychotic drugs vs. placebo/no treatment or antipsychotic drugs</td>
<td>Effectiveness combined with antipsychotics</td>
<td>Few RCTs, need further study</td>
</tr>
<tr>
<td>Dementia [12]</td>
<td>2009 (~2007.2)</td>
<td>13</td>
<td>Herbal medicine with placebo, no intervention or other therapy</td>
<td>Effective and safe</td>
<td></td>
</tr>
<tr>
<td>Alzheimer disease [27]</td>
<td>2007 (1994–2004)</td>
<td>40</td>
<td>TCM vs. Western medicine</td>
<td>The external validity would be compromised</td>
<td>Low quality, heterogeneity</td>
</tr>
<tr>
<td>Mild Cognitive Impairment [13]</td>
<td>2008</td>
<td>10</td>
<td>Orally administered CHM vs. placebo, no intervention or other therapy</td>
<td>Effective without no severe adverse event</td>
<td>Methodological and data reporting issues</td>
</tr>
<tr>
<td>Parkinson’s disease [28]</td>
<td>2005 (1979–2000)</td>
<td>15</td>
<td></td>
<td>Methodological design should be strengthened</td>
<td>Some problems of key links concerning samples’ homogeneity, outcome indexes selection, conclusion deduction</td>
</tr>
<tr>
<td>Epilepsy [36]</td>
<td>2009 (1950–2007)</td>
<td>5</td>
<td>TCM vs. no intervention, placebo or single Western medicine (monotherapy)</td>
<td>Failure in proving the effectiveness of TCM</td>
<td>Poor methodological quality and a high probability of selection, detection and performance bias, few RCT</td>
</tr>
<tr>
<td>Attention deficit hyperactivity disorders [14]</td>
<td>2009</td>
<td>34</td>
<td>TCM vs. methylphenidate</td>
<td>Effectiveness</td>
<td>Lack of high-quality clinical trials</td>
</tr>
<tr>
<td>Vitiligo [29]</td>
<td>2008 (~2007.8)</td>
<td>3</td>
<td>TCM vs. conventional biomedical treatment</td>
<td>No meta-analysis summary and no conclusion</td>
<td>Varying and poorly described treatments, small treatment group size, and inconsistent outcome measures</td>
</tr>
</tbody>
</table>
limited to the reports of “review” and “meta-analysis” published between 1999 and Sept. 2009. We also searched The Cochrane Library for SRs of TCM clinical trials, and then hand searched the above combined search results. After training in the use of Cochrane analysis, two authors (Jing Yang and Miao Jiang) collected information of these SRs separately. Discrepancies were settled by a third party, one of the principal investigators (Aiping Lu). The information included the general characteristics of SRs, treated clinical problem, their results and their author’ conclusions, pattern classification, efficacy of treatment and safety assessment. The basic information of SRs is as follows (Table 1).

The first TCM SRs were published in early 2002. The number of SRs has increased over the past 10 years. We compared the time trend of reviews labeled as “systematic” in the PubMed database (papers in PubMed are considered to be relatively high-quality papers) and Cochrane SR (Fig. 3), which shows the leap of SRs published. Data on characteristics of SRs was extracted from 31 articles. We identified these reports on the effectiveness and safety assessment.

In total, 29 common diseases were studied in 31 SRs of TCM. All trials in SRs were controlled. The interventions used in the treatment and control groups varied drastically. Altogether, 703 randomized controlled trials were included. Ten SRs were Cochrane reviews. But 16 did not assess the quality of included randomized trials and a further 22 performed only simple and non-standard designed trials.

Table 1  Systematic reviews on diseases treated with TCM in the past 10 years in PubMed. (continued)

<table>
<thead>
<tr>
<th>Diseases</th>
<th>Published year (year limited of literatures)</th>
<th>Study no. included</th>
<th>Intervention</th>
<th>Main conclusion</th>
<th>Insufficiency</th>
<th>Insufficiency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Premenstrual syndrome [30]</td>
<td>2009 (1950.1–2007.12)</td>
<td>2</td>
<td>TCM vs. control treatment</td>
<td>No final conclusion</td>
<td>Lack of more and well-controlled, large trials</td>
<td></td>
</tr>
<tr>
<td>Endometriosis [15]</td>
<td>2009 (~2009.4)</td>
<td>2</td>
<td>CHM versus placebo, biomedical treatment, another CHM intervention, or CHM plus biomedical treatment versus biomedical treatment</td>
<td>Effective with fewer side effects</td>
<td>More rigorous research</td>
<td></td>
</tr>
<tr>
<td>Ectopic pregnancy [31]</td>
<td>2007 (~2006.3)</td>
<td>2</td>
<td>CHM combined with western medicine vs. CHM or WM</td>
<td>No affirmative conclusion</td>
<td>Poor methodological quality with a high risk of conflicted interest and potential for bias</td>
<td></td>
</tr>
<tr>
<td>Hyperthyroidism [32]</td>
<td>2007</td>
<td>13</td>
<td>Chinese herbal medicines alone vs. Chinese herbal medicines combined with antithyroid drugs, radioiodine or both</td>
<td>Have therapeutic potential but no affirmative conclusion</td>
<td>Low methodological quality</td>
<td></td>
</tr>
<tr>
<td>Poststroke motor dysfunction</td>
<td>2009 (~2007.12)</td>
<td>34</td>
<td>Complex Traditional Chinese Medicine included at least acupuncture and Chinese herbal medicine</td>
<td>No meta-analysis summary and no final conclusion</td>
<td>Strong publication bias, clinical and methodological heterogeneity, inadequately designed trials</td>
<td></td>
</tr>
<tr>
<td>Nasopharyngeal carcinoma [16]</td>
<td>2007 (1966–2007.11)</td>
<td>18</td>
<td>TCM combined with conventional cancer therapy vs. conventional cancer therapy alone</td>
<td>Effective as a concomitant therapy</td>
<td>Lack of more rigorously controlled trials</td>
<td></td>
</tr>
<tr>
<td>Esophageal cancer [33]</td>
<td>2007 (~2004.6)</td>
<td>2</td>
<td>CHM plus radiotherapy or chemotherapy vs. radiotherapy or chemotherapy</td>
<td>No final conclusion and more high-quality trials are needed</td>
<td>Low quality</td>
<td></td>
</tr>
<tr>
<td>Unresectable hepatocellular carcinoma [17]</td>
<td>2007</td>
<td>37</td>
<td>TCM plus transcatheter arterial chemoembolization (TACE) vs. TACE alone</td>
<td>Effective</td>
<td>Methodological quality, lack of large-scale trial</td>
<td></td>
</tr>
<tr>
<td>Cancer pain [18]</td>
<td>2007 (1986–2006)</td>
<td>41</td>
<td>CHM vs. conventional analgesics and the others using placebo controls</td>
<td>Effective</td>
<td>Low quality, lack of more research using rigorously controlled clinical trial design</td>
<td></td>
</tr>
<tr>
<td>Chronic hepatitis B [19, 20]</td>
<td>2008</td>
<td>7</td>
<td>Lamivudine combined with TCM vs. lamivudine</td>
<td>Effective for increasing the HBeAg conversion rate</td>
<td>Lack of being more specific to the types of trials</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2002</td>
<td>27</td>
<td>TCM or TCM combined with interferon alfa vs. interferon alfa</td>
<td>Effective for increasing seroreversion of HBsAg, HBeAg, and HBV DNA</td>
<td>Low quality</td>
<td></td>
</tr>
<tr>
<td>Helicobacter pylori infection [34]</td>
<td>2009</td>
<td>6</td>
<td>TCM with proton pump inhibitor or colloidal bismuth subcitrate-based triple therapy</td>
<td>No meta-analysis summary; did not show effectiveness in H. pylori treatment</td>
<td>Low quality, clinical heterogeneity and substantial statistical heterogeneity</td>
<td></td>
</tr>
</tbody>
</table>
The following 12 diseases were concluded to be supportive of TCM efficacy in the reviews: acute upper respiratory tract infection [7], severe acute respiratory syndrome [8], dysmenorrhea [9], primary dysmenorrhea [10], schizophrenia [11], dementia [12], mild cognitive impairment [13], attention deficit hyperactivity disorders [14], endometriosis [15], nasopharyngeal carcinoma [16], unresectable hepatocellular carcinoma [17], cancer pain [18] and chronic hepatitis B [19,20]. The interventions in these trials mostly integrated traditional Chinese and Western medicine.

Furthermore, some SRs could not give a final conclusion, such as on influenza [21], severe acute respiratory syndrome [8,22], type 2 diabetes mellitus [23], ischemic stroke [24], acute pancreatitis [25], chronic prostatitis [26], Alzheimer’s disease [27], Parkinson’s disease [28], vitiligo [29], premenstrual syndrome [30], ectopic pregnancy [31], hyperthyroidism [32], esophageal cancer [33], and Helicobacter pylori infection [34]. And for rheumatoid arthritis, neither recommendation nor discouragement is offered for herbal application [35]. In fact, these results are closely related to the quality of research. Although the methodological quality of TCM trials has been much improved over the years, there are still many problems. For example, the method of randomization was often inappropriately described; only a few studies had sample sizes over 200; or effectiveness was rarely quantitatively expressed and reported. Over half did not report data on baseline characteristics or on side effects. Most SRs claimed that they could not give strong supporting conclusions, indicating that publication bias may be common. Funnel plots of the 31 SRs in the treatment of diseases confirmed the selective publication of positive trials, suggesting that TCM may not be more effective than the control treatments. Since then, the number of patients and so forth, but not too much emphasis put on report quality according to tools such as Sack’s Quality Assessment Checklist.

Our aim is to review TCM clinical studies in the past 10 years and provide references for further clinical studies. SRs can provide certain information and it is not until very recently that SRs and/or methods of TCM were initiated by a few researchers and participants to join in the project at the beginning, and the financial support was insufficient at that time. In the past decade, especially in the last 7 years, more funding has been secured for scientists to carry out SRs for the evaluation of the efficacy of TCM in the treatment and prevention of diseases. The quality of reporting SR/meta-analyses has also improved in recent papers. The reporting of Cochrane reviews is peer-reviewed and more recognized in comparison with the paper-based articles. However, there is still room for improvement for the quality of reporting.
using more suitable approaches towards research methods, besides the Cochrane Reviews and paper-based articles. Because of the unique characteristics of TCM, SRs for TCM efficacy evaluation should focus on a specific subgroup of patients with a specific disease, or a specific herbal preparation with clinically-proven effectiveness. It is also important to address the labeling of the herbal preparation and pay attention to the clarification of intervention and efficacy evaluation. So far, meta-analysis is still a tool for developing recommendations for clinical practice. However, it does have limitations that must be recognized, as the common limitations include bias in the selection of the studies, lack of quality assessment of individual studies, absence of evaluation of heterogeneity among studies (meta-analysis cannot provide satisfactory analyses unless the individual studies are both homogeneous and of sound methodology, this point is very important for TCM studies) and publication bias. Most of the methodological defects of TCM meta-analyses could be supplied if well designed, and meta-analysts should improve the quality of the methodology to maintain the impact on health care. Thus we strongly recommend both improving the quality of the individual clinical trial and paying more attention to the procedures of meta-analyses on clinical trials in TCM.

### Table 2: Meta analysis of Chinese herbal medicines treating related diseases over 10 years in PubMed.

<table>
<thead>
<tr>
<th>Herbal medicine</th>
<th>Year limited of literatures</th>
<th>Studies No. included</th>
<th>Study drugs</th>
<th>Indication</th>
<th>Main conclusion</th>
<th>Insufficiency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dan Shen [48, 44]</td>
<td>1998–2007</td>
<td>150</td>
<td>DanShen vs. other therapy</td>
<td>Ischemic vascular disease</td>
<td>Only 10 RCTs were identified with high quality. The quality of DanShen RCT in main China has not been improved significantly over recent years</td>
<td>Low quality</td>
</tr>
<tr>
<td>Tong Xin Luo [45]</td>
<td>1995–2005</td>
<td>18</td>
<td>Tongxinluo capsule only or standard treatment plus tongsinluo capsule vs. standard treatment or other anti-angina pectoris drugs, placebo or no intervention</td>
<td>Unstable angina pectoris</td>
<td>No affirmative conclusion</td>
<td>Lack of high-quality randomized controlled trials</td>
</tr>
<tr>
<td>Puerarin injection [38]</td>
<td>1995–2004</td>
<td>20</td>
<td>Puerarin injection vs. western drugs or placebo; puerarin injection used with western drugs vs. western drugs alone</td>
<td>Unstable angina</td>
<td>Effectiveness in addition to conventional treatments</td>
<td>Low methodological quality, lack of more randomized controlled trials</td>
</tr>
<tr>
<td>Compound salvia pellet [39]</td>
<td>1994–2004</td>
<td>27</td>
<td>Compound salvia pellet vs. nitrates</td>
<td>Stable angina pectoris</td>
<td>Effective on the improvement of angina symptoms and ECG results with few adverse events</td>
<td>Low methodological quality, lack of some outcome measures</td>
</tr>
<tr>
<td>Shengmai [46]</td>
<td>1966–2005</td>
<td>19</td>
<td>Shengmai + usual treatment versus usual treatment alone</td>
<td>Heart failure</td>
<td>No affirmative conclusion</td>
<td>Low methodological quality, lack of long-term and high-quality studies</td>
</tr>
<tr>
<td>Maxing Shigan Decoction [41]</td>
<td>1994–2008</td>
<td>8</td>
<td>MXSGD + Western medicine vs. Western medicine</td>
<td>Pneumonia</td>
<td>Effective on improving clinical symptoms and increasing the total effective rate</td>
<td>Lack of high quality, multicenter and randomized controlled trials with large-scale design</td>
</tr>
<tr>
<td>Aidi Injection [42]</td>
<td>1980–2008</td>
<td>14</td>
<td>Aidi Injection + other treatment vs. other treatment</td>
<td>Non-small cell lung cancer</td>
<td>Effective as adjuvant therapeutic</td>
<td>Small sample size, low quality, publication bias, lack of large multicenter randomized controlled trials</td>
</tr>
<tr>
<td>Modified xiao Yao San [43]</td>
<td>1978–2003</td>
<td>14</td>
<td>Modified xiao Yao San or modified xiao Yao San + prokinetic drugs vs. prokinetic drugs</td>
<td>Functional dyspepsia</td>
<td>Appears to be more effective compared to prokinetic drugs</td>
<td>Low methodological quality, publication bias</td>
</tr>
<tr>
<td>Kidney-tonifying and blood-activating prescription [47]</td>
<td>1978–2003</td>
<td>35</td>
<td>Kidney-tonifying and blood-activating prescription vs. Qianliekang or finasteride; kidney-tonifying and blood-activating prescription + surgical treatment vs. surgical treatment</td>
<td>Benign prostatic hyperplasia</td>
<td>No affirmative conclusion</td>
<td>Lack of massive, multicenter and randomized controlled clinical trial</td>
</tr>
</tbody>
</table>
Some SRs supported the efficacy of Chinese herbal medicine applications, but few applied rigorous inclusion criteria. Numerous formulas for their treatments and a variety of evaluation criteria as important reasons for limited available comparative evidence do not support one TCM over another for patients. The extent of heterogeneity in many of these RCTs prevented meaningful meta-analysis.

Qualified support for TCM was originally reported in 7 out of 31 SRs. Although many TCM clinical studies carried out in the past 10 years, especially in China, there were relatively few patients in the randomized, double-blinded controlled studies. Only six reviews with more than 200 patients in randomized, double-blind controlled studies had good evidence of benefit. Despite all this, SRs have overstated the effectiveness by including studies likely to be biased. They provided no robust evidence that TCM works for any indication.

Studies with low methodological quality in which the estimate of quality is incorporated into the meta-analyses can alter the interpretation of the benefit of intervention, whether a scale or component approach is used in the assessment of trial quality. Currently, there are only a few outcomes with adequately supported evidence. More well-conducted, high-quality TCM studies are needed to produce strong evidence for clinical application with good efficacy. At the same time, the methodology and reporting quality are poor, particularly in both SRs and meta-analysis of TCM published in Chinese in the paper-based journals in China. We should respect the need for a high-quality of SRs and meta-analyses, and conduct more quality clinical trials for further SRs and meta-analyses in TCM.

SRs with high quality in TCM efficacy evaluation are needed but they will only be produced through the concerted efforts of clinicians, TCM practitioners and methodologists. The authors suggest that advanced methodological training is necessary for reviewers and financial support is also needed for future systematic reviewing of TCM clinical trials.

In summary, more quality clinical trials of TCM are needed for collecting more evidence to support TCM clinical application.

**Randomized Controlled Trials of TCM**

More and more RCTs of TCM have been published in China and abroad in the last decade. They were on a variety of diseases, including 10 types of diseases with TCM pattern classification and 36 kinds of diseases without TCM pattern differentiation. Most of the results in these RCTs show that TCM is effective in the treatment of diseases or in the alleviation of symptoms, and some state that TCM is not effective or its efficacy is not confirmed. Pattern differentiation of diseases is an essential TCM characteristic and more RCTs on diseases with TCM pattern differentiation were published in recent years (Fig. 4). Fig. 4 indicates an overall increasing trend in RCTs of TCM with pattern classification published from 2002 to 2009. The figures reached a peak in 2009.

The RCTs on rheumatoid arthritis studies [49–51] classified three TCM patterns: cold, heat and deficiency patterns. These studies showed that TCM treatment based on pattern classification did affect the efficacy with more symptom relief among the patients. For example, in Shi’s study [52], the total effective rate of Zengse pill combined with Western medicine in treating vitiligo patients identified as TCM Qi-stagnancy and blood-stasis pattern (syndrome) was markedly higher than that in the patients treated with Western medicine, and the results showed the therapy can increase the CD4 positive cell and the levels of serum C3 and C4. In a study on impaired glucose tolerance [53], the results showed that giving general knowledge combined with Tang No. 1 granule could be effective for the patient with the Pi-Wei dampness-heat pattern. In a study about the effect of Shugan Jianpi granule in the treatment of irritable bowel syndrome with TCM stagnated Gan-qi attacking Pi syndrome type [54], the results showed that Shugan Jianpi granule could regulate the level of 5-HT, and its effect could be enhanced when used in combination with Smecta. On the background of aspirin therapy, Quyu Xiaooban capsules used to treat the patients with unstable angina pectoris with TCM phlegm and a blood stasis pattern significantly attenuated anginal attacks, and improved TCM symptoms and signs [55]. A study on TCM pattern differentiations of post-traumatic stress disorder (PTSD) [56] showed that the most likely TCM patterns for PTSD were heart Shen disturbance, with secondary patterns identified as TCM liver Qi stagnation with liver overacting on spleen/stomach, liver fire, phlegm fire, phlegm-damp, and heart fire and con-
stitional deficiencies in the heart, kidney, and spleen organ systems. Liu’s study found that Kangxin capsule can effectively improve the symptom of patients with vascular dementia, with TCM senile kidney deficiency and a blood stagnation pattern and the average value of the curative effect index of patients was higher and did not demonstrate any obvious toxic side effect [57]. In a study of gastric ulcer patients with TCM Pi insufficiency and stasis of heat pattern, the curative rate and markedly effective rate in Jianpi Qingre Huayu recipe (JQH) treatment was higher than that in ranitidine therapy, and the abnormal numbers of CD3+, CD4+, CD8+ cells was restored to the normal range after JQH treatment and the IL-8 level in gastric mucosa was improved [58]. Yang and coworkers’ study on evaluation by survival analysis on the effect of TCM in treating children with respiratory syncytial viral pneumonia with TCM phlegm-heat blocking lung pattern demonstrated that the three curative parameters including comprehensive efficacy, post-treatment main symptoms score improvement and survival analysis of the main symptoms were improved in the TCM treatment [59]. In an RCT on treatment of bronchial asthma with TCM Qi-deficiency and cold pattern by taking Pingchuan Yiqi granule and Ruyi Dingchuan pill [60], the clinically controlled rate, markedly effective rate, improved rate and ineffective rate between the Pingchuan Yiqi granule group and Ruyi Dingchuan pill group showed an insignificant difference, and showed that both TCM preparations were effective in the treatment.

RCTs focused on disease without TCM pattern classification
There are two kinds of RCTs on diseases without TCM pattern classification: alleviation of symptoms of diseases and on the treatment of diseases. In the past decade, there were about 31 reports on TCM RCTs, including 25 with positive results, 2 with negative results and 4 with non-confirmative results. As to the RCTs on the alleviation of symptoms, the related symptom alleviations were specifically focused in the study. A study showed that Duhuo Jisheng Wan (DJW) had similar effects in the symptoms alleviation compared to the effects of diclofenac in walking pain, standing pain and stiffness, as well as Lequesne’s functional index after 4 weeks of treatment, and the differences were that DJW had a slow action [61]. Two RCTs on acute heroin withdrawal symptoms showed that two TCM therapies including Jinniu capsule and Tai-Kang-Ning could significantly reduce withdrawal symptoms [62,63]. In a premenstrual syndrome (PMS) study with placebo control [64], the results showed that TCM had a positive effect on the alleviation of symptoms after three months of treatment. In the treatment of cough in an uncomplicated upper respiratory tract infection RCT study, the results demonstrated that there was no overall statistical significance in the differences of cough score and LCQ (Lequesne cough questionnaire) between intervention and placebo groups although both groups had improved in scores [65]. As to the RCTs specific to disease treatment with TCM approaches, the therapeutic effect on the diseases was generally focused. One RA study showed that though there was no significant difference in ACR20 between *Ganoderma lucidum*, San Miao San combined antiinflammatory therapy (biomedicines) and antiinflammatory therapy; TCM therapies could remarkably reduce the pain score and diverse effects [66]. The other RCT on RA with an extract of *Tripterygium wilfordii* Hook F (TwHF) as the treatment showed that the attainment of ACR 20 response criteria was significantly greater with TwHF extract than with sulfasalazine in patients who continued treatment for 24 weeks and could also use stable oral prednisone and nonsteroidal anti-inflammatory drugs; moreover patients receiving TwHF also had significantly higher response rates for ACR 50 and ACR 70 in mixed-model analyses [67,68]. In an RCT on unstable angina [68], the results showed that the TCM treatment could decrease the serum TC and LDL-C levels, though it did not change the TG and HDL-C levels. In an RCT about the treatment of patients suffering from nonalcoholic fatty liver with *Tiaozi Yanggan Decoction* (TZYGD) [69], the total effective rate of TZYGD was significantly higher than in the control, and more improvements on the symptoms, signs, liver function, blood lipids and iconographic indices were obtained in the TZYGD treated patients. In Jiang’s study of Jinye Baidu granule (JYBGD) on fetal growth and development with maternal active human cytomegalovirus (HCMV) infection with a placebo as the control [70], the results showed that JYBGD could produce improvements in the negative conversion rate of both HCMV-IgM and HCMV late mRNA, the positive rate of HCMV-DNA in the placenta and the intrauterine transmission rate, and also reduce the number of infants being born handicapped. An RCT on severe acute pancreatitis (SAP) indicated that Da Cheng Qi Decoction (DCQD) could lower the intra-cystic pressure [71]. In an RCT of chronic hepatitis B, the results showed that He Jie Tang (HJT) could remarkably regulate the unbalanced immune state, and HJT could have a better therapeutic effect if combined with biomedicine therapy [72]. A stratified random sampling trial on hepatitis B virus-related liver cirrhosis showed that TCM therapy could reduce the total bilirubin (TBL) level and the prothrombin time and increase the fibrinogen level [73]. In an RCT on Shehuang paste navel sticking treating patients with refractory cirrhotic ascites [74], the results showed that the total effective rate of the therapy could reach 84.4%, and the therapy could reduce the blood flow of the portal vein and splenic vein, contents of endotoxin. An RCT on esophageal carcinoma showed that Fuzheng Yiliu granules could improve the erythrocyte immune adherent function when used together with radiotherapy comparing to only radiotherapy application, and suggested the potential role of Fuzheng Yiliu granules in the suppression of invasion and metastasis of malignant cells [75]. The other randomized, double-blind, placebo-controlled study of nasopharyngeal carcinoma demonstrated that Yunzhi-Danshen can exert an immunomodulating effect to lessen the decreases in percentage and absolute count of T lymphocytes during radiotherapy in nasopharyngeal carcinoma patients [76]. A prospective controlled study on esophageal carcinoma after esophagectomy showed that TCM therapy and TCM therapy combined with biomedical chemotherapy could increase the 1-year, 2-year, 3-year survival rates and improve the quality of life [77]. In an RCT on non-small cell lung cancer, the results showed that the TCM therapy used alone or combination with biomedical therapy could improve the quality of life of tumor patients [78]. Two randomized placebo-controlled clinical trials of asthma showed that symptom scores with asthma were significantly improved with Ding Chuan Tang (DCT) intervention, and DCT could improve the airway hyperresponsiveness [79,80]. In an atopic dermatitis study, the results showed that TCM-treated patients had improved children’s dermatology life quality index, and could use lower amounts of topical corticosteroid [81]. One RCT on systemic lupus erythematosus reported that TCM therapy for detoxification, removing stasis, and nourishing yin can effectively regulate the levels of fat metabolism to prevent and treat the SLE patients with corticosteroid-induced hyperlipemia [82]. In an RCT with...
shengxueling (SXL) treatment in the patients with refractory idiopathic thrombocytopenic purpura comparison, the results showed SXL had better efficacy and furthermore SXL demonstrated an immediate effect which was obviously superior to that of ampicotide elements with less adverse effects [83]. An RCT on plaque-type psoriasis treated with TCM demonstrated that the topical application of indigo naturalis ointment could modulate the proliferation and differentiation of keratinocytes in the epidermis, as well as inhibit the infiltration of T lymphocytes and therefore the subsequent inflammatory reactions in psoriatic lesions [84]. Some RCTs showed negative results or non-confirmed results with TCM therapy. In an RCT on Si Wu Tang (SWT) in the treatment of primary dysmenorrhea, the results showed that SWT did not give a significant benefit to the patients [85]. In the other RCT, the results showed that SWT therapy had no statistically significant improvement in reducing menstrual pain intensity of primary dysmenorrhea at the end of treatment [86]. In an RCT on influenza, the results showed Hochu-ekki-to (HET) did not increase antibody titer after influenza vaccination in man compared to placebo treatment and no adjuvant effects of HET could be found [87]. A study of treatment of diarrhea-predominant irritable bowel syndrome with TC therapy showed that there was no significant difference in the proportion of patients with global symptom improvement between the TCM and placebo groups at week 8 and at week 16 [88]. An RCT on Chinese herbal medicine (CHM) for reduction of chemotherapy-induced toxicity on breast or colon cancer showed the incidences of grade 3–4 anemia, leukopenia, neutropenia, and thrombocytopenia for the CHM and placebo groups were not significantly different, and CHM does not reduce the hematological toxicity associated with chemotherapy. CHM, however, did have a significant impact on the control of nausea [89]. Like the authors in some RCT reports with negative and non-confirmed results, we strongly suggest the need for a larger scale study to determine whether the TCM remedy has a role in the treatment of the disease, and furthermore with right selection of patients and herbal preparations.

General clinical observations

General clinical observations, utilized as retrospective clinical studies, are still common in TCM clinical practice; and there are more reports in TCM clinical studies with general clinical observations instead of application of RCT designed clinical studies. In Chinese journals related to TCM, many reports were found in this category of clinical studies; however, in the database of PubMed, only 11 clinical observations on TCM treating diseases were found, including 10 with positive results and 1 with a negative result. A study on the effect of Yishen capsule (YSC) in preventing the recurrence of chronic glomerulonephritis demonstrated that YSC had marked effects in decreasing urinary protein [90]. A clinical trial on trilogy detoxicating therapy (TDT) combined with a routine biomedical approach on patients with chronic renal failure showed that TDT had a therapeutic effect [91]. In a clinical observation on the treatment of colon cancer with a combination of chemotherapy and Chinese herbal preparation (Zhao’s Weitiao herbal mixture), the data showed that the effect of ZW3 was better in clinical symptom improvement, quality of life improvement, body weight and integral benefit and survival rate [92]. A pilot clinical trial demonstrated that Xilei-san suppository can significantly improve the mean clinical activity index and the endoscopic index of the patients with refractory ulcerative proctitis on the basis of conventional suppositories [93]. In an open-label study of twenty outpatients diagnosed with borderline personality disorder (BPD), patients treated with Yi-gan San showed a statistically significant reduction on self-rated and clinician-rated scales, and the results suggested that Yi-gan San might be effective for the treatment of a number of BPD symptoms, including low mood, impulsivity, and aggression [94]. A study of 59 patients with age-related mild cognitive impairment of the non-Alzheimer type treated with Ginkgo biloba extract showed that the SF–12 mental score of the patients had increased significantly and about half of all patients experienced an improvement in their memory and their ability to concentrate, as well as a decrease in symptoms of forgetfulness [95]. A study of SWT treating dysmenorrhea indicated a decrease in menstrual pain levels and the duration of pain [96]. A trial of Weicao capsule in treating gout showed that the total effective rate was 87% in Weicao capsule treatment, which was superior to that in a Tongfengding capsule group [97]. A clinical observation on YiSuiShengXue granule treating 156 patients with beta-thalassemia indicated that the granule had a total effective rate of 92.9% and produced no obvious adverse reactions [98]. A study on hemophilia showed that the short-term markedly effective rate in the patients treated with Xueyou mixture was up to 95.38% [99]. Although the clinical observations are not well designed, they can provide preliminary data and recommendations for further clinical application.

Summary and comments

Pattern differentiation is specific to TCM. RCTs should be conducted based on TCM pattern differentiation and modern disease classifications. Increasing number of RCTs of TCM has been designed with TCM pattern differentiation; however, the quality of the RCTs is far from being satisfactory. Most RCTs of TCM with pattern differentiation were of low quality. More multicenter and long-term trials are needed for verifying the efficacy of TCM in the treatment of diseases with pattern differentiation. The results in the RCT on rheumatoid arthritis showed that symptoms combination could coincide with TCM pattern differentiation [1–3]. Also there are some TCM patterns differentiated in a specified disease, and it might be more effective to conduct the RCT which involves not only one single TCM pattern but more TCM patterns treated with corresponding TCM therapies. In the future, RCTs of TCM with pattern classification should be launched in order to observe treatment effects of one or a few medicines for different syndromes of a disease. Another tendency in TCM RCTs is that an increasing number of scientists use integrative approaches (TCM combined with Western medicine) as intervention and apply system biology as a mechanism explanation approaches.

In RCTs on diseases with TCM therapy and without TCM pattern differentiation in the disease, some questions should be addressed. To assess the efficacy of inclusion of TCM in treatment, the stratification of patients with the TCM pattern should be the key issue in conducting RCTs for TCM therapy. Also in some specific diseases, TCM therapy might be helpful in alleviation of the symptoms. This field should be addressed with TCM pattern differentiation when TCM is included as part of the treatment. Owing to the diversity of TCM compositions, choosing the right herbal preparation becomes another important issue in the TCM-related RCT. We recommend taking the primarily proved herbal preparations in the efficacy evaluation. Although most re-
sults in the RCTs are positive, the quality of most of the RCTs is low.

General clinical observations, although with not well-designed protocols, could provide some data to support future RCTs or recommendations for clinical application. The authors suggest that RCTs of TCM could be better conducted in collaboration with TCM doctors who have full experience in general clinical observation.

**General Issues and Suggestions**

The history of clinical trials can be dated back to approximately 600 B.C. [100], and various types of clinical trials have been developed ever since. Clinical trials can be classified by the aspects of intervention that investigators want to explore, the way in which the participants are exposed to the intervention, the number of participants included in the study, whether the investigators and participants know which intervention is being assessed, and whether the preference of non-randomized individuals and participants has been taken into account in the design of the study [101–103].

In the hierarchy of evidence that influences health care policy and practice, RCTs are considered as the top individual unit of research and the most reliable form of scientific evidence, as they might eliminate the spurious causality and bias [101]. RCTs involve the random allocation of different interventions (treatments or conditions) to subjects. As long as the number of subjects is sufficient, randomization is an effective method for balancing confounding factors between different interventional groups [102].

TCM has a long history but its efficacy is not as well documented as one would hope. Proof of efficacy has to come from empirical researches, i.e., clinical trials, prospective experiments assessing the effects of medical interventions. In TCM, an increasing number of RCTs are being conducted. Generally, the design and reporting of clinical trials of TCM should follow the same guidelines as those for any other such research. However, there are still some general issues in TCM clinical trials which should be addressed.

**Randomization**

The methods of allocation in studies described as randomized are poorly and infrequently reported [103,104], as illustrated in a study of 206 RCTs, although randomization was included as one of the main design features necessary to prevent bias, it was poorly reported [105].

As a result, it is not possible to determine, on most occasions, whether the investigators used proper methods to generate random sequences of allocation [103]. In reviewing an initial sample of 37,313 articles from the China National Knowledge Infrastructure electronic database, reports of RCTs were searched on 20 common diseases published from January of 1994 to June of 2005. RCTs published in some Chinese journals lacked an adequate description of randomization, and similarly, most so-called “randomized controlled trials” were not real randomized controlled trials owing to a lack of adequate understanding on the part of the authors of the stringent clinical trial design [106].

**Inclusion of control groups**

In an RCT, the importance of having a control group cannot be overstated. To have a proper design of the control group is one of the key factors in an RCT for TCM therapy. A review of 66 RCTs with Chinese herbal medicines for type 2 diabetes mellitus in July 2005 showed that a variety of control groups was used, including placebo, positive and waiting-list control groups [107].

However, extant control strategies may not be appropriate for RCTs of TCM. In many RCTs of TCM, a positive control, which employs a conventional medicine with strong evidence of efficacy, is used widely to confirm the efficacy of TCM treatment. Most results concluded by such kinds of RCTs proved that conventional drugs had better efficacy than TCM when a single disease or symptom was employed as the evaluation criteria. However, the effect based on a TCM pattern was not publicly regarded and validated, as the outcome measures lack adequate accounting for multiple statistical tests and evidence. Furthermore, conventional drugs always have more definite mechanisms with a single target and treatment indication, but TCM acts in a more complicated, network-like way so that its indication is often made up of a group of symptoms/patterns rather than a single disease or symptom. Thus the efficacy measurement between the two kinds of medicines is difficult to be noted for the validity.

Approved or well-used Chinese medicines are also included as control groups in certain trials. In such cases, both therapeutic regimens had complex active mechanisms. Nevertheless, even if the indication of the two regimens proved to be the same pattern in TCM, different Chinese medicines might have diverse biological parameters/targets, which would have influenced the comparing measurement of efficacy and lead to false assessment. It is difficult to design a perfect placebo on account of the special taste and appearance of the Chinese herbal medicines. The blindness would be revealed ahead of trial schedule by the improper placebo. Also the ethical requirements would limit the usage of a placebo in many cases.

In summary, different control groups may be appropriate according to the study objectives, and several factors should be considered prior to selecting control groups in future RCTs of Chinese herbal medicines [107]: (i) control groups for RCTs should be selected according to study objectives; (ii) interventions as positive control groups should have the strongest evidence of efficacy with prescriptions as recommended by TCM practitioners, and act in similar ways as the test intervention; (iii) placebo should bear the physical characteristics of tested medications as closely as possible and be completely inert; (iv) no treatment control groups should be used when withholding treatment is ethical and objective outcomes will not be subject to bias due to absent blinding; and (v) registration through the Chinese Clinical Trial Registry (CHICTR) and getting open access to information about ongoing and completed trials will help in designing the proper control strategies.

**Innovative control group strategies**

Innovative control group strategies which would meet the characteristics of RCTs in TCM are still needed, with the interdisciplinary support of data from medicine, pharmacology, pathology, pharmacokinetics, pharmaceutics, and other appropriate information of the products.

**TCM pattern classification**

Utilizing a diagnostic approach in TCM, pattern classification is applied to stratify the patients and make more suitable indications for inclusion criteria in RCTs. However, one of the most important problems which decreases the acceptance of RCTs in TCM...
is the absence of standard TCM diagnosis criteria during patient recruitment.

In some RCT designs, patients with the same disease were recruited and received TCM or conventional treatment, respectively, but the information for TCM pattern classification was ignored. In these studies, conventional treatment tends to produce a better curative effect than TCM, the RCT failed to evaluate the real efficacy of TCM.

If the patients were given different TCM treatments according to their pattern diagnosis in TCM, the results may show that it is efficacious, but to generalize the success of treatment efficacy is difficult unless the trial is sufficiently large to allow subgroup analyses. On the other hand, if patients with the same TCM pattern diagnosis can be recruited from those with a particular disease in conventional medicine in a trial, the same TCM treatment can be evaluated, and generalization about the therapy would be valid, but eligible patients available for such a study could be small in number and difficult to recruit.

Increasing numbers of medical researchers recognize that the combination of disease diagnosis in biomedicine and pattern classification in TCM is essential for the clinical practice when TCM is included as part of the treatment alongside conventional medical approaches, and it has been a common practice model in China since it may produce a better clinical effect [108]. Therefore, defining TCM pattern classification becomes the underlying issue of TCM RCTs. Before an RCT is conducted, there should be standard criteria for pattern classification of the targeted disease with the support of acceptable data and evidence.

Since pattern classification is mainly based on symptoms (including self-reported signs), tongue and pulse diagnosis, it is difficult to be understood by Western medicine researchers. The symptoms, tongue, and pulse diagnosis are often diversified. Some of them, not closely related to the disease diagnostic parameters, are less focused upon by modern medicine, such as thirst and turbid urine and yellow tongue fur in some diseases [109]. Although symptoms are diversified in a disease, they can be clustered into specific groups with biostatistical approaches, even though traditionally they are classified into groups based on TCM theory and clinical experiences. A multicenter RCT study has shown that among 8 common symptoms of RA, 5 are related to the joint, and 3 are not related to the joint. Symptoms clusters in 396 patients could be classified into 4 factors (symptom combinations) with factor analysis. The symptom combinations are very similar to the patterns differentiated by TCM theory in RA patients, which divides RA into three basic patterns: cold, heat and deficiency patterns [51].

TCM patterns in a disease, such as RA, might have biological basis in the view of biomedical sciences. The gene profile differences between the cold and heat patterns of RA patients might mainly refer to the amino acid and fat metabolism [110]. Another research on chronic gastritis shows that the TCM symptom groups in chronic gastritis was positively correlated with gastric mucosal immune reactions [111].

Based on the TCM pattern classification, the severe cartilage erosion in the later stages of RA patients was regarded as the blood stasis and deficiency pattern in TCM. It was proven that blood stasis and deficiency patterns in TCM were related to blood rheology and immune regulation [112]. Thus, it is reasonable to make the hypothesis about positive relationships among IgA, platelet, and cartilage erosion. The changes in the peripheral IgA level and platelet number positively correlated with the grade of cartilage damage in active RA patients which supported the hypothesis [113, 114].

Thus the application of TCM pattern classification would also be helpful to find the innovative therapeutic methods. More importantly, pattern classification will help improve the clinical efficacy in clinical practice since it further specifies the indication with TCM classification. A clinical research shows that better effective treatment rate could be achieved from the RA patients with some specific symptoms and the results suggest that RA patients should be treated differently based on their pattern differentiation [51].

Above all, pattern classification based on TCM information such as symptoms is important for further stratification of disease, which will help improve the clinical practice in the treatment of disease with further specified indication of the therapy and the validity of RCTs in TCM. The idea of integrating pattern classification with disease diagnosis might be helpful in making innovations in modern clinical research, not only for TCM studies [2].

Quality of Life (QOL), Patient Reported Outcomes (PROs) and Biomarkers

It is acceptable that quality of life (QOL) indicators are often used as secondary outcome indicators in many clinical studies. However, the efficacy of TCM on QOL has not been particularly evident in these studies. There is a general impression among TCM practitioners that the currently available QOL instruments may not be sensitive enough to detect the health changes that are regarded as important in treatment using TCM. For example, in TCM diagnosis and treatment regimes, appetite and digestion, routine of urination and stools, facial and lip color, luster in the eyes and adaptation to climates and seasons are very important indicators of health status. However, these indicators are usually not included in common health-related QOL (HRQOL) measures. Through the development of Chinese medicine-based QOL (ChQOL) [115] we demonstrated this evidence-based approach using patient-reported outcomes or HRQOL measures can be utilized to evaluate treatment efficacy of Chinese medicine, and thereby build a bridge for the integration of Chinese medicine into mainstream health care [116].

Patient-reported outcomes (PROs), generated from QOL instruments administered to patients before and after treatment intervention, refer to self-reported outcomes in the context of health care, and include any report generated directly from the person or persons involved. PROs include not only health status and quality of life but also report on the satisfaction with the treatment and care, the adherence to prescribed regimens when directly related to end-result outcomes, and any other treatment or outcome evaluation obtained directly from the patients through interviews, self-completed questionnaires, diaries or other data collection tools, such as hand-held devices and web-based forms [117].

PROs and HRQOL are self-reported outcomes in the context of health care. They are essential endpoints in any clinical trial in which the patient’s self-report is the primary or sole indicator of the disease activity; as well as when the treatment has a small impact on survival but may have a significant impact, positive or negative, on HRQOL; the treatment may adversely affect a patient’s ability to function and their well-being; the treatment aims to offer equal clinical efficacy but differential PRO benefits; and treatment-related decisions are based on a combination of
objective and patient-reported subjective parameters [118]. The Food and Drug Administration (FDA) proposed the term “patient-reported outcomes” to represent these types of patient-based assessments in the regulatory review process [119]. In fact, PRO is not new in CM practice. The PRO concept is actually similar to one of the four diagnostic methods in TCM: “interrogation”, which has been used since the beginning of TCM, and is a very effective diagnostic and evaluation method in TCM.

The fact that the FDA adopts the inclusion of PROs in clinical trial studies of new drug development may indicate indirectly that the diagnostic and evaluation methods used in TCM can be used as efficacy measurement indices in addition to RCT approaches. Another useful direction of using PROs could be that pragmatic PRO studies will also provide theoretical and practical insights into the usefulness of the TCM theory and TCM-based therapies. In debates about modernization of TCM in the past century, some people felt that it was possible to abandon the TCM theory but retain Chinese materia medica (CMM) for development of new medicines. History shows that all these attempts ended with little success. TCM theory and CMM are integral parts of Chinese medical practice. Without TCM theory for diagnosis and treatment, the CMM will lose direction in its applications. CM theories are very crucial in ensuring the proper application, in terms of efficacy and safety, of CMM in treating diseases and saving lives [116]. The mere phytochemical separation of CMM in the hope of discovering new chemical entities has not produced many compounds leading to the production of new pharmaceuticals [120].

Treatment-related decisions are based on a combination of objective and subjective parameters as well as PROs. Monitoring endogenous biomarkers in relation to healthy and disease conditions is an objective measurement. Biomarkers are molecular biological or physical characteristics that are used to identify risk for disease, and also to diagnose and treat a disease. Cholesterol, blood sugar, proteins, and genes are some examples of biomarkers. Monitoring clinical biomarkers is an emerging strategy in the future development of conventional medicine to formulate individualized or stratified medicine [121]. We demonstrated that, after strict product quality control before commencement of clinical studies, it was feasible to adopt a protocol including administration of an HRQOL instrument such as ChQOL to monitor PROs and with the measurement of objective biomarkers such as insulin-growth hormone factor 1 (IGF-1) and homocysteine before and after administration of TCM products in patients with allergic rhinitis [122], developing metabolic syndrome [123], suffering from hepatitis B, [124] and in healthy volunteers [125]. Although efficacy was shown, these RCT studies included only small number of subjects. But the results encourage further development of the products for multiple-center trials or submission for preregistration of the products to regulatory agencies. Nevertheless the principles of adopting both objective (monitoring biomarkers and PROs) and subjective parameters (data recorded by practitioners) in assessment efficacy of TCM treatment should be encouraged for future approaches.

Quality control
Most RCT studies of TCM have more methodological limitations than conventional medicine according to some reports [126], although increasing attention has been paid to quality control. Many differences exist between clinical trials of TCM and conventional medicine [127]. To confirm the patient’s compliance to TCM treatment is a critical problem especially in the West because of the absence of Chinese cultural influence on the patient population. TCM treatment requires a long cycle in clinical trials, because herbal medicine apparently is milder in action and takes a relatively long time to manifest itself clinically. This means that trials must involve larger patient numbers and longer study periods than those of conventional medicine. It is likely that the dropout rate would increase, and may affect the final statistical analysis and the credibility of the trial. It is also difficult for a Western physician to fully understand and fulfill a clinical trial design in TCM. In a large sampled, multicentral RCT, it is challenging to organize a large-scale clinical research team.

In addition to these general difficulties, clinical trials of TCM encounter a range of problems that are not unique to TCM but, in terms of their size, represent more specific obstacles to research [127]. Many RCTs are limited by small sample sizes or inconsistencies in the TCM preparations studied [126]. In some RCTs, the study populations differed considerably from typical primary care populations, and participants were not always screened to rule out other possible causes of their symptoms. An analysis of data on methodological quality of RCTs from 414 full length articles before 1 January 1997 in the Chinese Journal of Integrated Traditional and Western Medicine showed that only a few studies had sample sizes of 300 subjects or more [6].

Complementary medicine journals likely to publish articles on TCM are associated with a strong positive publication bias [128]. The concern therefore is that, due to a range of factors, bias is more of an issue in TCM than in conventional medicine. In TCM trials, soft and non-validated outcome measures are often employed, e.g., percentage of patients perceiving benefit or patients’ preference. Similarly, multiple outcomes are frequently used without adequately accounting for multiple statistical tests. Finally, surrogate endpoints are frequent and researchers often seem to measure what is measurable rather than what is relevant.

Double blindness as a prerequisite criterion can be difficult to achieve because of the taste, odor or appearance of herbal medicines that could not be distinguished from their respective placebos as exemplified by the numerous “placebo-controlled, double-blind” trials of garlic preparations for cholesterol lowering [129]; due to the body odor caused by garlic, blinding is not a realistic option. Likewise double-blind studies in clinical trials of acupuncture possess a significant problem. In the foresaid analysis, it was found out that blinding was used in only 15% of the trials [6].

Quality control and safety issues of CMM preparations or compositions formulae in the form of PCM should be a prerequisite criterion before commencement of clinical trials due to the variability of compositions and sources of origin of crude materials. Most problems related to RCTs of TCM can be solved. At present, the government in China has increased the funding and technology inputs for clinical research of TCM to establish an effective system of quality control and standardization for the process of RCTs, such as training and communication. For example, the above 50 ongoing research projects supported by the National Key Technology R & D Program carried out RCTs with registration, and the design of every project was optimized by relevant experts, and the whole trials are supervised under a 4-class audit system with the support by an internet management center which can implement real-time data input and transmission. These measures would undoubtedly promote the quality of RCTs in TCM. More worldwide communication and collaboration with multi-field experts will also benefit the quality of RCTs in TCM.
On the whole, we strongly recommend that the TCM clinical trials should be conducted in a well designed and strictly quality controlled way in order to show the efficacy of TCM, though the clinical trials will involve some key characteristics of diagnosis and therapy.

Safety evaluation
Clinical trials are used not only to establish efficacy of an intervention but also to learn its most frequently occurring side effects. So the report and analysis of adverse drug reactions (ADRs) adverse events should be another focus in the outcomes. However, there seemed to be underreported adverse events in some RCTs [126], especially in trials of TCM, as Chinese herbal medicines were always considered as natural and thus safe products. It is important to realize that personal experience is not a reliable basis for the exclusion of uncommon reactions to herbal remedies [130]. On the other hand, ADRs might have some correlation with the efficacy, or sometimes can be a predictive factor for the therapeutic effect in TCM clinical practice. In a multicenter, randomized clinical trial which was conducted on 505 RA patients in China [131], after 12 and 24 weeks of treatment, the efficacy and safety of Western medicine therapy and Chinese medicine therapy were analyzed and compared.

The researchers found that gastrointestinal (GI) ADRs was inversely correlated with efficacy in conventional biomedical therapy in the treatment of RA, and the correlation could not be observed in the RA patients treated with Chinese medicines. Some correlation regularity between ADR development and the medication time can be obtained, with ADRs as the exceptional and inevitable outputs of drug action [132, 133]. There are some researches on the development of a predictive model of the drug responses in complex diseases in order to find a new way of individualized treatment [134]. The results suggest that it could be sensible for clinicians and researchers to pay more attention to ADRs both for side effects and for the prediction of effectiveness.

Case study in TCM
An often-voiced criticism of clinical trials is that such clinical experiments tell us little about progress of individual patients after treatment intervention. In TCM, treatments are often highly individualized. Although the importance of the case study has been widely recognized, the quality of the case reporting in TCM is still unsatisfactory.

As one of the primary methods of knowledge transmission in TCM, the case report played a critical role in the history. It is embedded in Chinese culture with its unique characteristics of focusing on key information for pattern classification without the efficacy evaluation criteria provided, which has resulted in difficulty in acceptance and comprehension by the modern medical system.

In the case report of modern medicine, more emphasis is put on record of the discussion over the diagnosis of complex diseases, or the treatment of rare cases. Every case report/record contains the complete medical record in detail including diagnosis and efficacy evaluation. Presently, most TCM case reports failed to meet the criteria of an optimal case study to provide high-level evidence for follow-up research studies. Therefore, it is of paramount urgency that in TCM practice the procedure for reporting case studies should be standardized and established with a common language that can be communicated with modern conventional medicine for comparison of treatment efficacy and evidence-based discussion.

The current priority is the standardization of clinical terminology and record format, with definitive and adequate information for diagnosis and efficacy evaluation. To encourage the utilization of the case report as a means of an evidence-based approach for assessment of TCM treatment efficacy, further analysis using modern information processing technology of well-kept data in TCM treatment of common diseases will contribute to the optimization of the TCM treatment strategy and promote the development of individualized treatment with TCM.

The quality of case-reporting in TCM can also be improved by taking the strict measure/procedure developed by the National Cancer Institute (NCI) in the USA, whose current key role is committed to funding innovative treatment in cancer research by providing funding via the NCI’s Office of Cancer Complementary and Alternative Medicine (OCCAM) which coordinates the institute’s research programs of complementary and alternative medicine (CAM).

The NCI procedure, known as the NCI Best Case Series (BCS) Program, evaluates data from CAM practitioners that involve the same rigorous scientific methods employed in evaluating treatment with conventional medicine. This procedure provides an independent review of medical records and medical imaging from patients treated with unconventional cancer therapies. Its primary goal is to obtain and review sufficient information to determine if NCI-initiated research on a specific intervention is warranted [http://www.cancer.gov/cam/bestcase_intro.html]. Some criteria also meet the needs of the TCM case report, thus following the BCS program standard can be adopted to the improvement of reporting quality in the TCM case-study reporting. Such an approach can be utilized to provide evidence-base validation for TCM treatment efficacy.

Conclusions and Perspectives

Over the last decade, we have observed increasing numbers of clinical trials on TCM treatment efficacy and we predict that there will be more RCTs with higher quality in the future to meet the needs of modern research and development, and that the quality of RCTs will be improved with the generalized RCT registration, standardization of clinical research, and the promotion of evidence-based medicine (EBM).

TCM pattern classification will be incorporated into research design, producing value-added clinical evidence to prove the efficacy of TCM based on its own diagnostic and treatment principles. The innovative design of an RCT with integrating pattern information and disease diagnosis for group classification will certainly explore more valuable contributions of TCM treatment and can avoid the shortages of some study designs in assessing TCM efficacy that utilize Western medical research methods without discriminating patterns that are unique characteristics and the essence of the TCM diagnosis and treatment theory.

Meta-analysis as a high-class evidence would increase as there will be increasing amounts of high-level, high-quality data to support the systematic review. A leap forward of meta-analysis/systematic reviews on TCM should be expected. Increasing acceptance of Chinese herbal medicine, acupuncture and massage therapy will contribute more to human health care with their involvement in the global health management system. Such an increase in acceptance also offers the research opportunity for wider study of TCM efficacy worldwide.
In the not too distant future, researchers will find a new approach for recording case-study reports to include valuable patient and medical information. Recently in our RCT panel studies of TCM formulated products [122–125] we demonstrated that monitoring biomarkers and PROs/ChQOL before and after intervention with these products can assess efficacy of the TCM products. Both conventional medical and TCM characteristics of the patient/volunteer can be compared to provide data for assessment. These approaches integrate both conventional medical assessment methods and TCM diagnosis and treatment principles in the form of ChQOL/PROs [116].

The opportunity of recording objective and subjective outcome indicators such as biomarkers, PROs and HRQOL, and TCM practitioners’ treatment records, respectively, can also be applied to the evidence-based approaches for assessing TCM treatment efficacy on individual patient cases. Such integrative approaches utilize the superiorities of both modern case reports used in conventional medicine with TCM recording methodology. The evidence level of the case study will be improved and ascertained by applying innovative analysis with modern information processing technologies. These new approaches will be more suitable for case study and may pave the way for ascertaining TCM principles of holistic approaches to diagnosis and treatment of diseases and individualized treatment for patients.

In summary, the worldwide focus on clinical trials in TCM will continue to increase. Researchers both in basic and clinical science will need to consider universally accepted standardized operating procedures (SOP) for clinical assessment of TCM treatment efficacy and in clinical trials of TCM products agreeable in general with TCM principles and acceptable to regulatory agencies. We have given suggestions in several key areas in the above-mentioned sections addressing global acceptance and recognition of both quantity and quality in clinical studies of TCM, development of innovative modifications of the standard design of clinical trials as well as focusing on specific research questions and proposed solution encountered in TCM treatment principles.

Acknowledgements

This research is partly sponsored by the projects from the National Science Foundation of China (Project No. 30825047 and 90709007) and National Eleventh Five Year Support Plan (Project No. 2006BA104A10).

References


Jadad AR, Rennie D. The randomized controlled trial gets a middle-aged checkup. JAMA 1998; 279: 319–320


Lu AP, Ding XR, Chen KJ. Current situation and progress in integrative medicine in China. Chin J Integr Med 2008; 14: 234–240


Jiang M et al. Clinical Studies with... Planta Med 2010; 76: 2048–2064
117 Donald LP. Patient-reported outcomes (PROs): an organizing tool for concepts, measures, and applications. Qual Life Newslett 2003; 31: 1–5


119 Burke L. Acceptable evidence for pharmaceutical advertising and labeling. DIA Workshop on Pharmacoconomics and Quality of Life Labeling and Marketing Claims. New Orleans: Drug Information Association; 2000


122 Chui SH, Shek SL, Fong MY, Szeto YT, Chan K. A panel study to evaluate quality of life assessments in patients suffering from allergic rhinitis after treatment with a Chinese herbal nasal drop. Phytother Res 2010; 24: 609–613


