Velopharyngeal Dysfunction

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Abstract

Velopharyngeal dysfunction (VPD) refers to any situation in which an individual is unable to completely close the nasal airway during speech. The velopharyngeal mechanism is comprised of a complex group of structures that act in unison to control airflow through the nose and mouth by elevation of the soft palate and constriction of both the lateral and posterior pharyngeal walls (►Fig. 1). Any disruption in this mechanism may result in abnormal, poorly intelligible speech. VPD can manifest as hypernasality, nasal emission, decreased vocal intensity, and/or facial grimacing. Moreover, patients who suffer from VPD will frequently develop maladaptive articulations to compensate for their speech difficulties.

Numerous etiologies can be responsible for this failure of normal speech production. Myoneurogenic problems can impair muscle control or affect muscle programming. Anatomic irregularities can present as a tissue deficit, structural problems that affect function, or even mechanical interference preventing normal closure. Mislearning comprises a host of etiologies whereby the patient has developed abnormal usage of the velopharyngeal mechanism despite the absence of other pathology.

Velopharyngeal dysfunction is a carefully chosen term that simply denotes the presence of incomplete velopharyngeal closure without making suggestions as to its cause. Use of this nomenclature has gained increasing favor by experts, replacing the previous designation, velopharyngeal insufficiency (VPI). This helps to avoid confusion, as VPI has been differentially interpreted as denoting insufficiency, incompetence, and inadequacy—terms that may be similar, but are not synonymous and potentially implicate the cause of the dysfunction rather than describe the clinical finding. VPD is seen in roughly 20 to 30% of individuals who have undergone cleft palate repair, and 5 to 10% of patients with a submucous cleft palate (SMCP).

Velopharyngeal Assessment

The assessment of velopharyngeal function is best performed in the setting of a multispecialty team evaluation composed of a speech-language pathologist (SLP), otolaryngologist, prosthodontist, and plastic surgeon. Multiple modalities should be utilized to perform a complete evaluation of the patient. After a thorough review of the patient’s history, the standard workup involves perceptual speech evaluation, followed by video nasendoscopy and speech videofluoroscopy. These studies are then evaluated by a multidisciplinary team of specialists, who can decide on an optimal course for patient management. A treatment plan is developed and may include speech therapy, use of a prosthetic device, and/or surgical intervention. Different surgical options are discussed, including posterior pharyngeal flap, sphincter pharyngoplasty, Furlow palatoplasty, palatal re-repair, and posterior pharyngeal wall augmentation.

Keywords

► velopharyngeal dysfunction
► insufficiency
► cleft palate
► hypernasal speech
will preferentially utilize VNE or SVF or other novel imaging modalities; other institutions use both studies for comprehensive evaluation. Lipira et al. evaluated the relative benefits of videofluoroscopy versus nasoendoscopy and concluded that both studies were best used in tandem to optimally evaluate patients with VPD.

**Perceptual Speech Evaluation**

The initial diagnosis of VPD is made on perceptual speech evaluation (PSE) conducted by a specialized SLP. During this examination, the SLP will perform multiple tests to elicit the etiology of the VPD and determine whether further diagnostic imaging would be beneficial. The diagnosis of velopharyngeal dysfunction (VPD) encompasses a range of structural abnormalities characterized by abnormal sound escape into the nasal cavity. Several compensatory mechanisms can develop to make up for the loss of pressure. One such mechanism is nasal grimace, which is an abnormal constriction of the nostrils during speech production. This phenomenon occurs as a subconscious attempt to block airflow through the nose when nasal emissions occur.

Compensatory or maladaptive misarticulations describe a host of speech production disorders that may have spontaneously developed to compensate for reduced intraoral air pressure. One must always remember, however, that articulation disorders may result from a myriad of differing etiologies unassociated with VPD; it is the job of an SLP experienced in cleft speech abnormalities to parse out articulation disorders from those resulting from a structural abnormality.

Based on the perceptual speech examination, the SLP can establish the presence of VPD and develop suspicions as to its underlying cause. Nevertheless, the specific etiology of the VPD and the degree of nasopharyngeal valve dysfunction can only be determined with anatomic visualization. This is achieved via the modalities of video nasoendoscopy and speech videofluoroscopy.

**Video Nasopharyngeal Endoscopy**

Video nasopharyngeal endoscopy (VNE) is a technique that allows direct visualization of the velopharyngeal mechanism during speech production. In this procedure, an endoscopist inserts a small, flexible nasopharyngoscope into an anesthetized nostril. The scope is passed through the middle meatus of the nose and rests in the posterior nasal passages. Optimal viewing of the soft palate, lateral pharyngeal walls, and posterior pharynx allows the endoscopist to establish an overall assessment of velopharyngeal function.

Once appropriate positioning and visualization has been obtained, an SLP guides the patient through repetition of a standardized speech sample tailored to the patient’s abilities. Each study (composed of both video and audio data) is recorded for later review by the multispecialty group.

VNE evaluation allows the direct visualization of the degree of maximal velopharyngeal closure, the position and function of the levator musculature, length and quality of the soft palate, and the degree of motion of the lateral pharyngeal walls and the posterior pharynx. Moreover, VNE is the best study to establish assessment of an overall closure pattern based on the directional movements of different components of the velopharynx (Fig. 2). An understanding of the pattern of closure and the degree of movement of different musculature will play a critical role in the decision-making process for treatment.

One limitation of this study is the inability to quantitatively measure pertinent anatomic findings, such as gap size. Estimates, however, can be made based on standardized reporting techniques. Younger patients may also have difficulty with cooperating with the speech sample during the examination, as nasopharyngoscopy may be an awkward and uncomfortable procedure even at the hands of experienced endoscopist.
Speech Videofluoroscopy
Multiview speech videofluoroscopy is another modality that provides visualization of the velopharyngeal apparatus during speech production. This procedure is performed as a collaborative effort between a radiologist and an SLP. High-density contrast material is syringe-injected via both nares prior to examination. An SLP then guides the patient through the repetition of a standardized speech sample personalized to the patient’s abilities. This procedure is typically performed in both the lateral and anteroposterior (AP) views and is recorded for subsequent review.

Radiographic studies tend to be better tolerated than VNE, especially among younger patients, and are able to provide some quantitative data regarding velopharyngeal closure. However, patterns of closure are more difficult to assess. SVF also necessitates some exposure to radiation and is limited by the individual’s ability to cooperate.

Classification of Velopharyngeal Dysfunction
The management of VPD differs significantly depending on its etiology, which is a critical factor in decision making and can be classified into several categories. Anatomic causes are most common and are typically associated with a previously repaired cleft palate. Often referred to as velopharyngeal insufficiency, the soft palate may be too short (or “insufficient”) to permit adequate approximation of the velum to the posterior pharynx. The palate may also contain a significant amount of scar tissue, which can shorten the palate and decrease the mobility of the velum. Further, aberrant insertion of the levator veli palatini muscles can inhibit optimal palatal movement. Fistulas anywhere within the palate can lead to abnormal intraoral air escape, and tonsillar hypertrophy or scarring of the posterior tonsillar pillars can also serve as a barrier to normal closure of the velum against the posterior pharyngeal wall.

Neuromuscular etiologies can also result in VPD and are occasionally referred to as *velopharyngeal incompetence*. Childhood apraxia of speech is a motor speech disorder that hinders appropriate coordination of muscle movements for appropriate function. Hypernasality increases with connected speech and is associated with inconsistent articulation errors. Neurologic impairment, congenital abnormalities, or traumatic/iatrogenic injury are among some of the other myoneuronal etiologies that can render the velopharyngeal apparatus “incompetent,” leading to VPD.

Articulation disorders due to mislearning are a frequent source of VPD. Behavioral (rather than structural) etiologies typically present with consistent phoneme-specific nasal emissions or hypernasality rather than the pervasive nonspecific hypernasality present when velopharyngeal closure is incomplete. Nevertheless, almost all patients with anatomic

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**Fig. 2** Velopharyngeal closure patterns are demonstrated. Note that the velum is anterior and the posterior pharyngeal wall is inferior. (A) Coronal: There is significant movement of the velum with less movement of the lateral pharyngeal walls. (B) Sagittal: The lateral pharyngeal walls have excellent motion and provides the predominant source of closure. The velum demonstrates less movement. (C) Circular: Good movement is seen from the velum and lateral walls, resulting in a circular pattern of closure. A Passavant ridge may also contribute to this phenomenon. (D) Bowtie: Closure is primarily due to the velum and possibly a Passavant ridge from the posterior pharynx. Lateral wall movement is poor.
causes of VPD present with compensatory misarticulations to optimize speech production. Differentiating between the two types of misarticulations (mislearning vs compensatory) can be a challenging task for the SLP. Regardless of etiology, most children with VPD will benefit from an appropriate course of speech therapy to optimize their ability to communicate.

Nonsurgical Treatment Options

Prosthetic options exist to aid in the treatment of VPD and may be utilized temporarily or serve as a permanent solution for nonsurgical candidates. Prostheses typically are available in the form of a palatal lift or an obturator. Each device is custom-made for the individual by a maxillofacial prosthetist and is designed to anchor into the maxillary dentition, similar to a retainer. Palatal lifts contain posterior extensions that press upward along the soft palate, physically displacing it superiorly in an attempt to aid velopharyngeal closure. These devices are best utilized in situations of velopharyngeal incompetence, where the palate suffers from hypomobility, poor muscle coordination or paralysis, but has adequate soft tissue length.

Soft palate obturators or speech aid prostheses are more effective in velopharyngeal insufficiency, where the palate has inadequate tissue length. These devices are similar in appearance to obturators, but are designed with additional material that extends beyond the soft tissues to aid in achieving velopharyngeal closure.

Surgical Treatment Options

Patients with a history of previously repaired cleft palate and anatomic findings of VPD are frequently candidates for surgical intervention. Once the decision for surgery has been established, a choice must be made as to which intervention would best fit the needs of the patient. The two most commonly discussed procedures for correction of VPD remain the posterior pharyngeal flap and the sphincter pharyngoplasty. Both procedures work to decrease the size of the residual velopharyngeal port.

More recently, procedures designed to improve palatal closure have gained increasing popularity. The Furlow palatoplasty and palatal re-repair are two techniques performed to either lengthen the palate or otherwise tighten the levator sling. Some authors have also reported a modicum of success with posterior pharyngeal wall augmentation procedures.

Due to the plethora of surgical and nonsurgical options, a multidisciplinary team consisting of a plastic surgeon, speech therapist, otolaryngologist, and maxillofacial prosthodontist is thought to be best equipped for optimal decision making. Surgical procedures can be tailored to the patient’s specific anatomy, as visualized on VNE and SVF studies. Based upon the imaging, a pattern of closure can be determined as well as the size of the defect.

Velopharyngeal closure patterns can be classified as coronal, sagittal, circular, or bowtie (Fig. 2). Surgical management should differ based upon the type of deformity present. Pharyngeal flaps are designed to bring tissue into the central portion of the velopharynx. Therefore, they are best utilized to correct central gaps (sagittal or circular patterns of closure) where good lateral pharyngeal wall motion is visualized on VNE or SVF in the AP dimension. Sphincter pharyngoplasty, on the other hand, brings in tissue laterally toward the center and appears most useful for lateral defects (coronal and bowtie patterns), especially when lateral wall motion is poor. Furlow palatoplasty has shown success primarily in smaller central gaps, especially in circumstances where evidence exists of diastasis of the levator muscle sling (i.e., midline notch on VNE). Posterior pharyngeal augmentation procedures are similarly utilized for very small residual defects. Little consensus exists in regards to the treatment of large “black hole” deformities, which tend to have the poorest results when reconstruction is attempted. Some have noted success with sphincter pharyngoplasty alone or with wide, nearly obstructing pharyngeal flaps. Others have suggested that results are best when palatal lengthening procedures such as Furlow palatoplasty are performed in conjunction with a sphincter pharyngoplasty.

Despite the theories and preferences for reconstruction that have been noted above, little evidence exists suggesting whether pharyngeal flap or sphincter pharyngoplasty is superior to the other. Rather, both procedures appear to have equivalent efficacy when performed by experienced surgeons. In a prospective, randomized trial, the VPI Surgical Trial Group evaluated 97 patients at five international centers who presented with VPD. Individuals were randomized to either of the procedures, which were performed in a standardized fashion by each of the surgeons involved. At 3 months following surgery, pharyngeal flap patients were twice as likely to demonstrate resolution of hypernasality. However, at 12 months, there was no statistically significant difference in outcomes.
Pharyngeal Flap

The primary concept behind the pharyngeal flap is the creation of a static wall of mucosa connecting the soft palate to the posterior pharynx, thereby decreasing airflow through the velopharyngeal port. The nasal airway is preserved through two lateral openings on either side of the flap. The success of the operation depends on adequate mobility of the lateral pharyngeal walls, which should constrict inward during speech production to limit airflow through the nose when producing pressure consonants.

The first pharyngeal flap procedure was introduced by Schoenborn in 1875. Originally inferiorly based, he had converted his technique to a superiorly-based procedure after performing 20 operations by 1886. This procedure was brought to the United States by Padgett, who used a superiorly-based flap for correction of dehisced cleft palate repairs. Variations of the procedure became widely adopted in the 1950s. In 1973, the modern pharyngeal flap was introduced by Hogan, who popularized the idea of lateral port control and discussed coverage of the raw surface of the flap to prevent postoperative contracture. This concept was taken a step further by Shprintzen, who described the creation of flaps that were tailored based on lateral pharyngeal wall excursion. It is now standard dictum that lateral wall motion is critical for effective closure of the lateral pharyngeal ports following pharyngeal flap surgery. Hence, this procedure is thought to be most effective for sagittal or circular closure patterns, with adequate lateral wall motion.

The standard technique for elevation of a superiorly-based pharyngeal flap (Fig. 4) involves division of the soft palate in the midline to aid in visualization of the posterior pharynx. Longitudinal incisions are made in the posterior pharyngeal wall converging into a point along the inferior border. The flap is then elevated at the prevertebral fascia to the level of the first cervical vertebrae. The nasal lining on either side of the soft palate is then released to serve as lining for the undersurface of the pharyngeal flap. The pharyngeal flap is inset into the base of the incised soft palate. Lateral port size is often controlled by placement of red rubber catheters (10–12 French) on either side to maintain adequate airflow outlets. Control of port size is important because an overly obstructing flap will result in hyponasality with excessive mouth breathing and even obstructive sleep apnea; in contrast, a flap that is too narrow will not adequately correct the VPD. The nasal lining is then sutured to the raw surface of the pharyngeal flap. The soft palate is closed at the midline as is the donor site along the posterior pharynx.

Sphincter Pharyngoplasty

The sphincter pharyngoplasty technique serves conceptually as a “speed bump” or extension of the lateral and posterior pharyngeal walls, which helps to close up the size of the velopharyngeal gap, making it easier for the soft palate to achieve closure during dynamic movement. Although the nasal airway remains centrally, it is significantly decreased in size. The success of this procedure hinges upon adequate function of the levator veli palatini muscles, which serve to close the central port during speech production. Lateral wall motion is less important, as the flap brings in tissue on either side.

The procedure was first introduced by Hynes in 1950, who originally described elevation of the salpingopharyngeus muscles and mobilization into a transverse orientation for augmentation of the posterior pharynx. Eventually, he

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**Fig. 4** Technique for pharyngeal flap surgery. (A) The soft palate is divided at the midline and retracted laterally. A superiorly-based flap is then designed along the posterior pharynx (dotted lines). (B) The posterior pharyngeal flap is elevated from inferior-to-superior at the level of the prevertebral fascia. (C) The flap is inset into the nasal mucosa of the soft palate. Laterally, nasal mucosa flaps from the soft palate are elevated to serve as lining for the raw edge of the pharyngeal flap. (D) The nasal mucosa flaps are inset onto the undersurface of the pharyngeal flap. The donor site of the pharyngeal flap has also been closed primarily. (E) The oral mucosa is closed. Note that the pharyngeal flap is not visible after closure is completed.
advocated elevation of more robust flaps, which included the palatopharyngeus muscle, bringing them together in an end-to-end fashion. Several variations of this procedure have since been introduced, including notable techniques by Orticochea and Jackson. A modified version of Hynes' original technique remains one of the most popular variants of the sphincter pharyngoplasty utilized today.

Given the mobilization of the laterally based palatopharyngeus myomucosal flaps into the midline, the sphincter pharyngoplasty should be a favored procedure for correction of coronal or bowtie patterns of closure where lateral pharyngeal wall motion may be poor. Suggestions have also been made that this may be a more physiologic procedure than the pharyngeal flap, and that the sphincter itself may have some dynamic function due to its incorporation of muscle. However, these claims remain largely unproven.

The technique is performed with initial retraction of the uvula to obtain maximal visualization of the posterior pharynx, without division of the soft palate itself (Fig. 5). The posterior tonsillar pillars (incorporating palatopharyngeus muscle and surrounding mucosa) are then incised and elevated superiorly. These superiorly-based flaps are raised as high as possible. A transverse incision is then made across the mucosa of the posterior pharynx, allowing a raw surface for inset of the flaps. The pharyngoplasty flaps can then be sutured end-to-end or overlapped significantly to further tighten the lateral walls and allow additional soft tissue bulk over the posterior pharyngeal wall. Following inset and suture of the flaps, the lateral donor sites are then closed directly.

Furlow Palatoplasty

The Furlow double-opposing Z-plasty repair of the palate was originally proposed as a means of primary cleft palate repair. Its elegant design had the additional benefit of addressing several issues related to subideal speech outcomes after cleft repair. Not only does it offer considerable palatal lengthening, it further corrects the abnormal anterior direction and insertion of the levator veli palatini muscles by repositioning the fibers into a transverse orientation. It is thought that lengthening the palate may allow it to more effectively span and occlude the velopharyngeal gap during speech production. Addressing the position of the muscle favors enhanced palatal mobility and has been shown to yield better velopharyngeal competence. Several studies have shown it to be efficacious as a secondary treatment for VPD resulting from a previously repaired cleft, or as a primary treatment for VPD due to a SMCP. When utilized for the correction of VPD, the Furlow technique has shown greatest success in the correction of smaller postoperative velopharyngeal gaps, which were estimated to be less than 1 cm in depth or demonstrating a small residual gap of 20% or less.

This technique has been compared with pharyngeal flap and sphincter pharyngoplasty, and there is evidence that the Furlow technique may offer superior outcomes in many situations. In general, the Furlow technique is preferred in palates that are kinetic, with evidence of anterior orientation of the levator muscle fibers. It offers a lower risk of obstructive sleep apnea than either the pharyngeal flap or sphincter pharyngoplasty, and has a low rate of oronasal fistulas. This is now the preferred first-line intervention at many institutions, though individual practice varies and evidence for a comprehensive treatment algorithm continues to accumulate.

The secondary Furlow palatoplasty (Fig. 6) is initially performed with identification of the hamuli prior to the injection of local anesthetic. The soft palate is then divided at the midline, typically along a previous scar from initial cleft repair, up to the region of the hard/soft palate junction. Oral Z-plasty incisions are then designed from the hamuli, with the posteriorly-based musculomucosal flap drawn to the posterior edge of the hard palate and the anteriorly-based mucosal flap extending posteriorly toward the divided uvula. The levator muscle is then carefully released from the posterior edge of the hard palate and separated from the nasal mucosa. During this process, the tensor veli palatini attachments are automatically divided and separated from the
levator. After myomucosal flap elevation, the nasal mucosal flap is then elevated on the ipsilateral side. This limb is incised from the base of the uvula to the lateral edge of the exposed levator.

Attention is turned to the opposite side, where an oral mucosal flap is elevated from the base of the uvula to the hamulus, using care to avoid any elevation of muscle. Following this, the final nasal myomucosal flap is developed. The muscle is carefully released from the hard palate and the nasal mucosa is divided, taking care to leave a small cuff of mucosa along the hard palate edge to suture to during closure. Once the dissection has been completed, the nasal Z-plasty flaps are transposed and sutured. The oral flaps are similarly transposed. In the process, the levator musculature is mobilized from an oblique orientation to a transverse dimension, with significant overlap of the muscle on the oral and nasal layers occurring.

**Palatal Re-Repair**

The concept of palatal re-repair has largely been advocated by Sommerlad for the secondary correction of VPD in patients who demonstrated anterior insertion of the levator veli palatini. Utilizing an aggressive intravelar veloplasty approach whereby the velar musculature is radically dissected and retropositioned, the re-repair procedure has been successful in avoiding additional surgical intervention in 80% of cases. This provides an attractive alternative to the Furlow palatoplasty technique and argues for the importance of correction of the abnormal position of the levator. However, the idea of re-repair has garnered less popularity than the Furlow procedure and little confirmatory data are yet available from other institutions documenting similar results.

**Posterior Pharyngeal Wall Augmentation**

Correction of VPD by augmentation of the posterior pharyngeal wall has been attempted intermittently since the late 1800s. Conceptually, augmentation of the posterior pharyngeal wall should bring this structure closer to the velum during maximal closure of the velum, thereby aiding in speech, especially for smaller velopharyngeal defects. Passavant described an unsuccessful attempt to do so utilizing adjacent soft tissues in 1879. Since then, a myriad of other products have been tried in an attempt to optimize speech function. This has included petroleum jelly, paraffin, cartilage, fat and/or fascia, silastic, Teflon, and Proplast. Numerous complications have been documented with such procedures, including infection, exposure, extrusion, migration, and embolism. The results have remained largely unimpressive and the procedure has yet to be accepted as a mainstay of treatment.

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