

# The addition of high magnifying endoscopy improves rates of high confidence optical diagnosis of colorectal polyps

## Authors

Mineo Iwatate<sup>1</sup>, Yasushi Sano<sup>1</sup>, Santa Hattori<sup>1</sup>, Wataru Sano<sup>1</sup>, Noriaki Hasuike<sup>1</sup>, Taro Ikumoto<sup>1</sup>, Masahito Kotaka<sup>1</sup>, Yoshitaka Murakami<sup>2</sup>, David G. Hewett<sup>3</sup>, Roy Soetikno<sup>4</sup>, Tonya Kaltenbach<sup>4</sup>, Takahiro Fujimori<sup>5</sup>

## Institutions

Institutions are listed at the end of article.

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## Corresponding author

**Mineo Iwatate, MD**  
Gastrointestinal Center,  
Endoscopy  
Sano Hospital  
2-5-1 Shimizugaoko  
Tarumi Ward, Kobe  
Hyogo Prefecture 6550031  
Japan  
Fax: 0787850077  
[m.iwatate15@gmail.com](mailto:m.iwatate15@gmail.com)

**Background and study aims:** The real-time optical diagnosis of colorectal polyps with high confidence predictions can achieve high levels of accuracy. Increasing the rates of high confidence optical diagnosis can improve the clinical application of real-time optical diagnosis in routine practice. The primary aim of this prospective study was to evaluate whether high magnifying endoscopy improves the rates of high confidence narrow-band imaging (NBI)-based optical diagnosis for differentiating between neoplastic and non-neoplastic colorectal lesions according to the NBI international colorectal endoscopic (NICE) classification. **Patients and methods:** Consecutive adult patients undergoing colonoscopy with a high magnifying (maximum,  $\times 80$ ) colonoscope between April and August 2012 were recruited. The optical diagnosis for each polyp was evaluated during colonoscopy in two consecutive stages by the same endoscopist, who first used NBI with non-magnifying endoscopy (NBI-NME), then NBI with magnifying endoscopy (NBI-ME). A level of confidence was assigned to each prediction.

**Results:** The analysis included 124 patients (mean age, 56.4 years; male-to-female ratio, 72:52) with 248 polyps smaller than 10 mm. Of the 248 polyps, 210 were 1 to 5 mm in size and 38 were 6 to 9 mm in size; 77 polyps were hyperplastic, 4 were sessile serrated adenomas/polyps, 160 were low grade adenomas, 5 were high grade adenomas, and 2 were deep submucosal invasive carcinomas. The rate of high confidence optical diagnosis when NBI-ME was used was significantly higher than the rate when NBI-NME was used for diminutive (1–5 mm) polyps (92.9% vs 79.5%,  $P < 0.001$ ) and for small (6–9 mm) polyps (94.7% vs 84.2%,  $P = 0.048$ ).

**Conclusion:** High magnifying endoscopy significantly improved the rates of high confidence NBI-based optical diagnosis of diminutive and small colorectal polyps.

Study registration: UMIN 000007608

## Introduction

Optical endoscopic diagnosis with advanced imaging technology allows the real-time evaluation of polyp histology during colonoscopy. This paradigm avoids the unnecessary resection of diminutive ( $\leq 5$  mm) hyperplastic polyps in the rectosigmoid colon and the costly evaluation via formal histopathology of diminutive adenomas, which rarely have malignant potential [1–5]. The American Society for Gastrointestinal Endoscopy (ASGE) has proposed key thresholds in its Preservation and Incorporation of Valuable Endoscopic Innovation (PIVI) statement for the real-time endoscopic assessment of diminutive colorectal polyps: a negative predictive value of 90% or higher and an agreement rate higher than 90% in determining post-polypectomy surveillance intervals [3].

A previous report has indicated that high confidence optical diagnosis with narrow-band imaging (NBI) allows expert endoscopists to reach the PIVI thresholds [2]. However, these thresholds have not been met by community gastroenterologists [6]. It has also been reported that rates of high confidence NBI-based optical diagnosis during real-time colonoscopy without magnification are 75% to 80% [2, 7]. Therefore, increasing the rates of high confidence NBI-based optical diagnosis may improve real-time optical diagnosis in vivo and allow the adoption of this technique in routine clinical practice.

We aimed to evaluate whether high magnifying endoscopy improves the rates of high confidence NBI-based optical diagnosis without magnification for differentiating between neoplastic and non-neoplastic colorectal lesions.

## License terms

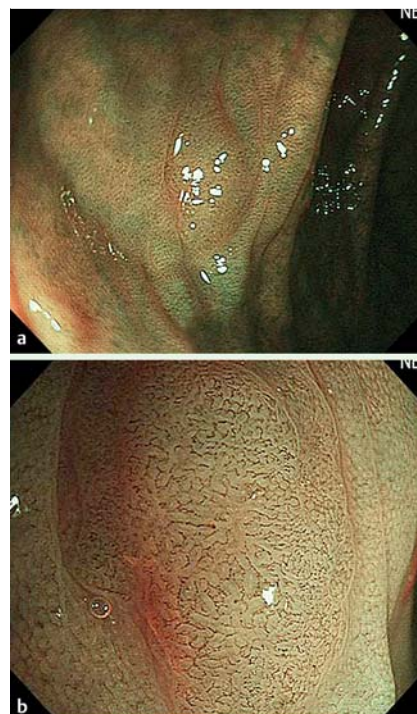


## Patients

Consecutive adult patients younger than 70 years of age who were scheduled to undergo colonoscopy with a magnifying colonoscope between April and August 2012 were eligible for this study. Exclusion criteria were as follows: (1) patients only with polyps 11 mm or larger; (2) patients with multiple (>10) polyps (for ethical reasons, given the longer examination time); (3) patients without polyps or whose polyp histopathology had not been evaluated; (4) patients with poor bowel preparation, melanosis, or a history of inflammatory bowel disease, hereditary polyposis syndrome, or Lynch syndrome. Written informed consent was obtained from all patients before the examination. The study protocol was approved by the institutional review board of Sano Hospital (SH011–2012), Kobe, Japan, and registered with a clinical trial registry (UMIN 000007608).

## Materials and methods

The procedures were done by five endoscopists: two (Y.S. and M.I.) specialists in colonoscopy (SCs) who have extensive experience in magnifying colonoscopy with NBI (>1000 cases) and three (W.S., S.H., and N.H.) general endoscopists (GEs) who have limited experience in magnifying colonoscopy with NBI ( $\leq 1000$



**Fig. 1** Flat-type lesion (0–IIa), 5 mm in size. A flat, light brown lesion, which was classified as NICE 2 (narrow-band imaging international colorectal endoscopic [classification]) with low confidence prediction by NBI-NME (narrow-band imaging with non-magnifying endoscopy) (a) and subsequently as NICE 2 with high confidence prediction by NBI-ME (narrow-band imaging with magnifying endoscopy) (b). High magnifying endoscopy revealed the vascular pattern clearly. Histology revealed a tubular adenoma with low grade dysplasia.



**Fig. 2** Depressed-type lesion (0–IIa+IIc), 8 mm in size. The lesion had a deeply depressed area with a non-traumatic tube whose diameter was 2.5 mm, which was classified as NICE 3 (narrow-band imaging international colorectal endoscopic [classification]) with high confidence by NBI-NME (narrow-band imaging with non-magnifying endoscopy) (a) and NBI-ME (narrow-band imaging with magnifying endoscopy) (b). High magnifying endoscopy revealed the interruption of thick vessels. The endoscopists chose to treat with surgery without endoscopic resection. Histology revealed a moderately differentiated tubular adenocarcinoma invading the deep submucosa (c).



cases) [1]. All five endoscopists were familiar with the NBI international colorectal endoscopic (NICE) classification [7, 8]. Magnifying colonoscopes (H260AZI; maximum, ×80 optical zoom; Olympus, Tokyo, Japan) with LUCERA video processors (Olympus) and high definition monitors were used for all procedures. All polyps detected by white light imaging during colonoscopy were washed intensively and examined in two stages, first by NBI with non-magnifying endoscopy (NBI-NME) and subsequently by NBI with high magnifying endoscopy (NBI-ME). The location, size, and shape (Paris classification) of all polyps were recorded [9]. The size was estimated with biopsy forceps (2.2 mm closed; EndoJaw, Olympus) or polypectomy snare (10 mm open; Dragonare S, Xemex, Tokyo, Japan). The endoscopists were asked to indicate polyp type with the NICE classification (NICE 1, non-neoplastic lesion; NICE 2, adenoma; NICE 3, deep submucosal invasive carcinoma) and assign their level of confidence (high or low) to the prediction (● Fig. 1 and ● Fig. 2) [2, 7]. The endoscopists made a prediction with high confidence when they were 90% certain of the diagnosis [7]. The diagnosis at each stage was recorded by an independent observer, who did not allow the prediction to be changed at subsequent steps. All polyps in the prospective study were resected or biopsied for histopathologic evaluation as the reference standard. Multiple hyperplastic polyps 5 mm or smaller in the rectum and sigmoid colon were biopsied. An experienced gastrointestinal histopathologist (T.F.), who was blinded to the endoscopic diagnosis, classified all specimens according to the World Health Organization classification [10]. Lesions identified histopathologically as adenoma, traditional serrated adenoma, or carcinoma were classified as neoplastic lesions; others, including hyperplastic polyps, were classified as non-neoplastic lesions. We classified sessile serrated adenomas/polyps as non-neoplastic lesions because endoscopic criteria to distinguish sessile serrated adenomas/polyps from hyperplastic polyps or a pathologic gold standard for diagnosis has not been fully established.

Statistical analysis

To detect a significant difference between a high confidence rate of 90% with NBI-ME and an 80% rate with NBI-NME [2, 11], with a two-sided 5% significance level and 80% power with McNemar's test, a sample size of 250 consecutive polyps was required. We used McNemar's test for the paired analysis of categorical variables to compare rates of high confidence optical diagnosis with NBI-ME and rates with NBI-NME. Secondary analysis was performed with the chi-squared test. A two-sided *P* value of less than 0.05 was considered statistically significant. We followed the STROBE (STrengthening the Reporting of OBservational studies in Epidemiology) guidelines in reporting our findings [12].

Results

Of the 329 patients invited to participate, 124 patients with 248 polyps (<10 mm) were analyzed prospectively (● Fig. 3). All participants underwent total colonoscopy to the cecum. The SCs performed 44 colonoscopies and detected 85 polyps; the GEs performed 80 colonoscopies and detected 163 polyps. The polyp detection rates per procedure were 1.9 (85/44) for the SCs and 2.0 (163/80) for the GEs. There was no significant difference between the two groups.

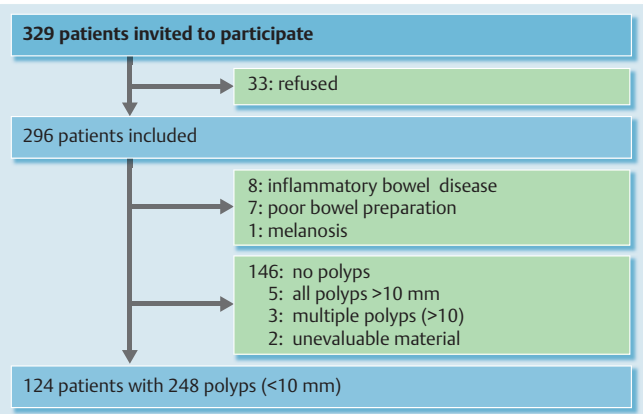


Fig. 3 Patient flow chart.

Clinicopathologic features

The characteristics of the eligible patients and resected polyps are shown in ● Table 1. Of the 248 polyps, 210 were diminutive (≤5 mm) polyps and 38 were small (6–9 mm) polyps. The macroscopic shapes (Paris classification) of the lesions were 80 protruded (0–Is, Ip), 166 flat (0–IIa), and 2 depressed (0–IIc). Histopathologically, 77 were hyperplastic polyps, 4 were sessile serrated adenomas/polyps, 160 were low grade adenomas, 5 were high grade adenomas, and 2 were deep submucosal invasive carcinomas. Both of the deep submucosal invasive carcinomas were depressed, and both were small. None of the diminutive adenomas had villous components or high grade dysplasia.

Rates of high confidence optical diagnosis

● Table 2 and ● Table 3 show the rates of high confidence optical diagnosis with NBI-NME and NBI-ME. A significantly higher percentage of the 248 polyps was predicted with high confidence by NBI-ME (93.1%, n=231) than by NBI-NME (80.2%, n=199; *P*<0.001, McNemar's test). When the polyps were stratified by size, magnifying endoscopy significantly increased the rates of high confidence optical diagnosis of diminutive polyps (*P*<0.001, McNemar's test) and small polyps (*P*=0.048, McNemar's test).

Performance characteristics with the level of confidence

The performance characteristics of NBI-based optical diagnosis with high and low confidence are shown in ● Table 4. For small polyps predicted with high confidence, high levels of accuracy and negative predictive value were achieved (≥90%) with both NBI-NME and NBI-ME. However, for diminutive polyps predicted

Table 1 Characteristics of the eligible patients and resected polyps.

Total patients, n	124
Male/female	72/52
Mean age, y (SD)	56.4 (8.7)
Polyps, 1–5 mm / 6–9 mm	210/38
Mean size, mm (SD)	3.7 (1.7)
Location, right side/left side	128/120
Shape, protruded/flat/depressed	80/166/2
Histopathology, 1–5 mm/6–9 mm	
Hyperplastic polyp	68/9
Sessile serrated adenoma/polyp	1/3
Low grade adenoma	141/19
High grade adenoma	0/5
Deep submucosal invasive carcinoma	0/2

**Table 2** Rates of high confidence optical diagnosis with NBI-NME and NBI-ME.

	NBI-NME	NBI-ME	P value*
All sizes (1–9 mm)	80.2 % (199/248)	93.1 % (231/248)	<0.001
1–5 mm	79.5 % (167/210)	92.9 % (195/210)	<0.001
6–9 mm	84.2 % (32/38)	94.7 % (36/38)	0.048

NBI-NME, narrow-band imaging with non-magnifying endoscopy; NBI-ME, narrow-band imaging with magnifying endoscopy.

\* McNemar's test.

with high confidence, the level of accuracy was substantially lower with both NBI-NME and NBI-ME, and the negative predictive values did not reach the ASGE-recommended threshold of 90%. There were no significant differences in performance characteristics between NBI-NME and NBI-ME for diminutive polyps or small polyps.

• **Table 3** shows the effects of NBI-ME on the levels of confidence with accuracy by NBI-NME. Of the 43 diminutive polyps initially predicted with low confidence (and accuracy of 58.1%) by NBI-NME, 31 (72.1%) were subsequently predicted with high confidence and a higher accuracy of 77.4% by NBI-ME. Similarly, of the 6 small polyps initially predicted with low confidence (and accuracy of 66.7%) by NBI-NME, 4 (66.7%) were predicted with high confidence and a higher accuracy of 75.0% by NBI-ME. However, these improvements in accuracy with NBI-ME were not statistically significant in the case of either the diminutive ( $P=0.09$ , chi-squared test) or the small polyps ( $P=0.83$ , chi-squared test).

### Differences between performance characteristics of specialists in colonoscopy and those of general endoscopists

• **Table 5** presents a subgroup analysis of the performance characteristics of endoscopists with varying levels of NBI experience for predictions made with high confidence. For diminutive polyps, the SCs (>1000 cases of magnifying NBI) achieved accuracy rates (90.1% and 90.7%) and negative predictive values (88.9% and 90.9%) that for the most part reached the ASGE-recommended threshold of 90%. In comparison, the GEs (with minimal magnifying NBI experience) achieved lower accuracy rates (82.3%) and negative predictive values (71.4%), and they failed to reach the 90% threshold. The only significant difference between the performance characteristics of the SCs and those of the GEs was in the specificity for diminutive polyps; the SCs achieved higher specificity rates with both NBI-NME (87.0% vs 51.7%;  $P=0.007$ , chi-squared test) and NBI-ME (85.7% vs 58.8%;  $P=0.02$ , chi-squared test).

## Discussion

In this study, we demonstrated that high magnifying endoscopy significantly improved the rates of high confidence NBI-based optical diagnosis for differentiating between neoplastic and non-neoplastic colorectal lesions. To our knowledge, this is the first study to show a benefit of high magnifying endoscopy for improving the rates of high confidence optical diagnosis.

Approximately 70% of the polyps originally predicted with low confidence by NBI-NME were predicted with high confidence by NBI-ME. Furthermore, the rate of high confidence predictions for almost all polyps (98%–100%) predicted with NBI-NME was maintained with NBI-ME. We did not show any significant benefit of NBI-ME over NBI-NME for improving the performance characteristics of optical diagnosis made with high confidence; however, dramatic differences between the performance characteristics of high confidence predictions by NBI-ME and those of low confidence predictions by NBI-NME were evident. Our results indicate that NBI-ME should be applied when the optical diagnosis without magnification cannot be made with high confidence, especially in the case of diminutive polyps.

Our results also demonstrated the impact of NBI experience on the performance characteristics of optical diagnosis for diminutive polyps. We defined an SC as someone with experience in more than 1000 cases of magnifying NBI on the basis of a study in which NBI experts were defined as those who had performed NBI colonoscopy procedures more than 1000 times [1]. For small polyps, both the SCs and GEs achieved high levels of accuracy and negative predictive values. However, for diminutive polyps, only the SCs achieved the ASGE-recommended threshold levels for accuracy and negative predictive value. In comparison, the GEs achieved lower levels of accuracy and negative predictive value, in accordance with previous reports [1,6,13]. Furthermore, a significant difference between the performance characteristics of the two groups was seen in the specificity rates for the diagnosis of diminutive polyps (despite limitations in the study power for such analyses). This finding suggests that the GEs, in comparison with the SCs, were not able to diagnose diminutive hyperplastic polyps accurately. The 40% difference between the specificity rates for the diagnosis of small polyps of the two groups did not reach statistical significance.

This study had some limitations. First, it may not be possible to generalize our results to community practice because optical high magnification has not been widely used, particularly in Western countries [14]. However, magnifying endoscopes providing high level optical magnification have only recently become routinely available outside Japan, may shorten the learning curve, and may allow a more widespread dissemination of magnification-based optical diagnosis in routine practice [15,16]. Second, considering the use of magnifying endoscopy in daily clinical practice, we did not employ a randomized study design

**Table 3** Effect of NBI-ME on level of confidence with accuracy by NBI-NME.

	All sizes (1–9 mm)				1–5 mm				6–9 mm			
	NBI-NME		NBI-ME		NBI-NME		NBI-ME		NBI-NME		NBI-ME	
	HC (n=199)	LC (n=49)	HC (n=167)	LC (n=43)	HC (n=32)	LC (n=6)	HC (n=196)	LC (n=3)	HC (n=32)	LC (n=0)	HC (n=4)	LC (n=2)
Accuracy	86.4 %	59.2 %	85.0 %	58.1 %	93.8 %	66.7 %	88.3 %	33.3 %	96.9 %	–	75.0 %	50.0 %
NBI-ME	HC	LC	HC	LC	HC	LC	HC	LC	HC	LC	HC	LC
	(n=196)	(n=3)	(n=35)	(n=14)	(n=164)	(n=3)	(n=31)	(n=12)	(n=32)	(n=0)	(n=4)	(n=2)
Accuracy	88.3 %	33.3 %	77.1 %	50.0 %	86.6 %	33.3 %	77.4 %	50.0 %	96.9 %	–	75.0 %	50.0 %

NBI-ME, narrow-band imaging with magnifying endoscopy; NBI-NME, narrow-band imaging with non-magnifying endoscopy; HC, high confidence; LC, low confidence.

**Table 4** Performance characteristics of NBI-NME and NBI-ME with levels of confidence.

	HC/LC	1–5 mm		6–9 mm	
		NBI-NME	NBI-ME	NBI-NME	NBI-ME
Accuracy	HC	85.0 % (142/167)	85.1 % (166/195)	93.8 % (30/32)	94.4 % (34/36)
	LC	58.1 % (25/43)	46.7 % (7/15)	66.7 % (4/6)	50.0 % (1/2)
	All	79.5 % (167/210)	82.4 % (173/210)	89.5 % (34/38)	92.1 % (35/38)
Sensitivity	HC	93.0 % (107/115)	91.7 % (122/133)	100 % (24/24)	100 % (26/26)
	LC	61.5 % (16/26)	62.5 % (5/8)	100 % (2/2)	– (0/0)
	All	87.2 % (123/141)	90.1 % (127/141)	100 % (26/26)	100 % (26/26)
Specificity	HC	67.3 % (35/52)	71.0 % (44/62)	75.0 % (6/8)	80.0 % (8/10)
	LC	52.9 % (9/17)	28.6 % (2/7)	50.0 % (2/4)	50.0 % (1/2)
	All	63.8 % (44/69)	66.7 % (46/69)	66.7 % (8/12)	75.0 % (9/12)
Positive predictive value	HC	86.3 % (107/124)	87.1 % (122/140)	92.3 % (24/26)	92.9 % (26/28)
	LC	66.7 % (16/24)	50.0 % (5/10)	50.0 % (2/4)	0 % (0/1)
	All	83.1 % (123/148)	84.7 % (127/150)	86.7 % (26/30)	89.7 % (26/29)
Negative predictive value	HC	81.4 % (35/43)	80.0 % (44/55)	100 % (6/6)	100 % (8/8)
	LC	47.4 % (9/19)	40.0 % (2/5)	100 % (2/2)	100 % (1/1)
	All	71.0 % (44/62)	76.7 % (46/60)	100 % (8/8)	100 % (9/9)

NBI-NME, narrow-band imaging with non-magnifying endoscopy; NBI-ME, narrow-band imaging with magnifying endoscopy; HC, high confidence; LC, low confidence; All, HC + LC.

**Table 5** Comparison of the performance characteristics of high confidence optical diagnosis by specialists in colonoscopy and by general endoscopists.

	SCs/GEs	1–5 mm		6–9 mm	
		NBI-NME	NBI-ME	NBI-NME	NBI-ME
Accuracy	SCs	90.7 % (49/54)	90.1 % (64/71)	100 % (8/8)	100 % (10/10)
	GEs	82.3 % (93/113)	82.3 % (102/124)	91.7 % (22/24)	92.3 % (24/26)
Sensitivity	SCs	93.5 % (29/31)	93.0 % (40/43)	100 % (4/4)	100 % (5/5)
	GEs	92.9 % (78/84)	91.1 % (82/90)	100 % (20/20)	100 % (21/21)
Specificity	SCs	87.0 % <sup>1</sup> (20/23)	85.7 % <sup>2</sup> (24/28)	100 % (4/4)	100 % (5/5)
	GEs	51.7 % <sup>1</sup> (15/29)	58.8 % <sup>2</sup> (20/34)	50.0 % (2/4)	60.0 % (3/5)
Positive predictive value	SCs	90.6 % (29/32)	90.9 % (40/44)	100 % (4/4)	100 % (5/5)
	GEs	84.8 % (78/92)	85.4 % (82/96)	90.9 % (20/22)	91.3 % (21/23)
Negative predictive value	SCs	90.9 % (20/22)	88.9 % (24/27)	100 % (4/4)	100 % (5/5)
	GEs	71.4 % (15/21)	71.4 % (20/28)	100 % (2/2)	100 % (3/3)

NBI-NME, narrow-band imaging with non-magnifying endoscopy; NBI-ME, narrow-band imaging with magnifying endoscopy; SCs, specialists in colonoscopy; GEs, general endoscopists.

The differences between the specificity rates for the SC group and the specificity rates for the GE group were significant.

<sup>1</sup>  $P=0.007$

<sup>2</sup>  $P=0.02$

because we preferred to evaluate the confidence rates of high magnifying endoscopy after the application of non-magnifying endoscopy. In fact, we usually use NBI without magnification initially to diagnose a polyp and evaluate its entire shape, vascularity, surface pattern, and color. In clinical practice, we then apply NBI with magnification to evaluate local findings in detail. If we had employed a randomized design (NBI-ME vs NBI-NME), NBI-ME would have been used without NBI-NME, which is not at all the situation in daily clinical practice. Third, the instruments used in this study (H260AZI and LUCERA video processor) are available only in the United Kingdom, Japan, and Asia. Finally, our study was performed in a single, non-academic center. Additional, multicenter studies are needed to confirm our results. In conclusion, high magnifying endoscopy is a promising technique for improving the rates of high confidence NBI-based optical diagnosis for diminutive and small polyps. However, the performance characteristics of the high confidence predictions made by less experienced endoscopists for diminutive polyps, especially diminutive hyperplastic polyps, were not satisfactory. This finding indicates an urgent need of effective and efficient training programs for general endoscopists who wish to apply the NBI-based optical diagnosis of diminutive polyps in routine practice.

**Competing interests:** None

# Institutions

- <sup>1</sup> Sano Hospital, Gastrointestinal Center, Kobe, Japan
- <sup>2</sup> Department of Medical Statistics, Toho University, Tokyo, Japan
- <sup>3</sup> University of Queensland School of Medicine, Brisbane, Australia
- <sup>4</sup> Gastroenterology, Stanford University School of Medicine, Palo Alto, California, USA
- <sup>5</sup> Department of Surgical and Molecular Pathology, Dokkyo University School of Medicine, Tochigi, Japan

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