

Production of Obionin A and Derivatives by the Sooty Blotch Fungus *Microcyclospora malicola*

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Key words

- *Microcyclospora malicola* strain 1930
- sooty blotch and flyspeck
- plant disease
- microbial interactions
- secondary metabolites
- natural products
- structure elucidation

Abstract

A multitude of sooty blotch and flyspeck fungi, mainly belonging to the Ascomycetes order Capnodiales, causes dark blemishes and flyspeck-like spots on apples worldwide. Different sooty blotch and flyspeck fungi can coexist in the same orchard and even on a single fruit. Our preceding experiments revealed an activity of *Microcyclospora malicola* strain 1930 against the anthracnose fungus *Colletotrichum fioriniae* in dual culture assays. Extracts of *M. malicola* strain 1930 showed a broad bioactivity against filamentous fungus *Mucor hiemalis* and gram-positive bacterium *Bacillus subtilis*. A bioactivity-guided isolation led to the identification of obionin A (**1**) as the main active principle. In addition to **1**, which

was previously isolated from the marine fungus *Leptosphaeria obiones*, we isolated three derivatives. Metabolite **2** bears a keto function at C-6, besides the replacement of oxygen by nitrogen at position 10. Two more derivatives are adducts (**3**, **4**) of acetone as work-up artifacts. Because obionin A (**1**) and its derivative **2** showed cytotoxic effects and antifungal activities, we propose a role of these secondary metabolites in the antagonism between *M. malicola* and other apple colonizing sooty blotch and flyspeck fungi, other epiphytes, or apple pathogens competing for the same ecological niche.

Supporting information available online at <http://www.thieme-connect.de/products>

Introduction

Various members of the Ascomycetes order Capnodiales are known to form mycelial mats or fruiting bodies on the surface of epicuticular wax layers of apples that result in dark, flyspeck-like spots and sooty blemishes [1]. Although these fungi do not cause fruit destructing diseases or rots, sooty blotch and flyspeck (SBFS) fungi cause substantial economic damages. Stored apples may desiccate earlier during storage, and apples with dark smudges and blemishes have a reduced market quality [2]. Although sooty blotch fungi have early been associated with different kinds of mycelial types, only three species were distinguished as causal agents until the end of the 20th century [3]. A single species only was accepted as the causal agent of flyspeck. This situation changed dramatically when polyphasic taxonomic studies incorporated DNA sequence-based species recognition concepts, with the help of which at least 60 SBFS fungi were distinguished and numerous new species and genera described [4–6].

Although different SBFS species can co-occur in the same orchard and even on the same apple, details of how they interact between each other or to host substrata and other fruit pathogens are hitherto little understood. During inventory studies for SBFS fungi in Slovenia, we observed that juxtaposed colonies of different SBFS fungi were clearly separated from each other on field-collected apples and that a selected strain of *Microcyclospora tardicrescens* inhibited the colony growth of the fruit pathogen *Colletotrichum fioriniae* in dual culture tests [7]. Bioassay-guided fractionation and subsequent structure elucidation yielded trichothecolone acetate and its novel (S)-7-hydroxy derivative as active principles for the interaction between *M. tardicrescens* and *C. fioriniae*. In this follow-up publication, we describe the investigation of an isolate of another sooty blotch species, *Microcyclospora malicola*, and its production of a series of bioactive pigments.

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	1	2	3	4
4	6.64, brs	7.57, s	5.81, s	6.64, brs
6	6.59, brs		6.38, s	6.66, brs
8	5.80, brs	7.96, s	5.72, s	5.91, brs
11	5.20, d (13.4) 5.16, d (13.4)	9.37, s	5.19, m 5.10, m	6.27, brs
1'	2.55, m	3.32, m	2.50, dqd (9.5, 6.9, 5.0)	2.61, m
2'	1.72, ddd (14.0, 10.0, 4.5) 1.15, m	1.99, ddd (13.9, 9.4, 4.7) 1.47, ddd (13.9, 8.7, 5.4)	1.72, ddd (14.0, 9.0, 3.4) 1.13, m	1.75, m 1.18, m
3'	1.41, m	1.15, m	1.42, m	1.41, m
4'	1.37, m 1.23, m	1.33, m 1.18, m	1.37, m 1.22, m	1.37, m 1.19, m
5'	0.92, t (7.3)	0.87, t (7.5)	0.92, m	0.91, t (7.0) [0.90]
6'	1.20, d (6.9)	1.38, d (6.9)	1.18, dd (6.9, 2.6)	1.24, d (6.7) [1.23]
7'	0.95, d (6.5)	0.96, d (6.5)	0.95, dd (6.7, 1.9)	0.96, d (6.4) [0.95]
3-OMe	3.85, s	4.09, s	3.78, s	3.83, s
1''			3.42, s	3.44, s
3''			2.14, s	2.14, s

Table 1 ^1H NMR (700 MHz) data of metabolites **1–4** in CD_3OD . Data divergent for minor isomers are shown in square brackets.

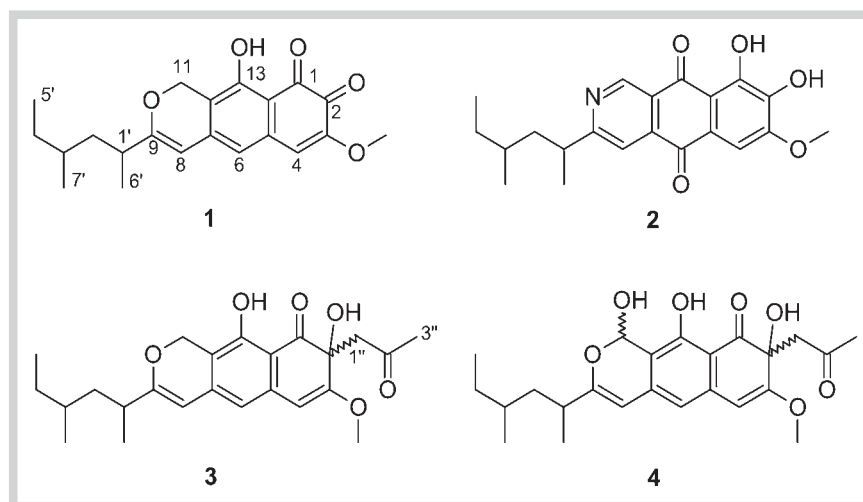


Fig. 1 Compounds isolated from cultures of *M. malicola* 1930.

Results and Discussion

M. malicola strain 1930 inhibited the growth of the fungal apple rot pathogen *C. fioriniae* [7]. In addition, cultures of *M. malicola* 1930 also exhibited bioactivity against the filamentous fungus *Mucor hiemalis* and the gram-positive bacterium *Bacillus subtilis*. To assess its potential for the production of secondary metabolites, *M. malicola* strain 1930 was incubated in a 200-mL shake flask culture. Both ethyl acetate extracts of the culture filtrate and acetone extracts of the mycelium showing a red coloration possessed antifungal and antibacterial activities, indicative of a lipophilic molecule being responsible for the observed bioactivity. Fractionation of the combined crude acetone and ethyl acetate extracts by preparative HPLC with a subsequent bioassay revealed the presence of four red pigments, of which at least one was clearly associated with the bioactive principle. Its molecular formula of $\text{C}_{21}\text{H}_{24}\text{O}_5$ was deduced from the pseudomolecular ion cluster $[\text{M} + \text{H}]^+$ at m/z 357.1701. The UV/Vis spectrum showed absorption maxima at 242, 298, and 468 nm and therefore indicated an extensive π -system. Proton NMR (Table 1) and ^{13}C HSQC NMR spectra revealed the presence of three aromatic and two aliphatic methines, three methylenes, one methoxy, and three aliphatic methyls; a database search with above informa-

tion within the Dictionary of Natural Products on DVD identified obionin A (**1**) (Fig. 1) [8,9]. The scale-up of the fermentation was repeated at larger scale using the same fermentation time and monitoring of the growth by determination of the pH value and free glucose content, but the yields were much lower in the larger shake flasks. The reason for this observation remains unclear, since no extensive optimization of the procedure has so far been carried out. Nevertheless, from the fact that a very dense pigmentation of the agar media was observed, fermentation on solid substrates might be most favorable for the production of obionins by *M. malicola*.

Besides **1**, three additional red pigments were isolated. The HR-ESIMS pseudomolecular ion peak cluster $[\text{M} + \text{H}]^+$ at m/z 370.1656 yielded a molecular formula of $\text{C}_{21}\text{H}_{23}\text{NO}_5$ for the second red pigment. In the proton NMR spectrum, the signal of the oxygenated methylene was missing, and the three aromatic methines were shifted downfield to δ_{H} 9.37, 7.96, and 7.57 ppm, indicating an electron-deficient heteroaromatic system. The ^1H , ^{13}C HMBC correlations from H-4 to C-2, C-3, C-5, C-6, and C-14, from H-8 to C-6, C-9, C-1', and C-12, and from H-11 to C-9, C-7, C-12, and C-13 established the structure of **2** as the 10-aza-6-oxo derivative of **1**.

	1	2	3 ^a	4 ^b
1	180.8, C	151.2, C	202.1, C	202.2, C
2	178.3, C	143.0, C	73.1, C	73.0, C
3	145.1, C	154.3, C	161.2, C	161.6, C
4	115.4, CH	105.7, CH	98.8, CH	98.7, CH
5	137.3, C	125.9, C	141.0, C	141.5, C
6	118.6, CH	182.1, C	113.7, CH	114.1, CH
7	145.1, C	141.1, C	143.2, C	141.7, C
8	101.5, CH	118.4, CH	101.3, CH	100.9, CH
9	171.4, C	175.1, C	168.7, C	164.2, C
11	56.2, CH ₂	149.3, C	64.1, CH ₂	96.7, CH
12	112.6, C	126.4, C	110.8, C	111.2, C
13	162.4, C	188.6, C	159.0, C	161.5, C
14	112.2, C	113.2, C	109.7, C	109.5, C
1'	38.1, CH	41.4, CH	37.9, CH	38.0, CH
2'	42.7, CH ₂	45.1, CH ₂	42.7, CH ₂	43.5, CH ₂
3'	33.7, CH	33.5, CH	33.6, CH	33.4, CH
4'	31.0, CH ₂	30.9, CH ₂	31.0, CH ₂	30.9, CH ₂
5'	11.6, CH ₃	11.5, CH ₃	11.7, CH ₃	11.6, CH ₃
6'	19.8, CH ₃	22.0, CH ₃	19.9, CH ₃	20.4, CH ₃
7'	19.5, CH ₃	19.4, CH ₃	19.5, CH ₃	19.5, CH ₃
3-Ome	57.5, CH ₃	57.0, CH ₃	56.1, CH ₃	56.2, CH ₃
1''			51.4, CH ₂	51.3, CH ₂
2''			208.3, C	208.0, C
3''			30.1, CH ₃	30.0, CH ₃

Table 2 ¹³C NMR (175 MHz) data of metabolites **1–4** in CD₃OD.

^a Data divergent for the following signals in the minor isomer: 164.3 (C-9), 114.2 (C-6), 100.8 (C-8), 96.6 (C-11), 30.8 (C-4'); ^b Data divergent for the following signals in the minor isomer: 166.9 (C-9), 101.1 (C-8), 96.3 (C-11), 38.2 (C-1'), 42.4 (C-2'), 33.5 (C-3'), 30.9 (C-4'), 19.5 (C-6'), 18.9 (C-7')

The third red pigment had the molecular formula C₂₄H₃₀O₆, which was deduced from its pseudomolecular [M + H]⁺ ion cluster at *m/z* 415.2120. Its UV/Vis spectrum showed a hypsochromic shift (–94 nm) to 374 nm in comparison to **1**. The proton NMR spectrum of **3** was highly similar to **1**. However, additional methylene and methyl singlets were observed, and the carbon spectrum (Table 2) confirmed the presence of an additional quaternary hydroxylated carbon (δ_C 73.1 ppm). The structure of **3** was assigned by ¹H,¹³C HMBC correlations; correlations from H₂-1'' and H₃-3'' to ketone C-2'' identified a 2-ketopropyl side chain, which is connected to the quaternary hydroxylated carbon C-2 as demonstrated by ¹H,¹³C HMBC correlations from H₂-1'' to C-1, C-2, and C-3.

Furthermore, pigment **4** was isolated and shown to have a molecular mass of 430 Da since its [M – H][–] peak was observed at *m/z* 429 u in the ESIMS spectrum (negative mode). The molecular formula C₂₄H₃₀O₇, which was deduced from its [M + H-H₂O]⁺ peak in the HRESIMS spectrum, indicated the existence of an additional oxygen atom compared to **3**. The proton and carbon NMR spectra of **4** were nearly identical to that of **3**. The key difference was the replacement of the methylene signal of C-11 by a methine. Its deep field chemical shift (δ_C 96.7) indicated the binding of two oxygen atoms. Consequently, compound **4** was identified as the 11-hydroxy derivative of compound **3**. Because signals of multiple carbons are split, we concluded a mixture of the C-2 and C-9 epimers.

Notably, compounds **3** and **4** are formed by the addition of acetone and are thus considered as artifacts rather than genuine natural products. An analogous degradation has been observed for the very closely related metabolite leptosphaerodione (**5**), a natural product of the marine ascomycete *Leptosphaeria oraemaris*. In the original study, the genuine secondary metabolite **5** was only obtained by the reconversion of the acetone adduct with

(*i*-Pr)₂EtN in CHCl₃ [9]. To avoid the conversion of natural pigments with acetone, the acetone was omitted as the solvent for the extraction procedure.

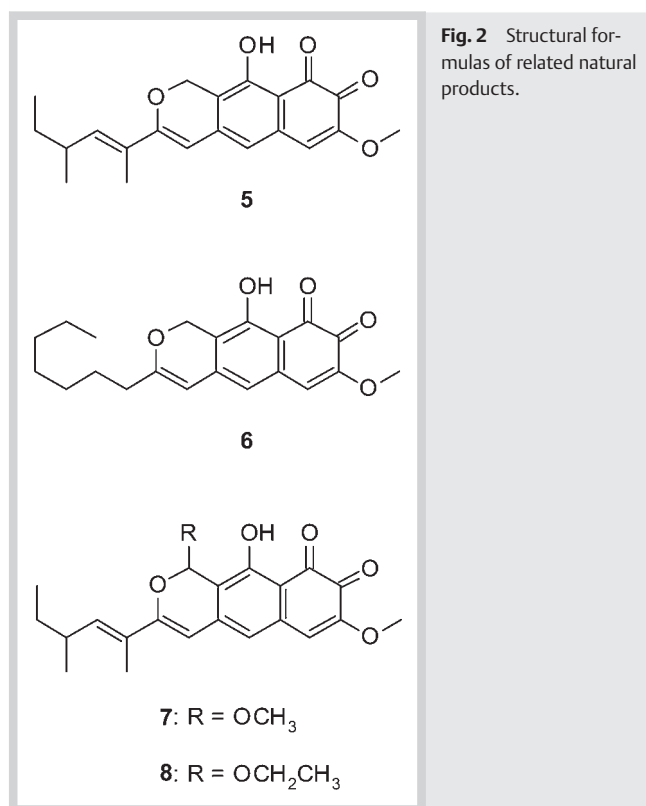
To obtain more material of metabolites **1** and **2**, *M. malicola* 1930 was cultivated in a 2-L scale. The titers observed were lower than in the previous fermentation. However, 2 mg of **1** and 0.5 mg of **2** were isolated and tested for biological activity. The bioactivity of **1** was evaluated by determining their minimal inhibitory concentrations (MIC) against a broad test panel of bacteria and fungi (Table 3). It showed good activities against various test strains of fungi and moderate activities against gram-positive bacteria. In a proliferation assay against the mouse fibroblast cell line L-929, moderate cytotoxic effects were determined for **1** (IC₅₀ = 7.6 μM) and **2** (IC₅₀ = 21 μM).

Previously, obionin A (**1**) was isolated based on the brine shrimp toxicity of the crude extract of the marine fungus *Leptosphaeria obiones*, though the authors accentuate that **1** did not account for that activity [9]. However, we found moderate cytotoxicity for all pigments including **1**, suggesting that cytotoxic effects may have been overlooked by Poch et al. [10], probably because of the low water solubility of the natural product. Obionin B (**6**) (Fig. 2), a decaketide from an unidentified fungus of the order Pleosporales, has the same core structure as **1** but bears an *n*-heptyl chain instead of the saturated dimethylpentyl side chain of **1**. For obionin B (**6**), moderate cytotoxic effects have been described against several human cancer cell lines including MCF-7 (breast carcinoma), NCI-H460 (large cell lung carcinoma), SF-268 (astrocytoma), HT-29 (colorectal adenocarcinoma), and MDA-MB-435 (melanoma) cell lines [11]. A related pair of o-pyr-anonaphoquinones [12], laccaridiones A (**7**) and B (**8**), was reported as promising antimycotic lead structures because of their inhibition of *C. albicans* adhesion to both epithelial and endothelial cells in a dose-dependent manner and their ability to sup-

Tested organism	1	2	Ref. ^{a, b, c}
<i>Chromobacterium violaceum</i> DSM 30 191	/	/	1.0 ^a
<i>Escherichia coli</i> DSM 1116	/	/	1.0 ^a
<i>Micrococcus luteus</i> DSM 20 030	8.3	67	2.1 ^a
<i>Mycobacterium diernhoferi</i> DSM 43 524	8.3	/	≤ 0.25 ^a
<i>Nocardioiodes simplex</i> DSM 20 130	16.6	n. t.	16.6 ^a
<i>Nocardia sp.</i> DSM 43 069	8.3	n. t.	0.25 ^a
<i>Paenibacillus polymyxa</i> DSM 36	/	n. t.	6.7 ^a
<i>Pseudomonas aeruginosa</i> DSM 50 071	/	/	67 ^b
<i>Staphylococcus aureus</i> DSM 346	8.3	/	≤ 0.25 ^a
<i>Aspergillus clavatus</i> DSM 816	33.3	n. t.	2.1 ^c
<i>Candida albicans</i> DSM 1665	/	/	4.2 ^c
<i>Hormoconis resiniae</i> DSM 1203	/	n. t.	< 0.25 ^c
<i>Mucor hiemalis</i> DSM 2656	8.3	/	2.1 ^c
<i>Nematospora coryli</i> DSM 6981	8.3	n. t.	3.3 ^c
<i>Penicillium capsulatum</i> DSM 2210	/	n. t.	16.6 ^c
<i>Pichia anomala</i> DSM 6766	n. i.	/	4.2 ^c
<i>Rhodotorula glutinis</i> DSM 10 134	16.6	/	0.52 ^c
<i>Schizosaccharomyces pombe</i> DSM 70 572	16.6	/	33.3 ^c
<i>Trichosporon oleaginosus</i> DSM 11 815	33.3	n. t.	1.0 ^c

MIC values are given in µg/mL; (/) Indicates lack of activity; (n. t.) indicates not tested; ^a Oxytetracycline hydrochloride (1 mg/mL); ^b vancomycin (5 mg/mL); ^c gentamycin (10 mg/mL); ^d nystatin (1 mg/mL)

Table 3 Minimal inhibitory concentrations (MIC) of compound **1** from *M. malicola* 1930 in liquid medium.



press the release and the inhibition of the catalytic activity of secreted aspartic proteases [13]. These effects resulted in reduced virulence properties (e.g., colonization and penetration of host tissues) without **7** and **8** being neither fungistatic nor fungicidal at the concentrations applied. Furthermore, laccaridione **B** (**8**) had an IC₅₀ of 4.5 µM for the K-562 human erythroleukemia, respectively, and 34 µM for HeLa cervical carcinoma cells. The biological activity of leptosphaerodione (**5**), the 1',2'-didehydro derivative of **1**, had also been evaluated in *in vitro* assays. Me-

tabolite **5** showed weak antibacterial effects against the gram-negative bacteria *Escherichia coli* K12 and *Erwinia carotovora*, but no gram-positives were tested in this study. Antimycotic activity was observed against *Pythium ultimum* 67-1, *Fusarium graminearum* GZ 3639, and *Trichoderma atroviride* P1 [14]. The production of **5** is tightly linked to the inhibitory ability of the producing fungus *Stagonospora* sp. on bindweeds; the amounts of **5**, as well as that of elsinochrome A, detected in extracts of culture filtrates of *Stagonospora* isolates by HPLC correlated with the aggressiveness of the isolates on bindweed species *Convolvulus arvensis* (field bindweed) and *Calystegia sepium* (hedge bindweed). This observation suggests an important role of **5** in the phytotoxic activity of *Stagonospora* [15].

Taken together, pyranonaphthoquinone metabolites **1-8** showed antifungal and cytotoxic effects in various test assays. The broad antimycotic activity of obionin A (**1**) and its derivative **2** implies that these metabolites are produced by *M. malicola* 1930 to inhibit the growth of coexisting fungi such as SBFS fungi, epiphytes, or apple pathogens.

Most known SBSF fungi are slowly growing species and apparently specifically adapted to inhabit the epicuticular wax of fruits. They may require the production of antifungal metabolites for competition. Because of their species richness, they present an ecological group ideal for studying bioactive natural products.

Materials and Methods

General experimental procedures

Optical rotations were determined with a model 241 MC polarimeter (Perkin-Elmer). IR spectra were measured with a Spectrum 100 FTIR spectrometer (Perkin-Elmer); UV spectra were recorded with a UV-2450 UV-Vis spectrophotometer (Shimadzu). NMR spectra were recorded with an Ascend 700 spectrometer (Bruker Biospin) equipped with a 5-mm TXI cryoprobe (¹H 700 MHz, ¹³C 175 MHz). ESI-MS spectra were obtained with an Amazon ion trap mass spectrometer (Bruker Daltonik); HRESIMS spectra were obtained with a Maxis time-of-flight mass spec-

trometer (Bruker Daltonik), both combined with a 1200 series HPLC-UV/Vis system (Agilent) [column 2.1 × 50 mm, 1.7 μm, C₁₈ Acquity UPLC BEH (Waters), solvent A: H₂O + 0.1% formic acid; solvent B: ACN + 0.1% formic acid, gradient: 5% B for 0.5 min increasing to 100% B in 19.5 min, maintaining 100% B for 5 min, flow rate 0.6 mL·min⁻¹, UV detection 200–600 nm] combined with an ESI-TOF-MS (Maxis, Bruker) (scan range 100–2500 *m/z*, rate 2 Hz, capillary voltage 4500 V, dry temperature 200 °C). Test compounds and internal controls for the bioassays were checked by HPLC-MS and showed over 95% purity.

Isolation of fungal strains, dual culture tests, bioactivity-guided fractionation

The techniques are described in previous publications [6,7].

Cultivation of *Microcycluspora malicola* strain 1930, preparation of crude extracts, and compound isolation by preparative HPLC

Initial screening cultivation: Small pieces from well-grown PD agar plates were used to inoculate 200 mL YMG medium contained in two 250 mL Erlenmeyer flasks. These flasks were incubated on a rotary shaker at 25 °C and 140 rpm. A color change to red was observed after 17 days. After 20 days, the cultures were harvested; the mycelium was separated from the fluid by filtration. The culture filtrate was extracted two times with ethyl acetate (200 mL each). The wet mycelium was extracted with acetone in an ultrasonic-bath at 30 °C for 30 min. These extracts were analyzed by HPLC-MS, combined and filtered through an SPE C18 cartridge. The crude material (47.4 mg) was fractionated by preparative RP HPLC (column 250 × 21 mm, VP Nucleodur C18 Gravity 5 μm, acetonitrile-water gradient 10% to 100% MeCN in 30 min, flow 15 mL/min) and provided 0.5 mg of **1**, 0.4 mg of **2**, 0.3 mg of **3**, and 0.3 mg of **4**. The metabolites were eluted at 31.8–32.2, 27.5–27.8, 25.8–26.2, and 27.8–28.5 min, respectively. **Upscale cultivation:** *M. malicola* was cultivated and worked up as described above. However, four 5 L flasks containing 1.25 L of YMG medium were utilized, and the mycelium was extracted twice with 500 mL methanol. Preparative RP HPLC (column 250 × 21 mm, VP Nucleodur C18 Gravity 5 μm, acetonitrile-water gradient 60% to 85% MeCN in 30 min, flow 15 mL/min) provided 2.0 mg of **1** and 0.5 mg of **2**.

Obionin A (1): Red oil, [α]_D²⁵ + 70 (c 0.01, CHCl₃); UV (MeOH) λ_{max} 228 nm, 298 nm, 468 nm; ¹H NMR (700 MHz, CDCl₃, see ● Table 1; ¹³C NMR (175 MHz, CDCl₃), see ● Table 2; ESIMS *m/z* 357.15 [M + H]⁺, 355.17 [M – H]⁻; HRESIMS *m/z* 357.1701 [M + H]⁺ (calcd. for C₂₁H₂₅O₅, 357.1697). Spectroscopic and spectrometric data are in good agreement with the literature [9].

8,9-dihydroxy-7-methoxy-3-(4-methylhexan-2-yl)benzo[*g*]isoquinoline-5,10-dione (2): Red oil; UV (MeOH) λ_{max} 240, 290, 424 nm; ¹H NMR (700 MHz; CDCl₃, see ● Table 1; ¹³C NMR (175 MHz, CDCl₃), see ● Table 2; ESIMS *m/z* 370.12 [M + H]⁺, 368.09 [M – H]⁻; HRESIMS *m/z* 370.1656 [M + H]⁺ (calcd. for C₂₁H₂₄NO₅, 370.1649).

Compound 3: Orange oil; UV (MeOH) λ_{max} 256 nm, 374 nm; ¹H NMR (700 MHz; CDCl₃, see ● Table 1; ¹³C NMR (175 MHz, CDCl₃), see ● Table 2; ESIMS *m/z* 415.18 [M + H]⁺, 413.15 [M – H]⁻; HR-ESIMS *m/z* 415.2120 [M + H]⁺ (calcd. for C₂₄H₃₁O₆, 415.2115).

Compound 4: Orange oil; UV (MeOH) λ_{max} 256 nm, 374 nm; ¹H NMR (700 MHz; CDCl₃, see ● Table 1; ¹³C NMR (175 MHz, CDCl₃), see ● Table 2; ESIMS *m/z* 413.18 [M + H-H₂O]⁺, 429.15 [M – H]⁻; HRESIMS *m/z* 413.1961 [M + H-H₂O]⁺ (calcd. for C₂₄H₂₉O₆, 413.1959).

Biological assays

Biological assays for the evaluation of antimicrobial and cytotoxic activities were performed as previously described [16]. The compounds were tested against the bacteria *B. subtilis* DSM 10, *E. coli* DSM 1116, and *Staphylococcus aureus* DSM 346, and the fungi *C. fioriniae* HJS 2018, *Pichia anomala* DSM 6766, *C. albicans* DSM 1665 and *Saccharomyces cerevisiae* DSM 1333. The concentration of the purified compounds was tested with 100, 50, 25, 12.5, 6.25, 3.13, 1.56, and 0.78 μg/mL. The criterion of MIC was defined as the lowest concentration showing no visible bacterial or fungal growth after 24 h incubation.

Supporting information

UV, HRESIMS and ¹H, ¹³C, COSY, HSQC, and HMBC NMR spectra of metabolites **1–4** are available as Supporting Information.

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Conflict of Interest

▼ The authors declare no competing financial interests.

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