

# The Pathogenesis of Hashimoto's Thyroiditis: Further Developments in our Understanding

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## Key words

- autoimmune
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## Abstract

▼ Hashimoto's thyroiditis (HT) is part of a spectrum of thyroid autoimmune conditions and this review provides an update on the latest developments in the field. HT has a genetic predisposition with a number of immune-related and thyroid-specific genes conferring disease susceptibility. However, disentangling genes with protective and predisposing effect is a complex process that requires further work. The recent increase in the incidence of HT implicates environmental factors in disease pathogenesis including improved hygiene, increased dietary iodine intake, new treatment modalities and chemical agents. Additional unmodifiable predisposing factors include stress, climate, age and gender. Both cellular and humoral immunity play a role in HT pathogenesis. Defects in T regulatory cells and increased activation of follicular helper T

cells may have a role in disease initiation/perpetuation. Infiltrating lymphocytes can be directly cytotoxic to thyroid follicular cells (TFC) or may affect cell viability/function indirectly through cytokine production, which alters TFC integrity and modulates their metabolic and immune function. Thyroid peroxidase and thyroglobulin antibodies are present in the majority of HT patients and help with management decisions. Antibodies against the sodium iodide symporter and pendrin are present in a minority with little known about their clinical relevance. In addition to immune cells, recent work has identified DNA fragments, generated following cell death, and micro RNA as potential factors in HT pathogenesis. Despite the large number of studies, the mechanistic pathways in HT are still not fully understood and further work is required to enhance our knowledge and identify novel preventative and therapeutic clinical targets.

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## Introduction

▼ Hashimoto's thyroiditis (HT) *sensu stricto* is the occurrence of what was originally termed *struma lymphomatosa*, translated into English as lymphadenoid goitre, by Hakaru Hashimoto over 100 years ago [1,2]. These early observations were obviously made at a time when thyroid function testing was unavailable, immunology was nascent and the disease was judged to be rare. As is now clear, HT is part of a spectrum of autoimmune diseases which affect the thyroid, ranging from typically self-limiting forms such as focal, silent and juvenile thyroiditis through to atrophic thyroiditis presenting as hypothyroidism without goitre. There has been considerable speculation as to the exact relationship between these entities; suffice here to say that good ultrasound evidence shows that there is a unimodal distribution of thyroid size in hypothyroidism, which

indicates that HT is likely to be an extreme in this distribution and does not constitute a separate disease entity, although there are different clinical presentations across the distribution curve [3]. And while immunological techniques described below are defining discrete types of disease, such as the IgG4-related variant, for the purposes of this review we will not distinguish HT from other forms of autoimmune hypothyroidism except where indicated; this pragmatic approach is also necessary because of the rather loose definition that investigators apply to patients. There have been several recent reviews of this subject, in large part occasioned by the centenary of Hashimoto's discovery [4–7]. We therefore have mainly concentrated on papers since 2010, to bring readers an update on recent developments in the field, focussing firstly on predisposing factors and then on the pathogenic mechanisms responsible for tissue damage.

## Genetic Susceptibility

Recent studies on susceptibility to HT have revealed several new aspects of interest. Using the diagnosis data set for military personnel in the USA, it has been established that HT has the highest incidence in white individuals, and is lowest in black and Asian/Pacific Islander individuals [8]. This difference may be the result of genetic and/or environmental factors, yet the pattern is reversed in Graves' disease (GD), which shares some of these susceptibility factors; unravelling what causes these striking ethnic patterns would be a novel approach to understanding susceptibility. A related phenomenon is the differential clustering of additional autoimmune diseases that are associated with GD and HT; HT is markedly more associated with such disorders, especially Addison's disease and type 1 diabetes mellitus [9]. Again this could be the result of genetic and/or environmental factors.

A genetic susceptibility to the inheritance of thyroid autoantibody patterns has been established and the familial aggregation of thyroid autoantibodies is clearly shown in a recent study of multiplex Han Chinese families [10]. Work on monozygotic twins discordant for HT has demonstrated that in the absence of thyroid disease, these individuals nonetheless share a higher than expected propensity to develop thyroid peroxidase (TPO) autoantibodies that recognise one of the 2 main epitopes on this autoantigen [11]. The technique of regression of offspring on mid-parent screening has been used to show that IgG4 subclass thyroid autoantibodies display heritability, albeit only in those with high levels of both TPO and thyroglobulin (TG) antibodies, and this could allow the development of novel screening methods to predict disease evolution [12].

Whilst more attention has been paid to GD with regard to finding the responsible genes, there are an increasing number of attempts to investigate HT using either the candidate gene approach or genome wide association studies (GWAS). These genes can be broadly classified into those which control the immune response and those which are thyroid-specific and thus increase target organ risk. Amongst the first group, further work has been done on the archetypal immune response genes encoded on the HLA complex, which has revealed that *HLA-B\*46:01* confers an increased risk of HT developing in Han Chinese children, using a combined case-control and family-based approach [13]. Using a sample of 444 Japanese HT patients, *HLA-A\*02:07* and *HLA-DRB4* conferred susceptibility and the *HLA-A\*33:03-C\*14:03-B\*44:03-DRB1\*13:02-DQB1\*06:04-DPB1\*04:01* haplotype conferred protection [14]. A smaller scale case control study of 75 Caucasian HT patients found that *HLA-A2* did not confer protection against disease, in contrast to GD [15]. These results highlight the complexities of studying even a single set of genes, with both protective and susceptibility actions that may be in part epistatic [14] and which have ethnically restricted effects.

The involvement in autoimmunity of many other immunoregulatory genes besides those in the HLA complex is now clear; amongst these, single nucleotide polymorphisms (SNPs) in *CTLA-4*, *PTPN22*, *CD40* and *IL2R* are known to have some effect in both GD and HT and more information has come from meta-analyses. A recent meta-analysis of the A49G SNP in *CTLA-4* has shown that this increases the risk of HT in both East Asian and white individuals, with odds ratios of 1.48 and 1.27, respectively [16]. By contrast, a meta-analysis of studies on the *PTPN22* C1858T polymorphism found no association with HT, although

this was associated with GD [17]. The situation is similar with the *CD40* C/T1 polymorphism, which is associated with susceptibility to GD but not HT [18]. The latter study raises the question of how to classify controls with high levels of thyroid autoantibodies; we do not agree with the conclusion that these individuals should be classified as having HT, especially given the independent heredity of such antibodies.

Polymorphisms in the genes which encode or regulate cytokines constitute another obvious set of candidates for testing, although results to date have been inconclusive. The largest study recently (of 202 Tunisian HT patients) found an association with an *IL1RN* VNTR polymorphism [19] and a study with a similarly sized population of 182 Chinese HT patients has shown that the rs763780 polymorphism in *IL17F*, but not the 3 studied SNPs in the *IL17A* gene, may also be associated with HT [20]. In a small scale study that was however replicated across 3 separate populations, the rs6887695 *IL12B* variant was more frequent in GD and less frequent in HT, compared to controls [21]. Another study of 108 Japanese HT patients, subdivided into mild and severe forms, has identified a borderline association with a SNP in *IL6* in severe HT, but this study is compromised by the multiple uncorrected comparisons and small size [22]. The same group, using a similar sized sample, have also reported associations of a SNP in *GITR* with mild rather than severe HT; this finding is somewhat supported by the identification of a higher proportion of glucocorticoid-induced tumour necrosis factor-receptor (GITR)-expressing T cells in HT individuals with the GG genotype of the SNP [23]. Such T cells may have regulatory and effector functions. The STAT family of proteins are transcription activators that regulate a number of immunoregulatory pathways, including cytokine signalling. Using a population of 250 Chinese HT patients, a SNP in *STAT3* was found to be associated with HT, as well as GD [24].

With respect to thyroid-specific genes, it has been established that polymorphisms in the gene encoding the TSH receptor confer susceptibility to GD but not HT, whereas polymorphisms in *TG* appear to confer more general susceptibility to thyroid autoimmunity. Five polymorphisms in *TG* have been associated with GD rather than HT in a recent Japanese cohort of patients, leading to identification of a putative protective haplotype for the former [25]. Attempts have also been made to understand how polymorphisms in *TG* may be implicated in pathogenesis; at least one possibility is that a disease-associated SNP in the promoter confers increased activity, but only in the presence of interferon, which is clearly of interest given the effects of this agent therapeutically, as described below [26]. Although not thyroid-specific in tissue location, selenoproteins (SEP) are central to thyroid hormone deiodination and selenium itself may have a role in predisposition as a dietary environmental factor (see below). In a study of 481 HT patients from Portugal, there was a significant association with a promoter SNP in *SEPS1*, giving an odds ratio of 2.24 [27]. Of the candidate gene studies just mentioned, this is by far the largest and the most likely therefore to have sufficient power to be reliable, but as with all the others, replication is required, a task compounded if consideration is taken of possible heterogeneity conferred by sex, ethnic background or disease subtype. As with other polymorphisms that may be relevant, epistatic effects and an interaction with environmental factors, in this case selenium intake, increase the complexity of analysis.

GWAS offers a hypothesis-free approach to understanding disease susceptibility which allows the discovery of novel path-

ways, although these studies have their own particular issues [28]. One good example is the uncovering of a new set of genetic markers, including polymorphism in *MAGI3*, which are associated with an increased risk of progression from TPO antibody positivity to hypothyroidism [29]. Using a custom-made SNP array to analyse over 100 000 common SNPs in 462 British HT patients, 4 definite new associations were found (7 when the sample was added to a larger group of Graves' patients); these loci have been associated with other autoimmune disorders [30]. Another refinement has been to undertake detailed fine mapping of a locus previously linked to HT on chromosome 10q; this revealed an association with *ARID5B*, which has also been associated with rheumatoid arthritis and GD in Japanese patients [31]. A summary of current studies is provided in **Table 1**. It is clear that the genetics of HT are much more complex than previously thought and that a detailed understanding will only be gained slowly through adequately powered and replicated datasets. Association is not causation, and more work is needed to understand how certain SNPs exert their effect, how they might interact with one another through epistasis and how they also interact with environmental factors.

### Environmental Contribution

The importance of a direct or epigenetic role for environmental factors in the pathogenesis of HT is clear from the recent changes in epidemiology. A detailed analysis of the pathological specimens at the Johns Hopkins Hospital over the last century has revealed that HT was rare before the 1950s, but it is now one of the commonest autoimmune disorders [32]. A survey from Austria of thyroidectomy specimens from 1979 to 2009 supports these data by showing an increase in HT over the last 31 years [33]. Such rapid changes clearly cannot be the result of any shift in genetic susceptibility, but a number of obvious environmental suspects have changed with sufficient rapidity to be credible candidates for this increase. Foremost amongst these are (i) the shift towards a more hygienic environment, which may skew the developing immune system away from microbial responses towards the development of allergic and autoimmune diseases, including those against the thyroid (reviewed in [34]), and (ii) an increase in dietary iodine. Many previous studies have demonstrated that excessive dietary iodine can precipitate or exacerbate HT in susceptible individuals and this information continues to be gathered. For instance a 15 year follow-up of the Pescopagano survey, which has monitored the effects of voluntary iodine prophylaxis in an iodine-deficient part of Italy, has shown that the prevalence of thyroid autoantibodies almost doubled and HT quadrupled during this time [35].

There are also epidemiological data suggesting that inadequate dietary selenium intake may exacerbate HT; selenium intake is geographically variable and in Europe has fallen by 50% over the last 3 decades. However, a recent meta-analysis of trials of selenium supplementation in HT showed no clear beneficial effect on thyroid morphology, although TPO autoantibody levels do fall over 3 months [36]. A Cochrane Systematic Review has come to much the same conclusion, with evidence that current studies are inadequate to assess any benefit [37]. The CATALYST trial is now under way, which may answer questions about a role for selenium in thyroid autoimmune disease decisively [38]. A final dietary component which has created interest is vitamin D, albeit with a major contribution to serum levels being due to sun

**Table 1** Summary of the genetic factors associated with Hashimoto's thyroiditis.

Gene	Comments
<i>HLA</i>	Confirmed; various haplotypes associated, ethnically variable, some protective
<i>CTLA-4</i>	Confirmed
Cytokine genes	Multiple small studies of various genes; need confirmation and replication
<i>GITR</i> (Glucocorticoid-induced tumour necrosis factor-receptor)	Single study; needs confirmation and replication
<i>STAT3</i> (STAT family)	Single study; needs confirmation and replication
<i>TG</i>	Best evidence for association is with Graves' disease; may be associated with interferon-induced HT
<i>SEPS1</i> (selenoprotein S)	Single study; needs confirmation and replication
<i>MAGI3</i>	Strong evidence from GWAS that this plus other markers are associated with progression from TPO autoantibody positivity to HT
<i>ARID5B</i>	Strong evidence from fine mapping studies; needs replication in other populations
<i>LPP</i> , <i>BACH2</i> plus 2 other loci on 2p25.1 and 11q21	Strong evidence from GWAS; needs replication in other populations

exposure. Although there is some evidence of lower serum vitamin D levels in individuals with HT, these changes may be the result of the metabolic changes of hypothyroidism as much as any aetiological effect, especially as the levels of vitamin D tend to be inversely related to the severity of thyroid dysfunction [39]. A recent review has examined this topic in depth, drawing attention to the limitations of previous studies of vitamin D in HT, which should be addressed in any future studies [40].

Treatment of patients with novel anticancer treatments, such as cytokines like interferon- $\alpha$  and tyrosine kinase inhibitors, can also induce transient or permanent thyroid dysfunction, including HT; a comprehensive review of this topic has recently been published [41]. To examine the possibility that HT induced by interferon- $\alpha$  could operate through a distinct background genotype, a genomic convergence approach has been used, combining genetic association data with transcriptome analysis of interferon-regulated genes: this led to the identification of 3 putative loci, *HLA*, *SP100/110/140* (on chromosome 2q37.1) and *TAP1* (on chromosome 6p21.3), which might be involved [42]. The immune reconstitution syndrome arises during the reconstitution phase after any type of lymphocyte depletion and may result in autoimmunity. When this affects the thyroid, it normally results in GD, as seen with alemtuzumab therapy in multiple sclerosis and the reversal of the lymphopenia of HIV infection with highly active antiretroviral therapy [43]. Alemtuzumab therapy does, however, result in hypothyroidism in 7% of treated patients and is accompanied by TSH receptor antibodies (presumably functional blockers) in three-quarters of these cases [44]. There is also a single case report of painful HT arising after reconstitution in an HIV-infected individual receiving highly active antiretroviral therapy [45].

Smoking is associated with an increased risk of GD and especially ophthalmopathy, but generally conflicting results have been reported on any association with HT. A detailed population-based, case-control study has recently found that smoking cessation is associated with a sudden and transient rise in HT [46], while a study of individuals genetically at risk of HT has

**Table 2** Summary of the environmental factors associated with Hashimoto's thyroiditis.

Factor	Comments
Hygienic environment	Modest epidemiological evidence in HT and other in other disorders; mechanism is via skewing of the immune response towards allergy and autoimmunity
Selenium deficiency	Modest epidemiological evidence but trials of supplementation inconclusive
Vitamin D deficiency	Weak epidemiological evidence; may be the result of hypothyroidism per se
Drugs (cytokines, especially interferon- $\alpha$ , possibly tyrosine kinase inhibitors, alemtuzumab)	Strong evidence; may operate against distinctive genetic background
Smoking	Strong evidence for a protective effect; mechanism unclear
Alcohol	Strong evidence for a protective effect; mechanism unclear
Infection	Some cases following subacute thyroiditis may be autoimmune but so far unresolved; association with HHV-6 postulated; <i>Yersinia</i> infection has been proposed as a trigger for thyroid immunity but recent work failed to find convincing evidence to support this concept
Environmental toxins	Weak epidemiological evidence; limited number of studies so far
Irradiation	Modest epidemiological evidence after radioactive fallout or therapeutic neck irradiation

shown that progression to overt hypothyroidism is less common in current smokers [47]. Moderate alcohol consumption also turns out to be protective, based on similar types of analysis [48,49], and this is a feature shared with other autoimmune disorders like rheumatoid arthritis. However, the exact immunological basis for these protective effects of smoking and drinking remain to be elucidated.

Infection has for decades been examined as a possible aetiological factor in thyroid autoimmunity. There is evidence that hepatitis C virus may precipitate HT, and permanent hypothyroidism occurs in around 15% of patients who have had subacute viral thyroiditis; some of these may have an autoimmune basis. Attempts to find viruses in thyroid material in HT have generally failed to be replicated. A recent such candidate is HHV-6 which appears to be active rather than latent when detected in patient with HT and shows tropism for thyroid follicular cells (TFC); these studies used relatively small numbers of patients and controls (62 in total) and clearly more work is needed before drawing any conclusions [50]. Another frequently studied candidate is *Yersinia* infection which has been associated in some studies with the development of Graves' disease in particular. A recent prospective study of 790 relatives of probands at risk of thyroid autoimmunity found no evidence to support a role for *Yersinia* in the development of thyroid antibodies over a 4 year period [51]. Much less attention has been paid to environmental toxins as agents that could explain the rapid change in prevalence of HT but 2 recent epidemiological studies, one showing an increase in HT in those living near a petrochemical complex, and another showing an increase in hypothyroidism (HT not specifically identified) in those exposed to pesticides, show the potential of such compounds [52,53]. A summary of the environmental factors associated with HT is shown in [Table 2](#).

### Existential Factors

As well as the susceptibility conferred by genes and the environment, another set of factors influence the predisposition to thyroid disease; we have labelled these as existential [5], in that they are an intrinsic and unavoidable part of human existence. Thus, getting older increases the risk of HT, until the extremes of old age, and being female is the biggest risk factor currently known, as women develop HT up to 10 times more frequently than men. This strong female association remains unexplained

although our hunch is that sex steroids have the critical role, as there is compelling evidence for such effects in animal models of many types of autoimmunity [54]. Alternative explanations include skewed X chromosome inactivation (away from the expected 50:50 parent of origin ratio) and foetal microchimerism [55]. A recent survey of 490 patients with HT found no significant difference in the inactivation of X chromosomes from normal, but when combined with 4 other studies in a meta-analysis, results just reached significance, suggesting that skewing may have some role ( $p < 0.03$ ) [56]. Results were much more convincing for GD. A small study of 7 HT patients and 4 Graves' patients has found a significantly higher number of circulating foetal lymphocytes than in 10 healthy controls, but there was also a significantly higher number in the Graves' patients compared to HT patients [57].

If microchimerism is important, it would seem reasonable to expect that HT would be more frequent in women with a higher number of pregnancies. Advancing parity has been associated with an increased risk of becoming TPO autoantibody-positive [58], although this study did not establish whether this was accompanied by HT itself. A survey of 4.6 million Danes for whom childbirth records were available has looked at hospitalisation records and found a slight overall increase in autoimmune diseases in those who had been pregnant, compared to women without children, with a relative risk of 1.04 [59]. There was a possible specific association with HT with a relative risk of 1.11 (confidence interval 1.00–1.24). However, there was only a significant effect in those women who had a single child rather than more children, and the effect was also only significant within 4 years of the birth of the most recent child. Despite the limitations of this study, notably in the ascertainment of cases through hospitalisation with all of its implications, these data indicate to us that any effect of parity (and hence microchimerism) in HT is likely to be rather limited, and the results could just as readily be the result of the well-known post-partum exacerbation of autoimmune thyroiditis, which has its origins in pregnancy-induced alterations in maternal T cell regulation [60]. Stress has been associated with GD, but there is no evidence that it plays a role in progression to HT in predisposed individuals [61]. Seasonal effects have been examined, although these may be related to environmental influences such as infection. A recent study found no consistent impact of month of birth in predisposition to HT [62]. Climate may have an effect on predisposition; preliminary findings show that Yakut women living in

Siberia have a higher than expected prevalence of TPO autoantibodies [63]. The problems of global climate change might therefore include effects on autoimmunity in the future.

### Pathogenic Mechanisms

Autoimmune thyroid disease is characterised by lymphoid infiltration of the thyroid gland including T and B cells. Therefore, both cellular and humoral immunity have a role in the pathogenesis of thyroid autoimmunity.

#### Cellular immunity

In autoimmune thyroid disease, T cells migrate from the periphery into the thyroid gland and actively participate in the autoimmune process. The main cellular pathways that contribute to thyroid destruction are discussed with special focus on latest developments in the field.

#### Suppressor and regulatory T cell defects

Suppressor T cells were initially considered to be specific CD8<sup>+</sup> cells tasked with inhibiting unwanted immune responses. Early work demonstrated defects in T suppressor cell response to thyroid-specific antigens in autoimmune hypothyroidism, implicating antigen-specific T suppressor failure in disease pathogenesis [64]. However, findings were criticised for the non-physiological experimental conditions and poor reproducibility of data, casting doubts on the importance of this putative pathway in disease pathogenesis. Some of the functions of suppressor T cells now appear to be those of regulatory T cells (Tregs), which represent 5–10% of CD4<sup>+</sup> cells [65]. Natural Tregs are characterised by high level of CD25 expression as well as expression of transcription factor Foxp3 [66,67]. These cells can dampen the immune response through direct cell to cell contact or indirectly through the production of cytokines such as transforming growth factor (TGF)- $\beta$  and interleukin (IL)-10 [68,69]. Although some studies have shown altered Treg numbers or function in autoimmune thyroid disease (ATD), data have been inconsistent [70–73]. More recent work in 7 GD and 13 HT patients has convincingly demonstrated altered Tregs activity in ATD compared with healthy controls (n=9) or individuals with Down's syndrome (n=3), a condition with known autoimmune predisposition [74]. Therefore, better understanding of the role of Tregs in the development of thyroid autoimmunity may allow early identification of individuals at risk and may even establish novel therapeutic targets.

Another potential mechanism for the development of HT is decreased sensitivity of CD4<sup>+</sup> T cells to the inhibitory effect of TGF $\beta$ . Using peripheral blood cells, Mirandola and colleagues demonstrated increased protein kinase C $\epsilon$  expression in CD4<sup>+</sup> cells, which is responsible for enhanced survival and activity of these cells, secondary to diminished response to TGF $\beta$  inhibition [75].

#### Follicular helper T cells

Follicular helper T cells (Tfh) are a relatively newly identified subset of T helper cells, involved in promoting antigen-specific B cells through the production of IL-21 [76]. These cells express chemokine receptor CXCR5 together with inducible costimulator (ICOS) protein, which represents one of the molecules required for normal cellular function. Using flow cytometry, Zhu

and colleagues [77] have shown increased Tfh cells in the peripheral blood of HT patients, which correlated with thyroid-specific antibody levels. Moreover, CD4<sup>+</sup>CXCR5<sup>+</sup>ICOS<sup>high</sup> cells were found in HT thyroid tissue, further implicating these cells in disease pathogenesis.

#### Cytotoxicity and apoptosis

CD8<sup>+</sup> T cells against both TPO and TG are detected in patients with ATD and mediate gland destruction [78]. However, only 2–3% of infiltrating CD8<sup>+</sup> cells recognise TPO/TG, suggesting the majority of these cells are not thyroid autoantigen-specific. This is not unprecedented as similar data have been reported in type 1 diabetes, another organ-specific autoimmune condition [79]. In addition to cytotoxicity, early reports implicated apoptosis in causing tissue destruction in autoimmune hypothyroidism [80]. Increased expression of the apoptotic molecule Fas is evident on TFC from HT tissue samples, and in vitro studies demonstrate enhanced Fas expression by cytokines but inhibition by TSH [81]. Indeed, the detection of apoptotic cell markers in HT tissue samples, including upregulation of caspase-3 and decreased expression of bcl-2, further supports the role for apoptosis in disease pathology [82]. More recent work has shown that pro-inflammatory cytokines are not only responsible for disruption of thyroid hormone synthesis but can mediate apoptosis of thyroid follicular cells through increased oxidative stress [83].

#### Humoral Immunity

Thyroid-specific antibody production is a key feature of ATD. We briefly summarise the main thyroid antibodies with the most recent developments in this area.

#### TPO/TG antibodies and immunoglobulin subclass

Antibodies against TG and TPO are present in almost all patients with HT [84]. In addition to aiding the diagnosis, TPO antibodies can be used to help predict development of hypothyroidism, particularly when combined with measurement of TSH levels [85]. IgG4-related sclerosing autoimmune disease was first reported more than a decade ago [86] and is characterised by significant organ infiltration of IgG4-positive plasma cells. A number of groups have recently documented a distinct variant of HT where the thyroid gland is infiltrated with IgG4-positive cells. Histologically, IgG4-positive glands showed higher grade fibrosis and more widespread follicular cell degeneration compared with non-IgG4 thyroiditis. Clinical differences were also documented, as IgG4 positivity was associated with male gender, rapid progress of hypothyroidism, more diffuse low echogenicity of the thyroid gland and higher antibody levels [87,88]. In support of the relationship between thyroid fibrosis and IgG4, other investigators found Riedel's thyroiditis to be part of systemic IgG4 disease spectrum, although only a small number of patients were analysed and further studies are warranted to confirm these findings [89].

#### Sodium iodide symporter (NIS) and pendrin antibodies

The NIS mediates iodine uptake by the thyroid gland, while pendrin is responsible for the efflux of iodine through TFCs. NIS antibodies are found in 17–31% of patients with ATD and in some cases these antibodies have a functional role in vitro by inhibiting the activity of the symporter [86–89]. Antibodies against

pendrin are detected in only 9–11% of patients with ATD [90,91]. Although the frequency of NIS and pendrin antibodies is slightly lower in HT compared with GD, the marginal difference indicates that these antibodies are unlikely to determine disease presentation [92,93].

### Thyroid stimulating hormone receptor (TSHR) antibodies

Antibodies against the TSHR receptor have a clear functional role in GD by stimulating receptor function (thyroid stimulating antibodies or TSAb). Less commonly, however, these antibodies possess blocking activity. The balance between TSAb and TBAb (thyroid blocking antibodies) can determine disease presentation (hyper- or hypothyroidism), which explains the fluctuating thyroid hormone levels in some patients with ATD. A number of factors can modulate the balance between TSAb and TBAb, including antithyroid drugs or thyroxine treatment and pregnancy [94]. In one unusual case reported recently, an 80 year old woman with long standing HT developed Graves' disease, with apparently *de novo* synthesis of TSAb and no evidence of TBAb [95]. Understanding how such freakish fluctuations in TSHR autoimmunity occur would provide useful insights into the pathogenesis of thyroid autoimmunity.

### The Role of Cytokines

This topic has been previously reviewed [96,97], and only a brief update is provided here. Cytokines were initially classified into those produced, in mice at least, by Th1 and Th2 T cell subsets, and are involved in cellular and humoral immune responses respectively. Later a third subset was added, called Th3 cells which mainly synthesise TGF $\beta$  and act as regulatory cells [98]. A more recent subset of Th17 cytokines was described, which includes IL-17 and IL-22, cytokines that are involved in the pathogenesis of chronic inflammatory conditions [98,99]. Although IL-22 is classified by some as a Th17 cytokine, others describe a distinct subpopulation of T helper cells that produce this cytokine, termed Th22 [99–102].

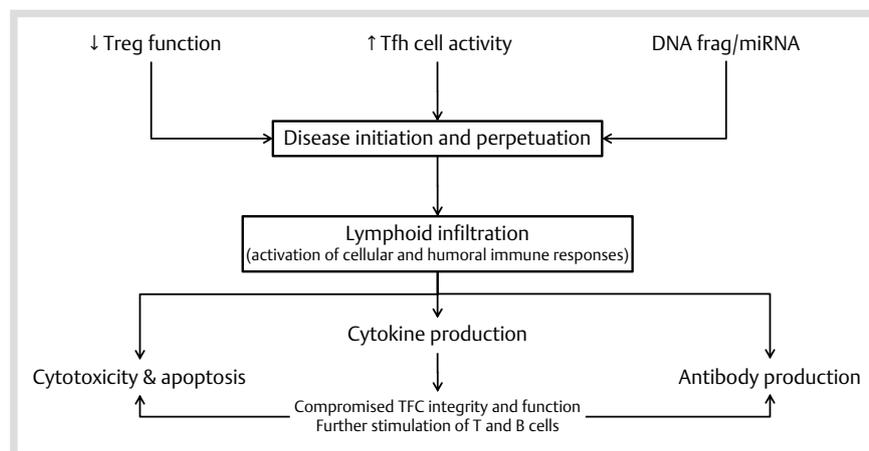
Studies have attempted to understand the type of cytokine response in ATD and a predominance of a Th1 response in HT has been demonstrated [97,100]. However, we should be cautious in our interpretations as surgery is unusual in HT and could be introducing a selection bias making general applicability of the results uncertain. Therefore, studies on peripheral

blood cytokine levels may be more appropriate but these have their drawbacks in terms of sensitivity and specificity.

A study investigating IL-17 and IL-22 has shown increased plasma levels of these cytokines in ATD, particularly in patients with HT. This was associated with stronger expression of IL-17 and IL-22 in the thyroid gland of HT patients compared with GD or controls, suggesting a specific role for these cytokines in autoimmune hypothyroidism [101]. Production of IL-22 is promoted by IL-6 and tumour necrosis factor- $\alpha$ . Interestingly, peripheral T lymphocytes from HT patients show enhanced differentiation into Th22 cells following IL-6 stimulation *in vitro*. Moreover, IL-22 levels correlate with TPO antibody levels in patients with HT, suggesting a role for this cytokine in antibody production [102]. However, others have documented that IL-22 has a role in GD rather than HT pathogenesis [103]. Given these contradictory data further studies are warranted to clarify the role of IL-22 in HT.

IL-23, produced by cells of the innate immune response, is part of the IL-12 family promoting a Th1 response. IL-23 serum levels were higher in 81 HT patients compared with 80 controls, although only 56% of HT patients had detectable levels of this cytokine [104]. IL-14 and IL-16 have also been studied, as these too are involved in humoral and cellular immune responses respectively. IL-14 and IL-16 gene expression was detected in almost all HT tissue samples but only a minority of MNG, suggesting a role for these cytokines in autoimmune hypothyroidism. However, the detection of IL-14 and IL-16 in GD tissue samples indicates that these cytokines are not HT-specific but more likely to play a role in the diverse spectrum of ATD (Kemp et al., manuscript under revision). On the other hand, recent work suggests that interferon  $\gamma$  inducible protein 10, produced by thyrocytes and immune cells, may be responsible for more severe tissue destruction in thyroid autoimmunity and further work is needed to investigate this possibility [105].

In addition to the effects of cytokines on infiltrating inflammatory cells, these molecules can modulate thyroid cell growth and function, and can even alter the immunological properties of these cells [97]. TFC integrity is compromised in HT, related, at least in part, to reduced expression of junction proteins, including ZO-1 and JAM-A. *In vitro* studies have demonstrated that IL-1 $\beta$  can directly modulate junction protein expression, thus mediating TFC destruction in HT [106]. Cytokines can also reduce caveolin 1 production (part of the thyroisome together with TPO and dual oxidase), thereby directly modulating thyroid hormone production [83].



**Fig. 1** Summary of the pathogenic mechanisms in Hashimoto's thyroiditis. Compromised function to T regulatory (Treg) cells, increased activity of follicular helper T cells (Tfh), DNA fragments (frag) released following cell death and altered microRNA (miRNA) profile result in initiation and perpetuation of the autoimmune process. Thyroid infiltrating T and B cells result in cell cytotoxicity, apoptosis and antibody production. A large number of cytokines are synthesised by the infiltrating inflammatory cells, which further contribute to the inflammatory process and gland destruction.

## DNA Fragments and Micro RNA



Previous work has shown that molecules released from dying or dead cells encourage the development of a sterile inflammatory milieu, which in turn activates the immune response [107]. More specifically, the release of genomic DNA has been shown to activate innate immunity [108]. In vitro thyroid cell injury and subsequent genomic DNA release was associated with the development of an inflammatory reaction and compromised function of key thyroid proteins including NIS [109]. In a series of elegant experiments, the investigators demonstrated that histone H2B, which bound genomic DNA, was responsible for activation of innate immunity and therefore sterile thyroid injury appears to be enough to trigger thyroid autoimmunity.

MicroRNAs (miRNA), which are small noncoding RNA regions, have also been implicated in the pathogenesis of thyroid immunity. Various miRNA have been shown to control innate and adaptive immune responses [110]. In HT tissue, obtained using fine needle aspiration, miR-155\_2 was found to be decreased, coupled with an increase in miR-200a1 when compared with healthy control thyroid tissue [111]. In addition to tissue samples, serum miRNA was also tested with miR-22, miR-375 and miR-451 showing increased levels in both HT and GD patients compared with controls [112]. Further work in this area is required to understand the potential of miRNA as novel therapeutic targets in ATD in general and HT in particular.

The pathogenic mechanisms operating in HT are summarised in **Fig. 1**.

## Conflict of Interest



The authors declare no conflict of interest.

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