


Risk Factors for 30-Day Non-Neurological Morbidity and Cerebrospinal Fluid Leak in Patients Undergoing Surgery for Vestibular Schwannoma

Yaroslav Gelfand¹ Michael Longo²  Naomi Berezin³ Jonathan P. Nakhla⁴
Rafael De la Garza-Ramos¹ Vijay Agarwal¹

¹ Leo M. Davidoff Department of Neurosurgery, Montefiore Medical Center/Albert Einstein College of Medicine, Bronx, New York, United States

² Albert Einstein College of Medicine, Yeshiva University, Bronx, New York, United States

³ Department of Surgery, Montefiore Medical Center, Bronx, New York, United States

⁴ Department of Neurosurgery, Rhode Island Hospital, Providence, Rhode Island, United States

Address for correspondence Vijay Agarwal, MD, Leo M. Davidoff Department of Neurosurgery, Montefiore Medical Center/Albert Einstein College of Medicine, 3316 Rochambeau Ave, Bronx, NY 10467, United States (e-mail: vjagarwal@gmail.com).

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Abstract

Introduction We analyzed perioperative risk factors for morbidity and mortality for the patients undergoing surgical intervention for vestibular schwannoma along with rates of cerebrospinal fluid (CSF) leaks that required surgery.

Materials and Methods Patients undergoing surgery vestibular schwannoma were identified in the American College of Surgeons National Surgical Quality Improvement Program database from 2012 to 2016 using current procedural terminology (CPT) codes for posterior fossa surgical approaches and International Classification of Diseases 9th revision (ICD 9) and ICD 10 codes for peripheral nerve sheath tumor. Preoperative laboratories, comorbidities, and operative times were analyzed along with CSF leaks and unplanned returns to the operating room.

Results Nine-hundred ninety-three patients fit the inclusion criteria. Average age was 51, 41% were male, and 58% were female. Mortality within 30 days of the operation was very low at 0.4%, complications were 7% with infection being the most common at 2.3%, and unplanned reoperations happened in 7.4% of the cases. Dependent functional status (odds ratio [OR]: 5.7, 95% confidence interval [CI]: 1.9–16.6, $p = 0.001$), preoperative anemia (OR: 2.4, 95% CI: 1.2–4.5, $p = 0.009$), and operative time over 8 hours (OR: 1.9, 95% CI: 1.1–3.4, $p = 0.017$) were the only significant predictors of perioperative complications. CSF leak postoperatively occurred in 37 patients (3.7%). Reoperation for CSF leak was necessary in 56.3% of the cases. Operative time over 8 hours was the only independent significant predictor of postoperative CSF leak (OR: 2.2, 95% CI: 1.1–4.3, $p = 0.028$).

Keywords

- ▶ vestibular schwannoma
- ▶ complications
- ▶ CSF leak
- ▶ reoperation

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Conclusion Dependent functional status preoperatively, preoperative anemia, and duration of surgery over 8 hours are the greatest predictors of complications in the 30-day postoperative period.

Introduction

Refinement of surgical techniques in vestibular schwannoma (VS) resection in the past 50 years has significantly improved the outcomes with morbidity under 10% and mortality between 0.8 and 5% depending on tumor characteristics.¹⁻³ While the majority of reports focus on neurologic outcomes, few analyze non-neurologic morbidity and CSF leak propensity in VS. Those that do usually rely on a single institutional experience.⁴⁻⁶

We reviewed a large multicenter patient sample to analyze potential predictors of non-neurologic morbidity, mortality, return to the operating room, and CSF leak incidence and repair strategies in patients undergoing surgery for VS resection.

Methods

Study Design and Data Source

This is a retrospective multicenter study using the American College of Surgeons National Surgical Quality Improvement Program (ACS-NSQIP) database from 2006 to 2016. This study was deemed exempt from review by the local institutional review board (2016-6862). Consent requirements were waived since ACS-NSQIP data are deidentified. We used the International Classification of Diseases 9th revision (ICD 9) (225.1) and ICD 10 (G33.3) codes for benign neoplasm of cranial nerve and the CPT codes for craniectomy for cerebellar-pontine angle (CPA) angle tumor (61520), resection of lesion at skull base (61616), along with the CPT codes for a transtemporal/translabrynthine (TL) approach to CPA (61526, 61530) to identify adult patients (age over 18) who underwent craniotomy for VS. The NSQIP variable for return to the operating room along with the variable for the correlating CPT codes for return to the operating room was analyzed as well.

Collected Data

Demographic data collected included age, gender, preoperative functional status (dependent versus independent), and body mass index (BMI). BMI was separated into two categories: normal (BMI < 30 kg/m²) or obese (BMI ≥ 30 kg/m²). Medical comorbidities analyzed included diabetes, history of hypertension requiring medication, chronic obstructive pulmonary disease, congestive heart failure, history of smoking, chronic steroid use, and dialysis. Perioperative complications reviewed were deep or superficial surgical site infection, wound dehiscence, sepsis, pneumonia, urinary tract infection, renal complications (acute kidney injury and renal failure), deep venous thrombosis, septic shock, myocardial infarction, cardiac arrest, stroke, pulmonary embolism, and prolonged ventilation (over 48 hours).

Operative data included utilization of a TL approach and operative time. Laboratory data reviewed included hematocrit (Hct) and white blood cell count (WBC). Anemia was defined as Hct <40% in males and < 36% in females.⁷ Our primary outcome measure was 30-day non-neurologic morbidity.

Our secondary outcome measure was cerebrospinal fluid (CSF) leak. We used NSQIP variables for readmission and reoperation of ICD 9 and ICD 10 codes for CSF leak (349.81 and G96.0, respectively) along with reoperation CPT codes for CSF leak (61618 and 62100) to identify patients who were readmitted or required reoperation for CSF leak.

Our tertiary analysis included comparison between patients who underwent a TL approach with or without craniotomy with those who underwent craniotomy alone for perioperative complications and CSF leaks specifically.

Statistical Analysis

All statistical analysis was performed using STATA 13.0 (Stata Corp LLC, College Station, Texas, United States). Descriptive statistics were used to describe the study population. Factors associated with 30-day morbidity, mortality, and CSF leak were assessed via univariate analyses. Comparisons were made via chi-squared tests, Fisher's exact test, or student *t*-tests as appropriate and any factor with a *p*-value ≤ 0.05 was then included in a multivariate regression model to identify independent predictors of outcome. Secondary analyses included a comparison in CSF leaks between patients who underwent TL approaches with or without craniotomy to those who underwent craniotomy alone.

Results

A total of 993 patients who underwent surgery for resection of VS were identified from 2006 to 2016. Average age for all patients was 50.6 years—41.4% were male, and 58.6% were female. Average BMI was 29.6 kg/m². The three most common comorbidities overall were hypertension (31.9%), smoking (9.8%), and diabetes (8.7%). Average WBC and Hct were 7.3 cells/μL and 41.3%, respectively (►Table 1). About 11.3% of patients were anemic. The overall complication rate was 14.8%, with surgical site infection being most common complications at 2.3% (►Table 2). The 30-day mortality rate was very small at 0.4%. The percentage of patients with CSF leak was 3.7% and those who needed a reoperation within the 30-day period was 7.4% (►Table 2).

Factors Associated with Morbidity

The univariate analysis for risk factors associated with overall nonoperative morbidity can be found in ►Table 3. While further analyzing operative times, we noted that 75% of

Table 1 Demographics, laboratory, and operative data

Number of cases	993
Mean age	50.6
Male sex (%)	41.4
Female sex (%)	58.6
WBC average (cells/ μ L)	7.3
Hematocrit average (%)	41.3
Anemia (%)	11.3
Dependent functional status (%)	1.8
Comorbidities	
Diabetes (%)	8.7
Hypertension (%)	31.9
COPD (%)	0.4
Heart failure (%)	0
Smoking (%)	9.8
Chronic steroid use (%)	4.6
Hemodialysis (%)	0
Body mass index (kg/m ²)	29.6
Emergency procedure (%)	0.5
Translabyrinthine with or without craniotomy (%)	33.7
Craniotomy alone (%)	66.3
Operative time (mean hours)	6.6

Abbreviations: COPD, chronic obstructive pulmonary disease; WBC, white blood cell.

operations were < 8 hours long. With operative time showing significance as predictor of complications, we chose 8 hours as a cutoff point to dichotomize operative time variables. On multivariate analysis that included age, gender, and predictors that were statistically significant from **Table 3**, dependent functional status (odds ratio [OR]: 5.7, 95% CI: 1.9–16.6, $p = 0.001$), anemia (OR: 2.4, 95% CI: 1.2–4.5), and operative time over 8 hours (OR: 1.9, 95% CI: 1.1–3.4, $p = 0.017$) were statistically significant risk factors for overall complications (**Table 5**).

Analysis of the Translabyrinthine Approach and CSF Leaks

There was no difference in overall complications or mortality (**Table 2**) between patients who underwent a TL approach with or without craniotomy (translab group) and those who underwent craniotomy alone (craniotomy group). CSF leak, however, approached statistical significance ($p = 0.051$). On the univariate analysis for risk factors associated with the CSF leak found in **Table 4**, another factor that turned out to be significant was operative time ($p = 0.008$). Of note, there was a statistically significant difference in average operative times between TL and no TL group: 6.5 hours in TL group versus 6.9 hours in non-TL group, $p = 0.039$. As in prior sections we split operative time into two groups: over 8 hours and under 8 hours. On multivariate analysis (**Table 5**),

however, a TL approach failed to reach statistical significance ($p = 0.075$). Operative time over 8 hours was the only identified predictor of CSF leak in patients undergoing operation for VS ($p = 0.028$). Further analysis of CSF leak revealed that 27 (73.0%) required a return to the operating room (**Table 6**). Sixteen patients (43.2%) required craniotomy for CSF leak repair. CSF leak relevant procedures were done in 21 cases of CSF leak (56.7%). We assume the remainder of the leaks (43.3%) were fixed with nonoperative measures (such as a lumbar drain).

Discussion

Non-Neurological Morbidity

Although the overall complication rate following VS resection approaches 30%,^{8,9} medical complication rates in contemporary studies are consistently below 10%.^{9–11} While this is in line with our reported rate of 7.1%, few studies have examined risk factors for non-neurological morbidity distinctly. Mahboubi et al reported a non-neurological complication rate of 5.1% following VS resection in their study of the California Hospital Inpatient Discharge Dataset, yet only reported risk factors related to general administrative information.⁹ More recently, Sylvester et al reported a medical complication rate of 9.8% and determined that such complications occurred significantly more often in patients aged ≥ 65 years compared with < 65 years (22.7 vs. 7.9%, $p < 0.01$).¹⁰ Acute cardiac events were the most common medical complication, occurring far more often in the elderly group (10.8 vs. 1.9%, $p < 0.001$).¹⁰ Our results differ from those of Sylvester et al, as age was *not* associated with increased non-neurological morbidity ($p = 0.59$ in univariate). Additionally, the rate of major cardiac events (myocardial infarction or cardiac arrest) was very low in our study (0.3 and 0.2%, respectively). These differences may be a product of different study populations and outcome measures. The present study did, however, identify several other significant predictors of non-neurological morbidity. Dependent functional status, anemia preoperatively, and duration of surgery over 8 hours demonstrated 5.7, 2.4, and 1.9 times increased odds of medical complications, respectively. While dependent functional status and operative time may be dependent on the patient and tumor size and cannot be corrected, anemia is a potentially modifiable factor that more than doubles the risk of postoperative complications.

Prior studies have identified preoperative anemia as a predictor of postoperative complications, prolonged length of stay, and readmission rates in neurosurgical procedures.^{12,13} Spine literature also has included preoperative anemia as a modifiable risk factor to reduce complications and optimize recovery.^{14–16} They have found that preoperative anemia is an independent risk factor for complications and prolonged lengths of stay in lumbar fusions, posterior cervical fusions, and deformity surgery. While overall risk of blood and transfusions is low in VS surgery (2.1% in this cohort), preoperative anemia persisted as an independent predictive factor for postoperative complications. Performing appropriate workup and correcting potential causes of

Table 2 Non-neurologic morbidity, mortality, CSF leak, and return to the operating room

Complication	Overall	No translab approach	Translab approach with/without craniotomy	p-Value
Deep or superficial surgical site infection (%)	2.3	2.3	2.4	0.914
Wound dehiscence (%)	0.6	0.5	0.9	0.398
Sepsis (%)	1.8	2.3	0.9	0.122
Septic shock (%)	0.2	0.2	0.3	0.626
Pneumonia (%)	1.0	1.4	0.3	0.111
Reintubation after the operating room (%)	1.2	1.4	0.9	0.520
Pulmonary embolism (%)	0.4	0.5	0.3	0.711
Cerebrovascular incident (%)	0.3	0.3	0.3	0.988
Myocardial infarction (%)	0.3	0.3	0.3	0.988
Cardiac arrest (%)	0.2	0.3	0	0.312
Urinary tract infection	0.9	1.1	0.6	0.463
Renal insufficiency (%)	0	0	0	n/a
Deep venous thromboses (%)	1.2	0.8	2.1	0.070
Overall complications (%)	7.1	7.9	5.4	0.141
Blood transfusions (%)	2.1	2.6	1.2	0.150
CSF leak (%)	3.7	2.9	5.4	0.051 ^a
Mortality (%)	0.4	0.6	0	0.153
Return to the operating room (%)	7.4	7.5	7.2	0.872

^aStatistically significant.

Abbreviation: CSF, cerebrospinal fluid.

preoperative anemia have been advocated in other specialties to reduce postoperative complications.^{17,18} While further studies are needed, patients evaluated for VS surgery may benefit from hematologic workup and anemia correction preoperatively.

CSF Leak

Reported rates of CSF leak following VS resection in the literature are quite variable: studies reported 2.4 to 12.0% in recent literature.^{2,4-6,8-10} The rate of CSF leak was observed to be significantly higher in TL approaches for VS.^{4,5} While we observed a difference in CSF leak rates between TL group and no TL group (► **Table 2**), that difference was not statistically significant on multivariate regression analysis controlling for age, gender, and operative time. As mentioned in our results, the operative times were longer on average for the TL group and that may have accounted for the higher risk of CSF leaks in our data.

Among the most common approaches to VS, multiple reconstruction techniques to prevent CSF fistula may be utilized, including primary closure, fibrin glue, fat or temporalis muscle grafting, air cell obliteration with bone wax, and/or hydroxyapatite cement.¹⁹⁻²¹ Selesnick et al¹⁹ provide a comprehensive meta-analysis that examines the prophylactic surgical techniques in VS surgery. Although the authors

note that techniques, such as hydroxyapatite closure,^{21,22} have yielded promising results, no specific technique has consistently demonstrated a relationship with significantly reduced CSF leak rates.¹⁹

In terms of predictors unrelated to operative approach, several risk factors have been identified in the literature, like BMI, age, and operative time. Copeland et al determined that CSF leaks were more likely to occur in patients with higher BMI.⁴ He also found operative times to have a slight impact on the CSF leak (OR: 1.04). Russel et al identified males and younger patients as demographics at greater risk in a TL approach cohort.⁵ He found that surgical times had a more significant impact on CSF leaks (OR: 1.85). Our findings corroborate prior findings that prolonged surgical time increases the likelihood of CSF leak.^{4,5} While no specific numbers are mentioned in prior papers, we chose the 75th percentile of operative duration for our cutoff point and found that operative time > 8 hours was associated with 1.8 times increased risk of CSF leak.

Several explanations for prolonged operative times contributing to the CSF have been proposed in the literature. Brennan et al have showed that tumor size can affect both the rates of CSF leak and operative times.²³ We do not have the information about the tumor size in our cohort, but it might be that those requiring longer operations had a larger, more

Table 3 Univariate analysis for predictors of complications

Variable	No complications	Complications	p-Value
Age	50.7	49.7	0.594
Male (%)	40.9	48.6	0.206
Female (%)	59.2	51.4	0.206
WBC average (cells/ μ L)	7.3	7.6	0.369
Hematocrit average (%)	41.4	40.1	0.021 ^a
Anemia (%)	10.4	23.1	0.002 ^a
Dependent functional status (%)	1.2	10.0	<0.001 ^a
Comorbidities			
Diabetes (%)	8.7	8.6	0.978
Hypertension (%)	31.2	41.4	0.077
COPD (%)	0.3	1.4	0.160
Heart failure (%)	0	0	n/a
Smoking (%)	10.1	5.7	0.236
Chronic steroid use (%)	4.4	7.1	0.300
Hemodialysis (%)	0	0	n/a
Body mass index (average)	29.5	30.7	0.156
Emergency procedure (%)	0.5	0	0.537
Translabyrinthine approach (%)	34.3	25.7	0.141
Operative time (hours)	6.5	7.7	<0.001 ^a

^aStatistically significant.

Abbreviations: COPD, chronic obstructive pulmonary disease; WBC, white blood cell.

Table 4 Univariate analysis for predictors of CSF leak

Variable	No Leak	Leak	p-Value
Age	50.5	52.1	0.508
Male (%)	43.2	41.3	0.816
Female (%)	58.7	56.8	0.816
WBC average (cells/ μ L)	7.3	7.2	0.763
Hematocrit average (%)	41.3	41.0	0.652
Anemia (%)	11.1	15.2	0.473
Dependent functional status (%)	1.9	0	0.400
Comorbidities			
Diabetes (%)	8.6	10.8	0.636
Hypertension (%)	31.6	40.5	0.252
COPD (%)	0.4	0	0.693
Heart failure (%)	0	0	n/a
Smoking (%)	9.9	5.4	0.362
Chronic steroid use (%)	4.7	2.7	0.569
Hemodialysis (%)	0	0	n/a
Body mass index (average)	29.6	30.5	0.419
Emergency procedure (%)	0.5	0	0.659
Translabyrinthine approach (%)	33.2	48.7	0.051 ^a
Operative time (hours)	6.6	7.7	0.008 ^a

Abbreviations: COPD, chronic obstructive pulmonary disease; WBC, white blood cell.

complex masses. There are several other studies, however, that suggest that tumor size and staging do not influence the risk of CSF leak, and thus the effect of tumor size on operative time is not an issue at hand.^{19,24} Russel et al postulated that longer operative times result in blood accumulation in the basal cisterns, leading to the problems with CSF absorption potentially contributing to hydrocephalus and CSF leak.⁵ They also postulated that surgeon fatigue by the end of the longer case may lead to suboptimal closure techniques.

Unlike prior studies, neither BMI, gender, nor age achieved significance in our univariate analysis for CSF leak risk factors. Although it is not possible to determine

Table 5 Multivariate regression results for complication and CSF leak controlling for statistically significant predictors from univariate analysis

Complications	OR	95% CI	p-Value
Dependent functional status	5.7	1.9–16.6	0.001
Anemia	2.4	1.2–4.5	0.009
Operative time over 8 hours	1.9	1.1–3.4	0.017
CSF leak			
Translabyrinthine approach	1.8	0.9–3.5	0.075
Operative time over 8 hours	2.2	1.1–4.3	0.028

Abbreviations: CI, confidence interval; CSF, cerebrospinal fluid; OR, odds ratio.

Table 6 Reason for return to the operating room in patients with CSF leak

Total CSF leaks	37
Returned to the operating room	27 (73%)
CPT code for reoperation	
21230 (cartilage graft to Face)	1
61618 (secondary repair of dura for cerebrospinal fluid leak)	9 (24.3%)
62100 (craniotomy for repair of dural/cerebrospinal fluid leak)	7 (18.9%)
67912 (gold weight implant)	1 (2.7%)
69140 (excision exostosis)	1 (2.7%)
69511 (mastoidectomy)	1 (2.7%)
69620 (myringoplasty)	1 (2.7%)
69700 (closure postauricular fistula, mastoid)	1 (2.7%)
Unknown	5 (13.5%)
Returned to the operating room for CSF leak repair	20 (54.1% of CSF leaks)

Abbreviations: CSF, cerebrospinal fluid.

the exact cause of this disparity, perhaps the multicenter nature of our cohort is different from a single institutional sample such as in the Copeland et al study. Additionally, the present study population and that from Russel et al differ, as we analyzed a heterogenous cohort, and Russel's consisted of patients that only underwent TL approaches.⁵

We did not identify any prior studies that discuss the rate of the return to the operating room for patients that suffered from postoperative CSF leak in patients who had VS surgical resected. We found that 54.1% of patients returned to the operating room for CSF leak repairs. The rest went back to the OR for other procedures (like a gold weight). Unfortunately, one of the limitations of a large national database analysis is the lack of the ability to further investigate how the rest of the leaks were specifically treated. We presume since 54.1% required surgical repair; the other 45.9% were treated non-operatively such as by lumbar CSF drainage.

Limitations

There are several limitations in our study most of which are inherent to the NSQIP database. First the data are only collected from the institutions that participate in NSQIP; thus, the complication rates and mortality may vary on the national level. Second, the database only considers the complications, mortalities, and readmissions in the 30-day period postoperatively, and all the events after that period of time are missed or not recorded. Third, the data are captured using billing codes like ICD 9 and 10 along with CPTs, which are known to be prone to errors, omissions, and often lack the specificity. In our selection process, we used ICD 9 and 10 codes for benign neoplasms of the cranial nerves. However, those do not differentiate VS patients from the ones with trigeminal or facial nerve schwannomas. While the later are quite rare, we do not have a good way of excluding them from the study. Finally, an important information about tumor size, staging, neurologic comorbidities, and prior surgery, all of which can influence the complexity of the operation are not available in the database.

Conclusion

In this study, we identified preoperative anemia and dependent functional status as independent risk factors for postoperative complications in VS surgery patients, while confirming prior implications of prolonged operative time in increased complication rates. We also determined that over 40% of postoperative CSF leaks are managed nonoperatively, while the rest required surgical intervention. Although further studies are needed to validate our results, we believe this information may aid in patient counseling, stratification of operative risk, perioperative planning, optimization, and enhanced postoperative recovery.

Disclaimer

The manuscript submitted does not contain information about medical device(s)/drug(s).

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Conflict of Interest

None declared.

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