Population-Based Estimation of the Preterm Birth Rate in Lilongwe, Malawi: Making Every Birth Count

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Globally, preterm birth (PTB) accounts for 15.4% of the 6.3 million children who died in their first 5 years of life in 2013, making it the leading cause of childhood mortality.1,2 Malawi has the highest rate of PTB in the world. Estimates of the PTB rate ranging from 7.9 to 29.7%.2–8 However, these numbers result from studies with notable potential for bias. National registries do not always specify the method of gestational age (GA) assessment or the lower GA cut-off to define PTB,3 thus they may underestimate PTB by neglecting to include early PTBs (<28 weeks). On the other hand, prospective trials4–6,9 require patients to enroll during antenatal care and self-select for patients who are able to seek

Abstract

Objective  The objective of this study was to perform a population-based estimation of the preterm birth (PTB) rate in regions surrounding Lilongwe, Malawi.

Study Design  We partnered with obstetrician specialists, community health workers, local midwives, and clinicians in a 50 km region surrounding Lilongwe, Malawi, to perform a population-based estimation of the PTB rate during the study period from December 1, 2012 to May 19, 2015.

Results  Of the 14,792 births captured, 19.3% of births were preterm, including preterm early neonatal deaths. Additional PTB risk factors were similarly prevalent including domestic violence, HIV, malaria, anemia, and malnutrition.

Conclusion  When performing a population-based estimation of the rate of PTB, including women without antenatal care and women delivering at home, the 19.3% rate of PTB is among the highest recorded globally. This is accompanied by a high rate of risk factors and comorbid conditions.

Keywords

► preterm birth  
► Malawi  
► global health  
► global maternal health

and obtain regular prenatal care. Studies utilizing active surveillance of rural and urban villages, and both local health centers and regional referral centers, overcome both these limitations and aim to capture all comers using the best available data; however, relatively rigorous assessment of GA may be lacking.  

While the etiology of PTB is multifactorial, its association with infection and inflammation is well established. Therefore, we also queried comorbidities associated with or causal to PTB, including domestic violence, HIV, malaria, anemia, and malnutrition.

Based on prior estimates, we hypothesized that the rate of PTB would be approximately 20%. This study sought to (1) perform a population-based estimation of the PTB rate in a 50-km region surrounding Lilongwe, Malawi, using active surveillance of rural and urban villages and both local health centers and regional referral centers to capture all comers using the best available data for assessment of GA, and (2) measure related medical and environmental factors associated with PTB.

**Patients and Methods**

This study was approved by the National Health Sciences Research Committee with the Malawi Ministry of Health (institutional review board (IRB)-00003905, Federal Wide Assurance 00005976). Baylor College of Medicine/Texas Children’s Hospital in Houston, Texas has an established pediatric HIV clinic in Lilongwe, Malawi, located at Kamuzu Central Hospital (KCH) which is part of the Baylor International Pediatrics AIDS Initiative (BIPAI, Baylor-Malawi). Since 2011, Baylor College of Medicine’s Department of Obstetrics and Gynecology has collaborated with the Malawi Ministry of Health and the College of Medicine of Malawi with the goal of expanding obstetrical care and antenatal services with a focus on determining the prevalence of PTB and improving care surrounding preterm labor and PTB.

To perform a population-based estimation of the PTB rate, we partnered with obstetrician specialists, community health workers, local midwives, and clinicians in a 50-km region surrounding Lilongwe, Malawi (the distance from the farthest site, Kabudula, to Lilongwe is 47.5 km by road). Health centers at the sites of Kabudula and Area 25 (Rural Health Centers) and the sites of Kawale and Area 18 (Urban Health Centers) along with KCH regional referral hospital were designated as study sites. Local community health workers were assigned to each site plus one program manager (five total) and were tasked with ascertaining data on the population-based rate of PTB. Community health workers are individuals without formal health training whose role is to educate patients and community members through prepared speeches and songs; they also serve as liaisons with the community leaders to further promote health messages.

Data were collected between December 1, 2012 and May 19, 2015 via review of the delivery records and medical records at the participating sites to determine the rate of PTB at each site. We also interviewed women delivering at these centers to ascertain data that would not otherwise be captured in the delivery and medical records with a goal of documenting as many women within the first 24 hours postpartum as feasible. Women of all GAs were included. In these areas, we also conducted standardized home visits by certified and specifically trained community health field workers to ascertain information on women who may have delivered either at home or while in transit to the hospital. The rationale for using both health care facilities and rural village visits was to collect a representative population-based estimate of PTB and specifically to obtain data on previously overlooked home and rural village births. For participants interviewed at home, interview questions were determined a priori and approved by the Ministry of Health IRB, and included the reason for delivery outside of a health center, the methods used to confirm GA during prenatal care, infant weight, and status as living or demised. For cases of demise, we specifically queried whether the stillbirth was “fresh,” which is a local term indicating early neonatal death; we also queried whether the stillbirth was macerated, and the estimated GA. For participants interviewed at their homes, data on comorbidities were ascertained by interview. Data regarding sensitive topics, such as domestic violence and HIV status, were queried privately. Diagnoses, such as preeclampsia, were established by blood pressure assessment with manual sphygmomanometers and blood and urine samples, for those delivering at the hospital. The capacity to make such diagnoses for home births were limited to the resources available to those attending home births; since these deliveries are not supported by the government, home birth practices vary widely. For participants interviewed at health centers or the hospital, the collected information was the same but delivery details and reported comorbidities were confirmed via the use of medical records. As included, the abstracted data were the source of the “best obstetrical” estimated GA as recorded in the birth logs (e.g., fundal height measurements in concordance with ultrasound estimate, if an ultrasound had been performed). The estimate for most study sites is based on last menstrual period in combination with fundal height measurements, but at Kabudula (a rural health center) and KCH (the referral hospital), complete obstetrical ultrasounds were available to confirm or alter the estimated GA. During the study time period, women receiving antenatal care at these two sites routinely underwent obstetrical ultrasounds at approximately 28 weeks for the dual purpose of confirming GA and to assess fetal position. Accordingly, GA was only adjusted if the ultrasound dates differed from the clinical dates by 21 days or more.

Data were entered in real time directly into a deidentified centralized web-based survey tool which allows for local data entry in Malawi and distant data export and analysis in the United States. (SurveyMonkey Inc., Palo Alto, CA; www.surveymonkey.com) At home visits, participants were specifically asked about home births or deliveries outside of the health centers; if deliveries discussed at home visits occurred at a health center, data were corroborated with the medical records, but a separate entry was not created (to minimize collinearity).
As this was a population-based assessment to estimate the rate of PTB in a 50-km region surrounding Lilongwe, Malawi, and the surrounding area, no sample size calculation was performed. Demographic data and descriptive statistics are reported. Chi-square, Fisher’s exact test, and the Student’s t-test were performed where appropriate. The estimated GA at delivery by clinical estimates was correlated with ultrasound findings (when available) via Pearson’s correlation. All statistical analyses were performed using Prism 6.0 (GraphPad Software Inc., La Jolla, CA) and R version 3.3.1 (R Foundation for Statistical Computing, Vienna, Austria).

Results

During the study period from December 1, 2012 to May 19, 2015, there were 14,792 births reported. Of these, 14,676 occurred in health centers or hospitals, and 116 occurred in the home or in transit. This is consistent with the goals of the Malawian Ministry of Health, namely, the women deliver at a Health Center or hospital.

Demographic data are shown in Table 1. The average age of the population was 25.4 (standard deviation [SD] = 5.9) and there was a statistically significant difference in the rate of PTB by maternal age with higher rates among women <20 years old and between 30 and 39 years old and also higher rates of PTB among nulliparous women. Women experiencing PTB had a slightly higher body mass index. Less than 1% of women reported smoking during pregnancy. While very few women reported domestic violence during their pregnancy, those who did experience domestic violence had higher rates of PTB with a relative risk of 1.9 (95% confidence interval [CI]: 1.2–2.9). Other comorbidities associated with PTB included HIV positive status, malaria, anemia, malnutrition, and hypertensive disorders of pregnancy. These diagnoses were abstracted from the clinical chart for women delivering at the hospital and via interview for women who delivered at home. Women experiencing PTB were also less likely to have undergone an ultrasound during pregnancy with a relative risk of 1.17 (95% CI: 1.12–1.20). Demographic data and comorbidities by study site are shown in Table 2.

The total PTB rate was 19.3% including preterm deliveries with early neonatal deaths. The rate of PTB differed based upon delivery site (health centers or hospital compared with home births). Table 3 shows birth statistics for the rural health centers and urban health centers together with statistics for the referral hospital (KCH) overall and also for participants referred to the hospital from the rural and urban health centers. While the overall PTB rate in rural populations was primarily driven by PTB deliveries occurring at 34 to 37 weeks of gestation (33.5% of rural PTB), very PTB births (<32 weeks of gestation) were often transported to the central referral hospital; 82.9% of deliveries prior to 32 weeks of gestation from rural and urban health centers were referred to KCH. Additionally, this population represented a significant number of the deliveries at KCH: 26.6% of the total deliveries at KCH that occurred prior to 32 weeks of gestation were referred from rural or urban health centers.

The overall rate of PTB at KCH was 82.3%; 73.6% of these were the result of referrals.

There was not a significant difference between the two populations (rural vs. urban health centers) in the PTB rate prior to 37 weeks of gestation (7.1 and 7.0 at the rural and urban health centers, respectively). At the rural hospitals, indicated PTB was rare (0 and 0.03% for the rural and urban health centers, respectively). At KCH, indicated PTB accounted for 7.6% of PTB. Cesarean birth occurred in 26.7% of PTB at KCH. We also collected data on early neonatal deaths that account for up to 5.2% of PTB at KCH.

The percent of births as a function of reported GA and also as a function of birth weight are shown in Fig. 1. The rural and urban health centers both peaked at 36 weeks GA, respectively, with corresponding birth weights of 2.6 to 3.0 kg. KCH, however, peaked at 38 weeks GA, but with a lower birth weight of 2.1 to 2.5 kg.

To assess the accuracy of the clinically assessed GA, the ultrasound-calculated GA was compared with the estimated delivery date based upon last menstrual period or clinical assessment (n = 170). There was significant correlation between the GA based on the two methods. For the reported GA compared with the calculated GA of term deliveries, \( R^2 = 0.0637 \) with \( p < 0.001 \) (\( p = 6.56 \times 10^{-23} \)). For preterm deliveries, the Pearson’s correlation between the GA at delivery by clinical estimates and ultrasound assessment was \( R^2 = 0.448 \) with \( p < 0.001 \) (\( p = 4.6 \times 10^{-24} \)).

Discussion

When performing a population-based estimation of the rate of PTB in this 50-km region surrounding Lilongwe, Malawi, including women without antenatal care and women delivering at home, the rate of PTB was found to be 19.3%. PTB was noted to be associated with maternal age (<20 and 30–39 weeks), slightly higher body mass index, nulliparity, domestic violence, HIV-positive status, malaria, anemia, malnutrition, and no prior obstetric ultrasound. While it may seem paradoxical that KCH had the most term deliveries but the lowest birth weights, KCH was also the Ministry of Health designated referral hospital, so had higher rates of deliveries with maternal comorbidities, which may result in lower birth weights.

The 19.3% rate of PTB is similar to what has previously been reported, including in sonogram-dated populations in Malawi, and demonstrates that nearly one out of every five births in Malawi occur preterm. Additionally, prior studies included only women with antenatal care or used national databanks, both of which do not capture women delivering outside of the health care system and which also commonly exclude early preterm deliveries or preterm deliveries with early neonatal demise. By utilizing certified and trained community health field workers who went into the rural villages, we were able to capture deliveries in a culturally and socially acceptable manner that would have otherwise been missed. All community health workers and study personnel were Malawian and resided in the communities where they worked, and thus language dialect...
(Chichewa) and social acceptance were not confounders in this study.

PTB in Malawi has been previously associated with malaria, anemia, and malnutrition. Additionally, the association of PTB with maternal age, nulliparity, domestic violence, HIV positive status, malaria, anemia, and malnutrition has been previously reported in studies performed in other countries. HIV is particularly associated with PTB and other adverse perinatal outcomes among women who have not received antiretroviral therapy.

Table 1  Demographic characteristics of women delivering in Lilongwe from December 1, 2012 to May 19, 2015

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>% or mean</th>
<th>Term</th>
<th>Preterm</th>
<th>p-Value</th>
<th>Relative risk (range)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>100.0 (% n=14,792)</td>
<td>(n=11,931)</td>
<td>(n=2,861)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maternal age groups</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maternal age mean (SD)</td>
<td>25.4 (5.9)</td>
<td>25.4 (5.8)</td>
<td>25.4 (6.1)</td>
<td>0.7505</td>
<td></td>
</tr>
<tr>
<td>&lt; 20, n (%)</td>
<td>2,261 (14.4)</td>
<td>1,748 (14.8)</td>
<td>513 (18.1)</td>
<td>&lt;0.0001</td>
<td>1.21 (1.11–1.32)b</td>
</tr>
<tr>
<td>20–29, n (%)</td>
<td>8,712 (59.5)</td>
<td>7,158 (60.6)</td>
<td>1,554 (54.9)</td>
<td>&lt;0.0001</td>
<td>0.83 (0.78–0.89)</td>
</tr>
<tr>
<td>30–39, n (%)</td>
<td>3,409 (23.3)</td>
<td>2,678 (22.7)</td>
<td>731 (25.8)</td>
<td>0.0004</td>
<td>1.15 (1.06–1.24)</td>
</tr>
<tr>
<td>40–46, n (%)</td>
<td>255 (1.7)</td>
<td>224 (1.9)</td>
<td>31 (1.1)</td>
<td>0.0044</td>
<td>0.62 (0.45–0.87)</td>
</tr>
<tr>
<td>Ethnicity/race</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>14,792 (100)</td>
<td>11,931 (100)</td>
<td>2,861 (100)</td>
<td>&gt;0.99</td>
<td></td>
</tr>
<tr>
<td>Body mass index mean (SD)</td>
<td>26.77 (3.71)</td>
<td>26.64 (3.76)</td>
<td>27.30 (3.44)</td>
<td>&lt;0.0001</td>
<td></td>
</tr>
<tr>
<td>Parity</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Parity mean (SD)</td>
<td>1.72 (1.96)</td>
<td>1.76 (1.99)</td>
<td>1.55 (1.75)</td>
<td>&lt;0.0001</td>
<td></td>
</tr>
<tr>
<td>P = 0, n (%)</td>
<td>4,193 (28.3)</td>
<td>3,152 (26.4)</td>
<td>1,041 (36.4)</td>
<td>&lt;0.0001</td>
<td>1.45 (1.35–1.55)</td>
</tr>
<tr>
<td>P = 1, n (%)</td>
<td>3,744 (25.3)</td>
<td>3,134 (26.3)</td>
<td>610 (21.3)</td>
<td>&lt;0.0001</td>
<td>0.80 (0.74–0.87)</td>
</tr>
<tr>
<td>P &gt; 1, n (%)</td>
<td>6,855 (46.3)</td>
<td>5,645 (47.3)</td>
<td>1,210 (42.3)</td>
<td>&lt;0.0001</td>
<td>0.85 (0.79–0.91)</td>
</tr>
<tr>
<td>Smoking status</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nonsmoker in pregnancy</td>
<td>14,788 (99.97)</td>
<td>11,928 (99.97)</td>
<td>2,860 (99.97)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Smoked during pregnancy</td>
<td>4 (0.03)</td>
<td>3 (0.03)</td>
<td>1 (0.03)</td>
<td>0.5768</td>
<td></td>
</tr>
<tr>
<td>Domestic violence</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reported</td>
<td>36 (0.2)</td>
<td>23 (0.2)</td>
<td>13 (0.5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not reported</td>
<td>14,756 (99.8)</td>
<td>11,908 (99.8)</td>
<td>2,848 (99.5)</td>
<td>0.0193</td>
<td>1.87 (1.21–2.89)</td>
</tr>
<tr>
<td>Comorbidities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HIV Positive; n (%)</td>
<td>933 (6.3)</td>
<td>625 (5.2)</td>
<td>308 (10.8)</td>
<td>&lt;0.0001</td>
<td>1.79 (1.62–1.98)</td>
</tr>
<tr>
<td>Syphilis; n (%)</td>
<td>9 (0.1)</td>
<td>5 (0.1)</td>
<td>4 (0.1)</td>
<td>0.0771</td>
<td></td>
</tr>
<tr>
<td>Malaria; n (%)</td>
<td>96 (0.6)</td>
<td>64 (0.5)</td>
<td>32 (1.1)</td>
<td>0.0008</td>
<td>1.73 (1.30–2.30)</td>
</tr>
<tr>
<td>Tuberculosis; n (%)</td>
<td>0 (0.0)</td>
<td>0 (0.0)</td>
<td>0 (0.0)</td>
<td>&gt;0.99</td>
<td></td>
</tr>
<tr>
<td>Anemia; n (%)</td>
<td>37 (0.3)</td>
<td>12 (0.1)</td>
<td>25 (0.9)</td>
<td>&lt;0.0001</td>
<td>3.52 (2.80–4.41)</td>
</tr>
<tr>
<td>Malnutrition; n (%)</td>
<td>10 (0.1)</td>
<td>5 (0.04)</td>
<td>5 (0.2)</td>
<td>0.0399</td>
<td>2.59 (1.39–4.82)</td>
</tr>
<tr>
<td>Pregnancy complications</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hypertensive disorders; n (%)</td>
<td>306 (2.04)</td>
<td>49 (0.43)</td>
<td>257 (9.0)</td>
<td>&lt;0.0001</td>
<td>4.71 (4.44–5.00)</td>
</tr>
<tr>
<td>Gestational diabetes</td>
<td>1 (0.01)</td>
<td>0 (0.0)</td>
<td>1 (0.03)</td>
<td>0.1934</td>
<td></td>
</tr>
<tr>
<td>Preterm birth in prior pregnancy</td>
<td>75 (0.5)</td>
<td>59 (0.5)</td>
<td>16 (0.6)</td>
<td>0.0849</td>
<td></td>
</tr>
<tr>
<td>Cerclage placement</td>
<td>3 (0.02)</td>
<td>3 (0.03)</td>
<td>0 (0.0)</td>
<td>&gt;0.99</td>
<td></td>
</tr>
<tr>
<td>Ultrasound performed during pregnancy</td>
<td>208 (1.41)</td>
<td>195 (1.63)</td>
<td>13 (0.45)</td>
<td>&lt;0.0001</td>
<td>1.17 (1.12–1.20)</td>
</tr>
</tbody>
</table>

Abbreviation: SD, standard deviation.

*Chi-square test or Fisher's exact test performed for categorical variables, t-test for continuous variables and t-test with Welch's correction for unequal variances for maternal age and parity.

bRelative risk and 95% confidence interval are shown, where appropriate.
Interventions aimed at reducing anemia and malnutrition, however, have not always resulted in reduced rates of PTB. Nevertheless, other future interventions may prove beneficial. Finally, we found that women with PTB were less likely to have had an ultrasound. We were not able to discern whether this was due to a dating error or decreased antenatal care. In this population, clinically documented obstetric ultrasound occurs only among women who have attended antenatal clinics. However, not all clinics have ultrasound machines or capacity to scan all women (thus not all women are able to receive ultrasounds), so this is not a surrogate of having received antenatal care. Generally lower rates of ultrasound are seen among populations that lack the capacity to scan all women (thus not all women have attended antenatal clinics). However, not all clinics have ultrasound machines or capacity to scan all women (thus not all women are able to receive ultrasounds), so this is not a surrogate of having received antenatal care. Generally lower rates of ultrasound are seen among populations that lack adequate antenatal care, which has been associated with PTB elsewhere, but here, given that many clinics did not offer this service, it is more likely to be represented as a dating error.

The total number of home deliveries captured represented a small percentage of the overall births (0.8%). This likely reflects underreporting. While we performed home visits with the intent of capturing deliveries that occurred at home, women may have been reticent to report home births. The Ministry of Health and, more locally, the heads of villages, have been discouraging women from purposely delivering at home, thus women may be reluctant to report home births to Community Health Workers due to fear of retaliation. Some communities also have to pay a fine which is imposed upon women who purposely deliver at home. This fine was created to disincentivize home births, but in this study, it may have led to underreporting of home births. This can also make it challenging to determine how many deliveries are not captured by local or health center delivery logs. Nationally, it is estimated that 88.9% of births occur within clinics or hospitals. While this varies by rural–urban location (88.1–94.9%, respectively), our study included study sites in both rural and urban areas, and was located in the central region, which generally has the lowest rate of institutional delivery (87.8%). Here, we focused on home visits to ensure that stillbirths and neonatal deaths were included. To capture more home deliveries in the future, efforts could focus on also capturing newborns presenting for immunizations or pediatric care.

The rate of identified gestational diabetes is low. This is likely related to demographics and screening practices. The population studied here is younger and less obese than many populations worldwide, which may also contribute to the low rate of gestational diabetes. The rate of hypertensive disorders among term deliveries was also low. The rate of prior PTB was substantially lower than expected. However, this data were derived from our interviews, and women may not have identified prior early neonatal deaths of small infants as potential preterm deliveries despite our request for them to do so; thus, this low rate may represent miscategorization.

Finally, the proportion of early PTBs (<32 weeks of gestation) versus late PTBs (34–37 weeks of gestation) was nearly...
equal. This is not consistent with what is seen in other populations worldwide. This finding is partly due to some late preterm deliveries being miscategorized as term deliveries. The correlation between clinical dating and ultrasound dating, when available, was statistically significant, and the correlation was better for PTBs than term births. This suggests that we were unlikely to have miscategorized intrauterine growth restricted newborns as having been preterm.

Strengths of this study include the inclusion of women who lacked antenatal care who may be underrepresented in other studies, and the robust capture of data for deliveries occurred during this time period. Our findings were also generally consistent with prior studies.

Limitations

Limitations to this study include the low rate of obstetric ultrasound examinations to confirm dating criteria. While we noted the source of the "best obstetrical" estimated GA as recorded in the birth logs, very few had obstetric ultrasounds. Our ability to discern the etiology of early neonatal deaths was also limited, as these may have been due to prematurity alone or may have been due to undiagnosed congenital anomalies. The low rate of ultrasound examinations may also mean that cases were miscategorized as either term or preterm. Data on comorbidities were obtained only via interview for women who delivered at home, thus some diagnoses would be expected to have been missed. Similarly, for deliveries at the hospital, the method of diagnosing conditions varied from clinical assessment alone to laboratory verification and varied by site and woman. There are also limitations to determining whether transfers to KCH originated from the surrounding area. As the tertiary hospital, transfers may have originated from a center other than the four included here, thus may not truly represent the PTB rate in this region. We also have likely undersampled births that occurred outside the health centers.
Conclusion

In summary, we demonstrated that when performing a population-based estimation of the rate of PTB for areas within and surrounding Lilongwe, Malawi, including women without antenatal care and women delivering at home, the rate of PTB is 19.3% in this population. Therefore, given the high rate of PTB, Malawi, may be an ideal setting for future trials investigating the etiology of and treatments to prevent PTB.

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Conflict of Interest/Disclosure Statement
The authors report no conflict of interest.

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