

# Impact of Mild Hypothermia on Final Outcome of Patients with Acute Stroke: A Randomized Clinical Trial

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## Abstract

**Background and Aim** Stroke is a sudden neurological disorder caused by disturbances in the brain blood flow and loss of normal brain function. Stroke is also the second leading cause of death worldwide. In the last two decades, among the various treatment options for stroke, hypothermia has shown the promise of improving the final outcome. This study aimed to investigate the effect of noninvasive hypothermia on the final outcome of patients with an acute stroke in Iran.

**Methods** In a randomized clinical trial, 60 Iranian patients diagnosed with acute stroke were enrolled in 2018. Patients were selected by convenience sampling method and then randomized in two groups as experimental ( $n = 30$ ) and control ( $n = 30$ ). Mild hypothermia was applied using a cooling device for 72 hours on the patients' heads and intervention results were compared with the control group. Data were collected by using Acute Physiology and Chronic Health Evaluation III (APACHE III), Full Outline of Un-Responsiveness (FOUR), and National Institutes of Health Stroke Scale (NIHSS), and later analyzed by Statistical Package for the Social Sciences (SPSS) software version 22.

**Results** No significant difference was found in the mean scores of all three scales before and after the intervention in control group ( $p > 0.05$ ) but statistically significant difference was found in the mean scores of all three scales for the intervention group ( $p < 0.05$ ). The intervention group had an increased mean score in FOUR, while APACHE and NIHSS values dropped. Researchers found statistically significant difference between the mean scores after the intervention in the experimental group compared with the control group in all three scales ( $p < 0.05$ ).

**Conclusion** The findings of this study indicate that hypothermia has a significant statistical and clinical effect on the acute stroke outcome and it can be argued that hypothermia therapy can increase the level of consciousness and reduce the risk of death in stroke patients.

## Keywords

- hypothermia
- stroke
- randomized clinical trial
- disease outcome

## Introduction

Stroke is a sudden neurological disorder triggered by abnormalities in the cerebral blood vessels, leading to perfusion disorder in the affected areas of stroke. In other words, stroke means an impaired or blocked perfusion in one or

more parts of the brain leading to disruption of its normal functions.<sup>1</sup> Stroke patients are immediately hospitalized to prevent permanent brain damage.<sup>2</sup> In severe cases of stroke, major organs are damaged leading to paralysis, coma, numbness, sensory disturbances, confusion, mental disorders, and speech impediment. Some of the preventable risk

factors for stroke are hypertension, hypercholesterolemia, smoking, and alcohol consumption.<sup>3</sup>

The review of literature shows that stroke is the second most common cause of death worldwide and the third leading cause of death in the western industrialized countries. Nearly 10% of all deaths in the world are caused by stroke. In the United States alone, 780,000 cases of strokes are reported every year.<sup>4</sup> Two-thirds of all strokes in the world happen in developing countries. It has been estimated that the number of deaths from stroke in the Middle East and North Africa will double by 2030.<sup>5</sup> The study results show that mortality rates due to stroke are higher in Asia than in the Americas and Europe.<sup>6</sup> Asia has almost two-thirds of the world population, and in 2002, approximately 60% of the world's deaths from stroke occurred in East Asia.<sup>7</sup> Similarly, the incidence of acute ischemic stroke in Iran has reached 139 per 100,000 in lower age groups compared with the global average age and a higher mortality rate compared with the developed countries.<sup>8</sup>

More than 80 to 85% of strokes are ischemic type, caused by cerebrovascular occlusive disease followed by thrombosis or embolism. Less than 10 to 15% of the stroke cases are from cerebral hemorrhage.<sup>9</sup> The etiology of stroke determines treatment approach. For ischemic stroke, treatment is focused on: reducing or limiting the damage to the infarcted area with thrombolytic drugs and medical support; preserving blood flow through invasive endovascular methods and anti-coagulant therapy; and an immediate effort to maintain the integrity of nerves in the brain. In hemorrhagic stroke, prognosis is mainly determined by the volume of blood loss and location of hemorrhage in the brain. Controlling brain edema and lowering intracranial pressure (ICP) via osmotic drugs and sometimes corticosteroids are critical steps.<sup>3</sup>

Recently, among different modes of intervention, hypothermia has been recognized for improving the final outcome of the diseases.<sup>10</sup> Hypothermia was first introduced in cardiac patients after cardiopulmonary resuscitation (CPR) studies showed that hypothermia was very effective.<sup>11,12</sup> Researchers hypothesized that mild hypothermia after stroke could reduce the neurological damage and delay brain death.<sup>13</sup> Therefore, hypothermia was accepted as an effective intervention for reducing ICP in patients with traumatic brain injury.<sup>14,15</sup>

Other empirical studies supported the neurological effects of hypothermia.<sup>16,17</sup> Neuroprotective mechanism of hypothermia stabilizes blood-brain barrier, lowers brain metabolism, reduces the release of neurotransmitters, and delays production of free radicals.<sup>18</sup> Some studies have examined the protective effects of hypothermia by focusing on the hypothermic starting time, duration, and depth, as well as the outcome.<sup>19,20</sup> Long-term hypothermia has shown some adverse effects, such as cardiac dysrhythmia and increased blood coagulation.<sup>19</sup> Moreover, in recent studies, hypothermic therapies combined with other strategies such as drugs are being examined.<sup>21</sup>

No study in Iran has explored the effects of hypothermia after an acute stroke. However, some Iranian studies have shown the effectiveness of hypothermia in other medical

conditions. For instance, Basiri et al reported that topical hypothermia significantly lowered the seizure rates in infants with ischemic encephalopathy compared with infants receiving a conventional treatment.<sup>22</sup> Ehsani et al found that application of general hypothermia in rats significantly reduced the rate of myocardial infarction and neurological disorders by having neuroprotective effects.<sup>23</sup>

Among the treatment options for stroke patients, hypothermia has shown improvement in the final outcome of the disease and has provided evidence in efficacy and efficiency as a noninvasive approach after CPR, especially for patients with traumatic brain injury. The noninvasive mode of hypothermia in acute stroke is a logical approach and not fully investigated. Given the high rate of stroke and mortality among the young Iranians, and the noninvasive efficacy of hypothermia for treating acute stroke, it appeared prudent to design an investigative study to examine the effect of hypothermia on the final outcome of admitted patients with acute stroke in the city of Hamadan in Iran.

## Materials and Methods

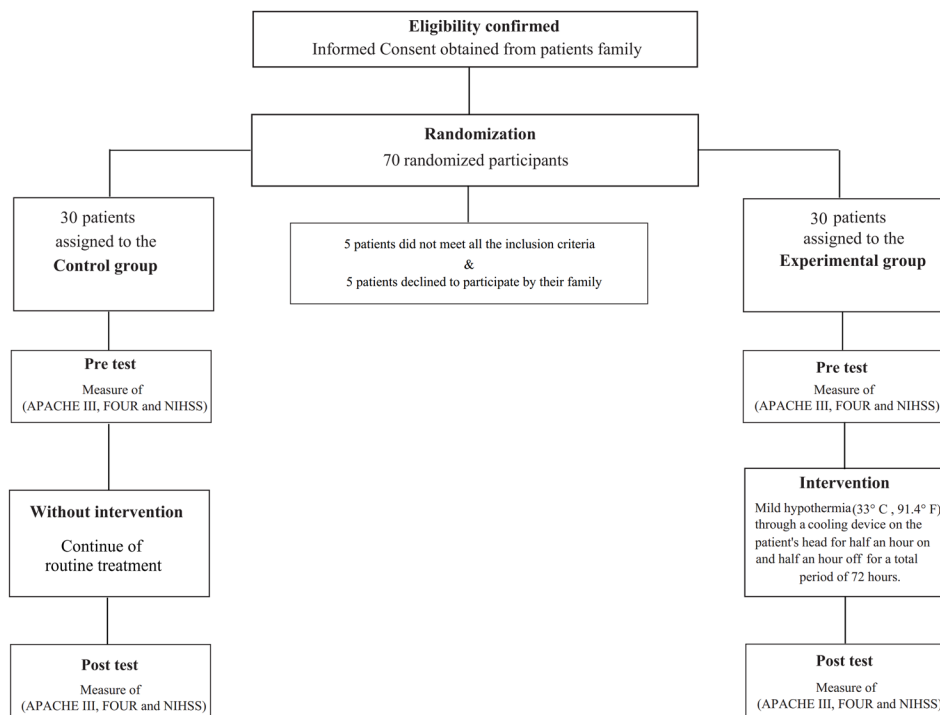
### Design

In a single-blind randomized clinical trial, 60 Iranian stroke patients admitted to the intensive care units (ICUs) of several teaching hospitals affiliated with the Hamadan University of Medical Sciences were selected for this study using convenience sampling. In addition, patients with acute stroke admitted to the ICUs at government hospitals were also included in the study sample. The required sample size was determined by considering 95% confidence level and ( $\alpha = 0.05$ ) using the formula  $n = (z_{1-\alpha/2} + z_{1-\beta})^2 (\sigma_1^2 + \sigma_2^2) / d^2$  based on similar studies.<sup>24,25</sup>

Initially our sample was set for 70 patients, but, through exclusion criteria, only 60 patients qualified for participation. Therefore, 60 Iranian patients were equally and randomly assigned into experimental and control groups (►Fig. 1). Participants were coded and equally assigned to two groups ( $n = 30$ ) using random numbers generator by software. Both groups were homogeneous in terms of their demographic characteristics. After the sample selection and coordination with the physicians, informed consents were obtained to collect data using survey questionnaires. Both data collection and data analysis were completed by a researcher who was blinded to group allocations.

### Inclusion and Exclusion Criteria

Inclusion criteria were: having had a hemorrhagic or ischemic stroke, age over 18, no severe heart failure, no pulmonary embolism or acute myocardial infarction, no acute or chronic sinusitis or current fracture of the skull bone, no septicemia or bacteremia or severe sepsis on admission, and no fever above 38.5°C during hospitalization period. The exclusion criteria were: death in less than 24 hours upon admission, unexpected complications such as intolerance, seizure, shivering, and so on, cardiac dysrhythmia, and the request by the patient or legal guardian to exit the study.



**Fig. 1** Flow chart of the study. APACHE, Acute Physiology and Chronic Health Evaluation; FOUR, Full Outline of Un-Responsiveness; NIHSS, National Institutes of Health Stroke Scale.

### Intervention

The experimental group received mild hypothermia and control group received the routine hospital treatment as per the protocol. The intervention consisted of applying mild hypothermia (33°C, equal to 91.4°F) through a cooling device (helmet) on the patients' heads for half an hour on and half an hour off for a period of 72 hours in total. See ► **Appendix** (online-only) for the map of cooling device and brain computed tomography scan images.

### Instruments

Data collection process employed demographic questionnaire, Acute Physiology and Chronic Health Evaluation III (APACHE III), Full Outline of Un-Responsiveness (FOUR) Score, and National Institutes of Health Stroke Scale (NIHSS) tools. The demographic questionnaire obtained information about age, gender, social status, occupation, education, underlying illness, and the type of stroke. APACHE III Questionnaire is a scoring scale for ICU patients, where the physicians estimate the prognosis and the rate of improvement in stroke patients. This tool has three parts; the first part has 11 items for measuring physiological variables and 1 item for the Glasgow coma scale (GCS). The minimum score of each question in the physiological dimension is 0 and maximum is 4. The 11 items used to evaluate physiological aspects are temperature: mean arterial blood pressure, heart rate, respiratory rate, pH, arterial blood PaO<sub>2</sub>, sodium and potassium, serum creatinine, hematocrit, and white blood cell counts. The minimum score in the physiologic aspect is 0 and maximum is 59. The GCS score starts from 0 (the highest level of consciousness) to 12 (the lowest level of consciousness).

The second part of the instrument scores the patient based on age group, where 0 point is given to patients less than or equal to 44 years of age, 2 points for 45 to 54 years old, 3 points for 55 to 64 years old, 5 points for 65 to 74 years of age, and 6 points for older than 75 years. The third section evaluates the condition of a person's chronic illness with a scoring range of 0 to 71 points. The higher score means a greater deterioration of the patient's health condition. The validity and reliability of this tool have been confirmed by Knaus et al abroad and Soleimani et al and Motamed Al-Shariati et al in Iran.<sup>26-28</sup>

FOUR Score tool is a relatively new scale introduced as a good successor to GCS. Unlike GCS, FOUR score does not examine verbal function, but it can more accurately examine and scale an intubated coma patient with tracheotomy. This scale has four parts: visual response, motor response, brain stem response, and respiration pattern, with a maximum score of 4 and a minimum score of 0. The overall score would be from 0 to 16 and a higher score means better consciousness level. The validity and reliability of this tool have been approved in other studies by Wijidicks et al, Wolf et al, Iyer et al, Cohen, and by Tadrissi et al in Iran.<sup>29-33</sup>

The stroke scale or NIHSS is a tool used to evaluate the degree of disturbance to brain function caused by stroke. The tool has 11 items and 7 subscales. Item 1 has 3 subscales and items 5 and 6 each have 2 subscales. Points in some items are collected to calculate the total score of the patient's NIHSS scale. Maximum score is 42 and minimum is 0, with the higher score showing more brain damage. The validity and reliability of NIHSS scoring system were confirmed by Kasner et al as well as Hinkle abroad and by Kazemnejad et al in Iran.<sup>34-36</sup>

### Statistical Analysis

In this study, the final analyses were generated by statistical software Statistical Package for the Social Sciences (SPSS; version 22) to reach descriptive and inferential results. Charts, frequency tables, percentages, mean scores, and standard deviations were used at the descriptive level. Kolmogorov–Smirnov *t*-test, and paired *t*-test were used in inferential statistics. The significance level of  $\alpha$  was set at less than 0.05 with a confidence level of 95%.

### Ethical Considerations

Researchers obtained internal review board approval from the Ethics Committee of Islamic Azad University in Urmia. Informed consent for participation in the study was obtained from all the patients or their legal guardians and coding was used for privacy and confidentiality of data.

### Results

The mean age of participants was  $58.18 \pm 11.28$ , with an equal number of men and women. They were mostly married, indicated low literacy level, smoked, and had moderate economic status as seen in ►Table 1. Additionally, the study results showed a normal data distribution suitable for using *t*-test and paired *t*-test to compare scores in the groups. The results of the Kolmogorov–Smirnov test showed the normality of data in both groups. The paired *t*-test results showed no significant differences in any of the three scales between the mean scores before and after the intervention in control group ( $p > 0.05$ ). Independent *t*-test results also indicated no significant difference in any of the three scales between the mean scores before intervention in the experimental group compared with the control group ( $p > 0.05$ ; ►Fig. 2, ►Table 2).

The results of independent *t*-test showed a significant difference in all three scales between the mean scores after the

intervention in experimental group compared with the control group ( $p < 0.05$ ). Furthermore, the results of paired *t*-test showed a significant difference between the mean scores before and after intervention in the experimental group ( $p < 0.05$ ), and the mean scores of FOUR scale increased after intervention compared with before in the experimental group by a reduction in APACHE and NIHSS scales. As presented in ►Table 3, the study results show that hypothermic intervention in acute stroke has a statistically significant effect on the final recovery outcome (►Fig. 3, ►Table 3).

### Discussion

We found a significant difference between the mean scores after the intervention in the experimental group compared with the control group in all three scales. Also, we found a significant difference between the mean scores before and after the intervention in the experimental group in all three scales. Hence, hypothermia has a statistically significant effect on the improvement of the patient's status and outcome after an acute stroke. Consistent with our results other studies show the positive effects of hypothermia in various fields,<sup>18,37–39</sup> and hence it has been considered as one of the significant treatments for stroke patients in the last two decades.<sup>18,20,40</sup>

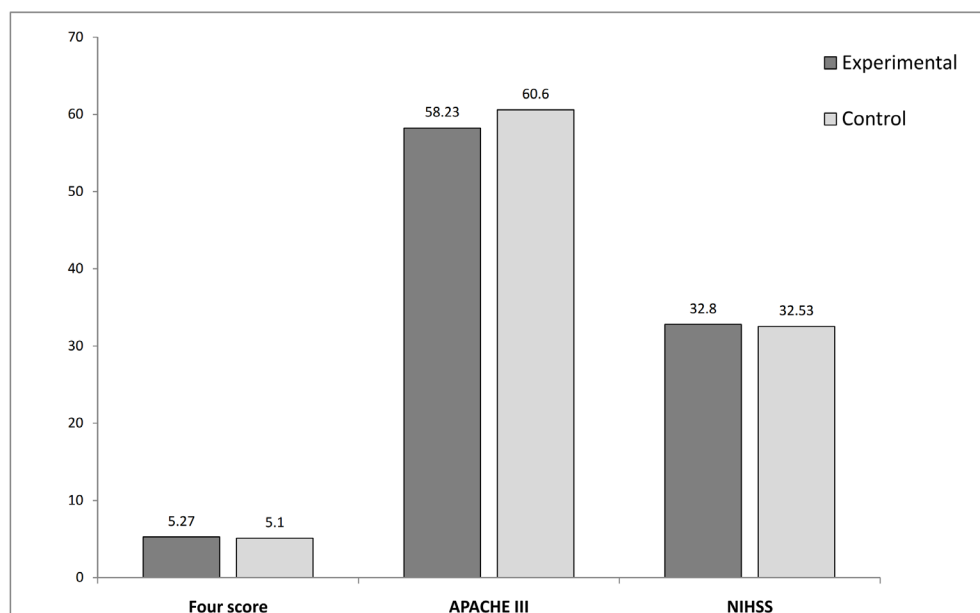
Several studies have shown the neuroprotective effect of hypothermia.<sup>19,41–43</sup> Schultheiss et al concluded that hypothermia could protect retina ganglion cells against ischemia and increase the ischemic tolerance time for optic cells.<sup>41</sup> Moreover, research evidences show that hypothermia has a neuroprotective role for patients suffering from subarachnoid hemorrhages,<sup>44</sup> encephalopathy,<sup>10</sup> and cerebral edema due to stroke,<sup>45</sup> which supports this study results.

Andresen et al used endoscopic hypothermia to treat patients with acute brain injuries. The efficacy of hypothermia in brain damage was confirmed when researchers

**Table 1** Frequency distribution of study participants

Variables		Frequency (%)	Variables		Frequency (%)
Gender	Female	18 (30)	Smoking	Yes	34 (56.7)
	Male	42 (70)		No	26 (43.3)
Marital status	Married	51 (85)	Hypertension	Yes	44 (73.3)
	Single	9 (15)		No	16 (26.7)
literacy level	Uneducated	14 (23.3)	Diabetes	Yes	23 (55)
	Elementary	13 (21.6)		No	27 (45)
	Diploma	10 (16.6)	Hypercholesterolemia	Yes	40 (66.7)
	Bachelor	13 (21.6)		No	20 (33.3)
Occupation	Self-employment	16 (26.7)	Type of stroke	Ischemic	41 (68.3)
	Housekeeper	23 (38.3)		Hemorrhagic	19 (31.7)
	Employee	21 (35)	History of stroke	Yes	29 (48.3)
Economic status	Weak	12 (20)		No	31 (51.7)
	Moderate	25 (41.7)	Age <sup>a</sup>	$58.18 \pm 11.2$	
	Good	23 (38.3)	BMI <sup>a</sup>	$29.54 \pm 5.5$	

<sup>a</sup>For these variables, mean (standard deviation) is reported.



**Fig. 2** Comparison of mean scores between experimental and control group before intervention. APACHE, Acute Physiology and Chronic Health Evaluation; FOUR, Full Outline of Un-Responsiveness; NIHSS, National Institutes of Health Stroke Scale.

**Table 2** Comparison of mean scores between experimental and control groups

Variables	Control group		p-Value	Experimental group		p-Value
	Before intervention	After intervention		Before intervention	After intervention	
APACHE III	60.60 ± 4.17	61.00 ± 4.06	$p = 0.071$	58.23 ± 5.75	18.37 ± 9.13	$p = 0.000^a$
FOUR score	5.10 ± 2.18	5.33 ± 2.13	$p = 0.090$	5.27 ± 2.10	11.17 ± 2.58	$p = 0.000^a$
NIHSS	32.53 ± 5.37	32.10 ± 5.44	$p = 0.708$	32.80 ± 3.07	17.10 ± 9.0	$p = 0.000^a$

Abbreviations: APACHE, Acute Physiology and Chronic Health Evaluation; FOUR, Full Outline of Un-Responsiveness; NIHSS, National Institutes of Health Stroke Scale.

<sup>a</sup>Indicates statistical significance ( $p < 0.05$ ).

noted reduced brain tissue metabolism, oxygen demand, less inflammation, prevention of adenosine triphosphate reserves depletion, and the reduction of free radicals.<sup>19</sup> In another study by Jeong et al, a widespread use of hypothermia in elderly patients diagnosed with malignant brain edema was deemed as safe and practical with positive results. Researchers argued that hypothermia is an effective alternative treatment for reducing cerebral edema pressure among the elderly patients.<sup>39</sup> Likewise, Bi et al concluded that mild hypothermia helps minimal hematoma discharge by reducing inflammation and damage to the brain cells after stroke,<sup>42</sup> which is consistent with the results of our study.

However, long-term hypothermia, especially as an invasive procedure, could have adverse effects,<sup>46,47</sup> as Poli et al showed that nasopharyngeal and infusion-induced hypothermia in stroke patients had severe and unpleasant outcomes.<sup>48</sup> In their review study, Tahir and Pabaney concluded that although hypothermia has been used to manage stroke in recent years, its effectiveness is still controversial.<sup>49</sup> Alzaga et al argued that despite high risk and some complications, hypothermia can improve outcomes for patients after stroke, cardiac arrest, brain trauma, asphyxiated infants, and

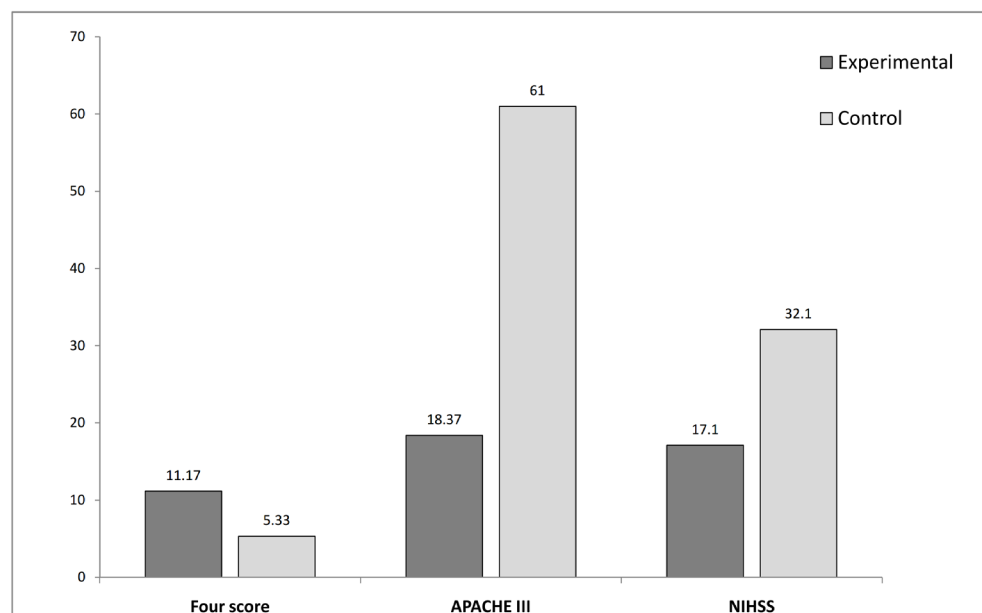
those with elevated ICP. They concluded that hypothermia use and its outcome should be further examined to reduce procedural complications.<sup>43</sup> Kurisu and Yenari showed that hypothermia has positive and protective effects on important organs and will improve patient outcomes after stroke, but more investigations are needed to reduce adverse effects.<sup>18</sup>

## Summary

Hypothermia has significant statistical and clinical effects on acute stroke. In patients with acute stroke, use of hypothermia therapy increases the level of consciousness and the mean of FOUR score but decreases the mean of APACHE and NIHSS scores.

## Conclusions

Supported by research literature, our results indicate that use of hypothermia can decrease fatality risks after stroke. We found that hypothermia improves the final outcome for stroke patients by enhancing their recovery poststroke. Accordingly, we recommend replication of this study in a larger group to



**Fig. 3** Comparison of mean scores between experimental and control group after intervention. APACHE, Acute Physiology and Chronic Health Evaluation; FOUR, Full Outline of Un-Responsiveness; NIHSS, National Institutes of Health Stroke Scale.

**Table 3** Comparison of mean scores between experimental and control groups

	Before intervention			After intervention		
	APACHE III	FOUR score	NIHSS	APACHE III	FOUR score	NIHSS
<b>Control group</b>	60.60 ± 4.17	5.10 ± 2.18	32.53 ± 5.37	61.00 ± 4.06	5.33 ± 2.13	32.10 ± 5.44
<b>Experimental group</b>	58.23 ± 5.75	5.27 ± 2.10	32.80 ± 3.07	18.37 ± 9.13	11.17 ± 2.58	17.10 ± 9.0
<b>p-Value</b>	$p = 0.073$	$p = 0.764$	$p = 0.815$	$p = 0.000^a$	$p = 0.000^a$	$p = 0.000^a$

Abbreviations: APACHE, Acute Physiology and Chronic Health Evaluation; FOUR, Full Outline of Un-Responsiveness; NIHSS, National Institutes of Health Stroke Scale.

<sup>a</sup>Indicates statistical significance ( $p < 0.05$ ).

specify the most effective aspects of hypothermia treatment in terms of temperature level and duration period. We suggest that healthcare administrators consider use of hypothermia for stroke and other patients in Iran, especially for burn patients and those with different malignancies.

#### Conflict of Interest

The author(s) declared no potential conflict of interest with respect to the research, authorship, and/or publication of this article.

#### Ethical Approval

This study was approved by the Ethics Committee of Islamic Azad University, Urmia, and issued a number: IR.IAU.URMIA.REC.1397.12

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