



Analysis of Chlorhexidine Modified Cement in Orthodontic Patients: A Double-Blinded, Randomized, Controlled Trial

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Abstract

Objectives The aim of this study was to evaluate the microbiological and mechanical properties of glass ionomer cement (GIC) modified by chlorhexidine (CLX) for the purpose of cementing bands to the teeth of orthodontic patients.

Materials and Methods Ten patients, between the ages of 19 and 33 years, in the initial stage of orthodontic treatment, were randomly designated to two groups using the split-mouth design ($n = 10$). One group (GICEX) had bands cemented with GIC modified by CLX and a Control group (GIC), evaluated at time intervals before (T0), 3 months (T3), and 6 months (T6) after cementation. Total microbiological counts were performed, and color stability of tooth enamel, salivary pH, and the adhesive remnant index (ARI) were evaluated.

Statistical Analysis The Friedman and Dunn's tests, Mann–Whitney, one-way analysis of variance, and Tukey, and paired and non-paired t -tests ($p < 0.05$) were used.

Results In T3, there was evidence of significant reduction in the quantity of colony forming unit (CFU) in GICEX group in comparison with the Control ($p = 0.041$). In T6, the quantity of CFU was similar to the quantity in T3 and significantly different to control ($p = 0.045$); Control group demonstrated a similar quantity of CFU between the experimental time intervals ($p = 0.066$). Salivary pH demonstrated significant difference only between the time intervals T0 and T6 ($p = 0.022$). The tooth enamel color ($p = 0.366$) and ARI ($p = 0.343$) values demonstrated no significant changes.

Conclusion The incorporation of CLX into GIC demonstrated effective antibacterial action, allowed a good bond of the cement to the enamel, a high rate of survival of the bands, did not change the color of the tooth enamel, and maintained the salivary pH at physiological levels.

Keywords

- ▶ glass ionomer cement
- ▶ chlorhexidine
- ▶ microbiota
- ▶ orthodontic

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Introduction

Orthodontic banding may lead to microbiological oral changes, due to the formation and accumulation of biofilm around the accessories,¹⁻³ triggering caries, gingival inflammations, and risk for patients predisposed to bacterial endocarditis.⁴ Furthermore, deficient oral hygiene favors the proliferation of microorganisms, due to the increase and change in quantity and flow of salivary components, pH and buffer capacity.^{5,6}

Dental biofilm may present more than 1,000 bacterial species⁵; however, among the main bacteria present after the placement of orthodontic bands, the most relevant are *Streptococcus mutans*, *Prevotella intermedia* and *Prevotella loescheii*, *Capnocytophaga* spp., *Fusobacterium nucleatum*, and *Porphyromonas gingivalis*.⁷

Among the main materials for cementing orthodontic bands, glass ionomer cement (GIC) is outstanding because it has the characteristics of biocompatibility, bonding to enamel, and absorption and release of fluoride. However, GICs have little action against microorganisms,^{8,9} action that would be beneficial when there is local biofilm accumulation. Different chemical antimicrobial agents have been evaluated with the aim of reducing the progression of dental biofilm, then chlorhexidine digluconate (CLX) has been demonstrated to be effective, has substantivity, and is safe for clinical use in dentistry.^{1,10}

CLX is a cationic antiseptic, generally used for mouth washes in the concentration of 0.12%. It belongs to the bis-guanide group of chemicals (1,1'-hexamethylenebis [5-(4-chlorophenyl)biguanide]). It has a broad spectrum of action against gram positive and negative bacteria and fungi.¹⁰ It acts by rupturing the cytoplasmic membrane, triggering the loss of vital cell components such as nucleic acid and potassium. Due to the substantivity of CLX on dental biofilm, a reduction in the proliferations of microorganisms^{11,12} occurs due to its bactericidal and bacteriostatic action, impeding the progression of periodontal and caries diseases.^{10,13}

The purpose of adding CLX to GIC is to improve the antibacterial action of this material; however, in order for it to be considered adequate for clinical use, it is necessary for it to be biocompatible^{9,14-16} with the tissues.⁹ *In vitro* studies have demonstrated that the concentration of 10% CLX is efficacious against *S. mutans*, although at 18% the antibacterial effect increased without significantly influencing the mechanical properties of diametral tensile strength, resistance to compression, shear bond strength, and microhardness.^{1,9}

Although there are *in vitro* studies about GIC modified by CLX, none of them has evaluated the effect of this modification in orthodontic patients. Therefore, the aim of this study was to evaluate the antimicrobiological and mechanical properties of GIC modified by CLX for the purpose of cementing bands to the teeth of orthodontic patients.

Materials and Methods

Trial Design, Participants, and Eligibility Criteria

This study was conducted as a prospective, randomized, controlled, double-blind clinical trial, by using a split-mouth

design and by treatment with a proportion of allocation of participants of 1:1. No change was made in the study design after the study began. This study was conducted in accordance with the guidelines of the Consolidated Standards of Reporting Trials guidelines (►Fig. 1). The project was reviewed and approved by the Ethics Committee on Research with Human Beings of the Federal University of Juiz de Fora, under CAAE number: 08637119.0.0000.5147. For this study, the terms of free and informed consent were obtained from the participants. At the beginning of the study, all the patients were asked to sign the term of consent and the chart containing information about the patient, in complete compliance with the Declaration of Helsinki.

The sample size was defined, based on preliminary pilot study data of five individuals (test: 4.54 ± 0.25 colony forming unit [CFU] vs. control: 4.80 ± 0.10 CFU) using BioEstat 5.3 Software (Instituto Mamirauá, Belém, Pará, Brazil) and using $\alpha = 0.05$ and power of 95% for a bilateral test, and a minimum sample of six patients was required. Considering the possibility of using nonparametric statistics, 15% was added, which raised the minimum number of individuals to 7.¹⁷ A sample loss of ~30% was considered (patients dropping out at some of the time intervals of evaluation), and 3 patients were added, totaling 10 individuals with orthodontic treatment needs. Among the individuals recruited, five were male and five were female, with a mean age of 24.7 years, ranging from 19 to 33 years.

The patients were selected in accordance with the inclusion criteria: not having undergone previous orthodontic treatment, not having used antibiotics in the last 3 months, not making use of systemic medications, not having any systemic disorder that could interfere in the periodontal condition, not having any motor limitations for performing oral hygiene, and not having severe crowding, overjet, and overbite, according to the index of complexity, outcome, and need criteria.¹⁸ The exclusion criteria were: patients who had periodontal disease and/or caries lesions, clinically and radiographically (RX bite wing) evaluated in any of their teeth.

Randomization was performed by a researcher who did not participate in the clinical part of the study, thereby guaranteeing secrecy of the allocation. The BioEstat 5.3 Software (Instituto Mamirauá) was used to construct a table of randomized numbers, taking into consideration the sample size required in this study, based on a sample of 25 individuals at the beginning of orthodontic treatment. The choice of control and experimental sides was defined by draw.

The patients received basic oral hygiene instructions about the modified Bass technique with the intention of standardizing tooth brushing during the study. They received an oral hygiene kit containing a brush and dental floss (Oral B, São Paulo, Brazil), toothpaste with 1,500 ppm fluoride (Colgate-Palmolive, São Paulo, Brazil), and were instructed not to use mouth washes during the period of evaluation.

In the research, a split-mouth system was adopted, totaling a sample of 20 orthodontic bands (Dental Morelli, Sorocaba, Brazil) of the universal type for mandibular molars.

Blinding of the operator was not possible. Patients were, however, blinded to the group of cement used. Moreover, the

CONSORT 2010 Flow Diagram

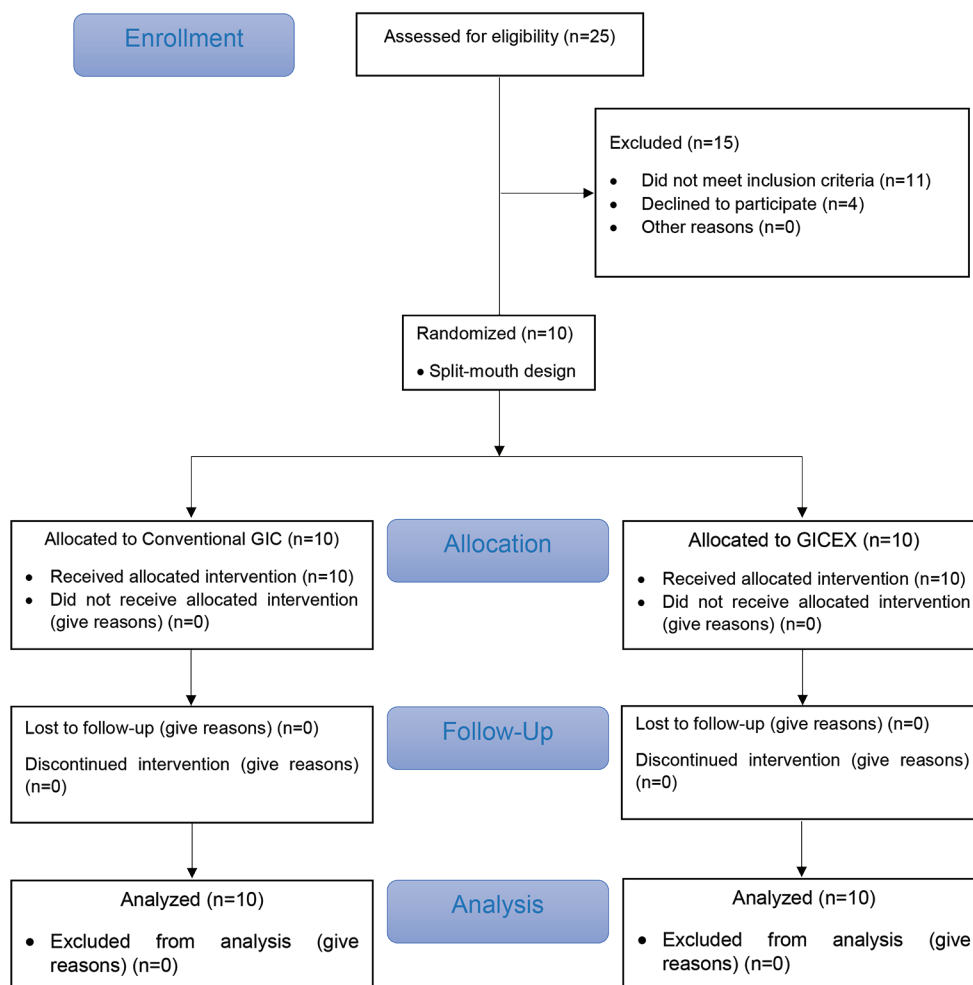


Fig. 1 Flow diagram of the participating patients. GIC, glass ionomer cement.

patients received randomized numbers as identification, and the evaluator of the outcome was incapable of identifying the group to which the individuals belonged, thus being blind to the allocation of treatment. Therefore, patients and data were blindly evaluated, supporting the double-blind design of the study.

Glass Ionomer Cement

In this study, conventional GIC for cementation, Ketac Cem Easymix (3M ESPE, Seefeld, Germany) was used. For the Control group (GIC), the cements were manipulated in accordance with the manufacturer's instructions, and for the Experimental group (GICEX), they were incorporated into the liquid (tartaric acid), the 18% CLX solution during their manipulation in a proportion of one drop of tartaric acid to one drop of CLX solution, using the same dosing dropper, and was afterward spatulated with the cement powder until a solid material was obtained.¹

A simple tube was soldered to the bands, Roth prescription, slot 0,022" (Dental Morelli) centralized on the vestibular surface. Afterward, they were adapted to the teeth with the

aid of a band pusher, instrument for seating the band, and cemented adjacent to the gingival ridge, without aggression or ischemia of the biological space (1 mm below the marginal crest). In the groups, cementation was performed on the permanent mandibular second molars, by randomization.

The patients were evaluated and the samples collected in three time intervals: immediately before cementation (T0), 3 months after cementation (T3), and 6 months after cementation (T6).

Microbiological Analysis

For collecting crevicular fluid/dental biofilm samples, the patients were instructed not to ingest food and not to brush their teeth for a minimum period of 2 hours before collection, at time intervals T0, T3, and T6.

The crevicular fluid samples were collected with the aid of sterile absorbent paper cones, caliber 20 (Dentsply, Petrópolis, Rio de Janeiro, Brazil), obtained from the mandibular second molars on the following surfaces: cervical-lingual, cervical-vestibular, mesial-interproximal, and distal-interproximal; paper cones were inserted into the gingival sulcus and held

there for 1 minute. Paper cones were also used for collecting supragingival biofilm from the vestibular and lingual areas.

After collection, the samples were immediately transferred to a disposable plastic 1.5 mL Eppendorf microcentrifugal tube (Axygen, Union City, California, United States) previously weighed in a precision electronic balance (Model BG200, Gehaka, São Paulo, Brazil), stored at a temperature of 0°C and afterward, transported to the Microbiology and Immunology Laboratory of the Institute of Biological Sciences of the Federal University of Juiz de Fora (ICB/UFJF). After weighing the samples collected, 1 mL buffered saline solution was added, and each patient group was identified.

The quantity of biofilm collected was diluted and homogenized by agitation in a vortex at 12 rotations per minute, for 10 minutes, to obtain dispersion of the largest possible quantity of microorganisms. After this, the tubes were introduced into a microbiological chamber, in which serial dilutions (10^{-1} – 10^{-5}) were made, using 1 mL saline solution composed of 0.85% sodium chloride and 1% sodium thioglycolate for each 1 mg of biofilm collected. Aliquots of 0.1 mL of each dilution were seeded in duplicate, with the aid of a Drigalski loop, in Petri dishes containing brain heart infusion culture medium. The materials were incubated under microaerophilic conditions (5% of Co_2) by the candle flame system, at 37°C, for 48 hours.¹⁹

The plates selected showed macroscopically visible colonies for performing the colony count readouts, which were performed by a single, previously trained and calibrated examiner (M.M.A.A.) (Kappa: 0.95).

Counts and Quantification of Microorganisms

Counting the number of CFUs was performed for the dilution that showed the growth of 30 to 300 macroscopically visible colonies.

The number of CFUs per milliliter was determined by multiplying the mean of the number of colonies counted by the factor of correction and by the inverse of the dilution factor corresponding to the dilution used in counting of the total number of microorganisms. In view of the great diversity of microorganisms present in the oral cavity, in this study, we opted for analysis of the total count of microorganisms by means of a nonselective culture.

Salivary pH Evaluation

The salivary pH was measured immediately before all the microbiological collections were made. The patients were instructed not to ingest any food and not to brush their teeth 2 hours before each collection. The unstimulated saliva sample was placed in a sterile plastic receptacle until 5 mL was obtained, and measured by means of a pH 1 to 14 indicator strip (Kasvi, Paraná, Brazil) at rest in the saliva for 10 minutes, using the colorimetric method by means of a scale for

evaluating the results. The readout was taken by a single operator (J.L.S.A.) and under the same lighting condition.

Color Stability Analysis

The color stability of the teeth was evaluated by means of a visual scale using the Vitapan Classical Scale (VITA Zahnfabrik, Bad Säckingen, Germany) before placement of the banding and after its removal and cleaning of all the remnant cement.²⁰ Scores from 1 to 16 were attributed to the colors of the scale²¹ (► Fig. 2).

Adhesive Remnant Index

The bands were removed with the aid of a conical bur with a pyramid-shaped tip No. 2200 (KG Sorensen, São Paulo, Brazil) on the mesiovestibular and distolingual surfaces, without attaining the cement, and evaluated by attributing the following scores to the quantity of remnant cement: 0—no remnant cement on the tooth surface; 1—less than half the tooth surface under the band covered with cement; 2—more than half the tooth surface under the band covered with cement; and 3—the entire tooth surface under the band covered with cement.¹⁰

Fixation of Bands and Gingival Evaluation

Fixation of all the bands was evaluated through an explorer probe, with failure of fixation being considered the mobility or loosening of the band. Successful fixation was considered absence of band mobility on clinical evaluation. A macroscopic evaluation of the gingival tissues around the orthodontic bands was also performed for identifying hyperplasia or gingival inflammation at the band–gingival junction, from the measurement of the relationship between gingival insertion and cervico-occlusal height of the band using a millimeter probe. The evaluations were performed in all the time intervals, on the surfaces: cervical–lingual, cervical–vestibular, mesial–interproximal, and distal–interproximal.

Statistical Analysis

Distribution of the data was analyzed by the Kolmogorov–Smirnov's test (GraphPad Prism 5.0, San Diego, California, United States). For evaluation of the data between the experimental time intervals for the same cement, the nonparametric Friedman's test was used, followed by the Dunn's post hoc test for multiple comparisons ($p < 0.05$). For evaluating nonparametric data between cements in the same time interval, the nonpaired design and Mann–Whitney's tests ($p < 0.05$) were used. Salivary pH was evaluated by the one-way analysis of variance and Tukey's multiple comparison tests. As regards color stability of the tooth enamel before placement and after removal of the bands, the paired t -test was used. The ARI was evaluated by the paired and nonpaired t -test ($p < 0.05$).

Vita Shade Guide	B1	A1	B2	D2	A2	C1	C2	D4	A3	D3	B3	A3.5	B4	C3	A4	C4
Scores	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

Fig. 2 Scores for colors according to sequence of colors on Vitapan Classical Color Guide (of lighter and darker colors).

Results

Microbiological Analysis

The quantity of CFU demonstrated was higher and similar among the groups in the initial time interval T0 ($p = 0.696$). In the time interval of 3 months, T3, there was evidence of significant reduction in the quantity of CFU by the antimicrobial action that occurred in GICEX group in comparison with the Control ($p = 0.041$). After the time interval of 6 months, T6, the quantity of CFU demonstrated was similar among the groups and significantly different to control ($p = 0.045$) (► **Table 1**).

In the comparison between the experimental time intervals, the quantity of CFU shown in GICEX group was larger in T0 when compared with the other experimental time intervals. Significant antimicrobial actions was shown in the subsequent time intervals, with statistical difference between T0 and time intervals T3 and T6 ($p = 0.006$). The Control group showed a similar quantity of CFU between the experimental time intervals, without statistical difference ($p = 0.066$) (► **Table 1**).

Salivary pH Evaluation

Oral pH demonstrated an increasing value from T0 to T6; statistically significant difference occurred only between experimental time intervals T0 and T6 ($p = 0.022$) (► **Table 2**).

Table 1 Results of microbiological analyses of the cement in different experimental time intervals, in mean and standard deviation values

Time	GICEX	GIC	p-Value ^a
Initial (T0)	6.11 (0.15) ^A	6.05 (0.24) ^B	0.696
3 mo (T3)	4.93 (0.25) ^{B,a}	5.59 (0.16) ^{B,b}	0.041
6 mo (T6)	5.28 (0.23) ^{B,a}	5.90 (0.09) ^{B,b}	0.045
p-Value ^b	0.006	0.066	–

Notes: Different superscript capital letters (^{A,B}) expressed statistically significant differences in columns. Different superscript lower case letters (^{a,b}) expressed statistically significant differences in the lines.

^aFor evaluating nonparametric data between cements in the same time interval, the nonpaired design and Mann–Whitney's tests ($p < 0.05$) were used.

^bFor evaluation of the data between the experimental time intervals for the same cement, the nonparametric Friedman's test was used, followed by the Dunn's post hoc test for multiple comparisons ($p < 0.05$).

Table 2 Evaluation of the influence of time on oral pH

Time	pH	
	Mean	Standard deviation
Initial (T0)	6.35 ^A	0.33
3 mo (T3)	6.75 ^{A,B}	0.63
6 mo (T6)	7.0 ^B	0.47
p-Value	0.022	

Note: p-Value: One-way analysis of variance followed by the Tukey's multiple comparison test ($p < 0.05$). Measurements followed by different superscript letters (^{A,B}) expressed statistically significant difference.

Color Stability Analysis

In the tooth enamel color evaluation, no significant variations were shown between the Experimental group (GICEX) and Control for the same time interval ($p = 1.000$) and between the different time intervals for the same cement ($p = 0.366$) (► **Table 3**).

ARI

On a larger number of teeth, more than half the remnant cement on enamel was found (score 2) for both groups evaluated after 6 months, without statistically significant difference between them ($p = 0.343$) (► **Table 4**).

Fixation of Bands and Gingival Evaluation

For fixation of the bands, no mobility or loosening of the bands was verified during the experimental time intervals. In the gingival evaluation, no changes in gingival tissue were observed around the bands cemented in both groups GIC and GICEX, throughout the experimental time intervals.

Discussion

No previous study has verified the influence of orthodontic GIC modified by 18% CLX on the *in vivo* oral microbiota, salivary pH, color of tooth enamel, and ARI. Studies conducted *in vitro* do not have some of the fundamental properties that modulate intraoral microbial colonization and plaque retention in patients; therefore, *in vivo* clinical trials must be considered to obtain clinical evidence with quality.^{19,22} To guarantee that this study was blind, the samples were placed in Petri dishes, and the system of identification was unknown to the examiner.^{19,23}

Table 3 Scores of influence of GIC on color of tooth enamel for the groups, based on Vita Color scale

Groups	Times		p-Value ^a
	Initial (T0)	6 mo (T6)	
GICEX	6.7 (3.16)	7.9 (4.38)	0.366
GIC	6.7 (3.16)	7.9 (4.38)	0.366
p-Value ^b	1.000	1.000	

Abbreviation: GIC, glass ionomer cement.

^aFor evaluation of parametric data for each cement in different time intervals, the paired t-test was used ($p < 0.05$).

^bFor evaluation of parametric data for each cement in the same time interval, the nonpaired t-test was used ($p < 0.05$).

Table 4 ARI scores^a and mean values are presented in the Groups

Groups	ARI score				Mean
	0	1	2	3	
GICEX	0	2	7	1	1.9
GIC	0	0	9	1	2.1
p-Value ^b	–	–	–	–	0.343

Abbreviations: ARI, adhesive remnant index; GIC, glass ionomer cement. ^a0, no remnant cement; 1, less than half the remnant cement; 2, more than half of the remnant cement; 3, all the remnant cement.

^bParametric data, nonpaired t-test ($p < 0.05$).

In the microbiological,^{19,23} the present study demonstrated significant reduction in the total CFU count of GICEX group in the time interval of 3 months (T3) when compared with Control group (GIC). In the time interval of 6 month (T6), the quantities of CFU remained statistically similar to those of T3, in relation to control. These findings were in agreement with those of other studies that demonstrated the antimicrobial action of GIC modified by CLX, against *S. mutans*, by means of gradual release of CLX^{16,24} for 65¹ and 90 days¹⁶ in *in vitro* analyses. Due to the porous mesh of conventional GIC and the substantivity^{25,26} of CLX, it has been suggested that a renewed antimicrobial effect^{9,16} could effectively be expected throughout the course of orthodontic treatment, resulting from the superficial erosion, exposing a new GIC surface for the release of CLX.²⁷ This would allow the CLX to react with cell structures and lead to direct damage to or inhibition of bacterial cell metabolism.

Studies^{5,6} have demonstrated that the diversity of devices used in orthodontic treatment favored a higher concentration of microorganisms, thereby increasing the microbial flora, and consequently, a leading to a reduction in salivary pH, and the development of the main oral diseases such as caries and periodontal diseases.^{19,28} The present study demonstrated a gradual increase in the salivary pH from the time interval T0 to T6, with significant differences between the time intervals. The pH value ranged from 6.35 in the beginning to 7.0 on conclusion of the study, an increase below the value of variation from normality in adults (6.2–7.4).^{28–30} Variations in pH due to dietary products or the conversion of sugar into acid by dental biofilm determine the limit of the capacity of saliva for protecting the teeth against caries and periodontal disease, with pH 5.5 being the critical level.^{31,32} In this study, it could be verified that during the entire experimental time interval, the patients had pH values above the level considered critical; however, the composition and quantity of salivary secretions may also vary with age and influence bacterial adherence.^{19,33,34} It is suggested that oral pH was not a determinant factor in the quantity of microorganisms but may have been an influencing factor at some time during orthodontic treatment.

With regard to evaluating the color of tooth enamel, the Vita Color guide is validated and has been used in different studies.^{21,35} Visual evaluation guided by the color scale depends on some variables,^{36,37} such as the light source, characteristics of the tooth, training, and experience of the observer.³⁸ These variables were considered and standardized by the observer in this study, Kappa (0.90). The change in color of tooth enamel resulting from oral mouth washes, such as CLX has been reported in the literature^{39,40} as being an effect of the high substantivity of the active principle combined with prolonged use of the mouth wash. However, the results of the present study demonstrated that the CLX incorporated into the GIC was not capable of promoting change in color of the tooth enamel, even in the long term.

Studies have demonstrated that the incorporation of 18% CLX into the orthodontic GIC did not significantly influence the diametral tensile strength, resistance to compression, shear bond strength, or the microhardness^{1,10} of the GICs,¹⁶ in

in vitro. In this study, the ARI showed no significant difference between the conventional CIC and that modified by CLX, and the bands of all the patients showed no mobility and failure to survive throughout the experimental period.

These results corroborate with research^{1,10,16} that demonstrated that the inclusion of CLX in the ionomeric cement did not impair clinical performance when considering disturbances such as fracture, solubilization, infiltration, and consequently, decalcification of the dental enamel, and periodontal disease adjacent to the orthodontic bands.^{1,10} The ARI demonstrated that more than half or all of the remaining adhesives remained on the dental surface after removing the band, regardless of the addition of CLX, demonstrating that CLX did not interfere with cement adhesion to dental enamel.¹⁶

Different studies^{10,41,42} in which conventional GIC was used for cementing bands to the first molar have revealed failure rates ranging between 0 and 26% in different periods of follow-up and mechanics applied to the bands, which makes comparisons difficult. However, the authors have reported a failure rate of 18% was expected in bands submitted to the mechanics of force of extraoral appliances connected to these,⁴³ irrespective of the type of cement used. This corroborated the findings of the present study, in which all the patients were treated with the Straight Wire appliance, and accessories such as the extraoral type were not used on the bands, resulting in demonstration of a failure rate of 0%.

The present study performed randomization of the patients, and the side on which cementation of the bands occurred/groups in the mandibular arch, based on studies that reported that the age^{41,43} and gender,^{41,43} and localization^{41,44} of the band in the arch were not considered significant factors that affected the rates of failure of the band.

Previous studies about the tissue biocompatibility of GIC modified by CLX^{9,16} have demonstrated a low level of tissue cytotoxicity, without significant difference between concentrations of 10 and 18% CLX after 30 days of contact of GIC with subcutaneous tissue of rats. However, the cytotoxicity of CLX at higher concentrations has been reported,^{25,45} but when it is associated with GICs, this potential may be retarded by the slow release of CLX into the crystallized network of the cement.¹⁶ In this study, clinically no gingival changes were observed in the tissues around the bands cemented with GIC modified by CLX, over the experimental time intervals, which suggests tissue biocompatibility with cement. New randomized clinical trials with longer times of evaluation may trace a more long-term behavior of these modified cements. In general, the addition of CLX to the cements was shown to be a highly promising method for obtaining an antibacterial GIC for orthodontic cementation, which associated with the fluoride in tooth pastes, mouth washes, and/or varnishes with cariostatic action⁴⁶ could provide the oral medium with stronger and greater protection.

Conclusion

- The incorporation of 18% CLX into GIC, in the 6-month period:

- Demonstrated effective antibacterial action
- Allowed good bonding of the cement to enamel, based on the ARI
- Demonstrated a high rate of survival of the bonded bands
- Did not change the color of tooth enamel
- Maintained the salivary pH at physiological levels.

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Conflict of Interest

None declared.

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