

The Effects of Angular Velocity and Training Status on the Dynamic Control Equilibrium



Version 1

Authors

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ABSTRACT

Thigh muscle imbalances may impair sports performance and cause injuries. Common diagnostic parameters of knee muscle balance lack practical applicability. This cross-sectional study aimed to evaluate the effects of angular velocity and training status on the dynamic control ratio at the equilibrium point representing the intersection of eccentric knee flexion and concentric knee extension moment-angle curves. 58 trained and 58 untrained male participants (22.1 years, 82.4 kg) performed concentric and eccentric knee flexions (prone position) and extensions (supine position) on an isokinetic dynamometer operating at 30 and 150 °/s. Trained participants had significantly higher DCR moments at all angular velocities compared with their untrained counterparts (trained_{30,150}: 1.86, 1.90 Nm/kg; untrained_{30,150}: 1.56, 1.60 Nm/kg; $p < 0.001$, partial $\eta^2 = 0.345$). Dynamic control equilibrium moments rose with increasing velocity ($p = 0.001$, partial $\eta^2 = 0.095$), whereas dynamic control equilibrium angles (trained_{30,150}: 28.9, 30.8°; untrained_{30,150}: 26.1, 27.0°) were influenced by training status ($p = 0.004$, partial $\eta^2 = 0.072$), but not by angular velocity ($p = 0.241$, partial $\eta^2 = 0.012$). Dynamic control equilibrium parameters detect thigh muscle balance and reflect the trained participants' capacity to resist high eccentric knee flexor moments, especially during fast movements. Direct links to muscular loading during sprinting are conceivable, but warrant further investigation. The assessment of dynamic control equilibrium moments and angles might help physiotherapists and coaches to improve functional muscle screening, injury prevention and purposeful return to sport.

Introduction

Muscle imbalances between agonists and antagonists may impair sports performance and cause injuries such as hamstring strains [1, 14, 15]. Isokinetic dynamometry is considered to be the gold standard for the assessment of strength imbalances despite its mostly open kinetic chain, mono-articular tests and its high costs [17]. In the past 6 decades, different isokinetic evaluation techniques were proposed and implemented to assess thigh muscle balance. Conventionally, the hamstring:quadriceps moment ratio is determined from concentric (con) contractions at a given angular velocity ($H_{con}:Q_{con}$ or $Hc:Qc$) [33]. Because agonists and antagonists never contract concentrically at the same time, this parameter lacks functionality in terms of contraction mode [13]. The dynamic control ratio (DCR) – also known as the functional H:Q ratio [1, 2] – remedied this problem because it represents the quotient of maximal eccentric (ecc) hamstring and maximal concentric quadriceps moment ($H_{ecc}:Q_{con}$ or $He:Qc$) [17]. It has been frequently used in the prognosis and prevention of thigh and knee in-

juries [14–16, 27]. However, its functionality and prognostic validity has been controversially discussed [7, 13, 15, 29]. The major disadvantage of the DCR is that it compares moments that never act in conjunction with each other. It is well known that peak moments of eccentric flexion and concentric extension emerge at considerably different knee flexion angles of 12 and 59° [3, 4, 36]. Thus, the 'functional' H:Q ratio (DCR) reflects a situation that never occurs during everyday movements. Due to this poor ecological validity, its application to physiological muscular loading is not reasonable [13].

Therefore, a diagnostic parameter that combines physiological contraction modes and a realistic joint angle configuration is needed to improve functional muscle screening, injury prevention and purposeful return-to-sport decisions, e. g., for hamstring strain injuries and anterior cruciate ligament tears. Coombs & Garbutt [13] recommended to overlay the moment-angle curves of eccentric hamstring and concentric quadriceps movements and to use the intersection point of these 2 graphs as an indicator of muscular bal-

ance around the knee joint. Although no isokinetic parameter will be able to represent functional conditions, this particular point displays the specific joint angle where the equilibrium of knee flexor and extensor moment emerges under conditions that are closer to reality than conventional and functional H:Q ratios. In the past 5 years, functional H:Q ratios using angle-specific moments at knee flexion angles of e. g., 10, 20 and 30° have received growing attention [6, 18]. Instead of interpreting muscle balance scores at arbitrarily chosen angles with full tens values, the intersection point offers information about the distinctive joint configuration of the equilibrium of moments. Besides the moment value of this equilibrium point, the angle provides a second important measure about the muscle balance of knee flexors and extensors. Referring to the well-known dynamic control ratio (DCR), we subsequently call this point 'dynamic control ratio at the equilibrium point' or 'dynamic control equilibrium' (DCRe) for short [3]. Although this evaluation technique was introduced by Coombs & Garbutt in 2002 and further research was announced [13], there is to our knowledge only one paper dealing with the reliability of this screening tool of thigh muscle balance [3]. DCRe moments and angles revealed the same reproducibility as traditional knee strength ratios with rising reliability at high contraction speed. At 150°/s, high (moment: 0.906) to moderate (angle: 0.833) relative reliability scores with accordingly high absolute indices (4.9 and 6.4%) became apparent. In spite of this quality criterion, at present there is a lack of background knowledge on the influencing factors of the DCRe moment and angle because to our knowledge, the literature does not present any evidence on this topic.

Consequently, the purpose of this study was to evaluate the effects of angular velocity and training status on DCRe parameters for the first time. We hypothesized that both DCRe moments and angles are higher in trained participants compared to their untrained counterparts. According to the results of a recently published reliability study [3], it is suggested that DCRe moments increase at higher angular velocity, whereas DCRe angles remain unchanged.

Methods

Participants

58 trained (T) (mean ± SD, age: 21.9 ± 3.2 years, height: 186.3 ± 8.8 cm, mass: 82.4 ± 10.9 kg) and 58 untrained (UT) (mean ± SD, age: 22.3 ± 4.6 years, height: 186.2 ± 10.5 cm, mass: 82.3 ± 12.7 kg) healthy male participants gave their written informed consent to voluntarily join the cross-sectional study. The sample size was selected according to power calculations via G*Power (version 3.1.9.2, Franz Kaul, Kiel, Germany). 42 regional to national level sprinters (100–400 m) as well as 12 basketball and 4 soccer players at the professional level were assigned to the trained group. They performed lower extremities' resistance training twice a week (≥ 2 h/week) containing hamstring exercises (e. g., leg curls, Nordic hamstring exercise or dead lifts) and regular sprint training for at least 5 years. The UT group consisted of sport students performing general training without focus on either muscle-specific resistance training or sprinting. The training volume of both groups was similar (8–12 h/week). All participants were free of thigh muscle and/or knee injuries within the last year. The local ethics commission verified that the require-

ments of the Declaration of Helsinki were met and the authors confirm that the ethical standards were followed [23].

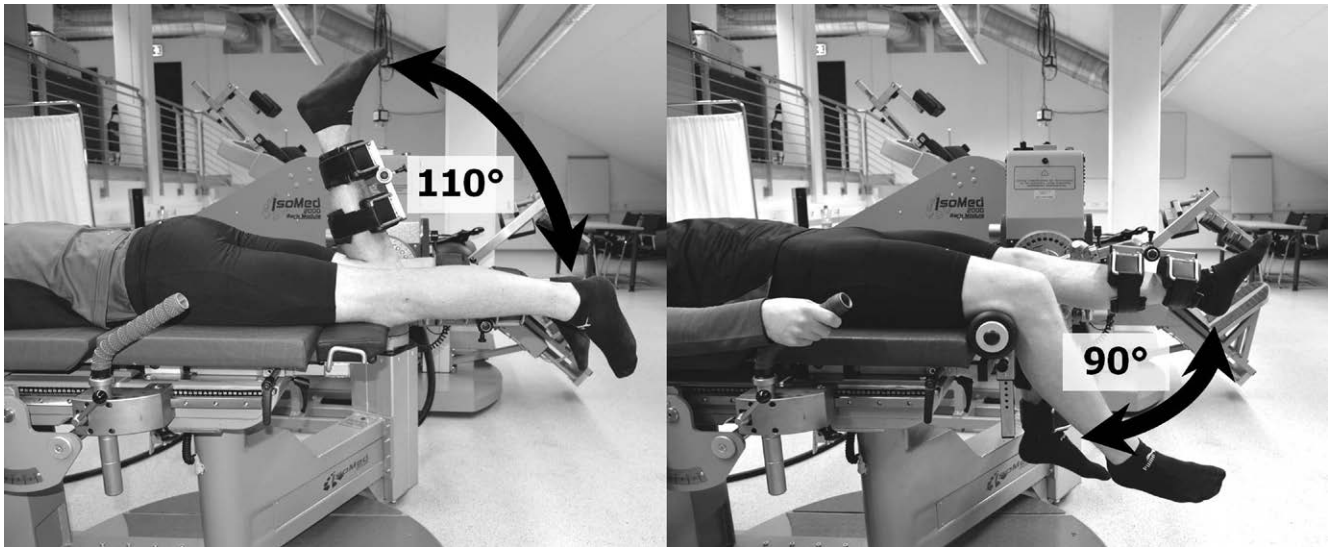
Procedures

To obtain reliable data, each participant performed 2 familiarisation sessions followed by a testing session which were all separated by 48–72 h [4]. All 3 sessions were performed in a performance laboratory and consisted of the same procedure. After determining their mass, the participants performed an individual warm-up (jogging, dynamic stretching) for 10 min. This general warm-up was succeeded by the participant's positioning on the active isokinetic dynamometer (IsoMed 2000, D&R Ferstl GmbH, Hemau, Germany). A double shin pad for unilateral knee movements was attached to the motor-driven axis. In a pre-activated muscular state at 90° knee flexion, the dynamometer axis was aligned with the participants' lateral femoral epicondyle by aid of a laser pointer [5]. The distal part of the pad was fixed by straps approximately 2–3 cm proximal to the medial malleolus. After a gravity correction measurement, the participants performed an isokinetic warm-up consisting of 15 submaximal concentric and eccentric repetitions of the respective muscle group. The participants took off their shoes to minimize accelerative inaccuracies.

The testing order of the 4 conditions (left and right flexion and extension) was stratified such that limbs were alternately tested and slow velocities were executed prior to fast ones [37]. For each condition, both concentric and eccentric movements were conducted at 2 different angular velocities (30 and 150°/s). The reliability of this protocol and the derived parameters has been previously assessed as high [3, 4]. To attain the largest possible isokinetic range of motion, a very quick acceleration and a hard deceleration were chosen. Each set consisted of 5 repetitions (2 practice, 3 with maximum effort) performed as discrete movements in a single direction [32]. The return of the involved leg into the starting position always occurred passively at 120°/s. The inter-set rest interval was set at 1 min [8]. Strong verbal encouragement promoted maximum effort.

For knee flexion, the participants lay prone (0° hip flexion) and pushed their trunk with their hands gripping the lounge handles (► Fig. 1). The knee flexion's range of motion was set at 110° starting at full knee extension (0°). Knee extensions were performed in the supine position (0° hip flexion) from 90° to 0° knee flexion. The prone and supine positioning of the participants was chosen to simulate muscle fibre lengths that occur during the mid-stance phase of running and sprinting [6, 20, 21]. Hand grips beside the hip provided sufficient stability [5, 22]. In order to examine the largest range of the respective condition, the degree of movement differed between knee flexion and extension [3, 4] (► Fig. 1).

Raw data were recorded by the manufacturer's software at a sampling rate of 200 Hz (IsoMed analyse V.2.0, D&R Ferstl GmbH, Hemau, Germany). Data were stored as ASCII files which were processed by a self-developed software (C++) isolating the isokinetic range of motion (± 1%). To reduce oscillations of the derived moment-angle curves, a 5th order Butterworth low-pass filter with a cut-off frequency of 6 Hz was applied. Relevant test parameters were computed from the obtained moment-angle curves of the 3 maximum repetitions: highest gravity-corrected peak moments (PM), angles of peak moment (APM) as well as DCRe moments and



► **Fig. 1** Knee flexions (left) and extensions (right) were conducted in prone and supine position across the largest possible range of motion. Hand grips provided sufficient stabilisation to the lounger.

angles. Normalization to body mass enabled inter-individual comparison [26].

Statistical analyses

Normal distribution and variance homogeneity were confirmed by the Kolmogorov-Smirnov ($\alpha \leq 0.05$) and Levene's test ($\alpha \leq 0.10$). As bilateral asymmetries were beyond the scope of the study, the results of both limbs were averaged for each participant. ANOVAs with repeated measures served to detect significant effects of training status, muscle, contraction mode and angular velocity on the PM and APM as well as the influence of angular velocity and training status on DCRe moments, angles and on DCR. Bonferroni post hoc tests ($\alpha \leq 0.05$) determined the actual p-values of the examined factors. Effect sizes are presented as partial eta-squared (partial η^2) and indicate the meaningfulness of the effects. According to Cohen [12], a partial η^2 of 0.02, 0.13 and 0.26 represents a small, medium and large effect size. All statistical tests were conducted with SPSS V22.0 (SPSS Inc., Chicago, Illinois, USA).

Results

► **Fig. 2** demonstrates the moment (► **Fig. 2a**) and functional H:Q ratio-knee flexion angle curves (► **Fig. 2b**) of the trained group for both angular velocities, illustrating the distinctive nature of the DCRe where the eccentrically active hamstrings would be able to fully brake the extension moment produced by the concentrically contracting quadriceps [13].

The typical moment-angular velocity relations (► **Fig. 3a**) showed that trained participants generated consistently higher PMs during maximal concentric and eccentric knee movements at 30 and 150°/s compared to their untrained counterparts for both knee flexors and extensors ($T > UT$; $p < 0.001$, partial $\eta^2 = 0.227$) (► **Fig. 3a**). APMs were independent of training status ($p = 0.697$, partial $\eta^2 = 0.003$), but significantly differed with regard to muscle group (flex < ext; $p < 0.001$, partial $\eta^2 = 0.988$) and to the interac-

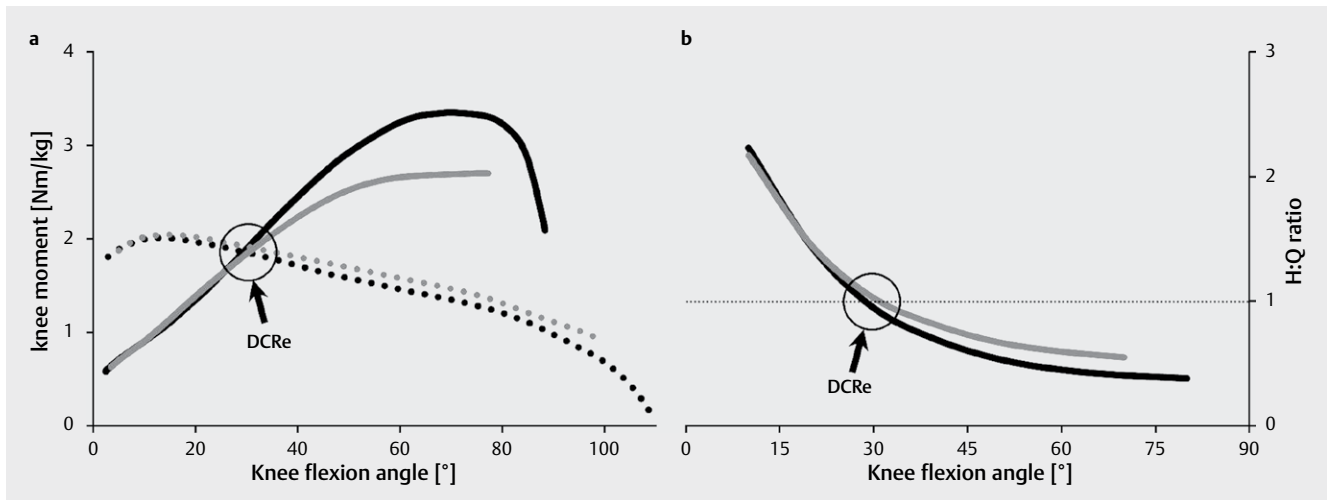
tion of training status and muscle group ($p < 0.003$, partial $\eta^2 = 0.080$) (► **Fig. 3b**).

► **Fig. 2a** illustrates the moment-angle relations obtained from concentric knee extensions and eccentric flexions at 30 and 150°/s. The DCR of angle-specific moments considerably changed throughout the range of motion (► **Fig. 2b**). However, its shape did not significantly diverge between different angular velocities. DCRe moments were significantly higher for trained participants at all angular velocities ($p < 0.001$, partial $\eta^2 = 0.345$) ($T_{30, 150}$: 1.86, 1.90 Nm/kg; $UT_{30, 150}$: 1.56, 1.60 Nm/kg). DCRe moments were higher at 150°/s compared to 30°/s ($p = 0.001$, partial $\eta^2 = 0.095$). In contrast, DCRe angles were affected by training status ($p = 0.004$, partial $\eta^2 = 0.072$), but not by angular velocity ($p = 0.241$, partial $\eta^2 = 0.012$) ($T_{30, 150}$: 28.9, 30.8°; $UT_{30, 150}$: 26.1, 27.0°). However, the effect of training status on DCRe angles emerged only at 150°/s where the trained group had significantly greater values compared to the untrained group. For both DCRe parameters, no significant interaction of training status and velocity became apparent (► **Fig. 4a**). DCR was unaffected by training status ($T_{30, 150}$: 0.60, 0.74 Nm/kg; $UT_{30, 150}$: 0.58, 0.71 Nm/kg; $p = 0.081$, partial $\eta^2 = 0.011$), but significantly increased with angular velocity ($p < 0.001$, partial $\eta^2 = 0.609$).

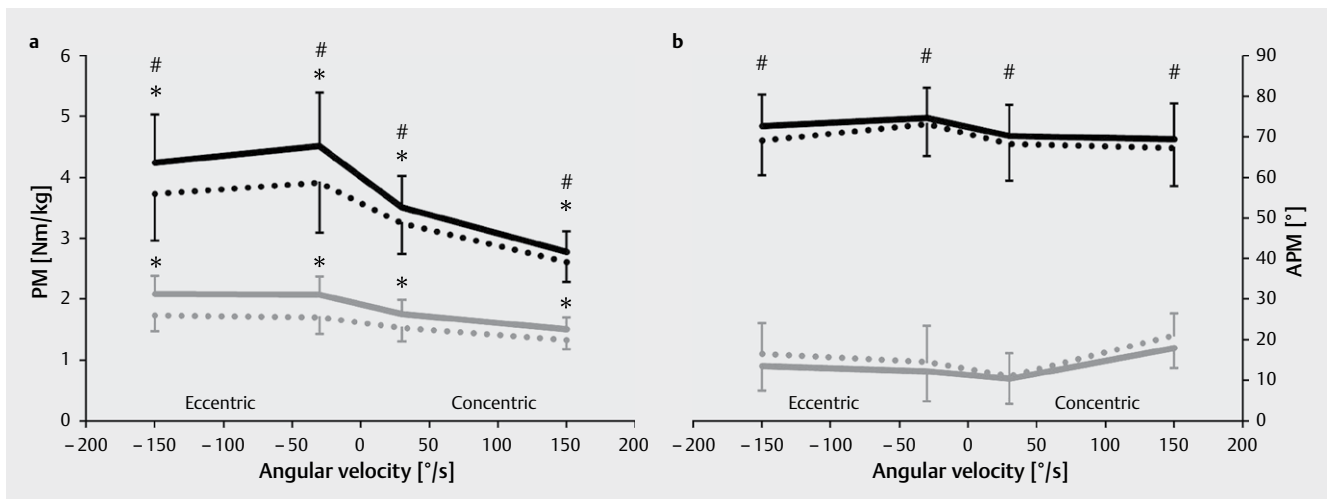
Discussion

Physiological rationale for the dynamic control equilibrium (DCRe)

The present cross-sectional study aimed to improve the understanding of the DCRe representing the intersection of eccentric knee flexion and concentric knee extension moment-angle curves. This yet relatively unknown screening tool comprises the physiological properties of the moment-angle relationships (► **Fig. 2a**), PM- (► **Fig. 3a**) and APM-angular velocity (► **Fig. 3b**) [13, 36]. In addition to the effect of muscle group, angular velocity and contraction mode, athletes' training status obviously lead to a signifi-



► **Fig. 2** a Mean moment-angle relationships of concentric knee extension (solid lines) and eccentric flexion (dashed lines) and b functional H:Q ratios at 30 and 150°/s (black to grey) of trained participants reaching their DCR at approximately 29 and 31°.



► **Fig. 3** Angular velocity relationships expressed as means and standard deviations of a peak moments (PM) and b angles of peak moment (APM) obtained from concentric and eccentric knee extensions (black) and flexions (grey) of trained (solid lines) and untrained (dashed lines) participants at 30 and 150°/s. * and # indicate significant effects ($p \leq 0.05$) of training status and muscle group, respectively.

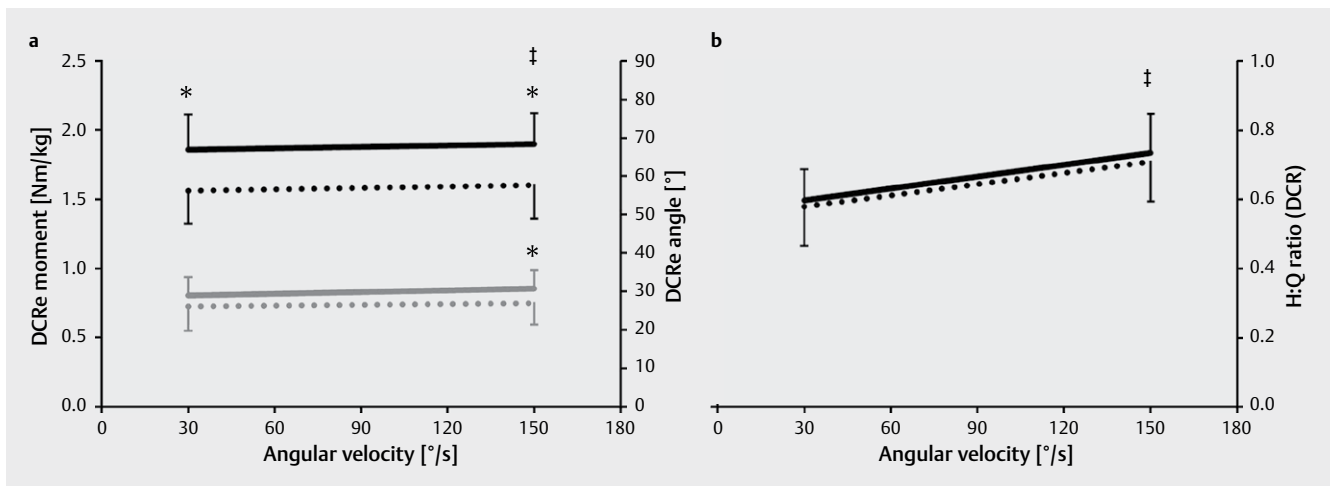
cantly higher PM throughout all concentric and eccentric contraction velocities (► **Fig. 3a**). However, APM remained unaffected by the specific training regimen itself and considerably differed between knee flexors (range = 10.5–21.1°) and extensors (range = 67.2–74.6°) (► **Fig. 3b**). This physiological discrepancy of APM is well-known [3, 4, 36] and queries the calculation and meaningful interpretation of ‘functional’ H:Q ratios. To avoid the comparison of moments that are generated at different joint angles [14, 36], Coombs & Garbutt [13] introduced the DCR as a diagnostic parameter for more functional analyses of reciprocal thigh muscle performance (► **Fig. 2a, b**). Since functional H:Q ratios using angle-specific moments incorporate the advantage of angle conformity, they received growing attention [6, 18]. However, instead of interpreting muscle balance scores at arbitrarily chosen angles with full tens values (e. g., 10, 20 and 30°), the DCR provides information about the distinctive joint configuration of the

equilibrium of moments. In the authors’ opinion, it is more important to know the range of motion in which the eccentrically acting hamstrings are able to withstand the concentric quadriceps’ action rather than to know the values of functional H:Q ratios close to knee extension (► **Fig. 2a, b**).

In spite of its better ecological validity in terms of contraction mode and joint angle configuration compared with conventional and functional H:Q ratios, DCR moments and angles have not been previously analysed although both DCR parameters possessed similar reproducibility [3, 6, 25].

Effect of angular velocity and training status on DCR moments and angles

As hypothesized, DCR moments were significantly higher at greater movement velocity and for trained participants at all angular velocities. In contrast to the DCR, DCR moments detected the



► **Fig. 4** Mean values and standard deviations of **a** DCR moments (black) and angles (grey) as well as **b** DCR values of trained (solid lines) and untrained (dashed lines) participants at 30 and 150°/s. * and ‡ indicate significant effects ($p \leq 0.05$) of training status and angular velocity, respectively.

increased capacity of trained participants to produce high eccentric knee flexor moments, especially during fast knee extensions (► **Fig. 4a,b**). Consequently, DCR does not just rate thigh muscle balance on a yes-no basis but provides quantitative data differentiating the training status of analysed athletes or patients.

DCRe angles were not affected by angular velocity but by training status. This finding confirmed the assumption of Coombs & Garbutt [13] that DCR should be shifted towards a more flexed knee joint position by training. With regard to the present results, the adaptation to specific resistance and sprint training was a rise of DCR moments with concomitantly greater DCR angles. The latter was especially true at high angular velocity (► **Fig. 4a**) and emphasizes the increased ability of trained participants to conduct fast and forceful knee extensions without overloading and potentially injuring their hamstrings (► **Fig. 2a, b**). Thus, the present results emphasize the functional value of both DCR parameters and provide a first collection of target values which might help physiotherapists and coaches to improve functional screening of thigh muscle balance.

Link of DCR parameters to muscular loading in sprinting

To assess the practicability of DCR parameters, the present results were compared post hoc with data obtained from biomechanical sprint analyses. Thereby, we focus on the late swing phase of the running cycle when the hamstrings undergo an active lengthening and absorb kinetic energy throughout fast and forceful knee extensions [11, 24]. The kinematic and kinetic results of previously published motion analyses show 3 striking similarities between DCR parameters and muscular loading during sprinting. First, the amount of DCR moments generated by trained participants at 150°/s (► **Fig. 4**) is similar to the peak eccentric knee flexor moments during the late swing phase of sprinting, reaching 1.8–2.5 Nm/kg [28, 35]. Second, DCR angles correspond to the knee joint angle where peak eccentric flexor moments were detected via inverse dynamics occurring at ~25–30° of knee flexion (► **Fig. 4**) [10, 34]. Third, the negative work and peak moments imposed

on the hamstrings' muscle-tendon unit prior to foot-strike increase with running speed [10]. This feature reveals that faster athletes might have an increased capacity to resist high eccentric flexor moments during fast knee extensions expressed by significantly higher DCR moments (► **Fig. 4**) [35]. This is the result of greater specific strength capacities as well as superior coordination and activation patterns of trained athletes [21, 30, 31, 35]. In summary, the abovementioned similarities between DCR parameters and sprint-related muscular loading suggest a high practical relevance of this screening tool [3].

Limitations

Even if DCR parameters are superior to the conventional and functional H:Q ratios in terms of contraction mode, joint angle configuration and detection of training status, this evaluation method suffers from methodological limitations impeding a realistic reflection of the actual muscular loading during e. g., sprinting. The analysed angular velocities were much lower compared with the late swing phase of maximum velocity sprinting (900–1 200°/s) [28, 35]. However, such high velocities cannot be achieved by isokinetic dynamometers. Even if viable, the isokinetic range of motion would be too small to get results of the particular knee angles (~25–30°). Furthermore, both mechanical (e. g., valid velocity adjustment) and psycho-physiological reasons (e. g., injury scare) would impair the validity of such data [9]. The isokinetic tests were conducted with extended hip joints. However, peak flexor moments during sprinting usually emerge at ~60–70° hip flexion [34]. This problem might be solved by pads predetermining a certain amount of hip flexion. Even if the calculation of DCR parameters is more difficult compared to conventional and functional H:Q ratios, their common and broadly used application and further investigation is recommended [3].

Perspectives

Further research is needed to verify the 3 suggested links between DCR parameters and sprint kinematics and kinetics. It was beyond the scope of this study to detect susceptible athletes for hamstring strains. However, it is desired to analyse the muscular capacities of

larger cohorts including pre- and post-injured participants. Prospective studies should investigate the predictive value of DCR_e in the valid assessment of muscular imbalances predisposing muscle and knee injuries [19, 21, 30]. This would provide additional information about the practicability and suitability of DCR_e in the functional and meaningful assessment of thigh muscle balance concerning the prevention and prognosis of related injuries as well as purposeful return to sport [14].

Conclusions

A functional and reliable diagnostic parameter to assess potential muscular imbalances between knee flexors and extensors might contribute to better sports performance and reduced injury susceptibility. The physiological rationale of commonly applied screening tools such as the conventional and functional H:Q ratio has been controversially discussed with regard to contraction mode and joint configuration. This study aimed to improve the understanding of the dynamic control ratio at the equilibrium point (DCR_e) representing the intersection of eccentric knee flexion and concentric knee extension moment-angle curves during maximum isokinetic exercise. Higher DCR_e moments and angles displayed the increased capacity of trained participants to resist high eccentric knee flexor moments, especially during fast knee extensions. With regard to this study, the DCR_e is a functional and reliable evaluation technique to detect potential thigh muscle imbalances by providing quantitative data distinguishing the training status of analysed athletes or patients. Accordances between DCR_e parameters and kinematic and kinetic sprint data suggest direct links to muscular loading during sprinting. The clinical relevance of this diagnostic parameter concerning injury prevention and prognosis of hamstring strain injuries warrants further investigation. In spite of this, we recommend the assessment of DCR_e parameters within isokinetic strength tests for functional and reliable diagnosis of potential thigh muscle imbalances.

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Conflict of interest

There is no conflict of interest.

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