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# Predictors of Gastrostomy Tube Placement in Head and Neck Cancer Patients at a Rural Tertiary Care Hospital

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# Abstract

**Background** Head and neck cancer is a leading cause of cancer. Treatment often requires surgical resection, free-flap reconstruction, radiation, and/or chemotherapy. Tumor burden and pain may limit swallowing and impair nutrition, increasing complications and mortality. Patients commonly require gastrostomy tubes (G-tube), but predicting which patients are in need remains elusive. This study identifies predictors of G-tube among head and neck cancer patients undergoing immediate free-flap reconstruction.

**Methods** Institutional Review Board approval was obtained. Retrospective database review was performed of patients at 18 years of age or older with head and neck cancer who underwent resection with immediate free-flap reconstruction from 2011 to 2019. Patients who underwent nonfree-flap or delayed reconstruction or with mortality within 7 days postoperatively were excluded. Patient demographics and comorbidities, tumor/treatment characteristics, and need for G-tube were analyzed to identify univariate and multivariate predictors.

Results In total, 107 patients were included and 72 required G-tube placement.

On multivariate analysis, tracheostomy (odds ratio [OR]: 81.78; confidence interval [CI]:

7.43–1,399.92; p < 0.01), anterolateral thigh flap reconstruction (OR: 16.18; CI: 1.14–

429.66; p = 0.04), and age 65 years or younger (OR: 9.35; Cl: 1.47–89.11; p = 0.02) were

### **Keywords**

- ► head and neck cancer
- gastrostomy tube predictors
- delayed reconstruction
- ► free-flap reconstruction
- malnutrition
- flap-related complications

predictors of G-tube placement. **Conclusion** Head and neck cancer treatment commonly involves extensive resection, reconstruction, and/or chemoradiation. These patients are at high risk for malnutrition and need G-tube. Determining who requires a pre- or postoperative G-tube remains a challenge. In this study, the need for tracheostomy or ALT flap reconstruction and age 65 years or younger were predictive of postoperative G-tube placement. Future research will guide a multidisciplinary perioperative pathway to facilitate the optimization of nutrition management.

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Head and neck cancer is the sixth most common cancer worldwide.<sup>1,2</sup> Treatment often requires surgical resection and free-flap reconstruction, as well as radiation and/or chemotherapy. Tumor burden and associated pain may limit swallowing, resulting in impaired nutrition. The extent of resection, reconstruction type, and need for chemotherapy and/or radiation therapy may cause further impairment.<sup>3,4</sup> Up to 50% of head and neck cancer patients are malnourished at initial presentation.<sup>5,6</sup> Malnutrition increases the risks of infection, poor wound healing, perioperative morbidity, and mortality and may also decrease the effectiveness of chemoradiation therapy.<sup>4,7,8</sup>

While up to 46% of patients with head and neck cancer will require gastrostomy tube (G-tube) placement for adequate nutrition during their treatment course, predicting which patients are in need remains elusive.<sup>9</sup> Previous studies exploring predictors of G-tube placement in head and neck cancer patients are limited by the inclusion of patients undergoing nonsurgical treatment, smaller resections or resections not requiring free-flap reconstruction, or delayed reconstruction, as well as small sample sizes and inclusion of a limited number of predictors.<sup>10–12</sup> This study aims to identify predictors of G-tube placement among patients with head and neck cancer undergoing immediate free-flap reconstruction through a review of our institutional experience in a rural tertiary care hospital.

# Methods

Institutional Review Board approval was obtained. Retrospective review of the electronic medical record was performed of all adult patients (age greater than 18 years) with cancer of the head and neck who underwent resection with immediate free-flap reconstruction at a large rural tertiary care hospital between 2011 and 2019. Exclusion criteria were patients with tumors not involving the head and neck region, patients who underwent nonfree-flap or delayed reconstruction, or patients with mortality within 7 days of surgery.

Study data were collected and managed using REDCap electronic data capture tools hosted at Dartmouth-Hitchcock Medical Center. REDCap is a secure, web-based software platform designed to support data capture for research studies.<sup>13,14</sup> The following information was extracted from the medical record: patient demographics and comorbidities, nutrition metrics, prior treatments, tumor characteristics, quality measures, preoperative surgical data, postoperative swallowing assessment, G-tube data, and complications.

Patient demographics included age (at time of surgery), gender, and body mass index (BMI) on the day of surgery and 1 month postoperatively. The presence or absence of specific comorbidities included the American Society of Anesthesiologists Classification, diabetes, coronary artery disease or peripheral vascular disease, and current tobacco and/or current alcohol use.

Nutrition assessment included the presence or absence of malnutrition as documented in the electronic medical record, weight loss, dysphagia, albumin and prealbumin values, and preoperative speech language pathologist (SLP) evaluation, if performed. Treatment factors included the presence or absence of prior treatment for head and neck cancer including radiation, chemotherapy, and/or surgery, as well as postoperative radiation and/or chemotherapy. Tumor characteristics included tumor node metastasis stage and primary tumor location. Quality measures included the presence or absence of preoperative nutrition and/or palliative care assessment and postoperative nutrition assessment.

Specific surgical data included ablative (three surgeons) and reconstruction surgeons (four surgeons), type of resection and reconstruction, need for tracheostomy, and timeframe for removal of oro/nasogastric feeding tube, if placed. Postoperative data included SLP evaluation, if performed, and pertinent results and recommendations. Gastrostomy tube data included the need for preoperative or postoperative G-tube within 3 months of surgery (to allow sufficient time for postoperative edema to resolve and initiation of adjuvant therapy, if indicated). If a G-tube was placed postoperatively, specific data on timeframe and service consulted for placement (interventional radiology or general surgery at our institution) were collected. Thirty-day complications related to the surgical resection/reconstruction or G-tube were analyzed.

We sought to develop statistical models that could integrate information across 41 clinical variables to predict whether: (1) a G-tube would be placed or (2) whether placement was pre- or postoperative (in this case, data were subset to patients with either pre- or postoperative placement). Additionally, whether the G-tube was placed preoperatively, postoperatively, or not at all was used as an additional covariate for the prediction of factors pertaining to: (3) G-tube complications (in this case, data were subset to patients with either pre- or postoperative G-tube placement) and (4) surgical complications.

For all four outcomes, univariable analyses were conducted using logistic regression. When predictors exhibited significant bias (e.g., complete separation of the outcome by predictor or insufficient information), we opted for biasreducing logistic regression models. For each predictor and outcome, we report a univariable odds ratio (OR), where an OR above 1 indicated a positive relationship with the outcome, while below 1 indicated a negative relationship. For the univariable analyses, we adjusted *p*-values using false discovery rate adjustment for multiple comparisons to control for Type-I error.

Given the high dimensionality of the data and the desire to eliminate the potential for collinearity in the data, which would lead to reporting of insignificant effects by reducing the number of clinical features evaluated at the same time, and to control for potential confounders and repeated measurements nested within resection and reconstruction surgeons, we fit hierarchical Bayesian multivariable logistic regression models with Horseshoe LASSO penalization.<sup>15</sup> This reduced the number of predictors from 41 to 14 for each analysis by selecting predictors with the greatest magnitude effect estimates, while also controlling for age and gender. Bayesian approaches were optimal here to assist with model convergence and reduce bias through the selection of weakly regularizing priors, making effect estimates conservative.<sup>16</sup> We controlled for clustered data by modeling resection and reconstruction surgeon as cross-classified random effects. We then fit hierarchical Bayesian regression without the LASSO penalty with the remaining predictors to derive final multivariable associations. We report multivariable ORs and corresponding credible intervals (akin to confidence intervals, CI). Statistical significance was communicated using the probability of direction (pd, strongly correlated to the *p*-value).<sup>17</sup> Two-sided statistical tests were conducted through the calculation of  $p = 2^*(I - pd)$  as the measure of statistical significance. Additionally, we compared different subgroups of patients based on their BMI whether they had a G-tube placed and if the placement was pre- or postoperative using estimated marginal means.

Age and preoperative BMI were dichotomized by clinically relevant ranges (< or  $\geq$  65 years and  $\leq$  18.5 or  $\geq$  30, respectively). Malnutrition, prealbumin, dysphagia, and weight loss were excluded due to excessive missingness, where they could not be imputed. All methods were implemented using the *logistf, brms, emmeans,* and *bayestestR* packages of the R statistical software, v3.6.<sup>18–22</sup>

# Results

One hundred seven patients were included (mean age 60 years; **- Table 1**). Seventy-two (67%) required a G-tube

pre- or postoperatively, with 73.6% of these patients undergoing preoperative placement. The most common primary tumor location was oral cavity (79%), followed by oropharynx (10%). Among all patients, 28 (26%) had T2 tumors. The majority of patients (85%) did not undergo formal preoperative nutrition assessment. Forty-eight patients (45%) had dysphagia preoperatively and 38 (79%) of these ultimately required a G-tube: 17 (35%) underwent preoperative placement, while 21 (44%) required a postoperative G-tube. Thirty-six patients reported weight loss preoperatively and 28 (78%) of these required a G-tube: 12 (33%) preoperatively and 16 (44%) postoperatively.

Nearly all patients (93%) who underwent at least a subtotal glossectomy and 61% who underwent a segmental mandibulectomy required a postoperative G-tube. Eighty-seven (81%) patients required tracheostomy at the time of surgery and 61 (70%) of these patients required a G-tube pre- or postoperatively. Reconstruction was performed with anterolateral thigh (ALT) (45%), radial forearm (RF) (2% osteocutaneous and 33% fasciocutaneous), and fibula (22%) free flaps. Patients undergoing ALT or fibula flaps had higher rates of postoperative G-tubes than those undergoing fasciocutaneous RF (68 and 61% vs. 40%, respectively; **►Table 2**).

Among all patients, 51 (48%) experienced a head and neck-related postoperative complication. These included wound dehiscence (n = 15), surgical site infection (n = 17), leak (n = 3), hematoma (n = 2), flap-related complications (i.e., flap congestion and/or ischemia; n = 9), and other (n = 23). Complication management required readmission

	Overall (%)	Gastrostomy tube (%)	No gastrostomy tube (%)	<i>p</i> -Value	
Number of patients	107	72	35		
Preoperative body mass in	Preoperative body mass index				
< 18.5	18 (17.0)	17 (23.9)	1 (2.9)		
18.5–30	15 (14.2)	10 (14.1)	5 (14.3)		
> 30	73 (68.9)	44 (62.0)	29 (82.9)		
Age	59.51 (11.33)	59.64 (10.48)	59.26 (13.08)	0.88	
> 65	32 (29.9)	21 (29.2)	11 (31.4)	0.99	
ASA class				0.39	
1	1 (0.9)	1 (1.4)	0 (0.0)		
2	22 (20.6)	14 (19.4)	8 (22.9)		
3	80 (74.8)	54 (75.0)	26 (74.3)		
4	3 (2.8)	3 (4.2)	0 (0.0)		
5	1 (0.9)	0 (0.0)	1 (2.9)		
Comorbidities					
None	25 (23.4)	13 (18.1)	12 (34.3)	0.11	
CAD/PVD	26 (24.3)	4 (5.6)	3 (8.6)	0.86	
Current tobacco use	43 (40.2)	18 (25.0)	8 (22.9)	1.00	
Current alcohol use	41 (38.3)	33 (45.8)	10 (28.6)	0.13	
Diabetes	7 (6.5)	29 (40.3)	12 (34.3)	0.70	

**Table 1** Patient demographics among 107 patients eligible for inclusion

Abbreviations: ASA, American Society of Anesthesiologists classification; CAD/PVD, coronary artery disease/peripheral vascular disease.

Table 2 Patient tumor and treatment characteristics

Prior treatment(s)	Overall	Gastrostomy tube	No gastrostomy tube	<i>p</i> -Value
Radiation	20 (18.7)	14 (70%)	6 (30%)	0.98
Surgery	26 (24.3)	13 (50%)	13 (50%)	0.06
Chemotherapy	12 (11.2)	9 (75%)	3 (25%)	0.78
T-Stage <sup>a</sup>		-		0.07
1	10 (9.3)	3 (30%)	7 (70%)	
2	28 (26.2)	20 (71%)	8 (29%)	
3	23 (21.5)	16 (70%)	7 (30%)	
4	46 (43.0)	33 (72%)	13 (28%)	
N-Stage <sup>a</sup>			•	0.07
0	52 (48.6)	30 (58%)	22 (42%)	
1	13 (12.1)	8 (62%)	5 (38%)	
2	36 (33.6)	28 (78%)	8 (22%)	
3	6 (5.6)	6 (100%)	0 (0%)	
Metastases	3 (2.8)	3 (100%)	0 (0%)	0.55
Tumor location		1	-	•
Hypopharynx	4 (3.7)	3 (75%)	1 (25%)	0.72
Nasal cavity	8 (7.5)	4 (50%)	4 (50%)	
Oral cavity	84 (78.5)	57 (68%)	27 (32%)	
Oropharynx	11 (10.3)	8 (73%)	3 (27%)	
Resection surgeon	1	1		< 0.01
Resection surgeon 1	40 (37.4)	29 (73%)	11 (28%)	
Resection surgeon 2	52 (48.6)	39 (75%)	13 (25%)	
Resection surgeon 3	15 (14.0)	4 (27%)	11 (73%)	
Reconstruction surgeon		•		0.82
Reconstruction surgeon 1	8 (7.5)	6 (75%)	2 (25%)	
Reconstruction surgeon 2	56 (52.3)	36 (64%)	20 (36%)	
Reconstruction surgeon 3	41 (38.3)	29 (71%)	12 (29%)	
Reconstruction surgeon 4	2 (1.9)	1 (50%)	1 (50%)	
Resection type		1		1
Buccal	14 (13.1)	8 (57%)	6 (43%)	0.57
Hemiglossectomy	30 (28.0)	22 (73%)	8 (27%)	0.55
Subtotal or total glossectomy	22 (20.6)	21 (95%)	1 (5%)	< 0.01
Marginal mandibulectomy	14 (13.1)	9 (64%)	5 (35%)	1.00
Segmental or hemimandibulectomy	33 (30.8)	24 (73%)	9 (27%)	0.56
Tongue base resection	5 (4.7)	4 (80%)	1 (20%)	0.90
Radical tonsillectomy	13 (12.1)	10 (77%)	3 (23%)	0.64
Soft palate	19 (17.8)	15 (79%)	4 (21%)	0.36
Hard palate	1 (0.9)	1 (100%)	0 (0%)	1.00
Maxillectomy	7 (6.5)	1 (14%)	6 (86%)	0.01
Maxillectomy with orbital exoneration	5 (4.7)	4 (80%)	1 (20%)	0.90
Pharyngectomy	20 (18.7)	16 (80%)	4 (20%)	0.28
Supracricoid or hemi laryngectomy	4 (3.7)	4 (100%)	0 (0%)	0.38
Total pharyngolaryngectomy	3 (2.8)	3 (100%)	0 (0%)	0.55

### Table 2 (Continued)

Prior treatment(s)	Overall	Gastrostomy tube	No gastrostomy tube	p-Value
Retromolar trigone	12 (11.2)	8 (67%)	4 (33%)	1.00
Lip	5 (4.7)	2 (40%)	3 (60%)	0.40
Neopharynx <sup>b</sup>	2 (1.9)	1 (50%)	1 (50%)	1.00
Free flap type	·	•	·	•
Anterolateral thigh	48 (44.9)	37 (77%)	11 (23%)	0.08
Fasciocutaneous radial forearm	35 (32.7)	19 (54%)	16 (46%)	0.08
Osteocutaneous radial forearm	2 (1.9)	1 (50%)	1 (50%)	1.00
Fibula	24 (22.4)	17 (71%)	7 (29%)	0.86
Rectus abdominis	1 (0.9)	1 (100%)	0 (0%)	1.00
Tracheostomy needed	87 (81.3)	68 (78%)	19 (22%)	< 0.01
G-tube placement	•	·	·	•
Preoperative	n/a	53	n/a	n/a
Postoperative	n/a	19	n/a	n/a
Postoperative G-tube complication	in 30 d	·	·	•
Yes	7 (6.5)	7 (100%)	0 (0%)	
No	60 (56.1)	59 (98%)	1 (2%)	
Unknown	40 (37.4)	6 (15%)	34 (85%)	
Type of G-tube complication	•	·	·	•
Malfunction	1 (0.9)	1 (100%)	0 (0%)	1.00
Dislodged	3 (2.8)	3 (100%)	0 (0%)	0.55
G-tube placement	·	•	•	
Preoperative	n/a	53	n/a	n/a
Postoperative	n/a	19	n/a	n/a
Postoperative head and neck complication within 30 d	51 (47.7)	40 (78%)	11 (22%)	0.03
Type of head and neck complication	1			
Wound dehiscence	15 (14.0)	14 (93%)	1 (7%)	0.04
Surgical site infection	17 (15.9)	16 (94%)	1 (6%)	0.02
Intraoral leak	3 (2.8)	2 (67%)	1 (33%)	1.00
Hematoma	2 (1.9)	2 (100%)	0 (0%)	0.82
Flap congestion or ischemia	9 (8.4)	8 (89%)	1 (11%)	0.28
Other	23 (21.5)	15 (65%)	8 (35%)	1.00

Abbreviations: G-tube, gastrostomy tube; n/a, not available.

<sup>a</sup>Based on tumor node metastasis (TNM) classification.

<sup>b</sup>Among two patients who underwent total laryngectomy and developed recurrent disease in the neopharynx requiring resection of the neopharyx.

in nine patients, operative management in 30 patients, and nonoperative management in 26 patients.

Among patients who received a G-tube, four (4%) experienced a gastrostomy tube-related complication (one malfunction and three dislodgements). Only one patient required operative management after a dislodged G-tube.

### **Factors Associated with G-Tube Placement**

On univariate analysis, risk factors for any G-tube placement included nodal stage greater than N1 (p = 0.02;  $p_{adj} = 0.17$ ),

undergoing subtotal or total glossectomy (p = 0.01;  $p_{adj} = 0.17$ ), and need for tracheostomy (p < 0.01;  $p_{adj} < 0.01$ ). After adjusting for confounders via multivariate analysis, tracheostomy (OR: 81.78; [CI: 7.43–1,399.92]; p < 0.01), ALT flap reconstruction (OR: 16.18; [CI: 1.14–429.66]; p = 0.04), and age 65 years or older (OR: 9.35; [CI: 1.47–89.11]; p = 0.02) were predictors of G-tube placement.

On univariate analysis, factors protective against requiring a G-tube included prior surgical treatment (p = 0.03;  $p_{adj} = 0.23$ ), undergoing maxillectomy (p = 0.02;  $p_{adj} = 0.17$ ), and fasciocutaneous RF flap reconstruction (p = 0.05;

 $p_{adj}$ = 0.28). After adjusting for confounders via multivariate analysis, undergoing maxillectomy (OR: 0.00; [CI: 0.00–0.10]; p < 0.01) or neopharynx resection (OR: 0.00; [CI: 0.00–0.47]; p = 0.02) (in patients who developed recurrence following a total laryngectomy) were protective against requiring a G-tube (**-Table 3**).

### Factors Associated with Preoperative versus Postoperative G-Tube Placement

From the univariate analysis, among patients who required a G-tube, only tumor stage greater than T2 (p = 0.02;  $p_{adj} = 0.37$ ) was associated with preoperative (versus postoperative) placement. In contrast, BMI of 30 or greater (p = 0.023;  $p_{adj} = 0.37$ ) and ablative surgeon (p = 0.02;

**Table 3** Predictors of preoperative or postoperativegastrostomy tube placement versus no gastrostomy tubebased on multivariable analysis

	OR (Confidence interval)	<i>p</i> -Value		
Age (y)				
≥ 65	9.35 (1.47–89.11)	0.02		
Body mass index				
$< 18.5 \text{ vs.} \ge 18.5$	8.51 (0.74–190.71)	0.10		
18.5–30 vs. < 18.5	0.06 (0.00-4.09)	0.21		
18.5−30 vs. ≥ 30	2.35 (0.21–32.02)	0.48		
$\geq$ 30 vs. $\leq$ 18.5	0.03 (0.00–1.06)	0.05		
$\geq$ 30 vs. < 30	0.22 (0.04–1.03)	0.06		
Comorbidities				
Diabetes	0.04 (0.00–1.04)	0.05		
Prior treatment(s)	Prior treatment(s)			
Surgery	0.30 (0.04–2.58)	0.26		
Tumor TNM stage				
N-stage > 1	1.02 (0.12–9.46)	1.00		
Resection type				
Hemiglossectomy	1.55 (0.28–8.98)	0.63		
Lip	0.02 (0.00-3.61)	0.13		
Maxillectomy	0.00 (0.00-0.10)	< 0.01		
Maxillectomy with orbital exoneration	1.12 (0.01–253.69)	0.99		
Neopharynx <sup>a</sup>	0.00 (0.00-0.47)	0.02		
Subtotal or total glossectomy	9.80 (0.40–635.65)	0.19		
Free flap type				
Anterolateral thigh	16.18 (1.14–429.66)	0.04		
Fasciocutaneous radial forearm	0.46 (0.07–3.19)	0.44		
Tracheostomy needed	81.77 (7.43–1,399.92)	< 0.01		

Abbreviations: OR, odds ratio; TNM, tumor node metastasis stage. <sup>a</sup>Among two patients who underwent total laryngectomy and developed recurrent disease in the neopharynx requiring resection of the neopharyx.  $p_{adj}=0.37$ ) were predictive of postoperative placement. After adjusting for confounders via multivariate analysis among those who underwent G-tube placement, only tumor stage greater than T2 (OR: 59.84; [CI: 2.76–2,746.66]; p=0.01) was associated with preoperative placement. The need for repeat surgical resection was associated with postoperative placement (OR: 0.08; [CI: 0.00–1.25]; p=0.07), though this did not reach statistical significance (**~Table 4**).

# Factors Associated with Head and Neck Surgical Complications and G-Tube Complications

From the univariate analysis, only the need for a postoperative G-tube (vs. no G-tube) was associated with a head and neck surgical complication within 30 days (OR: 3.90; [CI: 1.57–9.68]; p < 0.01). After adjusting for confounders via multivariate analysis, postoperative G-tube (vs. no G-tube; OR: 4.71; [CI: 1.53–15.93]; p < 0.01), tongue base resection (OR: 19.28; [CI: 1.29–391.42]; p = 0.03), and the presence of a hypopharyngeal tumor (OR: 32.18; [CI: 1.08–1,854.10]; p = 0.05) were associated with head and neck surgical complications. From both univariate (p = 0.02;  $p_{adj} = 0.8$ ) and multivariate analyses (OR: 152.39; [CI: 2.35–11,577.95]; p = 0.02), only the presence of a hypopharyngeal tumor was associated with gastrostomy tube-related complications (**-Tables 5** and **6**).

# Discussion

Head and neck cancer is one of the most common cancers worldwide. Treatment often entails extensive surgical resection with free-flap reconstruction and potentially chemotherapy and/or radiation therapy. Many patients suffer from malnutrition preoperatively, which can be exacerbated during treatment. This malnutrition increases the risks of perioperative complications (including wound dehiscence or delayed wound healing and surgical site infections), delayed adjuvant therapy, and mortality. Gastrostomy tube placement may provide supplemental nutritional support during the perioperative period and long term, if needed.

Predicting which patients will benefit from a G-tube remains a clinical challenge. Prior studies are limited by small sample sizes and inclusion of patients undergoing only nonsurgical treatments, treatment of benign disease, small resections amenable to less extensive reconstructions, and/or patients delayed or secondary reconstructions, and heterogeneous analysis that limits statistical validity.<sup>10,23,24</sup> To better address this question, we conducted a retrospective review of the EMR at a large, rural, tertiary care hospital. All patients undergoing resection of head and neck cancers with immediate free-flap reconstruction were included, while those undergoing delayed or secondary reconstruction, only nonsurgical treatments, or those undergoing reconstruction with nonfree-flap techniques were excluded.

Analysis of 107 patients meeting inclusion criteria found that undergoing tracheostomy or ALT flap reconstruction and age 65 years or older were significantly associated with G-tube placement, while undergoing a maxillectomy or neopharynx resection (in patients who previously

	OR (Confidence interval)	<i>p</i> -Value	
Age (y)			
$\geq$ 65	0.4 (0.03–4.27)	0.46	
Body mass index			
$<$ 18.5 vs. $\geq$ 18.5	2.75 (0.67–12.13)	0.17	
18.5-30 vs. < 18.5	0.28 (0.01–4.90)	0.40	
18.5–30 vs. $\ge$ 30	1.60 (0.08–26.77)	0.72	
$\geq$ 30 vs. $\leq$ 18.5	0.17 (0.02–1.30)	0.09	
$\geq$ 30 vs. $<$ 30	0.48 (0.12–1.86)	0.29	
Prior treatment(s)			
Chemotherapy	7.65 (0.18–405)	0.29	
Radiation	5.47 (0.23–146.36)	0.33	
Surgery	0.08 (0.00–1.25)	0.07	
Tumor location			
Hypopharynx	0.13 (0.00–16.99)	0.42	
Nasal cavity	0.18 (0.00-8.47)	0.45	
Oropharynx	1.40 (0.04–36.73)	0.84	
T-stage > 2 <sup>a</sup>			
Stage > 2	59.84 (2.76–2,746.66)	0.01	
Resection type			
Buccal	5.30 (0.45–78.12)	0.20	
Lip	3.53 (0.09–142.24)	0.49	
Partial supracricoid or hemilaryngectomy	3.92 (0.08–185.72)	0.48	
Segmental or hemimandibulectomy	2.57 (0.40–18.62)	0.35	
Soft palate	1.86 (0.18–16.39)	0.57	
Free-flap type			
Rectus abdominis	13.66 (0.19–1,581.79)	0.23	

**Table 4** Predictors of preoperative versus postoperativegastrostomy tube placement based on multivariable analysis

**Table 5** Predictors of gastrostomy tube-related complicationsbased on multivariable analysis

	OR (Confidence interval)	p-Value		
Age (y)	Age (y)			
≥ <b>65</b>	6.39 (0.43–105.53)	0.18		
Body mass index				
$<$ 18.5 vs. $\geq$ 18.5	0.65 (0.08–4.30)	0.69		
18.5-30 vs. < 18.5	1.10 (0.02–59.18)	0.95		
18.5–30 vs. ≥ 30	0.33 (0.00–19.56)	0.65		
$\geq$ 30 vs. $\leq$ 18.5	3.30 (0.16-85.88)	0.45		
$\geq$ 30 vs. $<$ 30	2.15 (0.29–20.84)	0.48		
Comorbidities				
None	6.35 (0.22–177.41)	0.27		
CAD/PVD	9.12 (0.64–140.3)	0.11		
Current tobacco use	0.10 (0.00–1.68)	0.11		
Prior treatment(s)				
None	5.98 (0.18–208.53)	0.31		
Surgery	0.06 (0.00–3.56)	0.17		
Tumor location				
Hypopharynx	152.39 (2.35–11,600)	0.02		
Nasal cavity	0.21 (0.00–16.90)	0.55		
Oropharynx	0.19 (0.00–11.20)	0.42		
Tumor stage <sup>a</sup>				
N-stage > 1	0.13 (0.01–1.68)	0.12		
Resection type				
Marginal mandibulectomy	0.20 (0.00–11.35)	0.49		
Neopharynx <sup>b</sup>	6.44 (0.04–1,224.97)	0.49		
Pharyngectomy	4.28 (0.12–133.91)	0.41		
Radical tonsillectomy	8.85 (0.13–581.56)	0.31		
Segmental or hemimandibulectomy	0.62 (0.03–9.94)	0.76		
Free-flap type				
Anterolateral thigh	4.66 (0.33–109.15)	0.28		

Abbreviations: OR, odds ratio.

<sup>a</sup>Based on tumor node metastasis stage.

underwent total laryngectomy and developed recurrent disease requiring resection of the neopharynx) were associated with lack of G-tube placement. These findings are consistent with previous studies.<sup>10</sup> Patients undergoing subtotal or total glossectomy were more likely to require a G-tube on univariate analysis. Patients undergoing oral tongue resection have worse swallowing function due to prolonged oral preparatory time, slowed oral transit time, and increased oral residue.<sup>25,26</sup> Specifically, resection of over 25% of the tongue base is associated with the inability to trigger a pharyngeal swallow, resulting in postsurgical aspiration.<sup>27,28</sup> While undergoing total laryngopharyngectomy was not associated with the need for G-tube, this was likely due to the small sample size (three patients) for this analysis.

Tracheostomies are associated with impaired swallowing and as many as 93% of patients experience dysphagia followAbbreviations: CAD/PVD, coronary artery disease/peripheral vascular disease; OR, odds ratio.

<sup>a</sup>Based on tumor node metastasis stage.

<sup>b</sup>Among two patients who underwent total laryngectomy and developed recurrent disease in the neopharynx requiring resection of the neopharyx.

ing tracheostomy.<sup>29</sup> While some patients are at risk for dysphagia due to factors that result in their requiring a tracheostomy, tracheostomies also independently impair swallowing. Several mechanisms explain this phenomenon. These include neurophysiologic mechanisms involving failure of the glottic closure reflex, disruption of coordination between respiratory and digestive systems resulting in insufficient subglottic pressure, and absent cough reflex.<sup>30</sup>

	OR (Confidence interval)	p-Value		
Age (y)	Age (y)			
≥ <b>65</b>	1.39 (0.44–4.53)	0.58		
Body mass index				
$< 18.5 \text{ vs.} \ge 18.5$	0.40 (0.14–1.16)	0.09		
18.5–30 vs. < 18.5	3.06 (0.44–21.67)	0.27		
18.5−30 vs. ≥ 30	0.61 (0.13–2.75)	0.52		
$\geq$ 30 vs. $\leq$ 18.5	5.04 (0.99–27.63)	0.05		
$\geq$ 30 vs. < 30	2.02 (0.89–4.73)	0.08		
Comorbidities				
CAD/PVD	1.66 (0.49–5.87)	0.42		
Prior treatment(s)				
Chemotherapy	0.26 (0.03–1.99)	0.18		
Tumor location				
Hypopharynx	32.18 (1.08–1,854.1)	0.05		
Nasal cavity	10.69 (0.37–332.84)	0.17		
Oropharynx	0.17 (0.01–1.52)	0.12		
Tumor stage				
Metastases	0.04 (0.00-1.02)	0.05		
Resection type				
Marginal mandibulectomy	0.58 (0.14–2.46)	0.47		
Maxillectomy	0.07 (0.00–1.06)	0.06		
Maxillectomy with orbital exoneration	6.65 (0.08–1,153.31)	0.43		
Tongue base resection	19.28 (1.29–391.42)	0.03		
Free-flap type				
Anterolateral thigh	2.43 (0.79-8.05)	0.13		
Osteocutaneous radial forearm	0.06 (0.00–2.18)	0.13		
Timing of gastrostomy tube				
Any vs. none	1.80 (0.83–4.13)	0.14		
Preoperative vs. none	1.24 (0.24–6.50)	0.79		
Postoperative vs. none	4.71 (1.53–15.93)	< 0.01		
Postoperative vs. preoperative	3.79 (0.85–18.62)	0.09		

 Table
 6
 Predictors
 of
 head
 and
 neck-related
 surgical

 complications
 based
 on
 multivariable
 analysis

Abbreviations: CAD/PVD, coronary artery disease/peripheral vascular disease; OR, odds ratio.

Even temporary tracheostomy can impair swallowing, as can how a patient progresses to decannulation.<sup>31</sup>

Reconstruction choice plays an important role in the ability to successfully swallow postoperatively and the type of reconstruction depends on several factors, including the extent of resection, history of radiation, patient comorbidities, and ability to undergo a lengthy operation. While some studies have demonstrated superior swallowing function with free-flap reconstruction over pedicled flaps, others have found no difference.<sup>32–34</sup> In one study, patients who underwent RF reconstruction reported the best outcomes in terms of postoperative swallowing and mastication ability.<sup>35</sup> This is consistent with our finding that fasciocutaneous RF flap reconstruction was associated with not needing a G-tube, likely due to the thin and pliable nature of RF flaps and their use in patients undergoing comparatively smaller resections. Due to the small sample size, a separate analysis of osteocutaneous RF flaps could not be performed. In contrast, ALT flaps were predictive of needing a G-tube, likely due to the bulkiness of ALT flaps that may impede swallowing. Additionally, the need for a bulkier or larger flap may reflect the extent of the ablative defect.

As with extent and location of primary tumor resection, undergoing bilateral (versus unilateral) neck dissection has been associated with increased incidence of G-tube placement, though data are not definitive.<sup>11,36</sup> The need for radiation or prior radiation has also been associated with impaired swallowing. Through direct tissue damage and resultant edema, pseudomembrane formation, and fibrosis, radiation causes xerostomia, mucositis, odynophagia, and dysgeusia, which lead to increased oral and pharyngeal transit times, lower oral pharyngeal swallowing efficiency, greater pharyngeal residual, and strictures.<sup>28,37-39</sup> Radiation to the pharyngeal constrictors and supraglottic and glottic larynx increases the risk of dysphagia.<sup>34,40</sup> Some effects may be temporary, while others are sustained.<sup>41,42</sup> In one review of head and neck cancer patients, 61% of patients receiving either chemoradiation or radiation alone required feeding tube placement and 41% continued to require their G-tube 1 year following treatment.<sup>43</sup> Surprisingly, we found preoperative chemoradiation therapy was not associated with the need for G-tube, though this may be due to the small sample size. Due to limitations of the EMR and retrospective methodology of our study, we were unable to analyze the effect of postoperative radiation on swallowing function and the need for prolonged G-tube placement. However, the need for postoperative radiation is likely an important determinant.

G-tubes may be placed preoperatively, at the time of resection/reconstruction, or postoperatively. Earlier placement can reduce weight loss and hospitalization and improve outcomes in head and neck cancer patients.<sup>44,45</sup> However, the short interval from initial consultation to surgery at high-volume centers often makes preoperative G-tube placement not feasible. Case reports of tumor seeding along the gastrointestinal tract from pre-resection G-tube placement have been reported, though high-level evidence to support a causative mechanism is lacking.<sup>46</sup> The interval between initial consultation and surgical intervention varies, and it may not be feasible to place a G-tube preoperatively. Coordinated G-tube placement at the time of resection/reconstruction may be beneficial, though limits the benefits of preoperative nutritional optimization. Postoperative placement necessitates an additional procedure with the associated potential for morbidity, may disrupt the recent surgical site, and delay hospital discharge, adjuvant

therapy, and recovery.<sup>7,47,48</sup> Ultimately, a multidisciplinary approach to the initial evaluation of head and neck cancer patients may facilitate evaluation and perioperative optimization of nutritional status.

Gastrostomy tubes can improve nutritional status and reduce surgical complications.<sup>7</sup> On multivariate analysis, postoperative G-tube (versus not needing a G-tube), tongue base resection, and presence of a hypopharyngeal tumor were associated with head and neck-related surgical complications. Undergoing postoperative versus preoperative G-tube placement was not associated with an increased risk of surgical complications, though analysis may be limited by the interval between preoperative placement and surgery, as well as the small sample size.

G-tubes are not without risk and tube dislodgement or malfunction, infection, hemorrhage, aspiration pneumonia, bowel injury, long-term dependence, and poor quality of life have all been described in the literature.<sup>46,49–52</sup> In our study, patients with hypopharyngeal tumors who underwent G-tube placement experienced more gastrostomy tube-related complications. However, this trend in this small subset (n = 4 patients) may be confounded by the presence of prior treatment, need for tracheostomy, and lower BMI in all four of these patients.

Our study has several limitations. We did not explicitly model interactions within the data or estimate nonlinear effects, for which application of a machine learning model would be of great benefit. We included many predictors for univariable analysis as an exploratory analysis. However, many univariable associations became insignificant after multiple comparisons adjustment and unintuitive associations may be attributed to the lack of confounding adjustment. While multivariable methods reduced the potential for confounding and overcame multiple comparisons issues, there are documented limitations for the predictor selection method (LASSO; e.g., potential exclusion of highly correlated variables). Although many univariable associations were deemed insignificant, either through multiple comparisons adjustment or multivariable analyses, these findings do not necessarily preclude the importance of univariable findings in an exploratory context (e.g., resection type may be a relevant G-tube predictor despite being selected out from the multivariable analysis). Application of Bayesian analyses in the univariable setting can also reduce the potential for Type I error.

Additionally, EMR review introduces risks of missing or incomplete documentation, patients lost to follow-up, and oversimplifies complex clinical decision-making. For instance, while low BMI, dysphagia, and weight loss have been linked to the need for G-tube, preoperative dysphagia was subjectively reported by patients in our study and the degree of weight loss was variable and inconsistently documented, limiting analysis of these variables.<sup>10</sup> Additionally, patients did not routinely undergo preoperative albumin or prealbumin testing. Although low serum albumin has been associated with an increased risk of needing a G-tube, the utility of individual laboratory values in determining nutritional status is debated.<sup>23,53–55</sup>

Lack of randomization, in terms of both G-tube placement and ablative and reconstructive surgeons, introduces selection bias in deciding which patients undergo surgical resection with free-flap reconstruction, as well as who undergoes G-tubes placement and at what time. To this end, we attempted to control for surgeons through hierarchical modeling to report associations independent of the ablative and reconstructive surgeons. The lack of formal recommendations to guide G-tube placement leaves surgeons to decide based on past experiences, resulting in inherent selection biases. In our study, preoperative G tubes were predominantly placed in patients with tumor stages greater than T2, which indicates a larger tumor requiring a larger resection and reconstruction. Randomization and post-hoc statistical adjustment can minimize these biases in prospective cohort studies.56

Our study findings may not be generalizable to other institutions. At our institution patients are generally unable to be discharged with nasogastric tubes in place, which is not the case at many institutions. Therefore, patients unable to maintain sufficient oral nutritional intake postoperatively undergo G-tube placement. As such, some patients may have required G-tubes for only brief periods. While we attempted to analyze data on the duration of G-tube need, this was limited by missing data and patients lost to follow-up. Not all patients can tolerate a nasogastric tube, however, and this should also be considered when deciding on the need for a G-tube.

Follow-up was limited to 3 months postoperatively. While adjuvant treatments are often started within this timeframe, this short follow-up may not adequately capture the need for postoperative radiation or the long-term sequelae of these treatments on swallowing function. In addition, some patients who require a temporary G-tube during adjuvant therapy can ultimately resume independent nutritional intake and have their G-tubes removed. The purpose of this study, however, was to identify patients who required a G-tube for any duration during the immediate perioperative period.

Our study includes only patients undergoing free-flap reconstruction, a subset of patients where the decision to place a G-tube remains unclear. There is evidence to suggest improved swallowing outcomes with free flap (versus pedicled) reconstruction. These patients are more likely to be younger and have fewer comorbidities, making them candidates for free-flap reconstruction and potentially better able to tolerate impaired oral intake than older and more frail patients. Additionally, smaller resections amenable to smaller reconstructions are less likely to significantly impact swallowing function.

# Conclusion

Head and neck cancer is increasingly prevalent and treatment commonly involves extensive resection, reconstruction, and/or chemoradiation. These patients are at high risk for malnutrition and need for G-tube. Determining who requires a pre- or postoperative G-tube remains a challenge. In this study, the need for tracheostomy or ALT flap reconstruction and age 65 years or older were predictive of

postoperative G-tube placement. Future research will guide a multidisciplinary perioperative pathway with nutritionists, SLPs, palliative care clinicians, and ablative and reconstructive surgeons to facilitate the optimization of nutrition management pre- and postoperatively.

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#### **Conflict of Interest**

None declared.

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