

An expanded pharmacogenomics warfarin dosing table with utility in generalised dosing guidance

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Summary

Pharmacogenomics (PGx) guided warfarin dosing, using a comprehensive dosing algorithm, is expected to improve dose optimisation and lower the risk of adverse drug reactions. As a complementary tool, a simple genotype-dosing table, such as in the US Food and Drug Administration (FDA) Coumadin drug label, may be utilised for general risk assessment of likely over- or under-anticoagulation on a standard dose of warfarin. This tool may be used as part of the clinical decision support for the interpretation of genetic data, serving as a first step in the anticoagulation therapy decision making process. Here we used a publicly available warfarin dosing calculator (www.warfarindosing.org) to create an expanded gene-based warfarin dosing table, the CPMC-WD table that includes nine genetic variants in *CYP2C9*,

VKORC1, and *CYP4F2*. Using two datasets, a European American cohort (EUA, n=73) and the Quebec Warfarin Cohort (QWC, n=769), we show that the CPMC-WD table more accurately predicts therapeutic dose than the FDA table (51 % vs 33 %, respectively, in the EUA, McNemar's two-sided p=0.02; 52 % vs 37 % in the QWC, p<1×10⁻⁶). It also outperforms both the standard of care 5 mg/day dosing (51 % vs 34 % in the EUA, p=0.04; 52 % vs 31 % in the QWC, p<1×10⁻⁶) as well as a clinical-only algorithm (51 % vs 38 % in the EUA, trend p=0.11; 52 % vs 45 % in the QWC, p=0.003). This table offers a valuable update to the PGx dosing guideline in the drug label.

Keywords

Pharmacogenomics, warfarin, *CYP2C9*, *VKORC1*, *CYP4F2*

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Introduction

Warfarin is a widely prescribed and highly effective oral anticoagulant used for the treatment and prevention of thrombotic events. Despite its common use, warfarin-related adverse drug reactions (ADRs) are among the most common reasons for emergency room visits and hospitalisations in the USA (1–4). The high rate of ADRs is due in a large part to its narrow therapeutic window and wide inter-individual variability in response, making dosing problematic and requiring extensive patient monitoring during the dose-initiation and dose-titration period of warfarin use. There is a >10 fold variability in inter-patient therapeutic dose (<1.5 mg/day to >15 mg/day) (5, 6). Dosing is determined empirically, typically by starting with a standard dose (commonly 5mg/day) and adjusting until a target International Normalised Ratio (INR) is reached (7–9). A supra-therapeutic INR can result in dangerous bleeding episodes while a sub-therapeutic INR is associated with an increased risk of thrombosis (9).

Several genetic and non-genetic factors explain up to 60 % of variance in warfarin dose in populations of European descent (10–12), with genetic factors accounting for two-thirds of this variability. Given the effect of genetic factors, the FDA added pharmacogenomic (PGx) information about variation in two genes, *CYP2C9* (coding for cytochrome P-450 2C9) and *VKORC1* (coding for vitamin K epoxide reductase), to the warfarin drug label in 2007. This information was updated in 2010 with specific recommended warfarin therapeutic dose ranges based on the composite effect of genetic variations at *CYP2C9* and *VKORC1* (13).

A number of warfarin dosing algorithms that include genetic variants, demographic and clinical factors have been developed, primarily using retrospective patient series on stable warfarin maintenance dose (10, 11, 14–16). Furthermore, several prospective randomised clinical trials have attempted to address the question of clinical utility of PGx-guided warfarin dosing (17–22). These, along with several subsequent meta-analyses (23–27) have

provided conflicting and therefore inconclusive results. What is clear is that genetic factors have shown the largest, clinically validated, influence on dose variability (6, 10–12, 14, 28). The influence of genetic factors along with the fact that warfarin induced bleeding complications are among the leading causes of severe ADRs (1–4), makes warfarin an ideal drug for PGx-guided dosing. Support for this comes from the largest and most insightful trial that prospectively followed over 5,000 genotyped patients receiving warfarin (29) and showed that the approximately 40% of individuals who are genetically sensitive or highly sensitive to warfarin, are at a significant increased risk of early bleeding with standard dosing practices. The findings of this study support the utility of PGx-testing to guide anticoagulation therapy, whereby patients identified as sensitive to warfarin can either be treated with an alternative drug or a PGx algorithm-predicted initial dose of warfarin with more frequent INR monitoring to reduce the time to therapeutic dose and lower the risk of ADRs (29). Furthermore, this study underscores the importance of having genetic data available at the time of warfarin prescribing. Ideally genetic data and the interpretation of the results (including any necessary clinical decision support (CDS) alerts), would be available preemptively, that is, in the medical records as a pre-prescription patient characteristic (30) ready to guide anticoagulation therapy when indicated.

Recently, we systematically reviewed and critically appraised published and public PGx data from a variety of sources for seven commonly prescribed drugs (28). As part of that review, our analysis of existing data found that nine variants across three genes (*CYP2C9*, *VKORC1* and *CYP4F2*) had a significant impact on warfarin dose response (28). Given that the FDA-approved warfarin prescribing information includes only three variants in two genes we set out to expand on this by developing a genotype-based warfarin dosing table (the CPMC-WD table) that includes all nine variants.

Materials and methods

Study design

The warfarin clinical and PGx algorithm of Gage et al. [as implemented in the warfarin dosing calculator at www.warfarindosing.org version 2.40 (11, 31) and referred to as the „WD algorithm“ in this study] was used to develop the CPMC warfarin dosing table (the CPMC-WD table) as described in detail in the Supplementary Material (Suppl. Table 1, available at www.thrombosis-online.com). Briefly, this table incorporates the effect of the following variants: *CYP2C9**1, *2, *3, *5, *6, *8, *11 and *14; *VKORC1*-1639G>A and *CYP4F2* V433M. Also, given the impact of age and gender on variability in warfarin dose (5), warfarin therapeutic daily dose was estimated using the warfarin dosing calculator for the composite-genotype of variants in *CYP2C9*, *VKORC1* and *CYP4F2* based on six hypothetical patients (for rationale see Suppl. Material, available at www.thrombosis-online.com): a female (weight 63.5 kg, height 1.63 m) and a male (weight 77 kg, height 1.78 m) both non-hispanic, white, non-smokers, no

medications, starting INR 1, target INR 2.5, a primary indication of atrial fibrillation; each at ages 50 years, 60 years and 75 years (results are recorded in Suppl. Table 1, available at www.thrombosis-online.com). For each possible genotype combination the predicted therapeutic dose was averaged across the six dose predictions to give a composite-genotype mean dose. Given that a dose deviation of >1 mg/day from the therapeutic dose is considered to be clinically significant (14, 32), genotype mean doses were also converted to a warfarin dosing category of standard dose (StD) 4.1–5.9 mg/day (29–41 mg/week); high dose (HD) \geq 6 mg/day (\geq 42 mg/week); low dose (LD) 2.1–4.0 mg/day (15–28 mg/week); and very low dose (VLD) \leq 2 mg/day (\leq 14 mg/week) (► Figure 1 and Suppl. Table 1, available at www.thrombosis-online.com).

To compare the performance of the CPMC-WD table with the current FDA-approved warfarin prescribing information (Suppl. Table 2, available at www.thrombosis-online.com) (referred to as the „FDA table“ in this study), the standard of care 5 mg/day dosing (referred to as the „fixed dose“ method), and the clinical variable only algorithm (11, 21) (referred to as the „clinical-only“ algorithm), each patient was assigned a CPMC-WD table-predicted dose, a FDA table-predicted dose (Suppl. Table 2, available at www.thrombosis-online.com), a 5 mg/day fixed dose and a clinical-only algorithm predicted dose. The mean absolute error (MAE), i.e. the mean of the absolute values for the difference between the actual therapeutic and the predicted doses, was estimated for each method. The percentage of patients with a predicted dose within 1 mg/day of the stable therapeutic dose and the proportion of patients with predicted doses \geq 1 mg/day above or below the stable therapeutic dose was estimated for each dosing method. For the FDA table-predicted doses, a value equal to the midpoint of the daily warfarin dose range (1.25 mg/day for the low dose range; 3.5 mg/day for intermediate dose range; and 6 mg/day for the high dose range), was used to estimate the MAE and the proportions predicted to within 1 mg/day of therapeutic dose or \geq 1 mg/day over or under the therapeutic dose. The clinical algorithm of Gage et al. 2008 (11, 21) was used for the clinical-only dose predictions (Suppl. Material, available at www.thrombosis-online.com).

Data collection and study cohorts

The performance of the CPMC-WD table compared to the FDA table, the fixed dose method, and the clinical-only algorithm was evaluated first in the European American cohort (EUA cohort) and subsequently replicated in an independent population, the Quebec Warfarin Cohort (QWC).

European American Cohort

The European American cohort (EUA cohort) is made up of CPMC research study participants enrolled through the institutional review board-approved study. A description of the CPMC study and early results from the Coriell Community Cohort are published elsewhere (33–38). All participants were over 18 years of age and were unselected for disease state and medication usage.

Figure 1: CPMC-WD therapeutic warfarin dosing (mg/week) and categories based on *CYP2C9*, *VKORC1* and *CYP4F2*. Predicted mean weekly warfarin dose for each *CYP2C9-VKORC1-CYP4F2* genotype combination estimated using the algorithm implemented in the web-based calculator www.warfarindosing.org (version 2.40) (see Methods and Suppl. Table 1, available online at www.thrombosis-online.com). Weekly warfarin dose categories are also provided: STD – “standard dose” 4.1–5.9 mg/day (29–41 mg/week) which includes doses within 1 mg/day of the standard 5 mg/day warfarin dose; HD – “high dose” ≥ 6 mg/day (≥ 42 mg/week); LD – “low dose” 2.1–4.0 mg/day (15–28 mg/week); and VLD – “very low dose” ≤ 2 mg/day (≤ 14 mg/week). Additional rare *CYP2C9* genotypes include: ^a(*1/*8, *1/*11); ^b(*1/*5, *1/*6, *1/*14); ^c(*2/*8, *2/*11, *8/*8, *8/*11, *11/*11); ^d(*2/*5, *2/*6, *2/*14, *8/*3, *8/*5, *8/*6, *8/*14, *11/*3, *11/*5, *11/*6, *11/*14); ^e(*3/*5, *3/*6, *3/*14, *5/*5, *5/*6, *5/*14, *6/*6, *6/*14, *14/*14). Dose categories that differ from the FDA table (see Suppl. Tables 1 and 2, available online at www.thrombosis-online.com): ^fFDA table dose range 3–4 mg/day (21–28 mg/week); ^gFDA table dose range 0.5–2 mg/day (3.5–14 mg/week).

<i>VKORC1</i> -1639G>A	<i>CYP4F2</i> V433M (C>T)	<i>CYP2C9</i> (example genotypes)					
		*1/*1	*1/*2 ^a	*1/*3 ^b	*2/*2 ^c	*2/*3 ^d	*3/*3 ^e
GG	CC	STD (41mg)	STD (33.5mg)	LD (28mg)	LD (27mg)	LD (22.5mg)	LD ^g (19mg)
GG	CT	HD (44mg)	STD (36.5mg)	STD ^f (30mg)	STD ^f (30mg)	LD (24.5mg)	LD ^g (20mg)
GG	TT	HD (47.5mg)	STD (39mg)	STD ^f (32mg)	STD ^f (32mg)	LD (26.5mg)	LD ^g (21.5mg)
AG	CC	STD (29.5mg)	LD (24.5mg)	LD (20mg)	LD (20mg)	LD ^g (17mg)	VLD (13.5mg)
AG	CT	STD (32mg)	LD (26.5mg)	LD (22mg)	LD (22mg)	LD ^g (17.5mg)	LD ^g (15mg)
AG	TT	STD (35mg)	STD ^f (29mg)	LD (23mg)	LD (23mg)	LD ^g (19mg)	LD ^g (16mg)
AA	CC	LD (22mg)	LD (17.5mg)	LD ^g (15mg)	LD ^g (15mg)	VLD (12mg)	VLD (10mg)
AA	CT	LD (23mg)	LD (19mg)	LD ^g (16mg)	LD ^g (15.5mg)	VLD (12.5mg)	VLD (10.5mg)
AA	TT	LD (25mg)	LD (21mg)	LD ^g (17mg)	LD ^g (17mg)	VLD (14mg)	VLD (11mg)

Participants provided informed consent and saliva samples for genotyping and completed CPMC web-based medical, medication, family history, and lifestyle questionnaires (MFLQ). For the present study genotypes for *CYP2C9* (*2, *3, *5, *6, *11 and *14), *VKORC1*-1639G>A and *CYP4F2* (V433M) were obtained using the Affymetrix DMET™ Plus Array.

The warfarin specific subset of the CPMC cohort consists of 73 primarily European Americans with self-reported warfarin dose data. Criteria for inclusion of study participants in the present study are described in the Suppl. Material (available at www.thrombosis-online.com). Whether a stable warfarin dose had been reached was self-reported and based on response to two questions in the targeted survey. Participants were queried whether they had reached a stable dose of warfarin, those responding as not having reached a stable dose and those reporting that they do not remember were excluded from further analysis. In addition, participants were asked how long it had been since their warfarin dose last changed and all those reporting a dose change within the previous two weeks was also excluded from the study.

Quebec Warfarin Cohort

The Quebec Warfarin Cohort (QWC) is an observational, prospective inception cohort of warfarin users enrolled consecutively between October 2009 and July 2013 at 18 anticoagulation clinics in the Quebec province of Canada, among which, the Montreal Heart Institute was the leading and coordinating centre. Patients older than 18 years of age were eligible if warfarin therapy was expected for greater than 12 months and for an indication other than

deep venous thrombosis, pulmonary embolism or isolated left ventricular thrombosis. Also, patients with the following conditions were excluded: patients with at least one major bleeding episode, including gastro-intestinal bleeding and haemorrhagic stroke, within the past three months; and patients with cirrhosis, chronic hepatitis, icterus, end-stage renal failure and mental illness. Following a face-to-face recruitment interview in which the patients' baseline and demographic characteristics were collected, patients were followed-up for a 12 month period with five structured telephone questionnaires. We assumed that all patients have reached the stable dose by three months after warfarin initiation. This, however, was confirmed by INR values collected from the anticoagulation clinics or the pharmacists at the three-month time point. Data about warfarin doses were obtained from the patients and validated by those provided by the care providers.

Of 1072 patients who were originally recruited in the cohort, 21 patients withdrew from the study, 11 patients died, and 16 patients were excluded from the analysis due to missing genotype data. Moreover, 51 patients stopped taking warfarin within the first three months of treatment (► Figure 2). Accordingly, 973 patients, with full genotype data (referred to as the “full cohort”), were available for the genotype-grouping distribution analysis. Within the full cohort, amiodarone usage data was missing for 204 patients, leaving 769 patients with complete clinical data (referred to as the “clinical subset”), that were included in the analyses comparing the performance of the four dosing methods (the CPMC-WD table, the FDA table, the fixed dose method and the clinical-only algorithm) (► Figure 2).

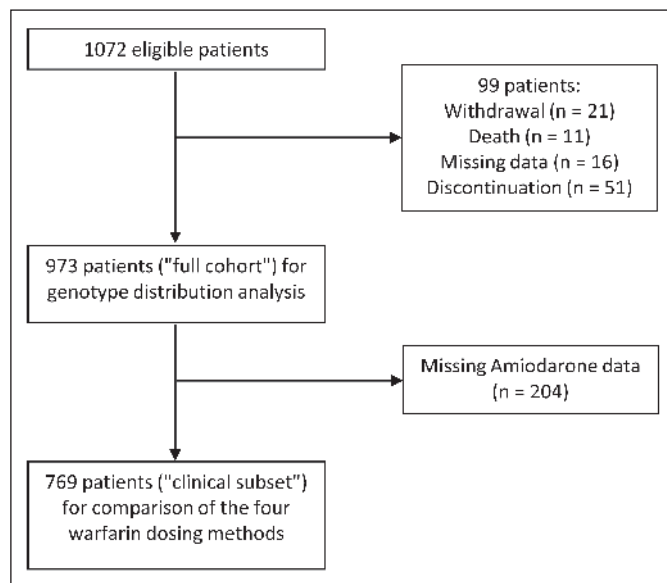


Figure 2: Flow chart showing patient selection in the Quebec Warfarin Cohort.

QWC patients provided blood samples for genotyping and were genotyped for *CYP2C9**2, *3, *5, *6, *8, *11, *14 alleles and also for *VKORC1*-1639 G>A allele, using iPLEX® ADME PGx Panel (Sequenom Inc., San Diego, CA, USA). Also, data on *CYP4F2* V433M (C>T), were retrieved from the cohort GWAS-dataset obtained by Illumina Infinium HumanOmni2.5 Exome-8v1_A BeadChip (Illumina, San Diego, CA, USA).

Both studies were performed under the terms of the Declaration of Helsinki. The study protocols were approved by their respective local review boards or ethics committees and all patients gave written informed consent.

Statistical analysis

Descriptive statistics such as frequency distributions, and where applicable, means and standard deviations (given evidence for normal data distribution) were calculated for demographic and clinical characteristics, warfarin dose and warfarin dose category (StD; HD; LD; VLD) separately for each cohort (EUA and QWC).

Comparisons between the dosing methods were made using McNemar's exact 2×2 test to determine whether the marginal frequencies were equal. The statistical tests were two-sided, and the type I error was set at 0.05 with no correction for multiple comparisons.

Results

The patient clinical and demographic characteristics are provided in ► Table 1 and ► Table 2 for the EUA cohort and the QWC, respectively.

► Table 3 provides the observed CPMC-WD table-based genotype groupings and their distribution for the two cohorts. More-

Table 1: Demographic and clinical characteristics of the European American cohort.

Variable		EUA cohort (n=73)
Reported daily therapeutic warfarin dose (mg/day)	Mean(SD)	5.2 (2.0)
	range	1.0–12.1
	STD (4.1–5.9)	25 (34)
Daily Dose Category (mg/day)	HD (≥6.0)	25 (34)
	n (%)	
	LD (2.1–4.0)	20 (27.5)
	VLD (≤2.0)	3 (4)
Age, years	Mean(SD)	66.2 (13.4)
	range	24–89
Height, cm	Mean (SD)	172.9 (10.9)
	range	149.9–198.1
Weight, kg	Mean (SD)	85.8 (19.5)
	range	52.2–145.1
BMI	Mean (SD)	28.6 (5.7)
	range	20.1–47.4
Race, n (%)	White (Caucasian)	68 (93)
	African American	3 (4)
	Other (mixed)	2 (3)
Gender, n(%)	Male	49 (67)
	Female	24 (33)
Smoking Status, n(%)	Smoker	1 (1.4)
Co-morbidity, n(%)	Liver disease	1 (1.4)
Co-medications, n(%)	Use of statin	47(64)
	Use of amiodarone	11(15)
	Use of azole use	1 (1.4)
Primary Indication, n(%)	Atrial fibrillation	44 (60)
	DVT/PE	12 (16)
	Heart valve replacement	8 (11)
	Stroke	1 (1.4)
	Other	8 (11)

BMI – body mass index; DVT – deep venous thrombosis; PE – pulmonary embolism; SD – standard deviation; STD – Standard dose; HD – high dose; LD – low dose; VLD – very low dose.

over, the CPMC-WD table predicted daily dose, the actual daily stable dose and the difference between the doses for each genotype group, are presented in ► Table 3. For the QWC, the full cohort of 973 patients was included in this analysis. Given the larger sample size of the QWC, a greater diversity of genotype combinations for *CYP2C9*, *VKORC1*-1639G>A and *CYP4F2* V433M were observed (48 genotype groupings) than in the EUA cohort (21 genotype groupings). The two most common genotype combinations in

Table 2: Demographic and clinical characteristics of the Quebec Warfarin Cohort (QWC).

Variable		QWC full cohort (n = 973)	QWC clinical subset (n = 769)
Reported daily therapeutic warfarin dose, (mg/day)	Mean (SD)	4.7 (2.2)	4.5 (2.04)
	Range	0.3–18	(0.6–15.7)
Daily dose category (mg/day), n (%)	STD (4.1–5.9)	508 (52.2)	406 (52.8)
	HD (≥ 6.0)	114 (11.7)	85 (11.0)
	LD (2.1–4.0)	348 (35.8)	276 (35.9)
	VLD (≤ 2.0)	3 (0.3)	2 (0.3)
Age, years	Mean (SD)	70.0 (11.87)	72.6 (10.38)
	Range	19–96	19–96
Height, cm	Mean (SD)	168.7 (10.2)	166.8 (9.9)
	Range	122–196	122–196
Weight, kg	Mean (SD)	81.0 (19.3)	80.0 (18.9)
	Range	36.5–215–9	36.5–173
BMI, (kg/m ²)	Mean (SD)	28.7 (6.1)	28.6 (6.2)
	Range	13.7–58.0	13.7–57.5
Race, n (%)	White (Caucasian)	927 (95.3)	743 (96.6)
	Hispanic	4 (0.4)	4 (0.5)
	Black	11 (1.1)	9 (1.2)
	Asian	8 (8.2)	6 (0.8)
	Indian-American	2 (0.2)	2 (0.3)
	Other (mixed)	21 (2.1)	18 (2.3)
Gender, n (%)	Male	596 (61)	450 (58.5)
	Female	377 (39)	319 (41.5)
Smoking status, n (%)	Smoker	75 (7.7)	56 (7.3)
Co-morbidity, n (%)	Hypertension	667/967 (68.9)	551 (71.6)
	Diabetes	266/971 (27.4)	219 (28.5)
	Hyperlipidemia	597/963 (62.0)	482 (62.7)
	Myocardial infarction	226/947 (23.9)	181 (23.5)
	Stroke	67/962 (7.0)	52 (6.8)
Primary indication, n (%)	Atrial fibrillation	725 (74.5)	609 (79.2)
	Flutter	105 (10.8)	88 (11.4)
	Heart valve replacement	154 (15.8)	83 (11.0)
	Mitral stenosis	12 (1.2)	9 (1.2)
	Other	8 (0.8)	3 (0.4)
Co-medication, n (%)	Use of amiodarone	NA	112 (14.6)

BMI – body mass index; SD – standard deviation; STD – Standard dose; HD – high dose; LD – low dose; VLD – very low dose. NA – data on co-medications was not available for all patients.

both cohorts were *1/*1-GG-CC and *1/*1-AG-CC and both were associated with a standard dose category (i.e. are within 1 mg/day of the standard 5 mg/day dose). Comparison of the predicted and actual therapeutic dose for individual genotype groupings showed that of the 48 genotype groups observed in the QWC, 27 have four or more individuals and all 27 genotype groups (100%) have a mean error in predicted dose less than ± 1 mg/day, i.e. are within therapeutic range (► Table 3). Of the remaining 21 genotype groupings with three or less individuals, 12 (57%) are also within therapeutic range (mean error in predicted dose less than ± 1 mg/day); leaving nine groups with a mean error of $\geq \pm 1$ mg/day. Similarly, 15 of the 21 EUA cohort genotype groups have a mean error in predicted dose of less than ± 1 mg/day, and the six genotype

groupings with a mean error of $\geq \pm 1$ mg/day all consisted of three or fewer individuals (► Table 3). Thus, in the larger QWC cohort the predicted dose for over 81% (39 of 48) of genotype groups are within 1 mg/day of the actual dose. In contrast, the mean error in predicted dose for the FDA table mid-range value shows that only 30 of the 48 QWC genotype groupings (62.5%) and 12 of the 21 EUA cohort genotype groups (57%) are within ± 1 mg/day of the genotype group mean actual dose (Suppl. Table 3, available online at www.thrombosis-online.com). Overall, these data support the greater accuracy of the CPMC-WD table composite-genotype mean predicted doses compared to those of the FDA table.

Comparison of the overall performances between the dosing methods in each cohort, showed the MAE was 1.3 mg/day for the

Table 3: Patient genotype distribution data: comparison of CPMC-WD table predicted mean dose and mean actual warfarin dose.

Genotype grouping ^a	CPMC-WD table predicted mean daily warfarin dose (mg) ^b	cCPMC-WD table predicted daily warfarin dose category	EUA Cohort (N=73)			QWC full cohort (N=973)		
			No. (freq.)	Mean actual daily warfarin dose (mg) ^d	Mean error in predicted dose (mg) ^e	No. (freq.)	Mean actual daily warfarin dose (mg) ^d	Mean error in predicted dose (mg) ^e
*1/*1-GG-CC	5.9	STD	16 (22%)	6.5	-0.6	118 (12.1%)	6.5	-0.6
*1/*1-AG-CT	4.6	STD	9 (12%)	5.4	-0.8	106 (10.9%)	4.7	-0.1
*1/*1-GG-CT	6.3	HD	4 (5.5%)	6.3	0	88 (9.0%)	6.0	0.3
*1/*1-GG-TT	6.8	HD	3 (4%)	6.1	0.7	26 (2.7%)	7.7	-0.9
*1/*2-GG-CC	4.8	STD	3 (4%)	5.9	-1.1	50 (5.1%)	5.3	-0.5
*1/*2-GG-CT	5.2	STD	2 (3%)	8.6	-3.4	30 (3.1%)	5.5	-0.3
*1/*2-GG-TT	5.6	STD	1 (1.4%)	5.7	-0.1	5 (0.5%)	5.9	-0.3
*1/*1-AG-TT	5.0	STD	1 (1.4%)	5.0	0	27 (2.8%)	4.6	0.4
*1/*11-GG-CT	4.8	STD	1 (1.4%)	5.0	-0.2	0	--	--
*1/*1-AG-CC	4.2	STD	12 (16%)	4.5	-0.3	137 (14.1%)	4.8	-0.6
*1/*1-AA-CC	3.1	LD	5 (7%)	4.0	-0.9	49 (5.0%)	3.3	-0.2
*1/*2-AG-CC	3.5	LD	4 (5.5%)	4.0	-0.5	52 (5.3%)	3.9	-0.4
*1/*3-GG-CT ^f	4.3	STD	3 (4%)	5.1	-0.8	15 (1.5%)	4.7	-0.4
*1/*3-AG-CC	2.9	LD	2 (3%)	3.0	-0.1	21 (2.2%)	3.5	-0.6
*1/*1-AA-CT	3.3	LD	1 (1.4%)	4.2	-0.9	41 (4.2%)	3.2	0.1
*1/*2-AA-CC	2.5	LD	1 (1.4%)	3.6	-1.1	12 (1.2%)	2.5	0.0
*1/*2-AA-CT	2.7	LD	1 (1.4%)	1.0	1.7	14 (1.4%)	2.9	-0.2
*1/*2-AG-CT	3.8	LD	1 (1.4%)	7.0	-3.2	41 (4.2%)	4.2	-0.4
*1/*3-GG-CC	4.0	LD	0	--	--	16 (1.6%)	4.2	-0.2
*1/*3-AG-TT	3.3	LD	1 (1.4%)	2.5	0.8	3 (0.3%)	5.1	-1.8
*2/*2-AG-CT	3.1	LD	1 (1.4%)	4.0	-0.9	4 (0.4%)	3.6	-0.5
*2/*3-GG-CC	3.2	LD	1 (1.4%)	1.9	1.3	5 (0.5%)	3.3	-0.1
*1/*11-AG-CC	3.5	LD	0	--	--	2 (0.2%)	3.0	0.5
*1/*11-AG-CT	3.8	LD	0	--	--	2 (0.2%)	4.0	-0.2
*1/*11-AG-TT	4.1	STD	0	--	--	1 (0.1%)	5.0	-0.9
*1/*1-AA-TT	3.6	LD	0	--	--	10 (1.0%)	3.6	0.0
*1/*2-AA-TT	3	LD	0	--	--	3 (0.3%)	4.3	-1.3
*1/*2-AG-TT	4.1	STD	0	--	--	11 (1.1%)	4.8	-0.7
*1/*3-AA-CC ^g	2.1	LD	0	--	--	11 (1.1%)	1.9	0.2
*1/*3-AA-CT ^g	2.3	LD	0	--	--	9 (0.9%)	2.6	-0.3
*1/*3-AA-TT ^g	2.4	LD	0	--	--	1 (0.1%)	2.5	-0.1
*1/*3-AG-CT	3.1	LD	0	--	--	26 (2.7%)	3.7	-0.6
*1/*3-GG-TT ^f	4.6	STD	0	--	--	3 (0.3%)	4.6	0.0
*1/*8-AG-CT	3.8	LD	0	--	--	1 (0.1%)	5.4	-1.6
*1/*8-GG-CT	5.2	STD	0	--	--	1 (0.1%)	2.4	2.8
*2/*2-AA-CT ^g	2.2	LD	0	--	--	3 (0.3%)	2.5	-0.3
*2/*2-AA-TT ^g	2.4	LD	0	--	--	1 (0.1%)	1.0	1.4
*2/*2-AG-CC	2.9	LD	0	--	--	5 (0.5%)	3.1	-0.2

Table 3: Continued.

Genotype grouping ^a	CPMC-WD table predicted mean daily warfarin dose (mg) ^b	cCPMC-WD table predicted daily warfarin dose category	EUA Cohort (N=73)			QWC full cohort (N=973)		
			No. (freq.)	Mean actual daily warfarin dose (mg) ^d	Mean error in predicted dose (mg) ^e	No. (freq.)	Mean actual daily warfarin dose (mg) ^d	Mean error in predicted dose (mg) ^e
*2/*2-GG-CC	3.9	LD	0	--	--	2 (0.2%)	3.3	0.6
*2/*2-GG-CT ^f	4.3	STD	0	--	--	2 (0.2%)	2.6	1.7
*2/*2-GG-TT ^f	4.6	STD	0	--	--	2 (0.2%)	3.8	0.8
*2/*3-AG-CC ^g	2.4	LD	0	--	--	7 (0.7%)	2.1	0.3
*2/*3-AG-CT ^g	2.5	LD	0	--	--	1 (0.1%)	2.3	0.2
*2/*3-AG-TT ^g	2.7	LD	0	--	--	1 (0.1%)	2.8	-0.1
*2/*3-GG-CT	3.5	LD	0	--	--	3 (0.3%)	2.6	0.9
*3/*11-AG-CC	2.4	LD	0	--	--	1 (0.1%)	1.9	0.5
*3/*3-AA-CC	1.4	VLD	0	--	--	1 (0.1%)	0.3	1.1
*3/*3-AG-CC	1.9	VLD	0	--	--	2 (0.2%)	0.7	1.2
*3/*3-GG-CC ^g	2.7	LD	0	--	--	1 (0.1%)	1.1	1.6

^a*CYP2C9* genotype -*VKORC1*(-1639G>A) genotype - *CYP4F2*(V433M) genotype; ^bCPMC-WD table predicted mean daily warfarin dose for each observed *CYP2C9-VKORC1-CYP4F2* genotype combination taken from Suppl. Table 1, available online at www.thrombosis-online.com; ^csee Table 1 and Suppl. Table 1, available online at www.thrombosis-online.com, for genotype warfarin dose categories assignments: STD – Standard dose category (4.1–5.9 mg/day); HD – high dose category (≥ 6.0 mg/day); LD – low dose category (2.1–4.0 mg/day); VLD – very low dose category (≤ 2.0 mg/day). Genotype dose category actual daily warfarin dose mean and median, respectively, by patient cohort: EUA cohort STD (N=48, 5.7 mg, 5.1 mg), HD (N=7, 6.2 mg, 6.0 mg), LD (N=18, 3.7 mg, 3.9 mg); QWC cohort STD (N=508, 5.2 mg, 5.0 mg), HD (N=114, 6.4 mg, 5.7 mg), LD (N=348, 3.5 mg, 3.2 mg), VLD (N=3, 0.6 mg, 0.6 mg). ^dReported (actual) genotype group mean and median warfarin daily therapeutic dose; ^ePredicted minus actual mean warfarin daily dose. Negative values indicate dose under-estimation by the CPMC-WD dosing table and positive values represent a dose over-estimation. Values with a difference of >1 mg are considered clinically significant (indicated by italics font). All of the commonly observed genotype groups, with four or more observations are indicated in bold type and have a mean error in predicted dose that is less than 1 mg/day. ^f^gGenotype groups with non-overlapping CPMC-WD table and FDA table category dose ranges: ^fFDA table dose range 3.0–4.0 mg/day, CPMC-WD table dose range 4.1–5.9 mg/day; ^gFDA table dose range 0.5–2.0 mg/day, CPMC-WD table dose range 2.1–4.0 mg/day.

CPMC-WD table, 1.4 mg/day for both the FDA table and the fixed dose regimen and 1.5 mg/day for the clinical-only in the EUA cohort. In the QWC clinical subset (N=769), the corresponding MAEs were 1.2 mg/day (CPMC-WD table) 1.5 mg/day (FDA table), 1.7 mg/day (fixed dose), and 1.4 mg/day (clinical-only) (► Figure 3).

The percentage of EUA patients whose predicted dose was within the therapeutic range (WTR) was 51% for the CPMC-WD table, 33% for the FDA table, 34% for the fixed dose and 38% for the clinical-only algorithm. Thus the CPMC-WD table significantly outperformed the FDA table ($p=0.02$) and the fixed dose method ($p=0.036$), and showed a non-significant trend ($p=0.11$) towards improved performance compared with the clinical-only (► Figure 3). Furthermore, the proportion ≥ 1 mg/day above the therapeutic dose (i.e. those potentially at risk of overdosing) was 12% (CPMC-WD table), 37% (FDA table), 32% (fixed dose) and 19% (clinical-only), with the CPMC-WD table significantly outperforming the FDA table ($p=8 \times 10^{-6}$) and the fixed dose method ($p=1 \times 10^{-4}$) and again showing a trend towards improved performance compared to the clinical-only algorithm. The proportion of patients predicted to be ≥ 1 mg/day below the therapeutic dose (i.e. those potentially at risk of underdosing) was not significantly dif-

ferent between the CPMC-WD table and any of the other dosing methods in the EUA cohort (► Figure 3).

The improved performance of the CPMC-WD table compared to the other dosing methods was more clearly demonstrated in the larger QWC (► Figure 3). The CPMC-WD table outperformed the FDA table ($p < 1 \times 10^{-6}$), the fixed dose method ($p < 1 \times 10^{-6}$) and the clinical-only algorithm ($p=0.003$), predicting 52%, 37%, 31% and 45% WTR, respectively. Moreover, the QWC showed a similar result as the EUA cohort in the proportions predicted to ≥ 1 mg/day above the therapeutic dose, with the CPMC-WD table again significantly outperforming the FDA table ($p < 1 \times 10^{-6}$) and the fixed dose method ($p < 1 \times 10^{-6}$), but not the clinical-only algorithm ($p=0.11$). On the other hand, both the FDA table and the fixed dose method predicted marginally fewer cases under the therapeutic dose compared to the CPMC-WD table ($p < 0.05$), and all three dosing methods outperformed the clinical-only algorithm in this dosing category ($p < 1 \times 10^{-6}$) (► Figure 3).

Interestingly, the FDA table only outperformed the fixed dose method in the QWC, with respect to the proportion of patients predicted to be WTR (37% vs 31%, respectively, $p=0.007$) (► Figure 3). In contrast, the clinical-only algorithm predicted significantly higher proportions WTR than both the FDA table (45% vs

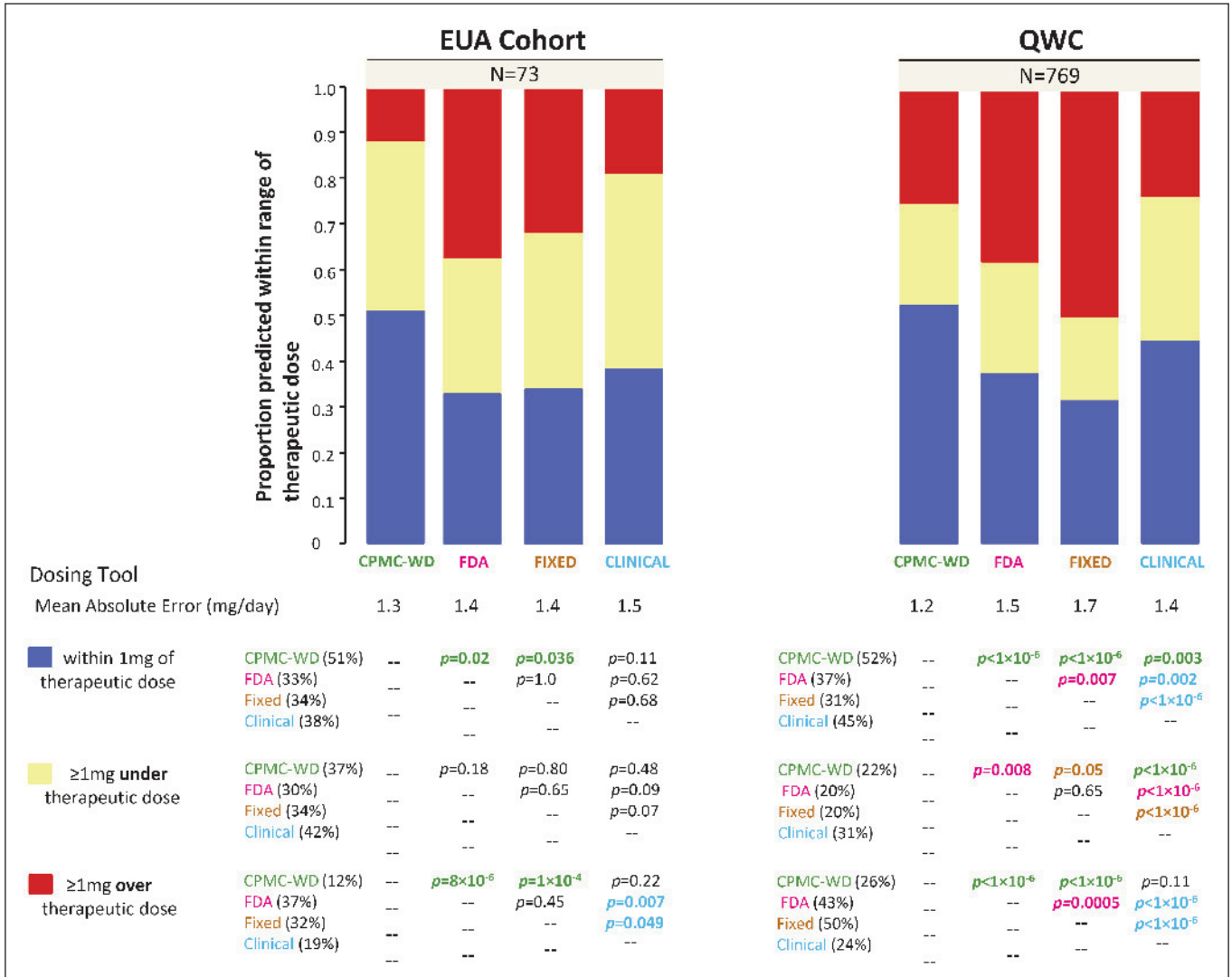


Figure 3: Comparison of proportions within range of therapeutic dose in the European American cohort and at three month intervals in the Quebec Warfarin Cohort. EUA – European American; MAE – mean absolute error; QWC – Quebec Warfarin Cohort. The proportion of patients with predicted doses within 1mg/day of the stable therapeutic dose and the proportion of patients with predicted doses ≥1 mg/day above or below the stable therapeutic dose was compared between each method using the

McNemar’s exact 2×2 test (two-sided p-value reported) to determine whether the marginal frequencies were equal. A ≥1 mg/day difference was considered a clinically significant difference(14, 32). For statistically significant differences in the pairwise comparisons, p-value font color indicates which method performed best (CPMC-WD table – green; FDA table – pink; fixed dose – brown and clinical-only – turquoise).

37%, respectively, *p=0.002*) and the fixed dose method (45% vs 31%, respectively, *p<1×10⁻⁶*).

Discussion

In this study, we used a publicly-available warfarin dosing algorithm (11, 31) to develop a genotype-based dose prediction table and showed, in two independent datasets, that it more accurately predicts therapeutic dose than the PGx table in the FDA-approved drug label (13), the standard of care 5 mg/day dosing and a clinical variable-only dosing algorithm (11, 21). This table is similar to the

FDA table in that it provides a composite-genotype predicted dose. The key difference between the CPMC-WD table and the FDA table is that the FDA table uses a combination of only three variants in two genes that are common in populations of European descent, whereas the CPMC-WD table includes six additional genetic variants in that are observed in both European and non-European populations. Thus, the comparison with the FDA table demonstrates the increased accuracy of the CPMC-WD table, resulting from an expansion of the genetic markers of warfarin sensitivity. The purpose of comparing the CPMC-WD table with the fixed-dose method was to demonstrate the added value of including genetic information in dose prediction. We also made a compari-

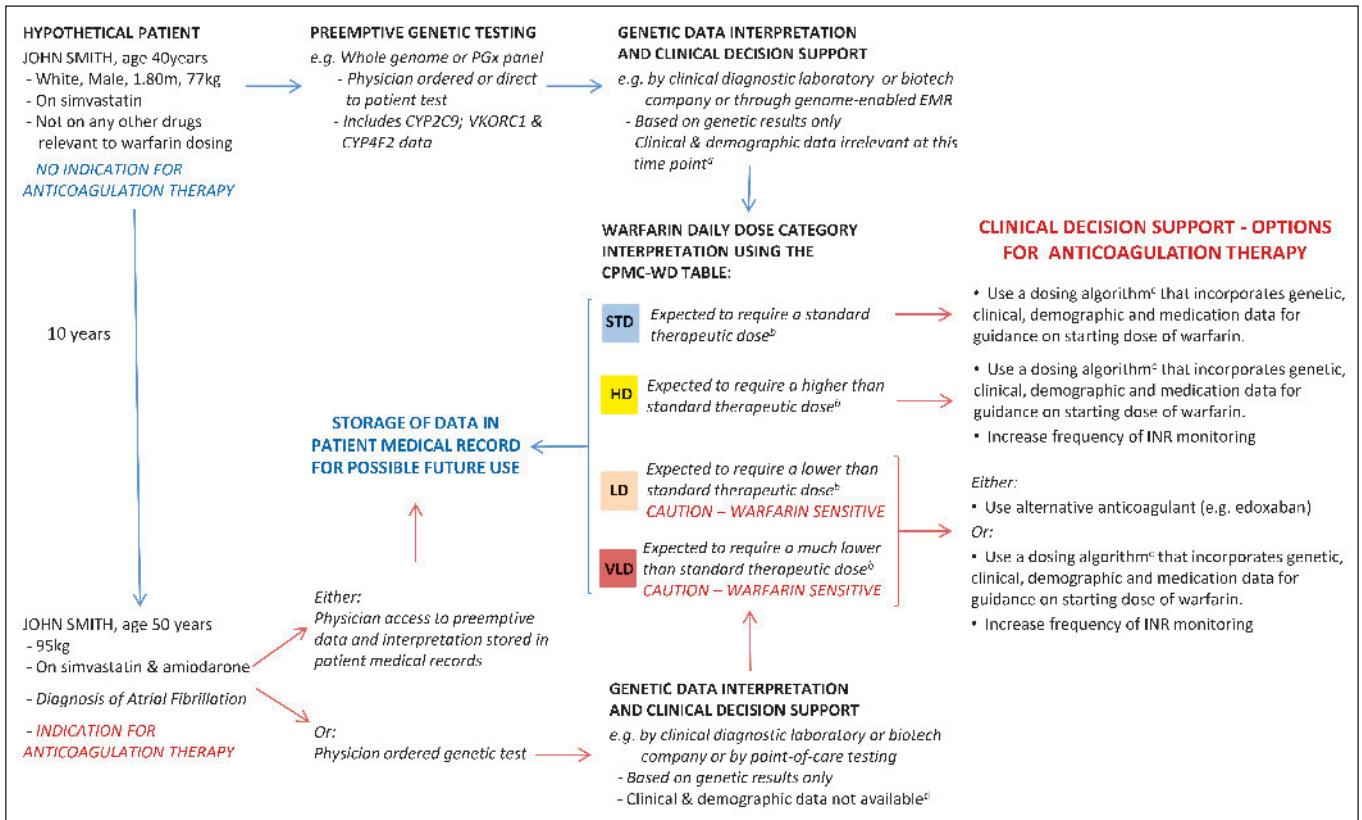


Figure 4: PGx-based anticoagulation therapy options and paths. EMR – electronic medical record; STD – “standard dose” 4.1–5.9 mg/day which includes doses within 1 mg/day of the standard 5 mg/day warfarin dose; HD – “high dose” ≥ 6 mg/day; LD – “low dose” 2.1–4.0 mg/day; and VLD – “very low dose” ≤ 2 mg/day (Table 1). a – Since clinical, demographic and medication use may change if and when warfarin use is warranted in the future. b – 5mg/day of warfarin. c – For example, the warfarin dosing calculator at www.warfarindosing.org (31). d – The laboratory conducting genotyping analysis is unlikely to have access to the patient’s full clinical, demographic and medication data, thus a baseline gene-based interpretation of likely sensitivity to warfarin should be provided along with individual genetic results.

colour: blue depicts preemptive path prior to indication for warfarin therapy; red depicts path once anticoagulation therapy is indicated. Schematic illustration of the utility of a gene-only based warfarin dosing table such as the CPMC-WD table. This table provides a generalised interpretation of likely under- or over-anticoagulation on a standard daily dose of warfarin. Such an interpretation can be stored preemptively in a patient’s medical records and together with an appropriate clinical decision support serves as a flag should the need for anticoagulation therapy arise in the future. If anticoagulation therapy is warranted a baseline genetic interpretation might be incorporated in the clinical decision support used in the anticoagulation therapy decision making process as illustrated here.

son with a clinical-only algorithm. However, it is important to highlight the differences between our analysis and that of some recent genotype guided randomised-controlled trials (21, 22) that compared a PGx algorithm (which included both genetic and clinical variables) with a clinical characteristics-only algorithm. In the latter, the PGx algorithm included the same clinical characteristics as the clinical-only algorithm. The only difference between the two dosing methods was the presence or absence of the genetic information. Therefore, any difference in outcome could conceivably be assigned to the genetic difference between the two models.

In contrast, the CPMC-WD table does not take into account the patient-specific clinical characteristics, and therefore this comparison does not address the added value of the genetic component. Here the comparison is more akin to genetics versus clinical, and since both are important in guiding dosing, especially on an individual-patient level, the comparison is less meaningful. Furthermore, since the CPMC-WD table is intended as a tool to

identify individuals who are genetically sensitive to warfarin and not for direct patient dosing, again the comparison becomes less relevant in this situation. However, what the data show in addition to the CPMC-WD table outperforming the clinical-only algorithm, is that both methods are superior to fixed dosing (► Figure 3), demonstrating the added value of both genetic and clinical factors in warfarin dose prediction.

Ultimately, the adoption of PGx-guided warfarin dosing will depend on whether the association of genotype with therapeutic dose translates to a clinically-significant improved outcome. Traditionally, the gold standard for establishing clinical utility is a prospective randomised-controlled trial powered to detect the impact of PGx-guided dosing on patient outcomes (e.g. lowered risk of bleeding and hospitalisation) compared with the current standard of care. Although a number of randomised trials have been conducted, the results have been conflicting, with some providing support for PGx-guided warfarin therapy (17–20), while others

have not (21, 22). Several recent meta-analyses have also provided inconsistent results (23–26), highlighting the significant heterogeneity, high risk of bias, low power and low quality of evidence across the trials (26). These conclusions (26), together with thoughtful reflection by others (39–43), underscore the need for an appropriate study design (i.e. the treatment setting, characteristics of the populations tested including sufficient representation of the genetically at risk patients, inclusion of pan-ethnic risk variants, the analytic approach, choice of control groups, and endpoint definitions) as critical to clarifying the public health relevance of PGx-guided warfarin dosing. A key consideration raised (42), is whether the genotype-guided dosing algorithms used in the clinical trials accurately identify all individuals who are genetically sensitive to warfarin. This will depend on the composition of the genetic variants included in the dosing algorithm as well as the population diversity and admixture. For example, if a patient has inherited a variant affecting *CYP2C9* enzyme activity that is not included in the dosing algorithm they will be assumed to have normal enzyme function and the algorithm-predicted starting dose will be inaccurate. This will add 'noise' to the results for the genotype-guided arm of the clinical trial (42), as is likely the case for the African American subset in the Clarification of Optimal Anticoagulation through Genetics (COAG) trial (21, 43). To date, the vast majority of published trials have included a maximum of three genetic variants (typically *CYP2C9**2, *3 and/or *VKORC1*-1639 G>A) (17–22) common in populations of European descent. The expected impact of the missing *CYP2C9* variants (e.g. *CYP2C9**5, *6, *8, *11 and *14) on the performance of the genotype-guided dosing algorithms will therefore depend on the ancestral composition of the study cohorts (42–44), which may not be accurately captured by self-reported race/ethnicity (45). Similarly, inclusion

of other validated variants such as *CYP4F2* (V433M) is likely to increase the accuracy of the predicted dose, as suggested by the performance of the CPMC-WD table compared to the FDA table in this study.

We anticipate that with the appropriate design, the clinical utility of PGx-guided warfarin dosing will be demonstrated particularly for those who are genetically sensitive to warfarin and at increased risk of bleeding (29).

We agree with others (6) that a starting dose of warfarin should be estimated at the time of therapy, using a comprehensive dosing algorithm. Ideally the algorithm should include known pan-ethnic genetic variants. We further agree that genetic variants, along with sex, are inherent and invariable characteristics of a patient (30), which together with age (5) have a significant impact on predicted dose. Given that genetic factors have the largest influence on dose variability (10–12, 14, 29), we further believe that a simple gene-based dosing table, such as the CPMC-WD table, has utility by allowing general risk assessment of likely over- or under-anti-coagulation when given a standard dose of warfarin. Such a table, presented in the drug label, can be utilised as a first step for anticoagulation therapy clinical decision making (► Figure 4). For example, whether to opt for another drug (29) (in a patient that is expected to be sensitive to warfarin) or to go onto using a dosing algorithm to guide the appropriate warfarin dosage.

A gene-based table can also be used for anticipatory interpretation of genetic results for future reference, for example of preemptive genetic data stored in a patient's electronic medical record (EMR). There is growing consensus that genetic testing as a preemptive clinical tool is key to the successful implementation of PGx (30). Accordingly, programs have been established in five US medical centres to develop the processes for integration of genetic data into genome-enabled EMRs that include interpretive CDS tools and alerts to guide patient pharmacotherapy for specific, clinically validated high risk gene/drug pairs, including warfarin (30). In a preemptive model, the interpretation of genetic data would be available to clinicians through the EMR in the form of passive CDS; i.e. for guidance at any time prior to any prescribing decision. Given that a patient's clinical and medication history may change from the time preemptive genetic data are entered into the EMR and the time at which anticoagulation therapy might be indicated, it makes sense to use a gene-based table rather than a dosing algorithm to develop interpretive passive CDS for warfarin (► Figure 4). Furthermore, taking the combined (composite) genetic results into account, as presented in the CPMC-WD table, is important for interpretation of risks. For example, the predicted dose for an individual with a *CYP2C9**1/*2 genotype could range, depending on their genotype at *VKORC1* and *CYP4F2*, from >5.5 mg/day (for someone with *VKORC1*-GG and *CYP4F2*-TT) to about 2.5 mg/day for a *VKORC1*-AA, *CYP4F2*-CC carrier (Table 1). Therefore, communicating risk for each gene individually is neither accurate nor advisable in this situation. Finally, a gene-based warfarin dosing table may be used in other anticipatory situations such as the return of genetic results and interpretations by clinical diagnostic laboratories and in direct-to-patient warfarin PGx reports.

What is known about this topic?

- Genetic factors have the largest influence on warfarin dose variability and with an appropriate study design, the public health relevance of pharmacogenomics (PGx)-guided warfarin dosing is expected to be clarified.
- To be most effective, genetic data are needed at the start of anticoagulation therapy.
- Genetic testing as a preemptive clinical tool is likely to be key to the successful implementation of PGx.

What does this paper add?

- This study presents a simple genotype-based warfarin dosing table that includes genetic variants important in both European and non-European populations.
- This tool has utility in anticipatory, or preemptive, assessment of a patient's genetic susceptibility to over- or under-anticoagulation in response to a standard dose of warfarin.
- It may be used as part of the clinical decision support for the interpretation of genetic data, serving as a first step in the anticoagulation therapy decision making process.

A further advantage of the CPMC-WD table over the FDA table is that for each combination of genetic results, it provides both a mean dose as well as a dose category relative to the standard fixed 5 mg/day starting dose. Genotype results are categorized into those that are within 1 mg/day of the standard dose (STD), are greater than 1 mg/day above the standard dose (HD) or are associated with a low (LD) or very low dose (VLD) relative to the standard dose (► Figure 1). The FDA table provides three dose range categories of 0.5–2 mg/day, 3–4 mg/day and 5–7 mg/day. In our opinion, the CPMC-WD dose categories are easier to interpret, differentiating those that are expected to respond safely to a standard dose from those with a genetic susceptibility to either over- or under-anti-coagulation.

There are several limitations to our study. First, most patients in both cohorts had a primary indication of atrial fibrillation and replication in other patient series is needed to know if the results can be generalised to other indications. Second, the two independent cohorts are both primarily (>93%) of European descent. Therefore, it remains to be determined how well the CPMC-WD table captures the genetic contributors to dose variability in non-Europeans compared to the FDA table. The key point here is whether the variants in the three genes include those that are common in other ethnic/racial groups. For example, the FDA table only includes *CYP2C9**2 and *3 variants which have a combined allele frequency of 3% in African Americans (28). The combined allele frequency of *CYP2C9**5, *6, *8 and *11 variants in African Americans is 10% (28), and inclusion of these additional variants is expected to improve dose predictions in this population, as clearly demonstrated by Drozda et al. (43). Interestingly, in their approach, Drozda et al. made a 20% dose adjustments to the Gage algorithm (31) predicted doses (WD-AA algorithm) to account for the presence of a *CYP2C9**8 or *CYP2C9**11 allele (43). This is in line with our approach of pooling *CYP2C9**8 and *CYP2C9**11 alleles with the *CYP2C9**2, which is adjusted for in the WD algorithm by a 19% dose reduction (11, 31).

Another limitation of the current study is that the FDA table only provides a composite-genotype dose range and not a category-mean warfarin dose. In order to make a direct comparison between the four dosing methods we converted the FDA dose ranges to mid-range dose values. This approach has been used previously (46) and although not ideal the mid-range value of 1.25 mg/day for the 0.5–2 mg/day range, 3.5 mg/day for the 3–4 mg/day range and the 6 mg/day for the 5–7 mg/day range are all within 1 mg/day of the extremes of the ranges and therefore fairly conservative representations of the dose categories. A limitation of the EUA cohort is the relatively small sample size, reducing the power to detect statistically significant differences in proportions as observed for the comparison of the CPMC-WD table and the clinical-only algorithm. Although the $p < 0.05$ threshold of significance has not been reached in this cohort, the proportions WTR (51% vs 38%, respectively) mirror the findings in the larger QWC (52% vs 45%, respectively) where statistical significance was demonstrated. Another limitation in the EUA cohort is that demographic and clinical data, including information on therapeutic dose and whether stable dose had been reached is self-reported and lacks di-

rect INR measures for confirmation, increasing the possibility of data entry or recall errors. This might be expected to add noise to the analyses making it harder to demonstrate the accuracy of the CPMC-WD dosing table. The effect of self-reported data may be less significant than expected, as previously suggested by us (47) and as reflected in the performance of the CPMC-WD table relative to the other dosing methods in the EUA cohort. Furthermore, the data from the QWC is less likely to suffer from recall and self-reported errors. Finally, the present study demonstrated that the CPMC-WD table more accurately predicts the reported therapeutic dose, than the other evaluated dosing methods. Although therapeutic dose prediction is a surrogate outcome, it is assumed that the greater the accuracy of the starting dose, the shorter the time to attain stable INR, the longer the time within the therapeutic INR range, and consequently the lower the risk of an adverse event (6). Thus, another limitation of the present study is a lack of primary outcomes data such as time in therapeutic INR range and cerebral and non-cerebral embolism and hemorrhage. Such data should be included in future studies.

The findings described here would be strengthened by replication in other patient groups. If such future studies confirm that the CPMC-WD table-based dosing is superior to the FDA table, this table should be considered for inclusion in a future update of the warfarin FDA drug label.

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Conflicts of interest

None declared.

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